DDS CE Oversight Report

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | Atlanta |
|---|-----------------------------------|
| State DDS: | Alabama |
| Report Period (Fiscal Year): | 2014 |
| Current Date: | 10/29/14 |
| Reporter's Name, Phone number, and title: | Name (b) (6) Phone number (b) (6) |
| | Title MRO Coordinator |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

1. <u>Description of DDS procedures used to resolve various categories of complaints received</u> <u>throughout the year</u>:

The Alabama DDS follows an approved procedure for resolving complaints. This consists of advising the claimant in writing that we (the DDS) have received the complaint and that appropriate action is being initiated. After the correspondence is sent to the claimant, either a letter is mailed to the panelist with a copy of the written complaint or a phone call is made to the panelist by a Medical Relations Officer. The specific action taken is based on the severity of the claimant's allegations. If the allegation is more than that of a minor nature, a letter requiring a mandatory, written response, addressing the complaint is mailed or faxed to the panelist. This is usually preceded by a telephone call from the MRO and on some occasions, an unannounced onsite visit to the provider's office. This is particularly true when there is a complaint concerning an unsanitary condition at the office or a condition that would require immediate remediation. A claimant survey is conducted by sending a letter to a number of claimants recently examined by the panelist to ascertain if there is an established pattern. Actions taken by the MROs range from placing the panelist in a special periodic review category, holding the scheduling of appointments until the complaint is resolved, or removing the panelist or making suggestions to the panelist as to the proper resolution of the existing problem to prevent future occurrences.

2. Attach a list of completed onsite reviews of CE providers.

- 2. Onsite reviews of CE Providers completed by the DDS
 - a. MDSI Physicians Group

- b. Dr. Mary R. Arnold, PsyD
- c. Dr. Jack Bentley Jr, PhD
- d. Dr. Sally A. Gordon, PsyD
- e. Med Plus Disability Evaluations, Inc.
- f. Dr. Philip Kaplan, PhD
- g. Florence Medical & Psychological Associates

Multiple onsite visits were made for various reasons other than CE oversight purposes.

3. Attach a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch.

1. Names and addresses of key providers:

MDSI Physicians Group, Inc (multiple locations) 1507 Fourth Avenue South Birmingham, AL 35233-1612

MDSI Physicians Group, Inc. West Bay Chiropractic Center 5631 Cottage Hill Road Mobile, AL 36609-4210

MDSI Physicians Group, Inc 2820 Fairlane Drive Suite A-8 Montgomery, AL. 36116-1610

MDSI Physicians Group, Inc. Skelton Chiropractic Center Inc. 2601 12th Street Tuscaloosa, AL 35401-2807

MDSI Physicians Group, Inc. Gadsden Family Chiropractic 211 South Fifth Street Suite D Gadsden, AL 35901-4217

MDSI Physicians Group, Inc. Back & Neck Rehab 1602 20th Avenue Phenix City, AL 36867-3714 MDSI Physicians Group, Inc. 3001 McClellan Boulevard Anniston, AL 36201-2724

MDSI Physicians Group, Inc. AL Chiro Back Pain Clinic 4835 Sparkman Drive Northwest Huntsville, AL 35810-3948

Mary R. Arnold, PsyD (multiple locations) (b) (6) Guntersville, AL 35976-1129

Mary R. Arnold, PsyD

(b) (6) Scottsboro, AL 35769-4225

Jack L. Bentley, Jr, PhD (multiple locations)

(**b**) (**6**) Rainbow City, AL 35906-3268

Jack L. Bentley, Jr, PhD

(b) (6) Cullman, AL 35055-4106

Jack L. Bentley, Jr, PhD 2525 US Highway 431 Suite 150 Boaz, AL 35957-5971

Sally A. Gordon, PsyD Crestbrook Plaza Suite 102 3918 Montclair Road Birmingham, AL 35213-2417

Med Plus Disability Evaluations, Inc. 525 Main Avenue SW Cullman, AL 35055-4700

Med Plus Disability Evaluations, Inc. 2300 McFarland Blvd East Suite 0A Tuscaloosa, AL 35404-5823

Med Plus Disability Evaluations, Inc. 1812 28th Avenue South Birmingham, AL 35209-2602

Med Plus Disability Evaluations, Inc. 5369C Highway 90 West Mobile, AL 36619-4223

Med Plus Disability Evaluations, Inc. 18557 East Hammond Street Robertsdale, AL 36567-3629

Med Plus Disability Evaluations, Inc. 317 McWilliams Avenue Camden, AL 36726-1610

Med Plus Disability Evaluations, Inc. 100 Edwina Street Evergreen, AL 36401-3319

Med Plus Disability Evaluations, Inc. 1280 Summit Drive Jasper, AL 35501-0102

Philip Kaplan Hardaway Bldg., Suite 205 945 Broadway Columbus, GA 31901-2773

Jasper Medical & Psychological Associates, Inc. (multiple locations) 1632 US Highway 78 East Jasper, AL 35501-4034

Florence Medical & Psychological Associates, Inc. 11168 Ana Drive Suite C Florence, AL 35630-1771

4. Provide the total number of CE providers on the panel.

921

5. Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs.

Process utilized by DDS to ensure panelists are licensed and not excluded, etc.: The MRO section routinely completes license verification and OIG sanction checks quarterly for all CE panelists. The same checks are done on all new panelists. Prior to placement on the panel, the appropriate board of licensing is contacted online, by fax, or we mail a request to verify that the potential panelist is duly licensed and has no pending action concerning licensure, etc. The Board of Medical Examiners provides a quarterly report that has information on any actions taken regarding Physicians/Osteopaths licensed in the State. The Board of Medical Examiners website also provides a monthly update of recent public actions, which the MRO section monitors. In addition, an ongoing system is in place to review all panelists annually and update their information.

6. Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation.

Process utilized to ensure CE Provider support personnel are properly licensed or certified: Staff verification information is included in our provider-credentialing packet. The appropriate professional verifies that their employees, contractors or others are properly licensed or certified in the State.

7. Provide a description of any CE/MER fee schedule changes (include a description of any volume medical provider discounts).

There were some changes in the panelist fee schedule for Fiscal Year 2015. The Alabama DDS finalizes its annual fee schedule review during the last quarter of the fiscal year so the new schedule can go into effect at the beginning of the new fiscal year. There were some increases in our exam fees and ancillary studies commensurate with increases in Medicare fees for the same or similar services. We also decreased fees for some ancillary studies in line with the Medicare fees. The fee changes resulted in an overall **.618%** increase in the consultative exam fees for fiscal year 2015.

8. Upload fee schedules to the MPRO SharePoint site.



9. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc.

MRO/DDS Activities:

October 19, 2013

The DDS was invited to be present and address participants at the True Love Church Health Fair in Ensley, Alabama. There were 30 attendees. AL DDS Senior Case Consultant (b) (6) provided the participants with Social Security disability claim information.

The goal: To define disability according to the SSA; and discuss Sequential Evaluation used in processing the disability claim.

The objective: To educate participants of the true definition of disability; monthly income from work that would prevent them from being considered disabled; the difference between a severe impairment and symptoms; and laboratory findings that would constitute or support a medical determinable impairment. Review of participants current work limitations based on laboratory findings along with their function report; and their ability to do any work in the national economy. Finally, informed participants of their right to file an application.

The benefits: Participants were knowledgeable of the true definition of disability; and all areas considered before making a final decision on their claim.

October 22, 2013

The DDS was invited to address the Lawson State Community College Cougar Chapter of IAAP (International Association of Administrative Professionals). The IAAP meeting was held at Lawson State Community College in Birmingham. There were 40 people in attendance. AL DDS Supervisor (b) (6) provided the participants with an overview of the Social Security disability program.

The goal: To enhance the knowledge of members of the organization about Social Security so they could better serve their members, staff and community. The objective and benefit to the DDS: The overall objective was to network with various professionals who assist people in the community in filing claims with various agencies, and to ensure they (the members) have some knowledge about the disability program. Benefit to the DDS: To ensure citizens, in the area they serve, are provided correct information and to ensure they are aware of the services the DDS offers.

December 5, 2013

The DDS attended an open house at the Birmingham AIDS Outreach organization. (b) (6)

attended. **W** met the entire BAO executive and volunteer staff, social workers from the UAB AIDS 1917 Clinic, and staff from the AIDS Task Force of Alabama.

March 14, 2014

The DDS attended a Junior League Community Roundtable discussion on *Teen Suicide in Birmingham.* AI DDS Senior Disability Supervisors (b) (6) (b) (6) and (b) (6) attended the meeting. At the meeting, the panel of community leaders and agency representatives discussed the rise in teen suicide in the community, how to spot warning signs, ways to prevent teen suicide, and identified resources available in the community.

April 8, 2014

The DDS participated in Acting Commissioner of Social Security Carolyn W. Colvin's communitybased meeting in Birmingham. AL DDS Director (b) (6) and Disability Administrator joined representatives from 18 community-based organizations and advocacy groups.

August 7, 2014

The DDS scheduled and conducted a meeting in Montgomery with the Office of Health Services, Alabama Department of Corrections (DOC). In attendance was the Associate Commissioner of Health Services, the Administrator of Department of Health Services and the DOC's General Counsel. The meeting objectives were to review the role of the DDS in the disability claims process, to understand the DOC's medical records processes from 29 correctional and work release centers, to gain acceptance of the SSA-827 form, and to establish a relationship of the two agencies assisting one another. Actions taken following the meeting: The DOC immediately initiated a uniform and "one-stop" process for release of records to the DDS, and the DDS worked with the Montgomery field office to have pre-release claims expedited of those claimants currently housed in the prisons' medical facilities.

SOAR Training, Birmingham AL September 3-4, 2014 Family Endeavors, 2509 7th Avenue, South, 35233

In attendance: 15 Social Workers from various agencies that assist homeless individual apply for Social Security benefits (SSI/SSDI).

Attended by AL DDS Senior Case Consultant (b) (6)

and MRO Coordinator (b) (6)

The DDS representatives provided information on specific requirements needed to process the disability claim, the importance of functional information as it relates to the claimants impairments, as well as answer any questions related to the DDS and its role in the disability process.



September 11, 2014

Attended the One Roof Continuum of Care Membership Meeting Location: YWCA Central Alabama In attendance: 65 Continuum of Care members representing 45 Birmingham area organizations and agencies

The DDS was invited to attend the monthly meeting to make a presentation on the Social Security disability program. Information shared with the participants included basic disability program information, definitions of disability and medical determinable impairments, the DDS's and SSA's role in processing claims, and our working together to serve the homeless. Time was set aside for questions and answers.

Listing of active Continuum of Care Members: http://oneroofonline.org/about-us/member-agencies/

Sept 29, 2014



Please attach any additional information before submitting this form.

AIDS ALABAMA --- DISABILITY DETERMINATION SERVICE --- SOCIAL SECURITY ADMINISTRATION

UNDERSTANDING OUR ROLES IN THE DISABILITY CLAIM PROCESS MONDAY, SEPTEMBER 29, 2014

MEETING OBJECTIVES

- 1. Provide an orientation on the disability program, including some of the technical eligibility requirements
- 2. Present an overview of the application process
- 3. Identify the needed evidence regarding an individual's functional limitations and restrictions
- 4. Review the medical evidentiary requirements for evaluation of HIV disability claims

AGENDA

Director, DDS ransitional Housing Case Manager AIDS Alabama All participants 6 Review the Meeting Objectives Disability Administrator, DDS b) (6) **Basic Program Information** Disability Administrator, DDS **b)** (6) Public Affairs Specialist **Overview of Application Process,** Social Security Administration Filing Eligibility Requirements, & Work and Disability Definitions of Disability and Disability Administrator, DDS Medically Determinable Impairments Disability Administrator, DDS Understanding the claimant's allegations, symptoms and functional limitations Understanding the medical aspects of the <u>) (6)</u> Disability Administrator, DDS **HIV claim documentation requirements**

- Discussion of Preamble to Listing of Impairments 14.08
- Introducing the SSA Notice of Proposed Rulemaking, dated February 26, 2014 Proposed revision to the criteria in the Listing of Impairments 14.08 and 114.08

Social Security Rulings on Evaluation of HIV infection and other selected Rulings

Role of Service Provider

Welcome

Introductions

Identifying "Critical Claims"

Understanding the needs of the clients, AIDS Alabama and other service-related agencies

Disability Administrator, DDS (b) (6)

Legal Services, Birmingham AIDS Outreach

(b) (6)

All participants



Quality Assurance Supervisor, DDS





(b) (6)



TRAINING AGENDA

BIRMINGHAM, AL. FAMILY ENDEAVORS 2509 7TH AVENUE, SOUTH, 35233 SEPTEMBER 3-4, 2014

DAY ONE

| 8:30 - 9:00 | SIGN –IN | | |
|---------------|------------|---|--------------|
| 9:00 - 9:10 | WELCOME | Opening Remarks | (b) (6) |
| 9:10 – 10:10 | OVERVIEW | Setting the Stage | (b) (6) |
| 10:10 - 10:30 | MODULE I | The Disability Programs of the Social Security Administration | (b) (6) |
| 10:30 - 10:45 | | BREAK | |
| 10:45- 11:45 | MODULE II | Engaging the Applicant | (b) (6) |
| 11:40 - 12:25 | MODULE III | The Application Process: Non-Medical | (b) (6) |
| 12:25- 1:00 | | LUNCH | |
| 1:00 - 1:40 | MODULE III | The Application Process: Non-Medical cont. | (b) (6) |
| 1:40 - 2:25 | MODULE IV | The Application Process: Medical Evidence | (b) (6) |
| 2:25 - 2:45 | | BREAK | |
| 2:45 - 3:15 | MODULE V | Eligibility Criteria and the Sequential Evaluation | (b) (6) |
| 3:15 - 3:35 | MODULE VI | Medical Information on Mental Illness | (b) (6) |
| 3:35 - 4:10 | MODULE VII | Co-Occurring Disorders: Mental Illness and Substance Use Disorders | (b) (6) |
| 4:10 - 4:30 | WRAP UP | Summary, Review, Preview | All Trainers |

DAY TWO

8:30 - 9:00 SIGN-IN

| 9:00 - 9:10 | OPENING | Review / Preview | (b) (6) |
|---------------|-------------|---|--------------|
| 9:10 - 9:30 | MODULE VIII | Collecting the Medical Evidence: The Usual Process | (b) (6) |
| 9:30 - 9:50 | MODULE IX | The New and Improved Process | (b) (6) |
| 9:50 - 10:50 | MODULE X | Interviewing and Assessing | (b) (6) |
| 10:50 - 11:00 | | BREAK | |
| 11:00 - 11:45 | MODULE XI | Functional Information: The Often Missing Link | (b) (6) |
| 11:45 - 12:30 | MODULE XII | Writing Functional Descriptions | (b) (6) |
| 12:30 - 1:15 | | LUNCH | |
| 1:15 - 2:30 | MODULE XIII | The Full Picture: Medical Summary Report | (b) (6) |
| 2:30 - 2:50 | MODULE XIV | QMB, SLMB AND QI – 1: Supplemental Medicaid Programs | (b) (6) |
| 2:50 - 3:00 | | BREAK | |
| 3:00 - 3:20 | MODULE XV | The Next Step: SSI and SSDI Work Incentives | (b) (6) |
| 3:20 - 3:50 | CLOSING | Summary, Questions, Evaluations, Post-test | (b) (6) |
| 3:50 - 4:00 | WRAP UP | Certificate Ceremony | All Trainers |

Must attend all sessions in entirely to obtain Certification.

DDS CE Oversight Report

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | Seattle - X | |
|---|--|--|
| State DDS: | Alaska | |
| Report Period (Fiscal Year): | 2014 | |
| Current Date: | 11/13/2014 | |
| Reporter's Name, Phone number, and title: | Name (b) (6) Phone number (b) (6) | |
| | Title Disability Hearing Officer, Quality Analyst and Professional Relations Officer | |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

On receipt of a written complaint from a claimant the complaint is scanned and placed in the provider's electronic file. A letter is sent to the claimant to acknowledge receipt of the complaint. When the CE report is received it is reviewed by the PRO in light of the complaint provided by the claimant. A copy of the CE report and the complaint letter are provided to the CE panelist with a request for a written response. The PRO also contacts the CE panelist to provide feedback and discuss any potential training issues. If necessary the PRO will follow up with the claimant as well.

2. Attach a list of completed onsite reviews of CE providers.

William Campbell, M.D. Michael Rose, Ph.D. Danelle Winn, Ph.D. Daniel Gonzales, Ph.D. Independence Park Medical Services

3. Attach a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch.

William Campbell, M.D. 1345 West 9th Avenue, Ste. 200 Anchorage, AK 99501

Michael Rose, Ph.D. 1515 Blueberry Road, Ste. 107 Anchorage, AK 99503

Danelle Winn, Ph.D. 4325 Laurel Street, Ste. 297 Anchorage, AK 99508

Daniel Gonzales, Ph.D. 800 East Dimond Blvd., Ste. 3-625 Anchorage, AK 99515

Independence Park Medical Services 9500 Independence Drive, Ste. 900 Anchorage, AK 99507

4. Provide the total number of CE providers on the panel.

58

5. Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs.

The State of Alaska has a website (<u>http://www.dced.state.ak.us/occ/home.htm</u>) that the PRO uses to annually check the currency of the licenses of the CE panelists, which is then annotated in an Excel spreadsheet. This process works because the volume of providers in Alaska is relatively small. At the time that each provider is checked for a current state license, they are also checked in the LEIE section of OIG's website to ensure that they are not sanctioned.

6. Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation.

It is the responsibility of each vendor to ensure that support personnel are properly licensed and/or credentialed as per Alaska law and regulation. As new CE vendors are added to the panel they are informed of this requirement. They must sign a document indicating that they understand the licensing/credential requirement and are responsible for ensuring that all personnel meet the requirement. A copy of this document is attached at the end of the report. The Alaska DDS has never had problems with unlicensed vendors or support personnel on the CE panel.

7. Provide a description of any CE/MER fee schedule changes (include a description of any volume medical provider discounts).

As the Alaska DDS is part of the Division of Vocational Rehabilitation in the State of Alaska, we use the same fee schedule. Currently the fee schedule is a "Usual and Customary Fee" approach to pay all costs for medical examinations, tests and medical records as set by our parent agency. When a CE source is recruited, the fee that source intends to charge is considered for approval by the PRO. Consideration about the reasonableness of the fee includes comparability to other available providers, travel costs that would be incurred if a provider in another locale were to be used, claimant convenience, and availability of other specialists in the field. The DDS Administrator approves the fees once the justification is provided by the PRO and this documentation is retained in the CE provider's file. An Excel spreadsheet is maintained that shows the range of costs for any given service across the state (attached below). DDS has checked their fees against DVR's and we pay the same or less for the same services. MER charges are controlled at a three tier level. All charges must be approved first by the adjudicator to ascertain the information is appropriate. The accounting clerk completes the second approval. Lastly, the Chief of the DDS or another designee approves the invoice prior to issuance of payment by our central office in Juneau.

8. Upload fee schedules to the MPRO SharePoint site.

See Excel spreadsheet in Seattle Region folder

9. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc.

Significant time has been spent in recruitment as we are in need of CE panelists in various geographical areas and/or specialties. Despite travel, phone calls, meetings and canvassing by the PRO and to some extent the Chief Medical Consultant, progress in many areas has been minimal. In 2007 there was a field hearing with Senator Lisa Murkowski, who detailed a report indicating that the State of Alaska was short approximately 400 physicians for the population. It was also predicted that this would worsen. We are seeing that this was an accurate prediction. Many medical professionals are interested in working with us, but are so overloaded with patients that they do not have the time. Recruitment efforts continue and include outreach to PA-C's and ANP's and other non-acceptable sources to help in cases where the claimant has already seen an acceptable source.

In March of 2014 the PRO and the Chief of Services attended a meeting at Senator Mark Begich's office to discuss the SOAR program. SSA's District Manager and PAS attended, as well as numerous stakeholders. It was apparent that there is general frustration with the lack of progress in this program. Despite SSA and DDS's continued involvement and support, very few true "SOAR" cases have ever been received by the DDS. The Senator's office understands the DDS's commitment to the process and willingness to continue to provide training, policy expertise and outreach. Since the March meeting, there has been no

contact with the SOAR group. The PRO has contacted various members of the SOAR Alaska team, but nothing appears to be in the works at this time.

Please attach any additional information before submitting this form.

Janice Brewer Governor

CE MANAGEMENT/OVERSIGHT REPORT FOR FY 2014

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

All complaints received by the Phoenix or Tucson DDS office are treated seriously and investigated. The following is a summary of the procedure we followed to address complaints:

- **a.** Process for resolving complaints of rudeness and or unprofessional manner/attitude; environmental factors (cleanliness, poor accessibility, and/or lack of proper facilities); or other complaints of a non-egregious nature:
 - 1) Response to claimant's complaints by sending acknowledgement letters.
 - Copies of complaints sent to the CE provider. Response requested when it was determined necessary (based on factors such as history of previous allegations or complaints.)
 - 3) Complaints and responses were reviewed in light of POMS and State policy to determine if any additional action was required.
- **b.** Process for resolving complaints or allegations of an egregious nature (which could include illegal/criminal activity, inappropriate sexual behavior, cultural insensitivity, allegations compromising the health and safety of claimants):
 - 1) Suspend all referrals and reschedule any pending appointments while the vendor is being investigated.
 - 2) Notify the DDS Administrator of the nature and severity of the allegations against the provider. Discuss facts and involve law enforcement if there appears to be safety issues or matters involving eminent danger.
 - 3) Respond to claimants' complaints by telephone to determine if personal visit is required. Send acknowledgement letter.
 - 4) Schedule appointment and meet with the provider to discuss claimants' complaints/allegations. Present the CE providers with copies of the claimants/allegations.
 - 5) Document the appropriateness of the CE/provider's responses and determine if further actions are needed.
 - 6) Notify the regional office of the complaints/allegations and the course of action taken by the DDS/state authorities.

2. Provide a list of the onsite reviews of CE providers completed by the DDS. ONSITE REVIEWS OF CE PROVIDERS (Phoenix) ("K" indicates Key Provider)

Jeff Levison, M.D. Arcadia Radiology Medico (Glen Kunsman, D.O.) Brian Briggs, M.D. Performed: 01/23/2014 Performed: 01/23/2014 Performed: 08/08/2014 Performed: 06/16/2014





Monte Jones, M.D. Armando Bencomo, Ph.D. MDSI (Phoenix)William Chaffee, M.D. Best Medical Imaging Service Angel Gomez, M.D. Psychological Assessment Associates(M. Rabara, Psy. D.) Sentience Psychological Services, (Janeen Demarte Psy. D.) Performed: 03/10/2014**K** Performed: 09/09/2014**K** Performed: 06/02/2014**K** Performed: 09/18/2014**K** Performed: 6/25/2014**K** Performed: 08/15/2014

ONSITE REVIEWS OF CE PROVIDERS (Tucson) ("K" indicates Key Provider)

Jerome Rothbaum, M.D. Jeri B. Hassman, M.D Francisco Sanchez, Ph.D. Richard Palmer, M.D. Performed: 08/19/2014**K** Performed: 08/19/2014**K** Performed: 08/19/2014**K** Performed:09/19/2014**K**

3. Provide a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch.

KEY PROVIDERS AZ DDSA; FFY 2012

| PHOENIX BRANCH | |
|--|---|
| Psychological Assessment Associates of Arizona | code: 1 <i>, EE</i> |
| 1802 East Thomas Road, Suite 3 | Amount: |
| Phoenix, AZ 85016 | \$638,104.59 |
| Medico | code: <i>2, EE</i> |
| 3189 Courtney Avenue | Amount: |
| Kingman, AZ | \$474,658.28 |
| MDSI PHYSICIAN GROUP, INC | Code: <i>3, EE</i> |
| P.O. Box 9039 | Amount: |
| Ogden, Utah 84409 | \$263,916.00 |
| Monte L Jones, MD | code: <i>4, EE</i> |
| (b) (6) | Amount: |
| Chandler, AZ 85225 | \$222,594 |
| Jonna Krabbenhoft, PsyD Arizona Psychological Assessment, Inc PO Box 11536 Chandler, AZ 85248 | code: <i>5, EE</i> Amount: \$185,777.00 |
| Best Medical Group | code: 6 EE |
| 325 East Baseline Road | Amount: |
| Phoenix, AZ 85042 | \$174.872.25 |
| San Tan Health Services Matthew Khumalo, M.D. 2181 E. Pecos Dr. Ste. 1 Chandler, AZ. 85225 | <i>Code 7 EE</i> Amount: \$167,874.75 |
| Armando Bencomo, Ph.D. | code: 8 <i>EE</i> |
| 5150 North 16th Street, Ste. A-121 | Amount: |
| Phoenix, AZ 85016 | \$159,329.00 |
| | |

| TUCSON BRANCH | |
|-----------------------------------|------------------|
| Jeri Hassman, MD | code: 1EE |
| 3915 East Broadway Blvd, Ste. 319 | Amount: |
| Tucson, AZ 85711 | \$230,142 |
| Richard Palmer, MD | code: 2, EE |
| Palmer Family Medicine, P.C. | Amount: |
| 3886 East Packard Drive | \$150,229.21 |
| Gilbert, AZ 85298 | |
| Jerome Rothbaum, MD | code: 3 EE |
| 5210 E. Pima Street, Suite 210 | Amount: |
| Tucson, AZ 85712 | \$147,129.00 |
| Francisco Sanchez, PhD | code: <i>4</i> , |
| P.O. Box 289 | Amount: |
| Tucson, AZ 85702 | \$92,426.00 |
| David Biel-Adaskin, Psy. D. | code: 5 |
| (b) (6) | Amount |
| Tucson, AZ. 85714 | \$79,920.64 |

| Code Key: Number indicates rank for CE provider by dollar amounts for FFY 2012 (by branch) <i>"EE"</i> indicates practice is primarily directed towards SSA evaluation exam |
|---|
|---|

Per POMS DI 39545.100B1, a Key Provider is defined as:

A CE Provider who meets at least one of the following conditions:

- 1. an estimated annual (fiscal year) billing to the Social Security disability programs of at least \$150,000; or
- 2. practice of medicine, osteopathy or psychology is primarily directed towards evaluation examinations rather than the treatment of patients; or
- 3. does not meet the criteria in bullets 1 and 2 of this list but is one of the top five CE providers in the State by dollar volume as evidenced by prior year data.

4. For CE panels:

a. List the number of current CE providers on the panel:

There were a total of 270 providers on the CE panel for the Arizona DDS on 09/30/2013.

b. Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter so as to ensure that no unlicensed or excluded CE providers perform CEs.

The Arizona DDS maintains credentialing and licensing information:

- 1) On initial Recruitment to the panel by:
 - Obtaining a copy of current licensure by fax or by mail from the prospective CE provider.
 - Checking the appropriate website or medical board (i.e.: Arizona Medical Board) to verify current licensure.
 - Checking the HHS-OIG LEIE (Sanctions List) to verify prospective CE providers are not sanctioned or excluded.
- 2) During Periodic Checks to verify current licensure for entire CE panel by:
 - Checking the HHS-OIG LEIE (Sanctions List) at least semi annually (June and December) to verify that no CE panelists are sanctioned or excluded.

- Maintaining a combined spreadsheet for both the Phoenix and Tucson DDS offices containing a list of all CE providers and their date of license expiration. The Professional Relations Officers use this list to contact providers that have expiring licenses in the upcoming month so timely licensure documentation can be obtained. This safeguard allows our offices to either obtain licensure information before expiration or to place the provider on "hold status" until license documentation can be obtained.
- c. Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation.

The Arizona DDS requires the CE provider complete the form found at DI 39569.400; Exhibit 1 *"License/Credentials Certification for Consultative Examination (CE) Provider and Certification of All Support Staff"* (see Attachment 1) when the DDS office initially contracts with a CE provider. CE providers are required to give us assurances that all support staff are appropriately licensed or certified per State regulations/requirements.



5. For Medical fee schedules:

- a. Provide a description of CE/MER fee schedule changes (include a description of any volume medical provider discounts).
 - 1) CPT code 90801 was amended to 90791 in accordance with current procedural terminology. There were no additions to the Arizona DDS fee schedule in 2013.
 - 2) No volume medical provider discounts are given by the Arizona DDS.
- b. Provide a copy of current fee schedule. (See Attachment 2)
 - 1.0 Fee Schedule for Services Delivered:



6. Provide a brief description of DDS professional relations officer's/medical relations officer's activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with Regional public affairs offices, etc.

Outreach Activities:

- 03/12/2014: DDS liaison at Case-Worker out-service training regarding program policy and SSA/DDS claims processing at <u>Maricopa Association of Governments</u> (MAG), Phoenix
- 03/26/2014: DDS liaison for SSA/SSDI Outreach, Access and Recovery (SOAR), Phoenix
- 04/16/2014-08/07/2013: Attended, presented and participated in Pre-release Benefits training for AZ-DOC caseworkers; Phoenix
- 06/15/2014: DDS liaison for <u>SSA/SSDI Outreach, Access and Recovery</u> (SOAR), Phoenix

- 06/25/2014: DDS liaison for SSA/SSDI Outreach, Access and Recovery (SOAR), Phoenix
- FFY2014: (Various dates): spoke to many Medical Records sources and interested parties to advance SSA's e-Auth electronic signature initiatives.
- 02/24/2014-Participated in DCPS demo
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- 04/29/2014- DDS Liaison co-presentation with SSA FO manager regarding SSA disability programs and ERE access and records submission with <u>Old Pueblo Community Services</u>, <u>Veteran Programs &</u> <u>Services</u>.
- •

EME:

- FFY2014: (Various dates): SSA website orientations for CE, MER providers and others.
- FFY 2014: (Various dates): Participated in SSA RO and CO driven net meetings and conference calls regarding upcoming ERE website enhancements, HIT initiatives and other topics.
- FFY 2014 (Various dates): Maintained contact with various agencies, groups, and individuals whose interest and goals are related to HIT and its adoption, proliferation and use in the state of Arizona.
- FFY 2014 (Various dates): One on one contact, phone contact and mail contact with new and existing consultative examiners, MER providers and others regarding use and updates of the ERE platform
- 11/20/2013: Attended and participated in the "kick-off call" for the SME requirements regarding CE scheduling for the DCPS project.
- 03/26/2014: DDS Liaison for case worker out-service training regarding e-records submission and ERE Website access; Primavera Foundation.
- 04/02/2014: Attended and participated in conference of the <u>Arizona Health-e Connections</u> organization in their "advanced road-map" efforts to create a substantive self-supporting health information network serving the medical community and the public in the State of Arizona.



Respectfully submitted (b) (6) Arizona Disability Determination Service Janice Brewer Governor

CE MANAGEMENT/OVERSIGHT REPORT FOR FY 2014

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

All complaints received by the Phoenix or Tucson DDS office are treated seriously and investigated. The following is a summary of the procedure we followed to address complaints:

- **a.** Process for resolving complaints of rudeness and or unprofessional manner/attitude; environmental factors (cleanliness, poor accessibility, and/or lack of proper facilities); or other complaints of a non-egregious nature:
 - 1) Response to claimant's complaints by sending acknowledgement letters.
 - Copies of complaints sent to the CE provider. Response requested when it was determined necessary (based on factors such as history of previous allegations or complaints.)
 - 3) Complaints and responses were reviewed in light of POMS and State policy to determine if any additional action was required.
- **b.** Process for resolving complaints or allegations of an egregious nature (which could include illegal/criminal activity, inappropriate sexual behavior, cultural insensitivity, allegations compromising the health and safety of claimants):
 - 1) Suspend all referrals and reschedule any pending appointments while the vendor is being investigated.
 - 2) Notify the DDS Administrator of the nature and severity of the allegations against the provider. Discuss facts and involve law enforcement if there appears to be safety issues or matters involving eminent danger.
 - 3) Respond to claimants' complaints by telephone to determine if personal visit is required. Send acknowledgement letter.
 - 4) Schedule appointment and meet with the provider to discuss claimants' complaints/allegations. Present the CE providers with copies of the claimants/allegations.
 - 5) Document the appropriateness of the CE/provider's responses and determine if further actions are needed.
 - 6) Notify the regional office of the complaints/allegations and the course of action taken by the DDS/state authorities.

2. Provide a list of the onsite reviews of CE providers completed by the DDS. ONSITE REVIEWS OF CE PROVIDERS (Phoenix) ("K" indicates Key Provider)

Jeff Levison, M.D. Arcadia Radiology Medico (Glen Kunsman, D.O.) Brian Briggs, M.D. Performed: 01/23/2014 Performed: 01/23/2014 Performed: 08/08/2014 Performed: 06/16/2014





Monte Jones, M.D. Armando Bencomo, Ph.D. MDSI (Phoenix)William Chaffee, M.D. Best Medical Imaging Service Angel Gomez, M.D. Psychological Assessment Associates(M. Rabara, Psy. D.) Sentience Psychological Services, (Janeen Demarte Psy. D.) Performed: 03/10/2014**K** Performed: 09/09/2014**K** Performed: 06/02/2014**K** Performed: 09/18/2014**K** Performed: 6/25/2014**K** Performed: 08/15/2014

ONSITE REVIEWS OF CE PROVIDERS (Tucson) ("K" indicates Key Provider)

Jerome Rothbaum, M.D. Jeri B. Hassman, M.D Francisco Sanchez, Ph.D. Richard Palmer, M.D. Performed: 08/19/2014**K** Performed: 08/19/2014**K** Performed: 08/19/2014**K** Performed:09/19/2014**K**

3. Provide a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch.

KEY PROVIDERS AZ DDSA; FFY 2014

| PHOENIX BRANCH | | |
|--|--|--|
| Psychological Assessment Associates of Arizona | code: 1 <i>, EE</i> | |
| 1802 East Thomas Road, Suite 3 | Amount: | |
| Phoenix, AZ 85016 | \$638,104.59 | |
| Medico | code: 2 <i>, EE</i> | |
| 3189 Courtney Avenue | Amount: | |
| Kingman, AZ | \$474,658.28 | |
| MDSI PHYSICIAN GROUP, INC | Code: 3, EE | |
| P.O. Box 9039 | Amount: | |
| Ogden, Utah 84409 | \$263,916.00 | |
| Monte L Jones, MD | code: <i>4, EE</i> | |
| (b) (6) | Amount: | |
| Chandler, AZ 85225 | \$222,594 | |
| Jonna Krabbenhoft, PsyD Arizona Psychological Assessment, Inc PO Box 11536 Chandler, AZ 85248 | code: 5 , EE Amount: \$185,777.00 | |
| Best Medical Group | code: 6 EE | |
| 325 East Baseline Road | Amount: | |
| Phoenix, AZ 85042 | \$174.872.25 | |
| San Tan Health Services Matthew Khumalo, M.D. 2181 E. Pecos Dr. Ste. 1 Chandler, AZ. 85225 | Code 7 EE Amount: \$167,874.75 | |
| Armando Bencomo, Ph.D. | code: 8 <i>EE</i> | |
| 5150 North 16th Street, Ste. A-121 | Amount: | |
| Phoenix, AZ 85016 | \$159,329.00 | |
| | | |

| TUCSON BRANCH | | |
|-----------------------------------|------------------|--|
| Jeri Hassman, MD | code: 1EE | |
| 3915 East Broadway Blvd, Ste. 319 | Amount: | |
| Tucson, AZ 85711 | \$230,142 | |
| Richard Palmer, MD | code: 2, EE | |
| Palmer Family Medicine, P.C. | Amount: | |
| 3886 East Packard Drive | \$150,229.21 | |
| Gilbert, AZ 85298 | | |
| Jerome Rothbaum, MD | code: 3 EE | |
| 5210 E. Pima Street, Suite 210 | Amount: | |
| Tucson, AZ 85712 | \$147,129.00 | |
| Francisco Sanchez, PhD | code: <i>4</i> , | |
| P.O. Box 289 | Amount: | |
| Tucson, AZ 85702 | \$92,426.00 | |
| David Biel-Adaskin, Psy. D. | code: 5 | |
| (b) (6) | Amount | |
| Tucson, AZ. 85714 | \$79,920.64 | |

| Code Key: Number indicates rank for CE provider by dollar amounts for FFY 2014 (by branch) <i>"EE"</i> indicates practice is primarily directed towards SSA evaluation exam |
|---|
|---|

Per POMS DI 39545.100B1, a Key Provider is defined as:

A CE Provider who meets at least one of the following conditions:

- 1. an estimated annual (fiscal year) billing to the Social Security disability programs of at least \$150,000; or
- 2. practice of medicine, osteopathy or psychology is primarily directed towards evaluation examinations rather than the treatment of patients; or
- 3. does not meet the criteria in bullets 1 and 2 of this list but is one of the top five CE providers in the State by dollar volume as evidenced by prior year data.

4. For CE panels:

a. List the number of current CE providers on the panel:

There were a total of 270 providers on the CE panel for the Arizona DDS on 09/30/2014.

b. Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter so as to ensure that no unlicensed or excluded CE providers perform CEs.

The Arizona DDS maintains credentialing and licensing information:

- 1) On initial Recruitment to the panel by:
 - Obtaining a copy of current licensure by fax or by mail from the prospective CE provider.
 - Checking the appropriate website or medical board (i.e.: Arizona Medical Board) to verify current licensure.
 - Checking the HHS-OIG LEIE (Sanctions List) to verify prospective CE providers are not sanctioned or excluded.
- 2) During Periodic Checks to verify current licensure for entire CE panel by:
 - Checking the HHS-OIG LEIE (Sanctions List) at least semi annually (June and December) to verify that no CE panelists are sanctioned or excluded.

- Maintaining a combined spreadsheet for both the Phoenix and Tucson DDS offices containing a list of all CE providers and their date of license expiration. The Professional Relations Officers use this list to contact providers that have expiring licenses in the upcoming month so timely licensure documentation can be obtained. This safeguard allows our offices to either obtain licensure information before expiration or to place the provider on "hold status" until license documentation can be obtained.
- c. Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation.

The Arizona DDS requires the CE provider complete the form found at DI 39569.400; Exhibit 1 *"License/Credentials Certification for Consultative Examination (CE) Provider and Certification of All Support Staff"* (see Attachment 1) when the DDS office initially contracts with a CE provider. CE providers are required to give us assurances that all support staff are appropriately licensed or certified per State regulations/requirements.



5. For Medical fee schedules:

- a. Provide a description of CE/MER fee schedule changes (include a description of any volume medical provider discounts).
 - 1) CPT code 90801 was amended to 90791 in accordance with current procedural terminology. There were no additions to the Arizona DDS fee schedule in 2014.
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- b. Provide a copy of current fee schedule. (See Attachment 2)
 - 1.0 Fee Schedule for Services Delivered:



6. Provide a brief description of DDS professional relations officer's/medical relations officer's activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with Regional public affairs offices, etc.

Outreach Activities:

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- •

EME:

- FFY2014: (Various dates): SSA website orientations for CE, MER providers and others.
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- 11/20/2013: Attended and participated in the "kick-off call" for the SME requirements regarding CE scheduling for the DCPS project.
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- 04/02/2014: Attended and participated in conference of the <u>Arizona Health-e Connections</u> organization in their "advanced road-map" efforts to create a substantive self-supporting health information network serving the medical community and the public in the State of Arizona.



Respectfully submitted (b) (6) Arizona Disability Determination Service

CE Management/Oversight Report for FFY 2014, Arizona; Attachment 1

DI 39569.400 Exhibit 1 - License/Credentials Certification for Consultative Examination Provider and Certification of All Support Staff

I hereby certify that:

- I am not currently excluded, suspended, or otherwise barred from participation in the Medicare or Medicaid programs or any other Federal or federally assisted programs.
- I certify that the support staff I use who participate in the conduct of consultative examinations, and any third parties who conduct other studies purchased by the Disability Determination Services (DDS) meet all appropriate licensing or certification requirements of the State, as required by the Social Security Administration's (SSA) regulations (20 C.F.R. 404.1519g, 416.919g) and are not currently excluded, suspended, or otherwise barred from participation in the Medicare or Medicaid programs, or any other Federal or federally assisted programs, as required by SSA's regulations (20 C.F.R. 404.1503a, 416.903a).
- My license is current and active and has not been revoked or suspended by any State licensing authority for reasons bearing on professional competence, professional conduct, or financial integrity.
- I have not surrendered my license while awaiting final determination on formal disciplinary proceedings involving professional conduct.
- I understand that a credentials check will be made upon my initial agreement to perform services and periodically thereafter by the DDS.
- I will immediately notify the DDS if there is any pending disciplinary action against my license. Failure to do so could result in termination of an agreement to perform services and/or legal action.

I certify that, to the best of my knowledge and belief, all of the information on this form is correct. I understand that I will not be considered for an agreement to provide services if I am unable to certify to the above and that false certification will be grounds for termination of any resulting agreement to provide services.

| Signature | Date | |
|-----------|------|--|
| 0 | | |

DDS CE Oversight Report

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | Dallas |
|---|-----------------------------------|
| State DDS: | Arkansas |
| Report Period (Fiscal Year): | 2014 |
| Current Date: | 11/13/14 |
| Reporter's Name, Phone number, and title: | Name (b) (6) Phone number (b) (6) |
| | Title Medical Relations Manager |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

All complaints are forwarded to the Medical Relations Department. We respond to the claimant with a letter of acknowledgment. The department writes to the CE source and requests that they respond to the allegation. However, depending on the severity of the complaint, a representative from the Medical Relations Department may make an un-announced on-site-visit to investigate the specific complaint. If we receive oral complaints, we request that the claimant provide a written letter. We then forward a copy of the complaint to the CE source, requesting a written response to the allegation.

Some complaints are of a more serious nature. If deemed appropriate, we cease scheduling additional appointments until further investigation has been completed. We notify the CE source in writing of our findings, as well as recommend appropriate actions. The department documents all complaints and they are associated with the CE provider's file.

Our business process for handling complaints with our mental providers in the area of deficient reports includes a "Provider Feedback/Communication" form. The purpose of the form is to alert our mental health providers to issues affecting the quality of their evaluations, as well as, ask questions about significant issues affecting the interpretation of their reports. This form has improved the final product we receive from our mental sources.

General complaints regarding insufficient reports are usually resolved with a phone call to the

vendor from the Medical Relations Department. The Medical Relations Department explains the deficiency to the vendor and works with the vendor to provide a complete report to the agency. Some of the most common complaints during the year have dealt with insufficient examinations, not enough time spent with claimant, rudeness of CE panelist, or the claimant not being seen promptly. We investigate all of these in the form of written inquiries as well as unannounced onsite visits.

2. Attach a list of completed onsite reviews of CE providers.



2014 Key Provider and Vender V...

See tab one

3. Attach a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch.

See above document tabs two and three

4. Provide the total number of CE providers on the panel.

425 vendors with 614 total locations

5. Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs.

Providers are required to complete a professional qualifications form, indicating year of license, license number, and expiration, as well as a copy of their current license. We conduct qualification and credential checks with appropriate State Licensing Boards and HHS OIG Sanctions/Exclusions database (<u>http://exclusions.oig.hhs.gov/</u>).

To ensure current licensure for all panelists licensed with their State Medical Boards (all physical CE providers) we perform a query, implementing the Iron Data Case management software, on the first of each month, which provides a list of panelists that have licensure scheduled to expire at the end of the current month. The Arkansas DDS uses the State Medical Board Website to verify current licensure. After verification via the website, the new license is added to the vendor's electronic file.

The Arkansas DDS performs the annual screen for exclusions on all physical CE providers using the HHS OIG Sanctions/Exclusions database. The results from this database check are printed

and kept in a separate file. Vendors are screened at time of contracture and then on an annual basis.

The Arkansas DDS subscribes to an email service offered by the Arkansas State Medical Board. This service alerts the Arkansas DDS to any actions taken by the Arkansas State Medical Board on current licensed physicians. This ensures the Medical Relations Department is immediately aware of any licensures suspensions or other Arkansas State Medical Board Actions or Adjustments on any Arkansas physical CE provider.

All licensed Arkansas Psychologist and Speech Pathologist licensure expires on June 30th. On May 1st of each calendar year, the Medical Relations Department mails a request for current licensures to all speech and psychological CE providers. At this time, the Arkansas DDS performs the annual screen for exclusions on all speech and psychological CE providers using the HHS OIG Sanctions/Exclusions database. The results from this database check are printed and kept in a separate file.

Annually, all panelists sign an agreement certifying they are not currently excluded, or otherwise barred from participation in the Medicare of Medicaid programs or any other Federal or Federally assisted programs. This agreement also states that licenses are not currently revoked or suspended by any state licensing authority for reasons bearing on professional competence, professional conduct or financial integrity; or that licenses have not been surrendered while awaiting final determination on formal disciplinary proceedings involving professional conduct.

6. Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation.

If the panelist employs RN's, LPN's, Nurse Practitioners, psychologists, or others that perform ancillary tasks, written confirmation is required that all CE panelist staff persons involved with the consultative examinations are properly licensed or certified.

7. Provide a description of any CE/MER fee schedule changes (include a description of any volume medical provider discounts).

In 2014 we increased our fee for Speech Evaluations (CPT 92523) from 80.00 dollars to 120.00 dollars, and increased the fee for the Woodcock Johnson Achievement test (CPT 96101) from 85.00 dollars to 105.00 dollars. This fee increase aligns Arkansas' fees for these services with surrounding states and other Arkansas State Agencies, and our in compliance with Medicare fees for the service.

8. Upload fee schedules to the MPRO SharePoint site.



2014 fee schedule for CE revie...

9. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc.

The Medical Relations Department continues its mission of identifying, contacting, educating, and marketing information regarding electronic transmission of evidence. The Professional Relations Manager leads the ERE activities and is responsible for outreach efforts, as well as training for new users of the ERE website.

We continue with our recruitment activities around the state, targeting critical geographical areas and specialties. This includes monitoring our CE providers to ensure they are following established guidelines and procedures.

The Medical Relations Department continues to educate Arkansas MER vendors regarding the new SSA electronic signature process. Currently all of Arkansas' volume MER providers accept the SSA electronically signed release of information (827). Over 99% of our MER providers accept the SSA electronic signature.

The Medical Relations Department assisted in the revisions of the agency's Mental Status and Mental Testing templates to reflect the new DSM5 changes and notified all mental vendors of these changes.

The Medical Relations Department continues to work with the state mental health providers and DHS social workers regarding The SSI / SSDI Outreach Access & Recovery Project (SOAR), in an effort to increase access for eligible adults to the disability benefit programs administered by SSA.

The Medical Relations staff communicates on an ongoing basis with our MER providers on issues of accepting our releases, and providing adequate medical records as requested.

Please attach any additional information before submitting this form.

The state of Arkansas pays a flat fee of \$15.00 for all medical evidence request from Arkansas providers, unless the responding provider request a lesser fee or, as in some cases, no fee for their medical records.

The Arkansas DDS is a Mega Site assisting other states by working disability claims. Arkansas' SOJ component follows the payment requirements and guidelines of the state where the claim originated.

| Name | Vendor # | City | State | Visit Date |
|---|----------|----------------|-------|------------|
| A. Jack Somers, Jr., M.D. | | Little Rock | AR | 3/6/2014 |
| Laura Williams, M.D. | (D) (D) | Little Rock | AR | 3/6/2014 |
| Anthony D. Johnson, M.D. | | Little Rock | AR | 3/6/2014 |
| David Barnett, M.D. | | Little Rock | AR | 3/6/2014 |
| Michael Wayne Parker, Ph.D. | | Little Rock | AR | 4/7/2014 |
| James Moneypenny, Ph.D. | | Little Rock | AR | 4/7/2014 |
| Joseph Brogdon, M. A., P. A. | | Little Rock | AR | 4/7/2014 |
| R. Sam Boyd, Ph. D. | | Little Rock | AR | 4/11/2014 |
| Tracee Rainey, SLP | | Sherwood | AR | 4/11/2014 |
| Chester L. Carlson, D.O. | | Little Rock | AR | 4/16/2014 |
| Jerry Cunningham Psy. D | | Blytheville | AR | 4/17/2014 |
| Cynthia W. Dupuis, Ph.D, PA | | Little Rock | AR | 4/22/2014 |
| Stephen Ash, Psy.D. | | Little Rock | AR | 4/22/2014 |
| Caroline Holt, SLP | | Little Rock | AR | 4/22/2014 |
| Kenneth Hobby, Ph.D. | | Searcy | AR | 4/24/2014 |
| Nancy J. Toombs, EDD | | N. Little Rock | AR | 4/25/2014 |
| Ted Honghiran, M.D. | | Russellville | AR | 4/28/2014 |
| Steve Shry, Ph.D | | Russellville | AR | 4/28/2014 |
| John M. Faucett, Ph.D. | | Conway | AR | 4/30/2014 |
| Clifford Lamar Evans, M.D. | | Fort Smith | AR | 5/28/2014 |
| Robert L. Spray, Jr., Ph.D. | | Fort Smith | AR | 5/28/2014 |
| Patricia Walz, Ph.D. | | Fort Smith | AR | 5/28/2014 |
| Van Hoang, M.D. | | Fort Smith | AR | 5/28/2014 |
| Daniel Irons, M.D. | | Hot Springs | AR | 6/11/2014 |
| Kenneth B. Robinson, MS | | Forrest City | AR | 6/17/2014 |
| Samuel B. Hester, Ph.D. | | Jonesboro | AR | 6/17/2014 |
| Dennis Vowell, Jr. Psy. D. | | Paragould | AR | 6/17/2014 |
| David Webber, D.O. | | Forrest City | AR | 6/17/2014 |
| Amy E. Flaherty, LPE | | Jonesboro | AR | |
| Amber Haynes, SLP | | Jonesboro | AR | 6/17/2014 |
| Vickie Brewer Caspall, Ph.D. | | | | 6/17/2014 |
| • • | | Walnut Ridge | AR | 6/17/2014 |
| Richard Back, Ph. D. | | Fayetteville | AR | 6/23/2014 |
| Sarah Downing, Psy.D. | | Fayetteville | AR | 6/23/2014 |
| Michael Green, M.D. | | Springdale | AR | 6/23/2014 |
| Nancy A. Bunting, Ph.D. Angola Parca | | Mt. Home | AR | 6/24/2014 |
| Angela Perea | | Fayetteville | AR | 6/26/2014 |
| Shailesh Vora, M.D. | | White Hall | AR | 7/14/2014 |
| R. Sam Boyd, Ph.D. | | Little Rock | AR | 8/7/2014 |
| Shannon Douglas Parsons, Psy.D. | | Benton | AR | 8/11/2014 |
| Keith Norwood, P.E. | | Fayetteville | AR | 8/12/2014 |
| Southern Medical Group | | Forrest City | AR | 8/16/2014 |
| Charles M. Spellman, Ph.D. | | Monticello | AR | 8/18/2014 |
| Kenneth B. Jones, Ph.D. | | Forrest City | AR | 8/25/2014 |
| Terry L. Efird, Ph.D. | | Fayetteville | AR | 8/27/2014 |

| Primary Income Providers Visited in 2014 | | | | | | | |
|--|----------|----------------|-------|------------|--|--|--|
| Name | Vendor # | City | State | Visit Date | | | |
| James Moneypenny, Ph.D. | (h) (6) | Little Rock | AR | 4/7/2014 | | | |
| Joseph Brogdon, M. A., P. A. | | Little Rock | AR | 4/7/2014 | | | |
| Michale Wayne Parker, Ph.D. | | Little Rock | AR | 4/7/2014 | | | |
| R. Sam Boyd, Ph. D. | | Little Rock | AR | 4/11/2014 | | | |
| Chester L. Carlson, DO | | Little Rock | AR | 4/16/2014 | | | |
| Kenneth Hobby, Ph.D. | | Searcy | AR | 4/24/2014 | | | |
| Nancy J. Toombs, Ed. D. | | N. Little Rock | AR | 4/25/2014 | | | |
| Ted Honghiran, M.D. | | Russellville | AR | 4/28/2014 | | | |
| Steve Shry, PH.D | | Russellville | AR | 4/28/2014 | | | |
| Clifford Lamar Evans, M.D. | | Fort Smith | AR | 5/28/2014 | | | |
| Patricia Walz, Ph.D. | | Fort Smith | AR | 5/28/2014 | | | |
| Kenneth B. Robinson, MS | | Forrest City | AR | 6/17/2014 | | | |
| Dennis Vowell, Jr. Psy D | | Paragould | AR | 6/17/2014 | | | |
| Samuel B. Hester, PH.D | | Jonesboro | AR | 6/17/2014 | | | |
| Richard Back, PH D | | Fayetteville | AR | 6/23/2014 | | | |
| R. Sam Boyd, PH.D | | Little Rock | AR | 8/7/2014 | | | |
| Shannon Douglas Parsons, Psy.D. | | Benton | AR | 8/11/2014 | | | |
| Keith Norwood,P.E. | | Fayetteville | AR | 8/12/2014 | | | |
| Terry L. Efird, Ph.D. | | Fayetteville | AR | 8/27/2014 | | | |
| | | | | | | | |

| \$150,000+ Earners Visited in 2014 | | | | | | | |
|------------------------------------|-------------------------------|----------------|-------|------------|--|--|--|
| Name | Vendor # | City | State | Visit Date | | | |
| Michale Wayne Parker, Ph.D. | (b) (6) | Little Rock | AR | 4/7/2014 | | | |
| R. Sam Boyd, Ph. D. | (\mathbf{D}) (\mathbf{O}) | Little Rock | AR | 4/11/2014 | | | |
| Kenneth Hobby, Ph.D. | | Searcy | AR | 4/24/2014 | | | |
| Nancy J. Toombs, Ed.D. | | N. Little Rock | AR | 4/25/2014 | | | |
| Samuel B. Hester, PH.D | | Jonesboro | AR | 6/17/2014 | | | |
| Terry L. Efird, Ph.D. | | Fayetteville | AR | 8/27/2014 | | | |
| Cynthia W. Dupuis, Ph.D., PA | | Little Rock | AR | 4/22/2014 | | | |
| John M. Faucett, Ph.D. | | Conway | AR | 4/30/2014 | | | |
| Robert L. Spray, Jr., Ph.D. | | Fort Smith | AR | 5/28/2014 | | | |
| Southern Medical Group | | Forrest City | AR | 8/16/2014 | | | |
| Charles M. Spellmann, Ph.D. | | Monticello | AR | 8/18/2014 | | | |
| Kenneth B. Jones, Ph.D. | | Forrest City | AR | 8/25/2014 | | | |
| | | | | | | | |



STATE OF CALIFORNIA—HEALTH AND HUMAN SERVICES AGENCY **DEPARTMENT OF SOCIAL SERVICES** 744 P Street • Sacramento, CA 95814 • www.cdss.ca.gov



EDMUND G. BROWN JR. GOVERNOR

NOV 1 3 2014

(b) (6) Director Center for Disability Social Security Administration P.O. Box 4207 Richmond, CA 94804

Dear <mark>(b) (6)</mark>

The following is the California DDS's Annual Consultative Examination (CE) Oversight Report for Federal Fiscal Year (FFY) 2014. This report was completed as outlined in POMS DI 39545.575, Exhibit 2.

1. DDS's Procedures to Resolve Various Categories of Complaints

<u>All CA DDS Branches</u>: Complaints can vary and can come from any of the following: claimants, staff, oversight visits, congressional/legislative inquiries, claimant satisfaction surveys, and third parties. Most complaints are received in writing. If a complaint is received by phone, it is documented on SSA Form 5002, Report of Contact. A letter is sent to the appropriate party acknowledging the complaint.

A thorough and objective investigation is conducted and a letter is sent to the provider to inform them of the complaint received. The investigation includes gathering all the facts and documentation related to the problem. When a complaint is received about a key provider or volume vendor (VV), follow-up is normally performed through contact with the provider or VV management. A physician, psychologist, or other vendor in a private office receives the feedback directly. In most cases, providers are given 15 days to respond to the complaint. An impromptu onsite visit is conducted, if the situation warrants it.

CE reports are reviewed by the Professional Relations Officer (PRO) to substantiate or refute allegations of short or incomplete exams. If necessary, the CE panelist(s) will be scheduled for informal training to discuss the problem or undergo refresher training when there are also concerns/complaints about quality or content of CE reports. The training is provided face-to-face in the DDS branch or by conference call. The staff involved in training CE providers includes medical consultants and the PRO, with input from the adjudicative and support staff.

If complaints continue after direct communication and after proper corrective action has been taken by the PRO, adverse action is the next step and the vendor may be placed either on hold or removed from the panel. All investigations are documented and placed in the vendor's file.

All panelists are reminded of their responsibility in providing professional and courteous service to all claimants, since their actions have a direct impact on the public's perception of the disability program.

To keep the CE panelists informed of the current issues of interest, concern, procedure, and clarification of the CE process, California publishes and provides a copy of our CE Newsletter to each panel member.

2. Completed Onsite Reviews of CE Providers

California conducted and completed 55 comprehensive onsite reviews as specified in the following tables.

Oakland Branch: Three comprehensive onsite reviews were completed.

| CE Provider Name | City | Date | RO Participation Y or N |
|-------------------------|---------------|----------|----------------------------|
| Ocean Diagnostics Group | San Francisco | 11/20/13 | N |
| MDSI Physician Group | Oakland | 03/21/14 | N |
| MDSI Physician Group | Hayward | 06/21/14 | N |

Stockton Branch: Two comprehensive onsite reviews were completed.

| CE Provider Name | City | Date | RO Participation Y or N |
|----------------------|----------|----------|----------------------------|
| Bay View Health Net | Stockton | 03/20/14 | N |
| Alliance Assessments | Stockton | 04/14/14 | N |

Sacramento Branch: Four comprehensive onsite reviews were completed.

| CE Provider Name | City | Date | RO Participation Y or N |
|-------------------------|------------|----------|----------------------------|
| Bay View Health Net | Sacramento | 10/25/13 | N |
| Priority Analysis Group | Sacramento | 03/27/14 | N |
| QTC Medical Group | Sacramento | 04/09/14 | Y |
| MSLA - Sacramento | Sacramento | 08/29/14 | N |

Roseville Branch: Seven comprehensive onsite reviews were completed.

| CE Provider Name | City | Date | RO Participation Y or N |
|---|------------|----------|----------------------------|
| MLSA - Roseville | Roseville | 04/10/14 | Y |
| MDSI Physician Group | Chico | 04/30/14 | N |
| Ewing Diagnostics and Psychological Services | Chico | 04/30/14 | N |
| Sara Bowerman, Ph.D. | Carmichael | 05/29/14 | N |



Roseville Branch continued:

| MDSI Physician Group | Roseville | 09/03/14 | N | |
|---|-----------|----------|---|--|
| MDSI Physician Group | Yuba City | 09/10/14 | N | |
| Psychwest Clinical and Forensic Psychology | Yuba City | 09/10/14 | N | |

Central Valley Branch: Three comprehensive onsite reviews were completed.

| CE Provider Name | City | Date | RO Participation Y or N |
|-----------------------|----------|----------|----------------------------|
| Priority Analysis | San Jose | 03/12/14 | N |
| MDSI Physician Group | San Jose | 03/13/14 | N |
| Health Analysis, Inc. | San Jose | 03/13/14 | N |

<u>Sierra Branch</u>: Four comprehensive onsite reviews were completed.

| CE Provider Name | City | Date | RO Participation Y or N |
|----------------------|-------------|----------|----------------------------|
| John K. Zhang, Psy.D | Bakersfield | 03/27/14 | N |
| MDSI Physician Group | Bakersfield | 06/19/14 | N |
| MDSI Physician Group | Merced | 07/25/14 | N |
| MSLA – Merced | Merced | 07/24/14 | Ν |

Covina Branch: Ten comprehensive onsite reviews were completed.

| | | | RO Participation |
|--|------------|----------|-------------------------|
| CE Provider Name | City | Date | Y or N |
| S & L Medical Group | Anaheim | 02/18/14 | N |
| Bay View Medical Clinic | Buena Park | 02/18/14 | N |
| S & L Medical Group | Pomona | 04/08/14 | N |
| QTC – Royalty Medical Group | Pomona | 04/08/14 | N |
| California Care Medical Group | Artesia | 07/28/14 | N |
| Millennium Multispecialty Medical Group | Cerritos | 07/28/14 | N |
| Millennium Multispecialty Medical Group | Pasadena | 08/05/14 | N |
| MedPro Services | Glendale | 08/05/14 | N |
| MedPro Services | Pasadena | 08/05/14 | N |
| MSLA – Pasadena | Pasadena | 09/02/14 | N |

San Diego Branch: Two comprehensive onsite reviews were completed.

| CE Provider Name | City | Date | RO Participation Y or N |
|-------------------|-----------|----------|----------------------------|
| MSLA - San Diego | San Diego | 08/28/14 | N |
| QTC Medical Group | Oceanside | 08/29/14 | N |



La Jolla Branch: Four comprehensive onsite reviews were completed.

| CE Provider Name | City | Date | RO Participation Y or N |
|--|--------------|----------|----------------------------|
| Seagate Medical Group | San Diego | 01/14/14 | N |
| MSLA – Palm Springs | Palm Springs | 01/17/14 | N |
| Millennium Multispecialty Medical Group | Riverside | 04/10/14 | N |
| QTC Medical Group | Temecula | 04/10/14 | N |

Los Angeles West Branch: Seven comprehensive onsite reviews were completed.

| CE Provider Name | City | Date | RO Participation Y or N |
|--|----------|----------|----------------------------|
| MSLA - Oxnard | Oxnard | 01/22/14 | N |
| MSLA - Ventura | Ventura | 05/02/14 | N |
| Miriam Blanchard, M.A Dahut Speech Services | Van Nuys | 07/09/14 | N |
| Izzi Medical Associates | Van Nuys | 07/31/14 | N |
| QTC - Canyon Medical Group | Van Nuys | 07/31/14 | N |
| S & L Medical Group | Van Nuys | 09/04/14 | N |
| MedPro Services | Van Nuys | 09/04/14 | N |

Glendale Branch Seven comprehensive onsite reviews were completed.

| CE Provider Name | City | Date | RO Participation Y or N |
|--|--------------|----------------------|----------------------------|
| Soheila Benrazavi, M.D. (Avatar Medical Excellent Natural) | Lynwood | 11/20/13 11/27/13 | N |
| K & A Evaluation Center | Gardena | 01/22/14 03/28/14 | N |
| Millennium Multispecialty Medical Group | Long Beach | 07/02/14 | N |
| MedPro Services | San Fernando | 07/24/14 | N |
| QTC - East West Medical Group | Lynwood | 07/29/14 | N |
| Flaxie R. Fletcher, M.D. | Lynwood | 07/29/14 | N |
| S & L Medical Group | Los Angeles | 08/05/14 08/20/14 | N |

Rancho Bernardo Branch: Two comprehensive onsite reviews were completed.

| CE Provider Name | City | Date | RO Participation Y or N |
|----------------------------------|----------|----------|----------------------------|
| California Care Medical Group | Eastvale | 11/21/13 | N |

Rancho Bernardo Branch continued:

| Millennium Multispecialty | Corona | 06/20/14 | N |
|---------------------------|--------|----------|---|
| Medical Group | | | |

3. Key Providers

The following Key Providers in California had a billing amount greater than \$150,000 for FFY 2014.

| <u>Oakland Branch</u> : | Pacific Health Clinic 1940 Webster Street, Suite 220 Oakland, CA 94612 |
|---------------------------|--|
| | Bay View Medical Clinic 2831 MacDonald Avenue Richmond, CA 94804 |
| | Bay View Medical Clinic 401 29 TH Street, Suite 110 Oakland, CA 94609 |
| Stockton Branch: | Amberstone Medical Group 415 E. Harding Way, Suite F Stockton, CA 95204 |
| | Northstate Clinic 101 Waldie Plaza, Suite 2 Antioch, CA 94509 |
| | MDSI Physician Group 247 Dorris Place Stockton, CA 95204 |
| Sacramento Branch: | MDSI Physician Group 1010 Hurley Way, Suite 490 Sacramento, CA 95825 |
| | QTC Medical Group 5120 J Street, Suite A Sacramento, CA 95819 |
| <u>Roseville Branch</u> : | MDSI Physician Group 1881 Esplanade Chico, CA 95926 |



Roseville Branch continued: MDSI Physician Group 1162 Cirby Way, Suite 1 Roseville, CA 95661

MDSI Physician Group 3051 Victor Avenue Redding, CA 96002

MDSI Physician Group 1511 Butte House Road, Suite A Yuba City, CA 95993

Central Valley Branch:

Health Analysis, Inc. 696 E. Santa Clara Street, Suite 208 San Jose, CA 95112

Health Analysis, Inc. 1230 13TH Street, Suite B Modesto, CA 95354

MDSI Physician Group 125 E. Barstow Avenue, Suite 130 Fresno, CA 93710

MDSI Physician Group 830 Coffee Road, Suite 2 Modesto, CA 95352

Valley Health Resources 1475 W. Shaw Avenue Fresno, CA 93711

MDSI Physician Group 1526 S. Mooney Boulevard Visalia, CA 93277

Izzi Medical Associates 880 E. Merritt Avenue, Suite 102 Tulare, CA 93274

Priority Analysis 25 N. 14TH Street, Suite 930 San Jose, CA 95113



Sierra Branch:

Covina Branch:

MDSI Physician Group 2323 16TH Street, Suite 301 Bakersfield, CA 93301

MDSI Physician Group 104 W. Alexander Avenue Merced, CA 95348

Alpha Medical Diagnostics 1125 E. 17TH Street, Suite E113 Santa Ana, CA 92701

S & L Medical Group 1314 S. Euclid Street, Suite 203 Anaheim, CA 92802

Kays Medical Evaluation Center 11631 Washington Boulevard Whittier, CA 90602

S & L Medical Group 11401 Valley Boulevard, Suite 103 El Monte, CA 91731

MedPro Services 1111 N. Branch Boulevard, Suite 402 Glendale, CA 91202

Royalty Medical Group 1818 N. Orange Grove Avenue, Suite 102 Pomona, CA 91767

Arrowhead Medical Evaluation 1900 S. Atlantic Boulevard, Suite 5 Monterey Park, CA 91754

S & L Medical Group 1890 N. Garey Avenue, Suite D Pomona, CA 91767

Millennium Multispecialty Medical Group 1840 N. Hacienda Boulevard, Suite 14 La Puente, CA 91744



Covina Branch continued:

San Diego Branch:

MedPro Services 2623 E. Foothill Boulevard, Suite 105 Pasadena, CA 91107

MedPro Services 111 W. Orangethorpe Avenue Fullerton, CA 92832

MedPro Services 570 W. 4TH Street, Suite 102 San Bernardino, CA 92401

Alto Medical Clinic 1799 N. Waterman Avenue, Suite A San Bernardino, CA 92404

MedPro Services 17151 Main Street Hesperia, CA 92345

MSLA – San Diego 3405 Kenyon Street, Suite 101 San Diego, CA 92110

S & L Medical Group 1501 Ocotillo Drive, Suite G El Centro, CA 92243

QTC Medical Group 2204 El Camino Real, Suite 103 Oceanside, CA 92054

La Jolla Branch:

Diamond Medical Group 4990 Arlington Avenue, Suite G Riverside, CA 92504

Seagate Medical Group 1835 El Cajon Boulevard, Suite B San Diego, CA 92103

MSLA – Palm Springs 1733 N. Palm Canyon Drive, Suite E Palm Springs, CA 92262 Page 9

| La Jolla Branch continued: | MedPro Services 6700 Indiana Avenue, Suite 205 Riverside, CA 92506 |
|----------------------------|---|
| | QTC Medical Group 340 4 TH Avenue, Suite 6 Chula Vista, CA 91910 |
| | California Care Medical Group 24910 Las Brisas Road, Suite 120 Murrieta, CA 92562 |
| Los Angeles West Branch: | Canyon Medical Group 19231 Victory Boulevard, Suite 212 Reseda, CA 91335 |
| | MSLA – Oxnard 451 W. Gonzales Road, Suite 140 Oxnard, CA 93036 |
| | S & L Medical Group 14600 Sherman Way, Suite 220 Van Nuys, CA 91405 |
| | MedPro Services 6850 Van Nuys Boulevard, Suite 350 Van Nuys, CA 91405 |
| | MDSI Physician Group 701 E. Santa Clara Street, Suite 34 Ventura, CA 93001 |
| <u>Glendale Branch:</u> | MedPro Services 5862 Avalon Boulevard Los Angeles, CA 90003 |
| | Alpine Medical Group 1818 S. Western Avenue, Suite 103 Los Angeles, CA 90006 |
| | East West Family Medical Group 3680 Imperial Highway, Suite 300 Lynwood, CA 90262 |
| | |



Glendale Branch continued:

S & L Medical Group 437 E. Washington Boulevard, Suite A Los Angeles, CA 90015

Cherry Medical Clinic 3918 Long Beach Boulevard, Suite 180 Long Beach, CA 90807

S & L Medical Group 3377 Long Beach Boulevard Long Beach, CA 90807

Pelican Medical Group 5000 Overland Avenue, Suite 105 Culver City, CA 90230

S & L Medical Group 1498 Sunset Boulevard, Unit 1 Los Angeles, CA 90026

MedPro Services 3530 Atlantic Avenue, Suite 101 Long Beach, CA 90807

S & L Medical Group 1056 N. Maclay Avenue San Fernando, CA 91340

Millennium Multispecialty Medical Group 1726 W. Adams Boulevard Los Angeles, CA 90018

S & L Medical Group 5801 S. Figueroa Street Los Angeles, CA 90003

Carmel Medical Group 1218 W. Olive Avenue Burbank, CA 91506

Millennium Multispecialty Medical Group 301 N. Prairie, Suite 512 Inglewood, CA 90301

South Atlantic Medical Group 1030 W. Gardena Boulevard Gardena, CA 90247



| <u>Glendale Branch continued:</u> | Izzi Medical Associates 2171 Torrance Boulevard, Suite 1 Torrance, CA 90501 |
|-----------------------------------|--|
| <u>Rancho Bernardo Branch:</u> | S & L Medical Group 14270 Seventh Street, Suite 4 Victorville, CA 92395 |
| | Dynasty Medical Group 44439 N. 17 TH Street West, Suite 105 Lancaster, CA_93534 |
| | MedPro Services 9555 Foothill Boulevard Rancho Cucamonga, CA 91730 |
| | MSLA – Lancaster |

MSLA – Lancaster 43807 10TH Street West, Suite D Lancaster, CA 93534

Millennium Multispecialty Medical Group 1505 W. Avenue J, Suite 201 Lancaster, CA 93534

4. CE Panels

- A. Number of CE Providers: As of October 1, 2014, California had 1,586 CE panelists.
- **B.** Description of process to ensure medical credential checks and exclusion list checks of CE providers:

All CE panel providers' qualifications are checked to ensure both the California and the Social Security Administration's (SSA) standards are met. The PRO and/or a designated staff Medical Consultant will verify the applicant's professional status. This information, along with a completed application and curriculum vitae, is maintained by the recruiting DDS Branch. Copies of any complaints and the resolutions are also retained in the panelist's file. The medical provider's license is checked at the time of placement on the CE panel, and annually thereafter. Below are instructions used throughout FFY14 by a PRO and/or a designated staff Medical Consultant to verify the applicant's professional status.

 Internet Verification: Most license verifications are done using the Internet. With the exception of the California State Board of Optometry and the California Speech-Language Pathology and Audiology and Hearing Aid Dispensers Board, all California professional licensing board websites



direct users to access the Department of Consumer Affairs (DCA), BreEZe Online Services to verify the applicant's professional status.

- a) California Board of Optometry website, www.optometry.ca.gov
- b) California Speech-Language Pathology and Audiology and Hearing Aid Dispensers Board, <u>www.slpab.ca.gov</u>
- c) DCA BreEZe, <u>http://www.breeze.ca.gov/</u>
- 2) Telephone Verification: The following licensing boards can be contacted directly (limit three calls per day):
 - a) Physician verification Contact the Medical Board of California (MBC) at (916) 263-2382 to verify the physician's licensure status. The caller will need the physician's name and/or license number.
 - b) Optometrist Contact the California State Board of Optometry at (916) 575-7170.
 - c) Osteopath Contact the Osteopathic Medical Board of California at (916) 928-8390.
 - d) Psychologist Contact the Board of Psychology at (916) 574-7720.
 - e) Licensed Educational Psychologist (LEP) Contact the California Board of Behavioral Sciences at (916) 574-7830.
 - f) Speech Pathologist Contact the California Speech-Language Pathology and Audiology and Hearing Aid Dispensers Board at (916) 263-2666.

The information obtained is documented as follows:

- 1) The date the license was issued
- 2) The date the license expires
- 3) The current status of the license (clear, suspended, revoked)
- 4) The date of the verification and initials of the person verifying status

The following resources are checked at the time of placement on the CE panel, and annually thereafter:

- The Office of Inspector General's List of Excluded Individuals/Entities Search. This Database is available on the Internet at <u>http://exclusions.oig.hhs.gov/</u>.
- The California Department of Health Care Services/Medi-Cal <u>Suspended</u> and Ineligible Provider List.

California has adopted the practice of checking licensure status on an annual basis the month the license is set to expire. Each PRO maintains a tracking system based on branch jurisdiction.



C. Description of process to ensure CE providers' support personnel are properly licensed or credentialed:

California requires each CE providers' signed statement certifying that all support staff used in CE examinations meet the licensing or certification requirements as required by state regulations at the time of placement on the CE panel.

In conjunction with an oversight visit, California has adopted the practice of obtaining a list of all staff employed by the CE provider to verify support staff license or certification.

5. Medical Fee Schedules

The following changes were made in California's CE/MER fee schedule during Federal Fiscal Year 2014. California's fee schedule is enclosed.

| Added: | 2999WI4S | Wechsler Intelligence Scale for Children – Spanish 4 TH Edition (WISC-4SP) |
|----------|----------|--|
| | 2999WPP4 | Wechsler Preschool and Primary Intelligence Scale – 4 TH Edition (WPPSI-4) |
| Removed: | None | |

<u>Fee</u> Increases: None

6. PRO/MRO Electronic Records Express (ERE) - Activities

All twelve PROs have jointly participated in the following activities:

- Promoting ERE to vendors during CE onsite visits, CE report follow-up phone calls, and prearranged ERE demonstrations.
- Providing ERE information, demonstrations, and updates to DDS staff through Monthly Update Meetings, visits to team meetings, and a series of email messages during the fiscal year.
- Continuing recruitment efforts, which include contacting and providing training and demonstrations regarding the ERE Website. These efforts are directed towards volume vendors; independent CE panelists; MER providers; medical, homeless, and mental health advocates; and copy companies.
- Requiring all newly recruited CE panelists to submit their reports via the ERE Website or via the DMA fax number of the jurisdictional CA DDS Branch.
- Coordinating efforts with vendors using the ERE Website to resolve problems with printing, billing, electronic signatures, faxing, validation, password reset, and zip files.
- Participating in California PRO conference calls to obtain and share best practices with other California DDS PROs. The PROs also participate in the national MPRO conference calls.



- Using California's Consultative Examiner Newsletter to provide up-to-date ERErelated articles. The Consultative Examiner Newsletter is distributed to all of California's CE providers.
- Continuing to register MER and CE vendors on the ERE Website. Registration also includes school districts and copy companies.
- Providing ERE training to medical records staff in various VA and county facilities.
- Working with copy services to register additional hospitals on the ERE website.
- Working closely with DDS clerical staff to identify vendors who might benefit from using ERE and to ensure proper transmission of records.
- Continuing to encourage vendors who submit medical records via compact disc to convert to ERE.

The CA DDS PROs provided ERE information at the following outreach events:

- The Covina PRO provided ERE information to Los Angeles County, Department of Public Social Services staff in a face-to-face meeting on January 14, 2014.
- In conjunction with the Santa Ana SSA Field Office (FO), the Covina PRO provided ERE information at a face-to-face meeting with staff of Orange County Jail and Orange County Custodian of Records on February 5, 2014.
- The Sierra PRO provided ERE information at a face-to-face meeting with the Program Director of Kern County Regional Center on March 27, 2014.
- The Glendale, Covina, and Los Angeles West PROs provided ERE information at a face-to-face meeting with the County of Los Angeles Department of Health Services, Health Information Management staff on May 12, 2014.
- The Central Valley PRO provided ERE information at a face-to-face meeting with staff of Community Regional Medical Center on June 30, 2014.

The CA DDS PROs participated in the following ERE related training sessions:

• The Covina and Glendale PROs provided onsite ERE training for Los Angeles County Department of Public Health staff on April 29, 2014.

The CA DDS PROs participated in the following ERE-related presentations:

- The Covina PRO provided ERE information as part of an authorized representative presentation about the DDSD disability process at the Santa Ana FO on March 12, 2014.
- The Covina PRO gave an ERE presentation at the Public Law Center of Orange County on September 26, 2014.



For further information, you may contact me or have a member of your staff call (b) (6)

Sincerely, (b) (6) Deputy Director Disability Determination Service Division

Enclosure(s)

| (b) (6 | 5) | |
|--------|----|--|
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bc: Deputy Director File CSSB Chron. CSSB File Code: III B Originator: (b) (6) s:\PSS\CE Oversight Reports/CA DDS Annual CE Oversight Report FFY14.doc

DDS CE Oversight Report

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | Denver |
|---|-----------------------------------|
| State DDS: | Colorado |
| Report Period (Fiscal Year): | FY2014 |
| Current Date: | 10/2/14 |
| Reporter's Name, Phone number, and title: | Name:(b) (6) Phone number (b) (6) |
| | Title : PR Supervisor |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

The Colorado DDS investigates all claimant complaints. A record of all complaints, PR actions and outcomes are compiled in the PR "shared drive". All DDS Professional Relations Officers have access to the file. If the complaint concerns short examination times, unclean premises, rude staff or demeanor by CE staff or the CE provider an acknowledgement letter and survey is sent to the claimant. In addition, surveys are mailed to 10 other claimants a recently seen by the provider. The survey responses are reviewed to determine any pattern of complaints regarding the provider. DDS contacts and shares a copy of the complaint to the provider and we request a written response. The complaints and provider responses are reviewed to determine trends and if additional actions are required. If the claimant complaint is determined to be of potential harm to claimants or egregious in nature the CO DDS PR staff immediately contacts the CE provider by telephone and a follow-up letter is sent. The provider is required to submit a written response to the complaint. Depending on the nature of the complaint, pending appointments may be cancelled or rescheduled while DDS investigates the complaint. Colorado DDS administration and Regional Office are notified of the complaint, investigation and outcomes. Law enforcement is notified as required by law. Surveys are sent to other claimants who were recently seen by the provider. The complaint and the provider's response are reviewed to determine if any additional actions are required; including being removed from the CE panel.

2. Attach a list of completed onsite reviews of CE providers.

| <u>Provider</u> | Date | Location/Reason for Visit |
|----------------------------|---------|--|
| QTC | 3/28/14 | Colorado Springs-Key Provider |
| Richard Madsen PhD | 3/28/14 | Colorado Springs—Key Provider |
| Disability Exam Services | 7/12/14 | GreeleyKey Provider <mark>(b) (6)</mark> |
| Stuart Kutz PhD | 7/29/14 | DenverKey Provider |
| MDSI | 8/19/14 | Denver—Key Provider |
| Columbine Physicians | 8/20/14 | Denver—Key Provider |
| Denver Disability Services | 8/26/14 | Englewood—Key Provider |
| William Morton PhD | 8/27/14 | Thornton—Key Provider |
| Allied Assessments | 9/18/14 | Lakewood—Key Provider |
| Consulting Psychology | 9/19/14 | DenverKey Provider |
| | | |

3. Attach a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch.



4. Provide the total number of CE providers on the panel.

The Colorado DDS has approximately 175 CE providers performing consultative examinations and 30 hospitals performing ancillary testing. Volume providers are counted as one provider rather than by each individual provider within the provider's group.

5. Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs.

PR keeps a file on every CE provider. Before we add a new provider to the panel, we confirm the provider is of the correct specialty and has the qualifications necessary to perform SSA consultative examinations. If so, then DDS verifies the provider has a valid license or certification with the State of Colorado or the neighboring state in which they practice. In addition, an online

search of the HHS Inspector General's List of Excluded Individuals and Entities is performed to ensure the potential provider has no sanctions. PR documents the perspective provider's file with copies of their license status and HHS record showing no exclusions. Colorado medical and psychological licenses are valid for two years and renewed in 'odd' years. During this past year, DDS verified all CE physicians and psychologists renewed their license & remained in good standing. In addition, the State of Colorado requires speech and language providers to be "certified". DDS verified all speech and language CE providers possessed a valid certification from Colorado Department of Regulatory Agencies (DORA). The HHS LEIE online database is reviewed monthly to be sure no sanctioned providers are performing examinations. Each month the Colorado Board of Medical Examiners and the Mental Health Boards on-line lists of disciplinary actions are reviewed to ensure no current CE providers have new actions which would prevent them from performing CE's. Before a new provider can start performing CE's he/she must sign the License/Credentials Certification as outlined in DI 39569.400. As part of our CE oversight, The PR unit reviews CE reports from new CE providers, high volume providers and providers referred from medical consultants, disability examiners and ODAR. In FY14, DDS performed quality reviews on seventy-two CE providers. As part of the review, DDS sent the provider written feedback including recommendations to improve their reports.

6. Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation.

DDS require all CE providers to certify their support personnel are properly licensed or credentialed as required by State law or regulation and have not been sanctioned. The signed certification documents are stored in the provider's file. The State of Colorado does not regulate or "certify" medical or psychological assistants. In addition to having the provider sign the certification form we remind all providers their support staff must meet the minimum qualifications as governed by their licensing board.

7. Provide a description of any CE/MER fee schedule changes (include a description of any volume medical provider discounts).

PR reviewed and updated the DDS FY14 CE Fee Schedule in August. The revised FY15 fee schedule is effective October 1, 2014. The Colorado DDS used the Department of Labor's (DOL) fee schedule as the comparison agency (in accordance with SSA POMS DI 39545.625B1). This agency orders similar medical services and authorizes procedures throughout Colorado in the development of worker's compensation claims. DDS uses a worksheet to document DDS's current fees in comparison to the most recent DOL and Medicare rates. Necessary fee adjustments and CPT code updates are documented on the worksheet. DDS reimburses our general medical volume providers and psychologists a lower fee than board

certified orthopedists, neurologists and psychiatrists.

All fees for FY15 are below those paid by the DOL except for the "Exceptions to the Fee Schedule" which were approved by the SSA Regional Office in August 2014.

8. Upload fee schedules to the MPRO SharePoint site.

Attached is a copy of the Colorado DDS FY15 fee schedule and the Explanation and Exceptions Request.



FV15Exception.E...

9. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc.

The Colorado DDS CE panel has remained stable over the past year. In almost all cases, with our current panel we were able to provide timely CE appointments. However, we still have need for additional board certified specialists such as cardiologists, orthopedists, neurologists,

ophthalmologists, otolaryngologists, and CE providers in rural and remote sections of the State. During FY14, the Colorado DDS PR department filled some needs as we added three additional certified Speech/Language Pathologists, two psychologists in rural areas of the State and two board certified ophthalmologists in Denver.

The Colorado DDS continues to post all CE openings on the State of Colorado DDS website. In addition to the website, PR uses newsletters, phone calls, and "word of mouth" in our ongoing recruitment efforts. We also attempt to recruit CE Providers when making presentations about the disability program or marketing ERE to the medical community.

Other PR Activities

The Colorado DDS PR Department works closely with the Regional Office Public Affairs Specialists (PAS) and local field office staff. During the past year, DDS worked in conjunction with the PAS' and FO staff on pre-release, homeless and SOAR initiatives.

Electronic Records Express/HIT

In FY14 the Colorado DDS achieved an 11.7% increase in the volume of medical records received via the ERE website or fax. The DDS Professional Relations Department recruited new facilities by cold calling top vendors identified in the DDS vendor file as well as directly contacting facilities who responded to MER requests by sending CDs. All marketing/recruitment activities were handled internally by the Colorado DDS Professional Relations Department. Ten new facilities

began using ERE during FY14. In addition to recruiting new medical facilities, the Colorado DDS also signed up 26 claimant representatives who use the SOAR model to submit their claimant's disability case information via ERE. FY14 was the first full year that the Colorado DDS had MegaHIT as an available method to request a claimant's medical records from Kaiser. MegaHIT started off the fiscal year with a 60% success rate in obtaining a claimant's medical records. At the end of FY14, the success rate had increased to 84%. The Colorado DDS is looking forward to MegaHIT's future expansion in Colorado and beyond.

Please attach any additional information before submitting this form.

Key Providers 2014

Disability Examination Services (DES)

William Qutub, MD PO Box 271388 Littleton, CO 80127

Allied Assessments Inc.

Meredith Campbell PhD 363 S Harlan St # 100 Lakewood CO 80226

Columbine Physicians Group

Richard J Tyre (owner) 2425 S Colorado Blvd #160 Denver, CO 80222

Denver Disability Services

Tim Moser MD PO Box 140130 9039Edgewater, CO 8014

QTC Medical Group of Colorado

Brandon Hahn, Office Manager File #749111 Los Angeles, CA 90074-9111

Stuart Kutz PhD 1580 Logan Street Suite 320 Denver, CO 80203

William Morton PsyD

(b) (6) Brighton, CO 80601

Consulting Psychology

Dr. Leidel & Dr. Fontenot 9101 Kenyon Ave Suite 2900 Denver, CO 80237

MDSI Physician Group Inc.

(b) (6) Operations Manager PO Box 9039 Ogden, UT 84409-9039

Richard Madsen PhD

(b) (6) Pueblo, CO 81003

PROPOSED CHANGES & EXCEPTIONS REQUEST FOR THE COLORADO DDS FY15 FEE SCHEDULE

Using the principles of POMS DI 39545.625-.650, the Colorado DDS has a defined business process for setting the maximum and minimum possible fees for its medical procedures. All current Colorado DDS Consultative Examination clinical CPT fees were compared with the Department of Labor-Office of Workers Compensation (DOL) and Medicare fees for 2014. These comparison fees (maximum and minimum) for 2014 were specific to the State of Colorado. The proposed FY15 DDS Fee Schedule is lower than the DOL fees except for the "exceptions request" provided below.

The Colorado DDS, DOL, and Medicare use the American Medical Association CPT codes for consultative examination procedures. For non-clinical procedures (i.e. interpretation), an internal DDS code is used. The FY15 Colorado DDS fee schedule consists of approximately 190 procedures codes.

DDS proposes lowering the fees paid for problem focused exams, adult/children psychiatric exams, and Spanish speech/language evaluations. Additionally, DDS is recommends lowering the fees paid for visual fields testing.

DDS further recommends lowering the fees for approximately 30 procedure codes: (for example 18 laboratory studies, non-invasive Doppler study, Holter Monitor, electrocardiogram (EKG), and six x-rays).

DDS proposes the removal of the Woodcock-Johnson-III psychological test from the DDS fee schedule since SSA does not purchase screening tests.

DDS also recommends raising the fee for ophthalmological exams to \$185.00. The fee remains lower than the DOL fee, and will help keep a sufficient number of ophthalmologists on the CE panel since we propose lowering the fee paid for visual field testing.

The proposed fees for all of these procedures are below the 2014 DOL fee.

EXCEPTIONS TO THE FEE SCHEDULE

Psychiatric Exams (performed by a Board Certified Psychiatrist)

DDS requests an exception to continue to pay psychiatrists on the DDS CE Panel an exam fee of \$190.00. The Colorado DDS has paid \$190.00 for psychiatric exams since October 2011. National CPT code 90791 is used to describe a detailed mental status exam administered by a licensed psychologist or psychiatrist. Presently, the Colorado DDS has an adequate number of psychologists throughout the Front Range of Colorado. However, we have only been able to recruit three psychiatrists to be a part of our CE provider panel.

Rationale: ODAR routinely requests psychiatric exams performed by board certified psychiatrists. The higher fee will assist in keeping a sufficient number of psychiatrists (and recruiting additional psychiatrists) on the Colorado DDS CE panel. The \$190.00 fee is not an increase over FY14, so this Exception should have minimal impact on the Colorado DDS CE budget for FY15.

PREVIOUS EXCEPTIONS GRANTED & REQUEST CONTINUANCE FY15

EXAM FEES

We are asking for a continuance to the exception to keep the exam fees at \$160 in the FY15 Fee Schedule. The Colorado DDS has paid \$160.00 for basic examinations (DDS Codes 1-8) since October 2009; the \$160 fee was below DOL fees for the consultation CPT code 99243. Consultation codes are still part of the Current Procedural Terminology, but they are no longer recognized by Medicare or the Department of Labor. Since we have no comparing agency fee for CPT code 99243, DDS changed the national CPT code to 99203 for an office visit of similar complexity effective FY13. We are asking for the exception to continue as the DOL fee for the CPT code 99203 Office Visit is \$146.98. We are also asking for a continuance for the exception to keep otolaryngology exam fees at \$115.

Rationale: In order to retain our general medical and ENT providers, we are requesting an exception to continue to pay our FY14 exam fees; the last time the exam fee was increased was 10/2009 and the ENT exam fee in 10/2006. As we are proposing no changes to the fees, this Exception should have minimal to no impact on the Colorado DDS CE budget for FY14.

Review of Records

For the past several years, the DDS has paid a nominal review of records fee (\$30.00) to CE provider when requested for missed CE appointments. This policy was approved in the DDS administrators' letter (DDSAL-536) date 4/25/2000, and has been incorporated into POMS DI 39545.275.

DDS has documented five CE providers in underserved areas of the state who have expressed dissatisfaction with this rate. Recruitment of alternative providers in these areas has been unsuccessful. The identified providers are reimbursed an additional \$20 review of records fee when requested.

Rationale: In order to retain CE providers in underserved areas of the state, we are requesting a continuance of the exception to pay them an additional \$20.00 review of records fee.

In FY10, SSA approved a Colorado DDS request to expand the exception to include psychologists (when requested) for missed appointments involving psychological testing. DDS is requesting a continuance of an increase in Review of Records (ROR) fee for broken psychological testing CE appointments (when requested) from \$30 to \$60. Psychological testing CEs require a more detailed records review than other CEs. As part of the testing, psychologists are required to

assess the validity of any testing and the credibility of the claimant's self-reported symptoms and history. In order for the CE provider to interpret the scores and assess validity of obtained scores and adaptive behavior, the provider must review (prior to the exam) relevant educational, medical, social, legal, military, marital, and occupational data and any associated problems in adjustment.

Rationale: DDS has had several psychologists who are no longer willing to perform testing, but will perform mental status exams. Reasons cited are low reimbursement for records review on missed psychological testing appointments. The increased ROR fee of \$60.00 will assist in retaining psychologists to perform testing rather than only MSEs.

Region Specific Codes (the additional fee is attached to the DDS vendor #)

DDS is requesting a continuance of the exception to add region specific fees to the CE providers in remote locations of the state or are the only Specialist in the geographic area. Region Specific fees are only added in areas where we have difficulty recruiting CE providers. At this time, five CE providers receiving additional fees above the examination fees ranging from \$15.00 to \$40.00. The CE providers are located in areas such as Glenwood Springs, Craig, Grand Junction, Alamosa, and Bayfield. In addition, DDS pays a region specific fee to our ENT groups in Colorado Springs and Grand Junction. In both cases, the ENT provider is the only specialist in the area and unwilling to accept our current fees. We also negotiated an extra fee to retain two psychologists willing to perform IQ testing for young children. The fee range for the region specific codes depends on what DDS was able to negotiate with each particular CE provider in order to retain their services.

Rationale: We lack providers in the remote geographic areas of Colorado, Specialty providers, and providers willing to test young children. If we did not have these providers, claimants would have to travel a considerable distance to a consultative examination, and the DDS would reimburse the claimant for their travel expenses. It is good customer service providing appointments that are conveniently located for the claimants. In addition, asking the claimant to travel to a provider who agrees to our regular fees would provide little to no cost savings to the DDS as any savings would be offset by paying the claimant's travel expenses.

2D Echocardiogram

DDS is requesting a continuance of the exception to reimburse a higher fee than DOL for a 2D echocardiogram – CPT code 93307. DOL and Medicare adjusted their fees for this procedure in FY13 to \$180.55 and \$145.65 respectively. DDS is proposing maintain our FY14 fee at \$222.50.

Rationale: 2D echocardiograms are infrequently authorized by the DDS (4 in the past year), but on rare occasions are needed to determine if the claimant meets SSA's Listing 4.01A/B. DDS has limited providers willing to perform cardiac testing. DDS already has a shortage of cardiology providers on our CE panel. In order to maintain and possibly recruit new CE providers to perform this testing, we request approval of the exception to reimburse 2D echocardiograms for a total fee of \$222.50.

Audiometric Testing

SSA requires otoscopic examination with all audiometric examinations. The DOL or Medicare fees do not include an otoscopic examination or reporting time in their 2014 fees. DDS is requesting a continuance of the exception to the fee schedule for audiometric testing without hearing aid evaluation (national CPT code 92557; DDS code 691) of \$70.00. This is higher than the 2014 DOL fee of \$51.57.

Rationale: In order to meet the Social Security guidelines for Listing 2.10, audiometric testing must include an otoscopic examination. This procedure is not included in the DOL and Medicare fees for audiometric testing. In addition, SSA has specific report requirements which are not part of the other agencies' fees. DDS already has a shortage of ENT providers on our CE panel. In order to maintain (and possibly recruit) new providers, we request approval of the exception to reimburse audiometric testing at \$70.00 Although the State of Colorado Division of Vocational Rehabilitation (VR) fee schedule is not the fee schedule we routine use to compare fees, VR reimburses \$90 for the similar procedure. This is additional justification that a fee of \$70.00 is reasonable and below what other agencies in Colorado reimburse.

Audiometric Testing with Hearing Aid Evaluation

During the past year, DDS authorized audiometric testing with hearing aid evaluations (DDS CPT Code 690) one time for a CDR case. We are asking for a continuance of the Exception as there may be CDR cases that require updated audiometric testing with hearing aid evaluations. Additional fees are paid for audiometric testing when hearing aid testing (DDS Code 690) is required. A fee of \$90 is authorized for audiometric testing done with and without aids rather than the DOL fee of \$51.57 (the DOL fee is the same whether hearing aids are used or not).

Rationale: While the SSA Listings to evaluate Hearing Impairments were revised in August 2010, there may be an occasional CDR claim where aided audiometric testing is required. The 2014 DOL and Medicare fee schedules indicate no additional fees for hearing aid evaluations. The DOL fee does not include SSA's requirement of an otoscopic exam with audiometric or consider the time to complete the SSA report. Therefore, the \$90.00 fee includes the audiometry testing, aided testing, otoscopic examination, and submission of the report with the specific information DDS requires for documenting Listing 2.08/102.08 (the Listings for Hearing Loss prior to August 2010). Although the State of Colorado Division of Vocational Rehabilitation (VR) fee schedule is not the fee schedule we routinely use for our annual fee comparison, VR reimburses \$90.00 for audiometric testing and an additional \$75.00 for the hearing aid evaluation. This is additional justification that a fee of \$90.00 is reasonable and below what other agencies in Colorado reimburse.

DDS anticipates this exception will have very little impact on DDS CE expenditures as very, if any; hearing aid evaluations will be scheduled.

EXERCISE TESTING

The volume of exercise testing requested by DDS is low. During the past year, DDS requested a TOTAL of 27 exercise tests as listed below. Exercise testing is scheduled only when DDS cannot allow the claim without documenting if the claimant's impairment meets Listings requiring exercise testing AND a DDS Medical Consultant recommends the testing. Exceptions to reimburse exercise testing at a higher rate than DOL will have minimal impact on the overall CE expenditures of the Colorado DDS.

Professional Component Fees for Exercise Doppler Testing

CPT code 93924 is low volume with only one authorization during the past year. It is the noninvasive physiologic studies of lower extremity arteries, at rest and following treadmill stress testing, complete bilateral study (AKA Exercise Doppler). DDS is requesting a continuance for an exception of the DDS professional component fee of \$70.50 compared to the 2014 DOL fee of \$33.99.

Rationale: Unlike other CPT codes for exercise testing, CPT code 93924 does not include "physician supervision" as part of the description. Doppler Testing with Exercise is required to document if a claimant meets SSA Listing 4.12B2. Per SSA guidelines, a physician must supervise exercise testing when it is part of a DDS consultative examination. Providers cite liability issues (involved with exercise testing), low reimbursement rates, and the lack of available physicians to supervise the testing as reasons as being unwilling to perform this testing. Due to the time the physician must be available (approximately 1 hour) to observe the exercise testing, providers are unwilling to be available for the current DOL or Medicare fees, but have accepted a negotiated fee of \$70.50 to include physician supervision, interpretation, and report.

Professional Component Exercise Arterial Blood Gas Fee (Pulmonary Stress Testing)

CPT code 94621 is the national CPT code for pulmonary stress testing (AKA – Exercise Arterial Blood Gas). DDS authorized this procedure 21 times during the past year. We are requesting a continuance for an exception for the DDS professional component fee of \$143.00 compared to the 2014 DOL fee of \$95.24.

Rationale: DDS has a minimum number of hospitals willing to perform exercise ABG testing. Unlike CPT code 93015, CPT code 94621 does not include "physician supervision" as part of the description. Exercise ABG testing is required to document if a claimant meets listing 3.02C3. Per SSA guidelines, a physician must supervise exercise testing when it is performed as part of a DDS consultative examination. Providers are citing liability issues (involved with exercise testing), low reimbursement rates, and the lack of available physicians to supervise the testing as reasons as being unwilling to perform this testing. Due to the time the doctor must be available (approximately 1 hour) to observe the exercise testing, providers are unwilling to be available for the current DOL fee but have been willing to accept a fee of \$143.0 to include physician supervision, interpretation, and report.

Exercise Stress Testing (Cardiac)

DDS is requesting a continuance of the exception to reimburse \$232.00 fee for the cardiac exercise stress test (CPT 93015/93017); the proposed fee is what DDS has reimbursed for this testing since 10/2005. DDS authorized five cardiac stress tests during the past year.

Rationale: Exercise stress testing is required to meet Listing 4.04A. DDS has limited providers willing to perform exercise testing. Per SSA guidelines, a physician must supervise Exercise Stress Testing. Providers are citing liability issues (involved with exercise testing), low reimbursement rates, and the lack of available physicians to supervise the testing as reasons as being unwilling to perform this testing. Current CE Providers are unwilling to perform testing for the 2014 DOL fee (\$156.65) or Medicare fees, but have agreed to accept a total fee of \$232.00.

Thank you for your consideration.

Respectfully,

(b) (6) Colorado DDS Director

(D) (6) Colorado DDS Professional Relations Supervisor

(b) (6) Colorado DDS Professional Relations Officer / Lead Worker

DDS CE Oversight Report

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | Boston | |
|---|--------------------------------------|--|
| State DDS: | Connecticut | |
| Report Period (Fiscal Year): | 2014 | |
| Current Date: | 10/16/2014 | |
| Reporter's Name, Phone number, and title: | Name (b) (6) Phone number (b) (6) | |
| | Title Director of Support Services | |
| | | |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

All complaints made by claimants or other interested parties are investigated and handled on an individual basis. Complaints are reviewed by the Medical/Professional Relations Officer (MPRO) to determine the most appropriate course of action. Depending on the seriousness and nature of the complaint, the MPRO will contact the claimant. The MPRO will investigate the complaint and ask the claimant to elaborate where necessary. The involved CE provider's file is reviewed as well as other feedback information to determine if there is a history of complaints with this particular provider. The MPRO will contact the CE provider by telephone, letter or personal visits as appropriate. The issues surrounding the complaint will be addressed and appropriate actions taken. A copy of the complaint and a summary of the actions taken are placed in the CE provider's file to document the actions taken and for future reference. If warranted, the CE provider will be removed from the list of active vendors and CEs will no longer be scheduled with that provider. When a complaint is received in writing from an interested third party such as an attorney or OHA staff, they will be advised that the situation is being reviewed and appropriate actions will be taken.

The process for complaint resolution is the same for all types of complaints, rudeness, unprofessional behavior, environmental factors, and/or other types of complaints. All actions taken are documented in the CE provider's file. The nature and severity of the complaint will determine the resolution process, i.e. suspension from the CE process, notifying State authorities and/or law enforcement, meeting with the provider to discuss the complaint, etc.

There are currently no complaints requiring intervention by Regional Office. There are currently no open complaints at the CT DDS.

2. Attach a list of completed onsite reviews of CE providers.

- Patrick F. Albergo, MD in West Hartford CT, in 12/2013
- Duane F. Austin, MD in West Hartford CT, in 12/2013
- Joseph F. Bentivegna, MD in Rocky Hill, CT, in 12/2013
- Jeffrey R. Sandler, MD in Bridgeport, CT, in 02/2014
- The IMA Group; internists, psychologists, labs, and imaging in Bridgeport, CT, 04/2013
- Joseph B. Guarnaccia, MD in Derby, CT, in 9/2014
- Yacov Kogan, MD, internist in Waterbury CT, in 09/2014
- Bina Roginsky, PsyD in Woodbridge, CT in 9/2014
- Charles A. Vassilopulos, PhD, psychologist in Rockville CT, in 09/2014

3. Attach a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch.

Industrial Medicine Associate PC

3180 Main St., Suite 102, Bridgeport, CT

IMA is a key provider because of the volume of CEs performed. They came into CT in 11/2012. The provide physical exams (Pediatric, and Internal Medicine) and psych exams in Bridgeport utilizing Melissa Antiaris PsyD, Alan Dubro PhD, Nancy Kelly PsyD, Justine Magurno MD, David Pulver MD, Herbet Reiher MD, Amy Theobald PsyD, and Mark Weinberger PhD.

• Jesus Lago, MD

(b) (6)

, Purchase, New York

Dr. Lago is a key provider because of the volume of CEs conducted. He sees claimants in Hartford, Bridgeport, Hamden, and Port Chester, NY. He is a bi-lingual (Spanish) psychiatrist.

• Yacov Kogan, MD

, Waterbury, CT

Hartford, CT

Dr. Kogan is a key provider due to the volume of CEs performed. His two offices are located in two of Connecticut's most voluminous cities for CE's.

 Med Plus Disability Evaluations, INC 175 Stafford Rd, Mansfield, CT 183 Boston Post RD, East Lyme, CT 714 Chase Parkway, Suite 7, Waterbury, CT

255 Robins ST, Waterbury, CT

1330 Sullivan AVE, South Windsor, CT

Med Plus is a key provider because of the volume of CEs conducted. They came into CT in 11/2012. They provide physical (Pediatric and Internal Medicine) as well as Spirometry CE's utilizing Farrukh M. Koraishy MD, Patrick Carrol MD, Herbert Reiher MD, Derek T. Noel MD, Gil Freitas MD, Katherine Rodriguez MD, Jie Liu MD, Carl Koplin MD, and Paul S. Kanfer MD.

• Hartford Psychological Services, Rafael Mora de Jesus, PhD, Mabel Toledo, SLP, Andrew Pleshkevich, PhD.

210 Wethersfield Avenue, Hartford, CT

This group is a key provider due to volume and because they are a source for bi-lingual psychological as well as bi-lingual speech and language exams.

4. Provide the total number of CE providers on the panel.

365

5. Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs.

An annual verification of Licenses of all CE providers is performed. The Connecticut Department of Public Health (DPH) posts license information on line. All CE providers are required to provide a copy of their CT license. Prior to performing CEs, their license is verified with DPH and a search of the online HHS-OIG list of Excluded Individuals/Entities is conducted. If the CT-DPH indicates that an action has been taken on the provider's license, a release form is obtained from the physician/psychologist so a record of the actions taken can be obtained. Generally, if an action has been taken, then the physician/psychologist will not be considered for a source of CEs. We have the ability to annotate the CE provider vendor file listing in the legacy system with the provider's license number however this data field is not searchable nor can you query for this field. Iron Data St. Louis is our legacy vendor and while the vendor file has a field for the CE provider license number it basically does not do anything else. No reminders or actions are taken by the system to remind the PRO of the expiration date or action is needed. Because of this and in order to stay on top of licensure verifications, an Excel spreadsheet has been created. An Outlook Calendar is used to set up reminder items to update the Excel spreadsheet each month. In CT license expire at the end of the individual's birth month, so licenses are checked at the beginning of each month for the previous month's licenses that were set to expire. In CT there is no automatic notification of license problems or electronic exchange of information other than what is posted online with the Department of Public Health. The HHS-OIG Exclusions data base is checked at the same time to see if any sanctions exist.

6. Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation.

The expectation is that the primary CE provider (physician, psychologist, hospital, lab, etc.) will have properly licensed staff. The orientation process includes an explanation of this expectation. If a complaint is received regarding staff, their credentials are investigated in the same manner as the CE provider licenses are investigated.

7. Provide a description of any CE/MER fee schedule changes (include a description of any volume medical provider discounts).

Connecticut statute establishes a pay rate of \$0.65 per page for medical records provided by healthcare institutions to cover copying, handling and postage costs. In July 2010, the CT DDS established a flat fee of \$20.00 for all medical provider MER responses received within 30 days. The change has been well received by CT MER providers. It has resulted in increased MER response rates and quicker responses.

As of November 1, 2009, the CT DDS adopted the American Medical Association's standardized Current Procedural Terminology – CPT Coding, and converted our fee schedule to Medicare Fees. The Fee schedule is reviewed each year. There are fluctuations in the fees paid for x-rays but because of tight funds it was decided not to increase any of the fees for x-rays. However, if the fee went down, the lower fee is adopted. The changed fee go into effect on October 1. The Director of Support Services and the Fiscal Unit Supervisor closely monitor CE expenses. Efforts are ongoing to de-obligate funds when able, and to encourage CE providers to timely submit reports to avoid payment issues and improve processing time. There are no volume medical provider discounts.

8. Upload fee schedules to the MPRO SharePoint site.



Schedule.xlsx

9. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc.

(b) (6) , MPRO, organized a two-day In-service for current CE providers on the panel. This in-service was split into two days where one day was dedicated to the Internal Medicine and Pediatric CE Providers and the second day for our Psychiatric and Psychological CE Providers. We were also fortunate to have guests such as our Disability Program Administrator, (b) (6) , then Program Expert, (b) (6) , and Social Insurance Specialist, (b) (6) from the Boston Regional Office in attendance. This was a collaborative CT DDS effort which consisted of Administration, the MPRO, staff Consultants, a Quality Assurance Specialist, a Supervisor, two Examiners, and the CE Schedulers. We provided an overall review of

DDS CE Oversight Report

what our office does and how important a detailed, internally consistent and complete Consultative Examination is in the decision making process. Forty CE providers attended. They obtained a greater understanding our what we do at the DDS, and have expressed the desire for us to have future in-service training sessions.



2013 Program - 2013 Program -CE Inservice fo... CE Inservice fo...

- On November 2, 2013 (b) (6) (b) (6) and (b) (6) (6) (CT). We hosted a table to provide information on the Disability program and answer questions about the Disability program as it relates to Autism.
- (b) (6) and (b) (6) participated in the Boston Regional MPRO calls that are conducted once a month.
- (b) (6) and (b) (6) participated in the national MPRO conference calls.
- (b) (6) and (b) (6) participated in finalizing the eTranslation business process in January 2014 as part of a Lean Initiative. This process enables the examiners to submit documents to be translated electronically using the ERE website and Outbound requests. (b) (6) developed the training materials and conducted training for all case processing staff on how to submit the records electronically.
- (b) (6) worked with the HIT team to roll out HITMER from Yale New Haven Hospital and its affiliates. (b) (6) and (b) (6) trained the CT DDS staff on HIT MER in March 2014. (b) (6) created a desk-guide for the Examiner staff. (b) (6) continues to function as liaison between the CT DDS and the HIT Team. HIT has been very successful and extremely well received here in CT.
- (b) (6) continues to act as a liaison between the two ODAR offices in CT and the DDS on CE assistance request issues.
- (b) (6) and (b) (6) attended a Boston Regional PRO meeting in Boston in May 2014.
- (b) (6) participated in a training for the Social Workers, Physicians Assistants and Registered Nurses at St. Vincent's Health Services in Bridgeport, CT.
 (b) (6) discussed the program and what kind of information would be helpful to the DDS when making a decision on a claimants disability claim.
- (b) (6) participates in the training of new Examiners regarding CE issues, e-translations, HIT, and taxi requests.
- (b) (6) and (b) (6) continue to serve as members of the Advisory Board for the Springfield College's Rehabilitation Services Department.

- (b) (6) and (b) (6) presented on the Disability program and the importance of caseload management for a class on caseload management in Rehab Services at Springfield College in April 2014.
- (b) (6) worked on the DCPS Correspondence work group.
- (b) (6) worked on a committee to revise the CT DDS's mental status questionnaire.

Please attach any additional information before submitting this form.



State of Connecticut Department of Rehabilitation Services Disability Determination Services 309 Wawarme Avenue Hartford, CT 06114

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State of Connecticut

Disability Determination Services





2013 Consultative Examination In-service for Medical Providers

> Agenda Presenters Attendees



Presenters

Disability Determination Services Administrator Director of Case Operations Director of Support Services Associate Fiscal Administrator Officer Chief Medical Consultant Medical/Professional Relations Officer Quality Assurance Specialist Case Processing Unit Supervisor Senior Disability Examiner

Agenda

Greetings & Introduction

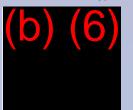
Agency Greetings & Mission Statement

"Why We Request What We Do": The role of an examiner with regards to identifying the type of CE is needed and requested.

Billing & Payment Procedures: What we need so that you can get paid on time.

How we review the CE report and what is needed for a high quality examination report.; Colleague's Recommendations, Quality & Consistency: Doctors point of view as to what to look for and pick up on during an examination.

Break



"Who Do I Contact When I Have ...?": SSA Policy, expectation and the "Go-To" people for all CE related questions, comments & Concerns.

"Meet the Schedulers"

Attendees & Guests



Internal Medicine - New Haven, CT Internal Medicine - Bridgeport, CT Internal Medicine - Waterbury & Hartford, CT Internal Medicine - Hartford, CT Family Practice - West Hartford, CT Orthopedic Surgery - Cheshire & Southington, CT Geriatric Medicine – Norwich, CT Nurse Practitioner - Derby, CT Office Manager – Cheshire & Southington, CT Office Manager - Norwich, CT Quality Assurance Director: The IMA Group

Disability Program Administrator

Program Expert

Social Insurance Specialist

Lead Scheduler: CT DDS - Hartford, CT Scheduler: CT DDS - Hartford, CT



State of Connecticut Department of Rehabilitation Services Disability Determination Services 309 Wawarme Avenue Hartford, CT 06114

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State of Connecticut

Disability Determination Services





2013 Consultative Examination In-service for Mental Health Providers

> Agenda Presenters Attendees



Presenters

Disability Determination Services Administrator Director of Case Operations Director of Support Services Associate Fiscal Administrator Officer In-house Psych Consultant Medical/Professional Relations Officer Quality Assurance Specialist Case Processing Unit Supervisor Senior Disability Examiner

<mark>(b) (6)</mark>

Agenda

Greetings & Introduction

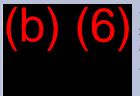
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"Why We Request What We Do": The role of an examiner with regards to identifying the type of CE is needed and requested.

Billing & Payment Procedures: What we need so that you can get paid on time.

Colleague's Recommendations, Quality & Consistency: Improving Psych CE's Reliability Validity; How we review the CE report and what is needed for a high quality examination report.

Break



"Who Do I Contact When I Have ...?": SSA Policy, expectation and the "Go-To" people for all CE related questions, comments & Concerns.

"Meet the Schedulers"

Attendees & Guests Psychologist – Wethersfil Psychologist – Hamden, O Psychologist – Norwich, O Psychologist – Waterbury Psychologist – Middletov Psychologist – Essex, CT Psychologist – Middletov

Psychologist – Wethersfield, CT Psychologist – Hamden, CT **Psychologist – Norwich, CT** Psychologist – Waterbury, CT Psychologist – Middletown, CT Psychologist – Torrington & Hartford, CT Psychologist – Essex, CT Psychologist – Middletown, CT Psychologist – Bridgeport, CT Psychologist – Brookfield & Waterbury, CT Psychologist – West Hartford, CT Psychologist – Rockville, CT Psychologist – Groten, CT Psychologist – Bridgeport, CT Psychologist – Hartford, CT Psychiatrist – Rye Brook, NY; Bridgeport, Hamden, & Hartford, CT Psychologist – Southington, CT Psychologist – Hartford, CT Psychologist – New Haven, CT Psychologist – Glastonbury, CT Counseling – Wethersfield, CT **Business Manager – Wethersfield, CT** Lead Scheduler: CT DDS - Hartford, CT Scheduler: CT DDS - Hartford, CT

DDS CE Oversight Report

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | Philadelphia | | | |
|---|---------------------------------------|--|--|--|
| State DDS: | District of Columbia | | | |
| Report Period (Fiscal Year): | 2014 | | | |
| Current Date: | 11/12/2014 | | | |
| Reporter's Name, Phone number, and title: | Name (b) (6) Phone number (b) (6) | | | |
| | Title Medical Liaison Officer | | | |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

The Washington, D.C. DDS promptly investigates all complaints received throughout the year. For all claimant complaints, protocol for POMS DI 39545.375 Claimant Complaints of Consultative Examination (CE) Provider is followed.

The medical relations officer will contact the individual filing the complaint and request additional information. This is done to obtain all necessary details and request documentation in writing. When necessary, an onsite review is done to fully investigate the situation and speak with witnesses. Appropriate action is subsequently taken, which can include contact being made to IMA regarding concerns of complaints with providers. Depending upon the nature and volume of legitimate complaints, this can result in final warnings being given to consultants or eventual termination. Generally, consultative examinations are rescheduled with a different consultative examination provider if a complaint has been received. If an internal complaint from a DDS doctor or adjudicator regarding the quality of CE report is received, the medical relations officer will review the report and related concerns. If necessary, the MRO will contact the quality assurance department of IMA for clarification and obtain an amended report. The report is then uploaded to the electronic file.

2. Attach a list of completed onsite reviews of CE providers.

Please see link for CE onsite reviews.

3. Attach a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch.

DDS CE Oversight Report

Please see link for vendor list.

4. Provide the total number of CE providers on the panel.

There were twenty-four total providers on the CE panel.

5. Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs.

The DDS ensures that medical credentials checks and exclusions list(s) are made at the time of the initial agreement and periodically thereafter. This is done to ensure that only licensed providers perform CEs. All consultative examination providers must provide copies of current licensing. Expiration dates are closely monitored by the DDS and updated copies are requested as necessary and provided to the DDS. IMA also has possession of licensing for all contracted providers.

The DC DDS verifies the medical licensure or credentials for CE providers and support staff per DI 39569.300 Ensuring Proper Licensure of CE Providers. The List of Excluded Individuals/Entities (LEIE) Database is also monitored for sanctions of medical providers on a monthly basis.

6. Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation.

The medical relations officer conducts onsite reviews of all consultative examination providers to ensure that all personnel are properly licensed or credentialed as required by State law or regulation. All vendors are required to provide current licensing to the DC DDS and IMA, which is kept on file.

7. Provide a description of any CE/MER fee schedule changes (include a description of any volume medical provider discounts).

There were no CE/MER fee schedule changes.

8. Upload fee schedules to the MPRO SharePoint site.

Please see link for Copy of DC Fees.

9. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc.

In the last federal fiscal year, the Washington D.C. DDS' medical relations officer has ensured that all CE providers are electronic and send reports using the electronic records express (ERE) website. In addition, local hospitals and clinics have been encouraged to utilize ERE. The MRO contacted vendors not on ERE and set up accounts for providers willing to participate. This has included outreach to Unity Health Care, Inc. Unity has a network of twenty-nine health centers in the Washington, DC area and is the largest provider for medical records for the DC DDS. This provider was set up on ERE and as a result records have been received in a more efficient and timely fashion than the previous paper method.

In an effort to improve case processing time, the MRO teamed up with D.C. Social Security field office managers to create and distribute packets containing child/adult function reports, work history reports, and teacher questionnaires. These packets were distributed by claims representatives to claimants at the FO. The forms were completed at the field office or returned soon after and scanned into e-view. Once these claims were received at DDS, pertinent information was already in file, thus decreasing processing time.

The MRO also collaborated with the Social Security Administration's DC Metro Work Incentive Coordinator to discuss improving Homeless Programs in DC. The MRO attended America Works of Washington, D.C - Ticket to Work Open House. This was an informational session explaining how The Ticket to Work Program can help Social Security beneficiaries go to work.

Please attach any additional information before submitting this form.

Vendor List

CE Onsite Reviews



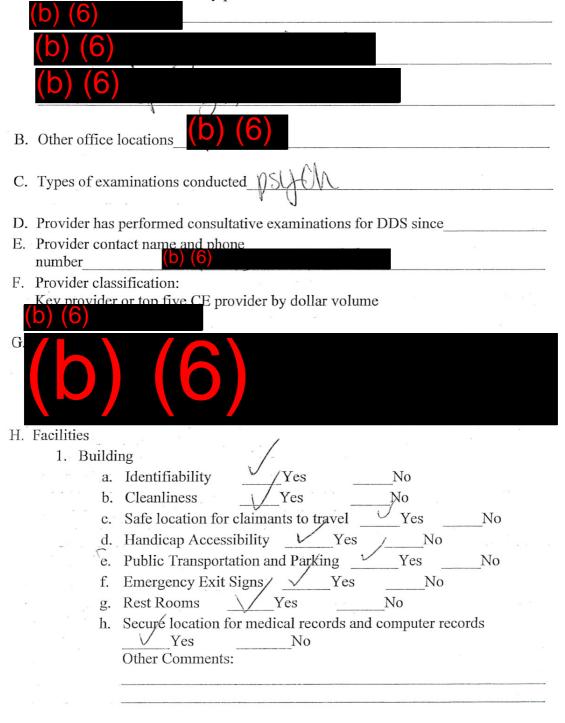
Copy of DC Fees

DDS CE Oversight Report

PROTOCOL FOR ONSITE REVIEW OF CE PROVIDERS

Date 9/25/14

A. Name and address of facility/provider:



2. Equipment/Laboratory Tests

a. Onsite

b. Offsite _____

Comments:

I. Staff 1. Professionalism Yes/ No

Where

- 2. Claimants greeted timely Ves No
- 3. Current Licensing:
 - a. Displayed ______b. On file at DDS _____
- 4. Does medical source speak any language other than English? Yes No If so, which language?

Comments:

- J. Scheduling
 - 1. What is maximum number of CEs scheduled per medical source per day per specialty? <u>provider on W4 works one day</u>

 - 3. What is actual length of time for exams to be completed per visit? Vanes-UP to common tes

Comments:

K. Procedures

- 1. Privacy and confidentiality of claimant information Ves No Other Comments
- 2. How and from who is the claimant's medical/psychological history obtained? $\sqrt{0}$
- 3. How much time does the medical source spend face-to-face with the claimant?

4. Does the source certify that assistants meet the appropriate licensing or certification requirements of the State? _____Yes _____No

Comments:

L. Laboratories

- 1. Diagnostic and lab tests: Performed by (if by a non-physician, state performer's qualifications)
- 2. Interpreted by (if by a non-physician, state the interpreter's qualifications).
- 3. Turnaround timeliness, including both the results of the tests and interpretations.

Comments:

M. Exit Interviews of Claimants:

N. Does provider transmit CE report electronically? If so: √ fax ____ website C:D If not, discuss options: _____

are

Comments:

Date MRO Signatu



PROTOCOL FOR ONSITE REVIEW OF CE PROVIDERS

Date 9/17/14

A. Name and address of facility/provider: **B**. C C. Types of examinations conducted D. Provider has performed consultative examinations for DDS since E. Provider contact name and phone number (b) (6) F. Provider classification: Key provider or top five CE provider by dollar volume (b) 6 G. H. Facilities 1. Building No a. Identifiability Yes No Yes b. Cleanliness c. Safe location for claimants to travel Yes No d. Handicap Accessibility V Yes No e. Public Transportation and Parking Yes No Emergency Exit Signs Yes No f. 1 No Rest Rooms Yes g. h. Secure location for medical records and computer records Yes No Other Comments:

| 2. Equipment/Laboratory Tests a. Onsite | |
|---|-------------------------|
| Comments: | |
| | |
| I. Staff 1. ProfessionalismYesNo | |
| 2. Claimants greeted timely <u>Yes</u> No | |
| 3. Current Licensing: | |
| a. Displayed b. On file at DDS | |
| 4. Does medical source speak any language other than English? YesNo If so, which language? | |
| Comments: | a Maria a a ar An |
| J. Scheduling 1. What is maximum number of CEs scheduled per medical source per per specialty? | · · · · · · · · · |
| 2. What are minimum interval times that the CE provider schedules for exam? | an |
| 3. What is actual length of time for exams to be completed per visit? <u>SCVCYALMINOTCS (HU</u> | pethof x-ray) |
| Comments: | |
| K. Procedures | |
| 1. Privacy and confidentiality of claimant informationYes No Other Comments | |
| How and from who is the claimant's medical/psychological history obtained? | |
| 3. How much time does the medical source spend face-to-face with the claimant? | |
| | |
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| | 2 |

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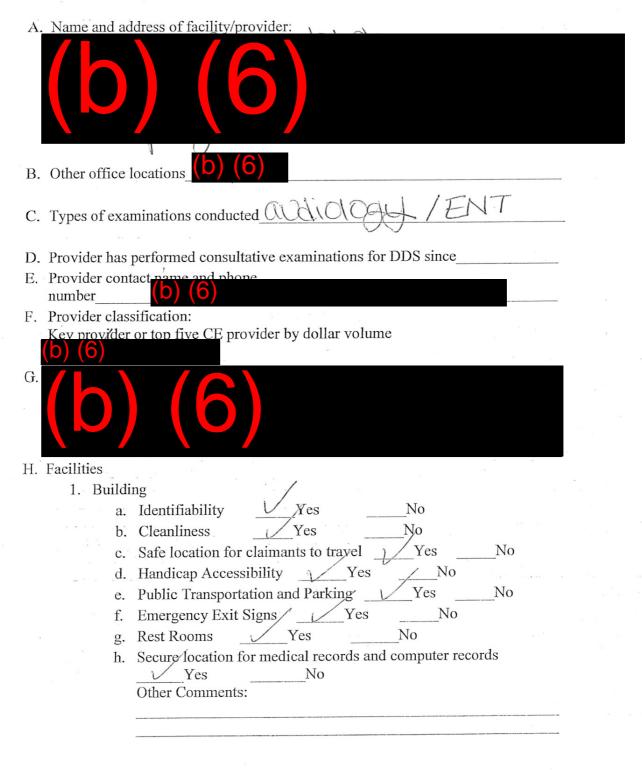
- 4. Does the source certify that assistants meet the appropriate licensing or
 - certification requirements of the State? $_$ Yes $_$ No

Comments:

L. Laboratories 1. Diagnostic and lab tests: Performed by (if by a non-physician, state Ma performer's qualifications) 2. Interpreted by (if by a non-physician, state the interpreter's qualifications). YADAAN 3. Turnaround timeliness, including both the results of the tests and 21 - 11 interpretations. Comments: M. Exit Interviews of Claimants: N. Does provider transmit CE report electronically? If so: \ fax website C:D If not, discuss options: Comments: MRO Signature Date

PROTOCOL FOR ONSITE REVIEW OF CE PROVIDERS

Date 9/25/14



| | 2. | Equipment/Laboratory Tests |
|-------|------------|--|
| | | a. Onsite |
| | | b. Offsite Where |
| | | |
| Comme | ents: | |
| | - | |
| | | |
| I. | Staff | |
| | 1. | Professionalism Ves No |
| | 2. | Claimants greeted timelyYesNo |
| | | Current Licensing: |
| | | a. Displayed |
| | | b. On file at DDS $$ |
| | 4. | Does medical source speak any language other than English? |
| | | Yes No If so, which language? |
| | | |
| Comme | nts: | |
| Comme | 2. a 3. | What is maximum number of CEs scheduled per medical source per day per specialty? $2-3$ claumants for 10^{-1} What are minimum interval times that the CE provider schedules for an exam? $few models$ What is actual length of time for exams to be completed per visit? $ecc(ffff) = 15$ mins dece(fff) = 30 mins to tak dece(fff) = 30 |
| | | |
| K. F | roced | |
| | 1. | Privacy and confidentiality of claimant informationYes No Other Comments |
| | 2. | How and from who is the claimant's medical/psychological history |
| | 2. | obtained? |
| | | pasic Mistory form |
| | | How much time does the medical source spend face-to-face with the claimant? $See a PO \sqrt{Q}$ |
| | | |
| | | |
| | | |
| | | |

•

4. Does the source certify that assistants meet the appropriate licensing or certification requirements of the State? Ves No

Comments: .

L. Laboratories

- 1. Diagnostic and lab tests: Performed by (if by a non-physician, state performer's qualifications)
- 2. Interpreted by (if by a non-physician, state the interpreter's qualifications)._____
- 3. Turnaround timeliness, including both the results of the tests and interpretations.

Comments:

M. Exit Interviews of Claimants:

N. Does provider transmit CE report electronically? If so: $_$ fax website C:D If not, discuss options:

Comments:

______ Date MRO Signatur

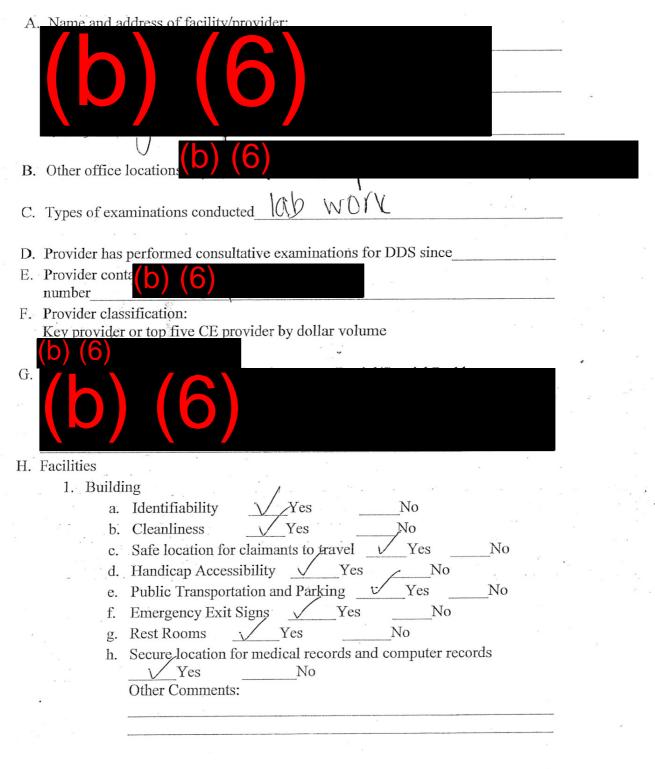
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PROTOCOL FOR ONSITE REVIEW OF CE PROVIDERS Date $\frac{Q}{17}$



2. Equipment/Laboratory Tests

a. Onsite _____

b. Offsite

Comments:

- I. Staff
 - 1. Professionalism
 Yes
 No

 2. Claimants greeted timely
 Yes
 No
 - 3. Current Licensing:
 - a. Displayed ______b. On file at DDS
 - 4. Does medical source speak any language other than English? Yes No If so, which language?

Where

Comments:

- J. Scheduling
 - 1. What is maximum number of CEs scheduled per medical source per day per specialty? <u>NOT SCHEDUCED</u>
 - 2. What are minimum interval times that the CE provider schedules for an exam?
 - 3. What is actual length of time for exams to be completed per visit? REEW MUDERS FOR LOUD VOOR

Comments:

K. Procedures

- 1. Privacy and confidentiality of claimant information Ves No Other Comments
- 2. How and from who is the claimant's medical/psychological history obtained?
- 3. How much time does the medical source spend face-to-face with the claimant? 1635 to 00 30 your of the

4. Does the source certify that assistants meet the appropriate licensing or certification requirements of the State? _____Yes _____No

Comments: L. Laboratories 1. Diagnostic and lab tests: Performed by (if by a non-physician, state performer's qualifications) Phic potomist 2. Interpreted by (if by a non-physician, state the interpreter's Physicial qualifications). 3. Turnaround timeliness, including both the results of the tests and 70 15 +--interpretations. Comments: M. Exit Interviews of Claimants: GN N. Does provider transmit CE report electronically? If so: _____ fax website C:D If not, discuss options: Comments: Date MRO Signature

PROTOCOL FOR ONSITE REVIEW OF CE PROVIDERS

Date 9/25/14

A. Name and address of facility/provider: **B.** Other office location C. Types of examinations conducted D. Provider has performed consultative examinations for DDS since E. Provider contact name and phone number F. Provider classification: Key provider or top five CE provider by dollar volume G. H. Facilities 1. Building No a. Identifiability Yes No b. Cleanliness Yes c. Safe location for claimants to travel Yes No d. Handicap Accessibility Yes No e. Public Transportation and Parking Yes No No Emergency Exit Signs Yes f. No Rest Rooms Yes g. Secure location for medical records and computer records h. No Yes Other Comments: 1

2. Equipment/Laboratory Tests

a. Onsite

b. Offsite

Comments:

- I. Staff
 - No 1. Professionalism Yes No
 - 2. Claimants greeted timely $\sqrt{}$ Yes
 - 3. Current Licensing:
 - a. Displayed b. On file at DDS 🗸
 - 4. Does medical source speak any language other than English? Yes No If so, which language?

Where

Comments:

- J. Scheduling
 - 1. What is maximum number of CEs scheduled per medical source per day per specialty?
 - 2. What are minimum interval times that the CE provider schedules for an exam? Zhrs in between
 - 3. What is actual length of time for exams to be completed per visit? MJC-30 M(N 60 min

Ja testing

Comments:

K. Procedures

- 1. Privacy and confidentiality of claimant information VYes No Other Comments
- 2. How and from who is the claimant's medical/psychological history obtained?
- 3. How much time does the medical source spend face-to-face with the claimant? <u>see ODOVE</u>

4. Does the source certify that assistants meet the appropriate licensing or certification requirements of the State? Yes No

 Comments:

 L. Laboratories

 1. Diagnostic and lab tests: Performed by (if by a non-physician, state performer's qualifications)

 2. Interpreted by (if by a non-physician, state the interpreter's qualifications).

 3. Turnaround timeliness, including both the results of the tests and interpretations.

 Comments:

 M. Exit Interviews of Claimants:

 M. Exit Interviews of Claimants:

 M. Does provider transmit CE report electronically? If so:

 fax

 website

 C:D If not, discuss options:

Date

MRO Signature

PROTOCOL FOR ONSITE REVIEW OF CE PROVIDERS Date $\frac{7}{10}$

- A. Name and address of facility/provider:
- B. Other office locations
- C. Types of examinations conducted MUSI
- D. Provider has performed consultative examinations for DDS since_
- E. Provider contact name and phone number (b) (6)
- F. Provider classification: Key provider or top five CE provider by dollar volume
- G.
- H. Facilities
 - 1. Building
 - a. Identifiability ____Yes ____No
 b. Cleanliness ____Yes ____No
 c. Safe location for claimants to travel ____Yes ____
 - d. Handicap Accessibility Ves No
 - e. Public Transportation and Parking _____Yes ____No
 - f. Emergency Exit Signs _____Yes ____No
 - g. Rest Rooms _____Yes ____No
 - h. Secure location for medical records and computer records

Other Comments:

1

No

(no x-raus)

| | 2. | Equipment/Laboratory Tests |
|------|--------|---|
| Comm | nents: | |
| I. | Staff | |
| | | ProfessionalismYesNo |
| | | Claimants greeted timelyYesNo |
| | 3. | Current Licensing: |
| | | a. Displayedb. On file at DDS |
| | | |
| | 4. | Does medical source speak any language other than English? Yes No If so, which language? |
| G | | |
| Comm | ents: | |
| J. | 2. | What is maximum number of CEs scheduled per medical source per day per specialty? |
| Comm | ents: | |
| K. | | Privacy and confidentiality of claimant informationYes NoOther Comments How and from who is the claimant's medical/psychological history obtained? |
| | 3. | How much time does the medical source spend face-to-face with the claimant? |
| | | |
| | | e e e e e e e e e e e e e e e e e e e |
| | | |

4. Does the source certify that assistants meet the appropriate licensing or certification requirements of the State? _____Yes _____No

Comments:

| L. Labora | atories | |
|--------------|---|----------------------|
| | Diagnostic and lab tests: Performed by (if by a non-physician, s | tote |
| 1. | performer's qualifications) | n n |
| 2. | | N/ |
| | qualifications) | |
| 3. | Turnaround timeliness, including both the results of the tests and | t |
| | interpretations. | · · · · · |
| 4. de | | |
| Comments: | | |
| | ERE/ TNH rovider transmit CE report electronically? If so: fax | 1 • |
| N. Does p | rovider transmit CE report electronically? If so: fax C:D If not, discuss options: | website |
| omments: | | |
| | | <u> </u> |
| | | |
| | | • • • • • • • • • |
| | | |
| | | |
| | | |
| RO Signature | (6) (6) $z/10/101$ | |

PROTOCOL FOR ONSITE REVIEW OF CE PROVIDERS

| Date 0/10/14 | |
|--|----------|
| A. Name and address of facility/provider: | |
| (b) (6) | |
| B. Other office location (b) (6) | |
| C. Types of examinations conducted CYE EXAMINATIONS | |
| D. Provider has performed consultative examinations for DDS since | <u> </u> |
| E. Provider (b) (6) | 14.9° |
| number 10 (0) | |
| F. Provider classification: Key provider or top five CE provider by dollar volume | |
| $\mathbf{G}_{\mathbf{G}} = \begin{pmatrix} \mathbf{b} \\ \mathbf{b} \end{pmatrix} \begin{pmatrix} \mathbf{b} \\ \mathbf{b} \end{pmatrix} \begin{pmatrix} \mathbf{b} \\ \mathbf{b} \end{pmatrix}$ | |
| H. Facilities | |
| 1. Building | |
| a. Identifiability <u>Yes</u> No | |
| b. Cleanliness <u>Ves</u> No | |
| c. Safe location for claimants to trável <u>Yes</u> No d. Handicap Accessibility Yes No | |
| | |
| e. Public Transportation and ParkingYesNo f. Emergency Exit SignsYesNo | |
| g. Rest RoomsYesNo | |
| h. Secure/location for medical records and computer records | |
| YesNo Other Comments: | n an A |
| | x |

2. Equipment/Laboratory Tests

a. Onsite

b. Offsite

Comments: I. Staff Yes No 1. Professionalism 2. Claimants greeted timely Yes No - (in office, locked at this time/ dv no 3. Current Licensing: a. Displayed b. On file at DDS 4. Does medical source speak any language other than English? Yes No If so, which language? Comments: J. Scheduling 1. What is maximum number of CEs scheduled per medical source per day per specialty? 2 patients (WOVES APACK 3 CAYS A WEEK) 2. What are minimum interval times that the CE provider schedules for an exam? <u>a fru nours</u> 3. What is actual length of time for exams to be completed per visit? 110 112 NOUS Comments: K. Procedures 1. Privacy and confidentiality of claimant information Ves No_____ Other Comments__ 2. How and from who is the claimant's medical/psychological history obtained? (\mathcal{N}) 3. How much time does the medical source spend face-to-face with the claimant? 101/200015

Where

4. Does the source certify that assistants meet the appropriate licensing or certification requirements of the State? _____Yes _____No

Comments:

L. Laboratories

- 1. Diagnostic and lab tests: Performed by (if by a non-physician, state performer's qualifications)
- 2. Interpreted by (if by a non-physician, state the interpreter's qualifications).
- 3. Turnaround timeliness, including both the results of the tests and interpretations. <u>Meet FMAC</u>

Comments:

M. Exit Interviews of Claimants: no claimants PVICIT

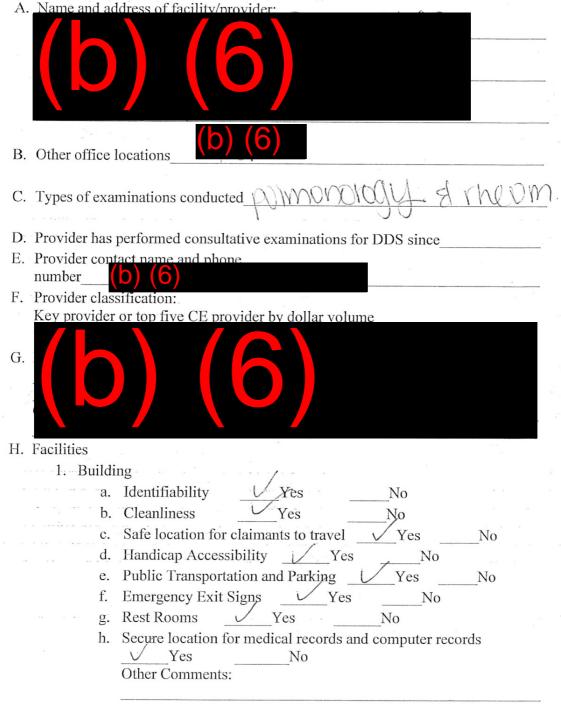
N. Does provider transmit CE report electronically? If so: _____ fax ____ website _____C:D If not, discuss options: ______

Comments:

Date 0/ MRO Signatu

PROTOCOL FOR ONSITE REVIEW OF CE PROVIDERS

Date <u>010719</u>



2. Equipment/Laboratory/Tests

a. Onsite V

b. Offsite Where

Comments:

- I. Staff
 - 1. Professionalism ____Yes_ ___No
 - 2. Claimants greeted timely Ves No
 - 3. Current Licensing:
 - a. Displayed _____
 - b. On file at DDS ____
 - 4. Does medical source speak any language other than English? Yes No If so, which language?_____

Comments: Salaboth - both valvel (b) (6) expiration 12/31/14/1(b) (6) expiration 12/3/14

- J. Scheduling

 - 2. What are minimum interval times that the CE provider schedules for an exam?
 - 3. What is actual length of time for exams to be completed per visit?

Comments:

- K. Procedures
 - 1. Privacy and confidentiality of claimant information _____Yes No_____ Other Comments_____
 - 2. How and from who is the claimant's medical/psychological history obtained?
 - 3. How much time does the medical source spend face-to-face with the claimant?

4. Does the source certify that assistants meet the appropriate licensing or certification requirements of the State? _____Yes _____No

Comments:

L. Laboratories 1. Diagnostic and lab tests: Performed by (if by a non-physician, state performer's qualifications) 2. Interpreted by (if by a non-physician, state the interpreter's qualifications). Ant DI dr. S 3. Turnaround timeliness, including both the results of the tests and interpretations. Mithin (b) (6) CONTROUTE Comments: M. Exit Interviews of Claimants: . N. Does provider transmit CE report electronically? If so: _____ fax ____ website C:D If not, discuss options: <u>CZE</u> Comments: . -Date 01 MRO Signature

PROTOCOL FOR ONSITE REVIEW OF CE PROVIDERS

Date 7/15/14

| Ă. | Name and address of facility/provider: | |
|----|---|------------|
| | (b) (6) | |
| B. | Other office locations (b) (6) | |
| C. | Types of examinations conducted | |
| D. | Provider has performed consultative examinations for DDS since | |
| | Provider contact name and phone number (b) (6) | |
| F. | Provider classification: | |
| | Kev provider or top five CE provider by dollar volume (b) (6) | _ |
| G. | (b) (6) | |
| H. | Facilities | |
| | 1. Building | 1400 01 1 |
| | a. Identifiability Yes No addyces/no na | NICOLOU |
| | b. CleanlinessYesNo | VISIDE |
| | c. Safe location for claimants to travelYesNo | N 1 |
| | d. Handicap AccessibilityYesNo - OXON V | - Eléc |
| | e. Public Transportation and Parking Ves No | |
| | f. Emergency Exit Signs <u>V</u> Yes No g. Rest Rooms <u>V</u> Yes No | |
| | g. Rest RoomsYesNo h. Secure location for medical records and computer records | |
| | Yes No | |
| | Other Comments: NO WINDICAP accessibility - Skarrs | |
| | to entrace/ stairs up to affice | |
| | | |

2. Equipment/Laboratory Tests

a. Onsite ____

b. Offsite _____

Comments:

- I. Staff
 - 1. Professionalism
 Yes
 No

 2. Claimants greeted timely
 Yes
 No
 - 3. Current Licensing:
 - a. Displayed ______b. On file at DDS _____
 - 4. Does medical source speak any language other than English?

Where

Comments:

- J. Scheduling
 - 1. What is maximum number of CEs scheduled per medical source per day per specialty?
 - 2. What are minimum interval times that the CE provider schedules for an exam? $2 \sqrt{5}$
 - 3. What is actual length of time for exams to be completed per visit?

Comments:

K. Procedures

- 1. Privacy and confidentiality of claimant information Ves No Other Comments
- 2. How and from who is the claimant's medical/psychological history obtained? applicate for a form (see attached
- 3. How much time does the medical source spend face-to-face with the claimant?

- 4. Does the source certify that assistants meet the appropriate licensing or certification requirements of the State? _____Yes _____No

| | - | | | | | |
|---------------------|--|---------------------------------------|-------------------------------|-----------------|---------------------------------------|-----|
| | | | | | | |
| L. Laborate | ories | | | | | |
| 1. I I | Diagnostic and lab performer's qualif | tests: Performe ications) | ed by (if by a non-p | hysician, state | 10/10 | |
| 2. I | nterpreted by (if t qualifications). | oy a non-physici | an, state the interp | reter's | 14. | . * |
| 3. 1 i | furnaround timeling | ness, including l | both the results of t TMQ | he tests and | · | |
| Comments: | алан у а | н н н н | | | | |
| M. Exit Inter | rviews of Claimar | nts: V | none are | sent | | |
| | rviews of Claimar | · · · · · · · · · · · · · · · · · · · | ione pre | sent | | |
| | | · · · · · · · · · · · · · · · · · · · | | $S \in OF$ | | |
| N. Does prov | vider transmit CE | report electroni | ERE Cally? If so: 1 | fax webs | site | |
| N. Does prov C:E | vider transmit CE | report electroni | ERE | fax webs | site | |
| N. Does prov | vider transmit CE | report electroni | ERE cally? If so: 1 | fax webs | · · · · · · · · · · · · · · · · · · · | |
| N. Does prov | vider transmit CE | report electroni | ERE Cally? If so: 1 | fax webs | · · · · · · · · · · · · · · · · · · · | |
| N. Does prov C:E | vider transmit CE | report electroni | ERE cally? If so: <u>\</u> | fax webs | · · · · · · · · · · · · · · · · · · · | |
| N. Does prov C:E | vider transmit CE | report electroni | ERE (| fax webs | · · · · · · · · · · · · · · · · · · · | |
| N. Does prov | vider transmit CE | report electroni | ERE cally? If so: <u>\</u> | fax webs | · · · · · · · · · · · · · · · · · · · | |

PATIENT REGISTRATION (PLEASE PRINT)

| | | DATE |
|-------------------------|----------------|------------------------------------|
| NAME | | |
| ADDRESS | | |
| | | ZIP CODE |
| | | SOCIAL SECURITY # |
| | | A G E |
| | | MARRIED SINGLE DIVORCED |
| EMPLOYERS NAME | | |
| | | PHONE # |
| | | PHONE # |
| ADDRESS | | |
| | | |
| | ADDRESS | |
| | INSURANCE NUMB | ERS |
| REFERRED BY | | |
| | | |
| | | PHONE |
| PAST PSYCHIATRIC HISTOR | RY: YES () NO | () MEDICAL PROBLEMS: YES () NO (|
| MEDICATION | | · |
| | | |

)

CHIEF COMPLAINT (REASON FOR VISIT):

PROTOCOL FOR ONSITE REVIEW OF CE PROVIDERS

22 Date Name and address of facility/provider: B. Other office locations C. Types of examinations conducted D. Provider has performed consultative examinations for DDS since E. Provider con number F. Provider classification: Key provider or top five CE provider by dollar volume G. H. Facilities 1. Building No a. Identifiability b. Cleanliness Yes No Yes c. Safe location for claimants to travel レ No d. Handicap Accessibility Ves No e. Public Transportation and Parking Yes No f. Emergency Exit Signs Yes No g. Rest Rooms Yes No 1 h. Secure location for medical records and computer records Yes No Other Comments:

2. Equipment/Laboratory Tests

a. Onsite V

b. Offsite _____ Where____

Comments:

- I. Staff
 - 1. Professionalism Ves No
 - 2. Claimants greeted timely Ves No
 - 3. Current Licensing:
 - a. Displayed
 - b. On file at DDS
 - 4. Does medical source speak any language other than English? Yes Ver No If so, which language?

Comments:

- J. Scheduling
 - 1. What is maximum number of CEs scheduled per medical source per day per specialty?
 - 2. What are minimum interval times that the CE provider schedules for an exam?
 - 3. What is actual length of time for exams to be completed per visit? $\sqrt{1000}$

Comments: <u>not see by any UNE YOW-DSEATO SEC</u> 5 avrimants month

- K. Procedures
 - Privacy and confidentiality of claimant information V Yes
 No_____ Other Comments_____Yes
 - 2. How and from who is the claimant's medical/psychological history obtained?

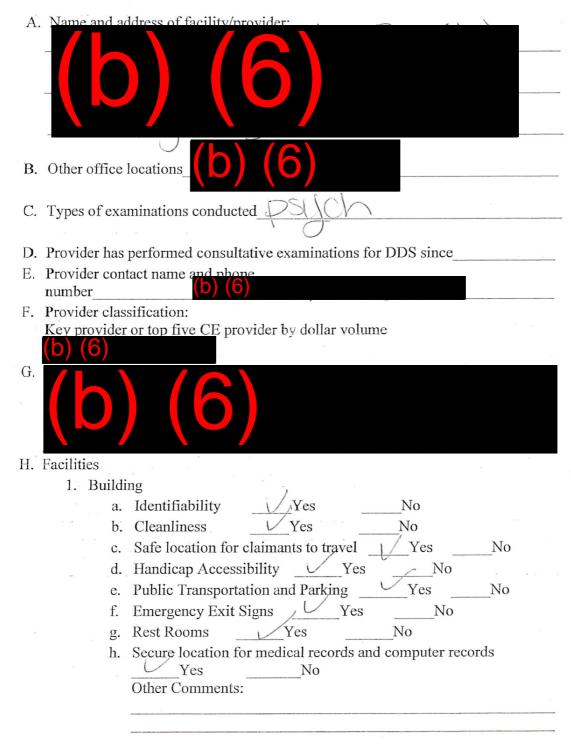
3. How much time does the medical source spend face-to-face with the claimant? $1\sqrt{2}$

4. Does the source certify that assistants meet the appropriate licensing or certification requirements of the State? Ves _____No

Comments: L. Laboratories 1. Diagnostic and lab tests: Performed by (if by a non-physician, state performer's qualifications) N/A2. Interpreted by (if by a non-physician, state the interpreter's qualifications). 3. Turnaround timeliness, including both the results of the tests and interpretations. NOFUSIV XI 1 ala ()Comments: 11 M. Exit Interviews of Claimants: N. Does provider transmit CE report electronically? If so: <u>ERE</u> fax _____ website C:D If not, discuss options: Comments: asses store moffeet, exam rooms (3) Date MRO Signature

PROTOCOL FOR ONSITE REVIEW OF CE PROVIDERS

Date 8/5/14



| 2. | Equipment/Laboratory Tests a. Onsite |
|------------|--|
| Comments: | |
| I. Staff | |
| 1. | Professionalism Vyes No |
| 2. | Claimants greeted timelyYesNo vovece phonist |
| 3. | Current Licensing: |
| | a. Displayed |
| | b. On file at DDS |
| 4. | Does medical source speak any language other than English? |
| | YesNo If so, which language? |
| | |
| Comments: | CLOI. |
| 2. | What is maximum number of CEs scheduled per medical source per day per specialty? <u>A ACUA</u> What are minimum interval times that the CE provider schedules for an exam? <u>A ACUA</u> What is actual length of time for exams to be completed per visit? <u>A ACUA</u> What is actual length of time for exams to be completed per visit? <u>A ACUA</u> Mast said Claumants FIF/LY |
| | |
| K. Procedu | |
| | Privacy and confidentiality of claimant informationYes No Other Comments |
| 2. | How and from who is the claimant's medical/psychological history |
| | obtained? Michael Concord |
| | VIISING FORTS |
| | How much time does the medical source spend face-to-face with the claimant? |
| | |
| | |
| | |

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2

4. Does the source certify that assistants meet the appropriate licensing or certification requirements of the State? Yes No

Comments: . L. Laboratories 1. Diagnostic and lab tests: Performed by (if by a non-physician, state performer's qualifications) 2. Interpreted by (if by a non-physician, state the interpreter's qualifications). 3. Turnaround timeliness, including both the results of the tests and interpretations. Comments: M. Exit Interviews of Claimants: f N. Does provider transmit CE report electronically? If so: 1 fax website C:D If not, discuss options: Comments: D MRO Signature Date

3



IMPORTANT

This form is to be filled by the client to the best of his/her ability

without any assistance at this time. Do not worry about anything like spelling, errors or correct or wrong etc. Just do your best.

| 1.Your Full Name: | | | Social Security #: | |
|-----------------------------------|-----------------------|----------------------|--------------------|-----------------|
| 2.Current Address: | | | | |
| 3.Date of Birth: | Male/ Femal | e. 4.Telephone: | 5 Today | 's Date: |
| 6.Who came with you? nar | | | | 5.5000 |
| 7.Do you have/suffer any P | | | | did they start? |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| 8. What <u>can you not do nov</u> | | | | |
| 9. How is your Sleep | | | ppetite | |
| 11Did you have Head Inju | ry. If yes when | | | |
| 12 Did you have Seizures, I | | | | Туре |
| 13. Do you hear voices or s | see things which othe | ers can not. What ? | Since When | |
| 14 Are you Scared of any th | hing '? | | | |
| 15 Do you take any medic a | | therapy? If yes, wha | at medications? | |
| 16 Did you ever stay in any | hospital? Name and | d Date | | |
| 17 Where were you born | •7 | 18. Your | Mother's name | |
| 19 Your Father's name_ | | | | |
| 21 How many Brothers_ | | | | |
| 3 Any problems in Chil | dhood | | | |

| CE Provider Name | CE Provider Address | CE Provider Phone Number | Site Visit Date |
|------------------|---------------------------------------|-------------------------------|----------------------------|
| | 2141 K. St. NW | | 6/24/2014 |
| Aleskow | Suite 701 | (b) (6) | |
| | Washington, DC 20037 | | |
| | 1012 14 th St. NW | | 8/5/2014 |
| Banik | Suite 903 | (b) (6) | |
| | Washington, DC 20005 | | |
| | 2112 F. St. NW | | 7/22/2014 |
| Barnes | Suite 802 | (b) (6) | |
| | Washington, DC 20037 | | |
| | 1314 18 th St. NW | | 7/15/2014 |
| Cooper | Suite 200 | (b) (6) | |
| | Washington, DC 20036 | | |
| | 1003 Spring St. | | 9/25/2014 |
| Kaiser | Unit 106 | (b) (6) | -,, |
| | Silver Spring, MD 20910 | (\mathbf{D}) (\mathbf{O}) | |
| Miknowski | 1145 19 th St. NW | | |
| Taubin | Suite 504 | (b) (6) | 6/10/2014 |
| luon | | (b) (6) | |
| Myers | Washington, DC 20036 | | |
| Randolph | 11120 New Hampshire Ave. Suite 504 | | 9/25/2014 |
| Randolph | | (b) (6) | |
| | Silver Spring, MD 20904 | | |
| Nasrallah | 1145 19 th St. NW | | 6/10/2014 |
| Nasialidii | Suite 500 | (b) (6) | |
| | Washington, DC 20036 | | |
| Siddique / MD | | (b) (6) | 9/25/2014 |
| | Silver Spring, MD 20910 | | |
| Sniezek | 908 New Hampshire Ave. NW | | 7/16/2014 |
| Shiezek | Suite 500 | (b) (6) | |
| | Washington, DC 20037 | | |
| Shirley Myers | SOCIAL WORKER, HOME VISITS | (b) (6) | N/A |
| MA-DC | 1145 19 th St. NW | | |
| ncludes: | Suite 606 | | 6/4/2014 |
| | Washington, DC 20036 | | Moved to new suite in bldg |
| Brosch | | | 7/30/2014 |
| Coleman King | | | |
| Gliksman | | (b) (6) | |
| .edesma | | | |
| Aarshall Woods | | | |
| Aontemayor | | | |
| ylos-Labini | | | |
| /agurno | | | |
| Connell | • • • • • • • • • • • • • • • • • • • | T. | |
| | | | |
| | 1145 19th St. NW | (b) (6) | 9/17/2014 |

| 21 | Labcorp | Washington, DC 20036 1145 19th St. NW Suite 601 Washington, DC 20036 | (b) (6) | 9/17/2014 |
|-----|---------|---|---------|-----------|
| - 1 | | | | |

A . 2

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | Philadelphia |
|---|---|
| State DDS: | Delaware |
| Report Period (Fiscal Year): | |
| Current Date: | 11/10/2014 |
| Reporter's Name, Phone number, and title: | Name (b) (6) Phone number (b) (6) Title Medical Relations Officer |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

When someone within the DDS receives a complaint, they will advise the claimant to submit in writing a copy of this complaint to the attention of the Medical Relations Officer. Once the complaint has been received, the MRO will mail a letter to the claimant acknowledging that the complaint was received. If additional information or clarification about the complaint is needed, then the MRO contacts the claimant. If no additional information is needed, then the MRO contacts the CE provider and they are asked to respond to the complaint in writing. Once a response has been received from the CE provider, the claimant is called and given the opportunity to present the complaint and to discuss the issues. The MRO will present what the provider stated. The MRO decides if the complaint is valid. Depending on the situation, the MRO may read the CE report to the claimant. If the claimant is not satisfied, the MRO may offer the claimant another CE with a different provider. If the provider is found to be at fault, the MRO will contact the provider to explain what is needed to improve the situation. At times a written letter is sent to the provider with instructions to correct the situation. Depending on the nature of the complaint, the MRO may make an unannounced visit to the CE provider's office. Depending on the issue, the MRO may reduce the number of referrals. If the CE provider is found to be without fault, then the provider is contacted and this is explained to the provider. Complaints of Egregious Nature: The complaint is reported to the MRO or the Director, if MRO is unavailable. The Regional Office is notified of the complaint. A courtesy copy is sent to the Director of the Division of Vocational Rehabilitation (parent agency). The complaint is reported to the proper Licensing Board, i.e. Board of Medical Practice. A Deputy Attorney General is assigned to each Board.

2. Attach a list of completed onsite reviews of CE providers.

 Frederick Kurz, Ph.D. Visit performed 07/22/2014 Top CE Provider by dollar volume

2. Irwin Lifrak, M.D. Visit performed 09/30/2014 Top CE Provider, by dollar volume 3. Joseph B. Keyes, Ph.D. Visit performed 07/29/2014 Top CE Provider, by dollar volume 4. Brian Simon, Psy. D. Visit performed 07/23/2014 Top CE Provider, by dollar volume 5. Andrew Donohue, D.O. Visit performed 07/14/2014 Top CE Provider, by dollar volume All on-site reviews completed by (b) (6), Medical Relations Officer. 3. Attach a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch. 1. Frederick Kurz, Ph.D. Trolley Square, Suite 32B 1601 Delaware Avenue Wilmington, DE 19806 2. Irwin Lifrak, M.D. 1010 N. Union Street Suite 5 Wilmington, DE 19805 3. Joseph B. Keyes, Ph.D. 2131 S. DuPont Highway Suite 3 Dover, DE 19901 Joseph B. Keyes, Ph.D. (second office) Thomas Building, Suite 1 326 High Street Seaford, DE 19973 Joseph B. Keyes, Ph.D (third office) Division of Vocational Rehabilitation 20793 Professional Park Blvd. Georgetown, DE 19947 4. Brian Simon, Psy. D. Suite F-52 Omega Drive

Newark, DE 19713

5. Andrew Donohue, D.O.

1701 Augustine Cut-Off Suite 8 Wilmington, DE 19803

4. Provide the total number of CE providers on the panel.

90

Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs.

In the State of Delaware (DE) the Division of Professional Regulation handles the licensing of the vendors. There are various Boards of licensing depending on the specialty. A web site is used for quick and easy checks: <u>www.professionallicensing.state.de.us</u>. All licenses are good for a two (2) year period. Each Board has its own renewal date.

<u>State Licenses – Process</u>

When a provider is interested in becoming a CE vendor, the MRO will check the state licensing board to ensure their license is in good standing. Once the CE vendor is hired to the CE Panel, they are asked to sign a "License/Credentials Certification" form demonstrating that his/her license is in good standing and a copy of the license is submitted.

As The Disability Determination Services Administrations' Letter (DDSAL 860) instructs, the Delaware DDS performs periodic checks for licensing quarterly. The Delaware DDS will check the Board of Licensing website. If there are any concerns, the MRO will contact that Board directly to obtain additional information.

Upon renewal of licenses, the MRO will make a copy of the new license for the file. Otherwise, the license is verified on the website and the MRO will initial and date the license.

These files are kept by the MRO in a locked filing cabinet.

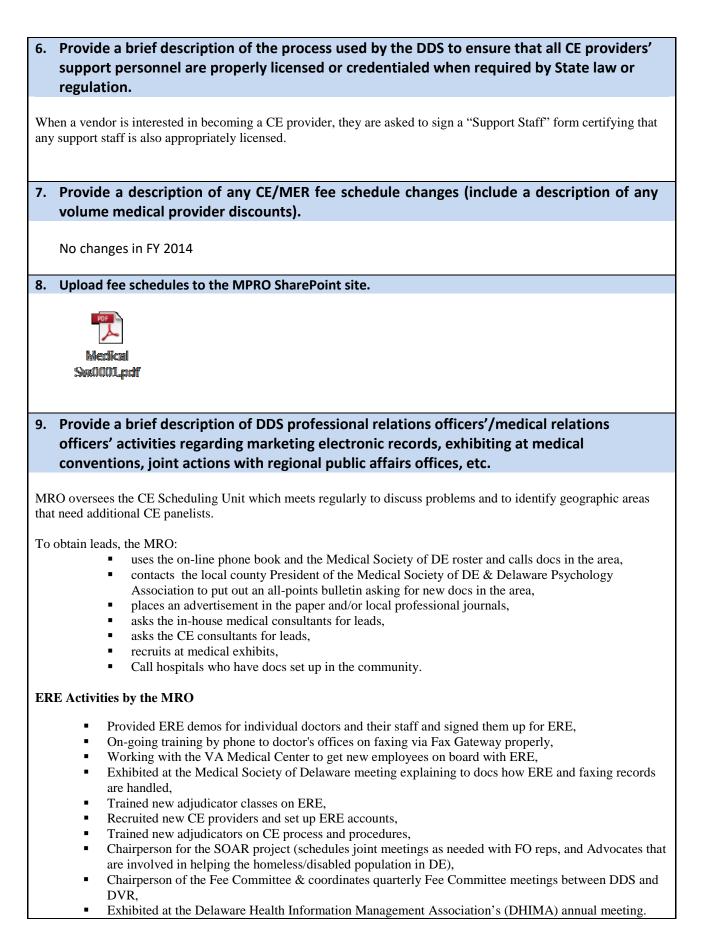
Sanctioned Vendors – Process

Every month the MRO checks the OIG Lists of Sanctioned and Reinstated Health Care Providers.

When a provider is interested in becoming a CE Vendor or In-House Medical/Psych Consultant, the MRO will check the LEIE to be sure the provider/doctor is not sanctioned.

When a DE provider is listed as sanctioned, the MRO will send an email to the CE Scheduling Unit. The DE DDS will not purchase/schedule a CE if the provider is on the sanctioned list.

Monthly the MRO also views the reinstated lists of medical providers. When a provider is reinstated, the MRO will email staff of this fact.



Please attach any additional information before submitting this form.

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | Atlanta |
|---|--|
| State DDS: | Florida |
| Report Period (Fiscal Year): | 2014 |
| Current Date: | 11/07/14 |
| Reporter's Name, Phone number, and title: | Name (b) (6) Phone number (b) (6) |
| | Title Government Operations Consultant II |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

The Florida DDS purchased 115,230 consultative examinations (CEs) during the reporting period 10/01/2013-09/30/2014. FL DDS received 54 complaints relative to 48 CE providers, for a less than 1% complaint rate. This extremely low number of complaints reflects continued remarkable performance on the part of Florida's CE providers.

Upon receipt of a written CE vendor complaint, Florida's Professional Relations Officers (PROs) send a letter of acknowledgement to the complainant. In some instances, when the claimant appears to have misunderstood the CE process, the PRO calls the claimant to explain the CE process and informs them that CE vendors do not and cannot provide treatment. The PRO then sends the CE provider a copy of the claimant's written complaint or a written summary of a telephone complaint. The provider sends a written response to the PRO, commenting on the issues raised by the claimant.

Upon receipt of the CE provider's response, the PRO completes the "Complaint Summary Form" and forwards the complaint, the vendor's response, a copy of CE report, and the Complaint Summary Form to the Vendor Panel Committee (VPC) for review via the electronic Vendor Panel Application (eVPA). The PRO along with the VPC determines if further action is warranted based on the vendor's response and his history with the agency. When appropriate, the PROs mail satisfaction surveys to claimants. When needed, PROs counsel the CE provider, provide additional training, conduct random CE report reviews, and/or request exit claimant satisfaction surveys to ensure the CE provider has implemented corrective actions.

When a claimant complains about a site deficiency, Florida's PROs conduct on-site visits or send

claimant satisfaction surveys. In one instance during this reporting period, a claimant reported the CE facility was not clean and the exam was short. The PRO mailed claimant satisfaction surveys to claimants seen on the same day. Seven of the eight surveys were returned; the responses were consistent stating the facility was clean and the exams were thorough.

In cases where a claimant lodges an egregious complaint or there is a pattern of programmatic noncompliance, despite PRO efforts at counseling and implementation of corrective action plans, PROs may temporarily suspend CE scheduling privileges. Depending on input from DDS management, Florida Department of Health, and Regional Office, CE vendors may ultimately be suspended or terminated from Florida's DDS active vendor panel.

2. Attach a list of completed onsite reviews of CE providers.

| Abbey Eye Clinic | Linda Abeles, PhD | Access Behavioral |
|-------------------------------|-----------------------------|---|
| (Quincy, FL) | (Gainesville, FL) | (Orlando, FL) |
| ACG Therapy Center | Linda Appenfeldt, PhD | Alvin Barber, MD |
| (Gainesville, FL) | (St. Petersburg, FL) | (Titusville, FL) |
| Susana Barsky, PhD | Diana Benton, PsyD | Richard Blecha, MD |
| (Jacksonville, FL) | (Gainesville, FL) | (Yulee, FL) |
| Linda Borjarski, PsyD | David Bortnick, PhD | Brightside Health |
| (Ocala, FL) | (St. Augustine, FL) | (Orlando, FL) |
| Chris Carr, PhD | John Catano, MD | Center for Behavioral Health |
| (Palatka, FL) | (Tamarac, FL | |
| Central FL Heart | Robert Chapa, MD | (Ft. Myers, FL) Colleen Character, PhD |
| | • • | |
| (Ocala, FL) | (Jacksonville, FL) | (Ocala, FL) |
| Lance Chodosh, MD | William Choisser, MD | Clements and Associates |
| (Gainesville, FL) | (Orange Park, FL) | (Lake Mary, FL) |
| Nydia Conrad, Psyd | Cross Creek Medical | John Dawson, MD |
| (Lutz, FL) | (Tallahassee, FL) | (Pensacola, FL) |
| Disability Consultants | Iris Eisenberg, MD | Em Coast Psych |
| (Kissimmee, FL) | (Jacksonville, FL) | (Panama City Beach, FL) |
| Eye Center South | First Coast Hearing | Timothy Foster, PhD |
| (Destin, FL) | (St. Augustine, FL) | (Spring Hill, FL) |
| Fundamental Therapy Solutions | Gilliom Audiology | Robert Greenberg, MD |
| (Gainesville, FL) | (Jacksonville, FL) | (Gainesville, FL) |
| Marie Hume Guilford, PhD | Bhupendra Gupta, MD | Jeremy Harris, MD |
| (Tallahassee, FL) | (Brandon, FL) | (Jacksonville, FL) |
| Nitin Hate', MD | Billie Jo Hatton, PhD | James Henderson, MD |
| (Orange City, FL) | (Spring Hill, FL) | (Stuart, FL) |
| Nicole Hite, SLP | Carla Holloman, DO | Hope Counseling |
| (Ocala, FL) | (Quincy, FL) | (Daytona, FL , New Port Richey, |
| | | FL & St. Augustine, FL) |
| Karen Horton, SLP | Janet Humphreys, PhD | Industrial Medicine Associates |
| (Ormond Beach, FL) | (Gainesville, FL) | (Hallandale Beach, FL , |
| | | Jacksonville, FL & St. |
| | | Petersburg, FL) |
| Jacksonville Eye Center | Cynthia Jenkins, SLP | Jet Medical Center |
| (Jacksonville, FL) | (Deland, FL) | (Ocala, FL) |
| Robin Johnson, PsyD | KLM Medical Services | Darrin Kirkendall, PhD |
| (Orange Park) | (Valrico, FL) | (St. Augustine, FL) |
| Peter Knox, PsyD | Lab Corp | Ciceron Lazo, MD |
| (Jacksonville, FL) | (Ocala, FL & Pensacola, FL) | (Jacksonville, FL) |
| Lauren Lucas, PhD | Timothy McCormick, MD | Brenda McDonnell Aud |
| (Jacksonville, FL) | (Jacksonville, FL) | (Tallahassee, FL) |
| MDSI Physician Group | Med Plus | Medical Rehab Specialists |

| (Lakeland, FL) | (Crestview, FL & Ft. Walton Beach, FL) | (Tallahassee, FL) |
|----------------------------|---|-------------------------------|
| Badri Mehrotra, MD | Hector Meruelo, MD | N FL Psyc Services |
| (St. Augustine, FL) | (Hialeah, FL) | (Palatka, FL) |
| Jeff Oatley, PhD | Steve Odeh, MD | O P Audiology |
| (Daytona Beach, FL) | (Havana, FL) | (Orange Park, FL) |
| Lawrence Pasman, PhD | Rodney Poetter, PhD | Putnam Family Care |
| (Tampa, FL) | (Ocala, FL) | (Palatka, FL) |
| Stanley Rabinowitz, MD | Michael Railey, PhD | Regional Therapy Services |
| (Ft. Lauderdale, FL) | (Crawfordville, FL & Tallahassee, | (Thomasville, FL) |
| | FL) | |
| Rehab Medicine Assoc. | Christine Renaud, PhD | Vivian Roy, PhD |
| (Ocala, FL) | (Thomasville, FL) | (Lake Mary, FL) |
| Shanlis Counseling | Silver Star Family Medicine | Southeastern Therapy Services |
| (Vero Beach, FL) | (Ocoee, FL) | (Tallahassee, FL) |
| St. Vincent's CompCare | Clifford Share, MD | James Shoemaker, MD |
| (Jacksonville, FL) | (Port Orange, FL) | (Ormond Beach, FL) |
| George Slade MD | Belinda Slater, SLP | Alisa Talkington, PhD |
| (Tallahassee, FL) | (Jacksonville, FL) | (Ocala, FL) |
| Target Testing | Townsend & Associates | University Medical Center |
| (Lakeland, FL) | (Palatka, FL) | (Kendall, FL) |
| US Healthworks | Denise Verones, PhD | W FL Medical Group |
| (Leesburg, FL & Ocala, FL) | (Flagler Beach, FL) | (Pensacola, FL) |
| W Nicolas Ainsworth, MD | Raul Zelaya, MD | |
| (Patatka, FL) | (Lake City, FL) | |

3. Attach a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch.

| | - | |
|---------------------------------|--|--------------|
| VENDOR | ADDRESSES | AREA |
| Industrial Medicine Associates* | 5783 49 th St N, St. Petersburg, FL 33709 | Tampa |
| | 2650 Bahia Vista, Ste 202, Sarasota, FL 34239 | Tampa |
| | 38 Barkley Circle, Ste 2, Ft. Myers, FL 33907 | Tampa |
| | 3901 University Blvd, S, Ste 203 Jacksonville, FL 32216 | Jacksonville |
| | 1431 NW 13 Terrace, Miami, FL 33125 | Miami |
| | 18350 NW 2 nd Ave, Ste 404, Miami Gardens, FL 33169 | Miami |
| Clements & Associates | 2500 W Lake Mary Blvd, Ste 111, Lake Mary, FL 32746 | Orlando |
| | 7600 Southland Blvd, Ste 100, Orlando, FL 32809 | Orlando |
| | 801 Northpoint Pkwy, West Palm Beach, FL 33407 | Miami |
| US Healthworks Medical Group | 210 S Lake St, Ste 4, Leesburg, FL 34748 | Orlando |
| | 4780 N Orange Blossom Tr, Orlando, FL 32810 | Orlando |
| | 9500 Satellite Blvd, Orlando, FL 32837 | Orlando |
| | 1109 SW 10 St, Ocala, FL 34474 | Jacksonville |
| Access Behavioral | 225 N John Young Parkway, Kissimmee, FL 34741 | Orlando |
| | 6000 Turkey Lake Rd, Ste 211, Orlando, FL 32819 | Orlando |
| | 274 W Central Ave, Ste L, Winter Haven, FL 33880 | Orlando |
| | 1105 Lake Harris Dr, Tavares, FL 32778 | Orlando |
| | 624 Executive Park Ct, Unit 1024 D, Apopka, FL 32703 | Orlando |
| Hope Counseling | 160 Avenue E NW, Winter Haven, FL 33881 | Orlando |
| | 4404 S Florida Ave, Ste 3, Lakeland, FL 33813 | Orlando |
| | 16311 Whistling Pines Rd, Umatilla, FL 32784 | Orlando |
| | 125 Cottonwood Dr, Davenport, FL 33837 | Orlando |
| | 1400 Highway 630 W, Frostproof, FL 33843 | Orlando |
| | 3200 US Hwy 27 South, Ste 206 A, Sebring, FL 33870 | Orlando |
| | 1028-A West North Blvd, Leesburg, FL 34748 | Orlando |

| | 540 NW University Blvd, Ste 104, Port St. Lucie, FL | Orlando |
|--|--|---|
| | 34983 | Jacksonville |
| | 1520 NE 14 th St, Ocala, FL 34470 | Tampa |
| | 8431 Corporate Way, New Port Richey, FL 34653 | Tampa |
| | 18245 Paulson Dr. Ste, 112, Port Charlotte, FL 33954 | |
| Stanley Rabinowitz, MD* | 3594 Broadway, Ste E, Ft. Myers, FL 33901 | Tampa |
| | 5601 N Dixie Highway, Ste 306, Ft. Lauderdale, FL 33334 | Miami |
| Med Plus* | 6312 Powerline Rd, Ft. Lauderdale, FL 33309 | Miami |
| | 2790 N Military Trail, West Palm Beach, FL 33409 | Miami |
| | 706 S 6 th St, Ft. Pierce, FL 34950 | Orlando |
| | | Orlando |
| | 820 Spring Lake Square, Winter Haven, FL 33881 4439 Jackson Street, Marianna, FL 32448 | Pensacola |
| | | |
| | 5825 Highway 90, Milton, FL 32570 | Pensacola |
| | 3298 Summit Blvd, Ste 33, Pensacola 32503 | Pensacola |
| | 11 Racetrack Rd NE, Bldg D, Ste 1, Ft. Walton Bch 32547 | Pensacola |
| | 102 Alabama St, Ste B, Crestview, FL 32536 | Pensacola |
| | 215 Forest Park Circle, Panama City, FL 32405 | Pensacola |
| | 1240 E Normandy Blvd, Deltona, FL 32725 | Jacksonville |
| | 165 Southpark Blvd, Units C & D, St. Augustine, FL 32086 | Jacksonville |
| | 2408 W Plaza Drive, Tallahassee, FL 32308 | Tallahassee |
| George Slade, M.D. | 1605 E Plaza Dr, Ste 103, Tallahassee, FL 32308 | Tallahassee |
| John Catano, M.D. | 7300 W McNab Rd, Ste 112, Tamarac, FL 33321 | Miami |
| | (b) (6) , Hialeah, FL 33013 (Inactive) | Miami |
| Shanlis Counseling | 202 NW 5th Ave, Okeechobee, FL 34972 | Orlando |
| | 1621 14 th Ave, Vero Beach, FL 32960 | Orlando |
| | 2506 Acorn St, Ste A, Fort Pierce, FL 34950 | Orlando |
| | 430 SW California Ave, Stuart, FL 34994 | Orlando |
| Peter Knox, PsyD* | 10 West Adams St, #106, Jacksonville, FL 32202 | Jacksonville |
| · · · | 7855 Argyle Forest Blvd, Ste 907, Jacksonville, FL 32244 | Jacksonville |
| | | |
| Disability Consultants* | 1115 N Central Ave, Kissimmee, FL 34741 | Orlando |
| | | |
| | 275 W Cocoa Beach Causeway, Cocoa Beach, EL 32931 | Orlando |
| · | 275 W Cocoa Beach Causeway, Cocoa Beach, FL 32931 13933 17 th St. Dade City, FL 33525 | Orlando Tampa |
| | 13933 17th St, Dade City, FL 33525 | Tampa |
| Alvan W. Barber, MD* | 13933 17 th St, Dade City, FL 33525 (b) (6) , Titusville, FL 32796 | Tampa Orlando |
| Alvan W. Barber, MD* | 13933 17th St, Dade City, FL 33525 (b) (6) , Titusville, FL 32796 (b) (6) , Sanford, FL 32771 | Tampa Orlando Orlando |
| | 13933 17th St, Dade City, FL 33525 (b) (6) , Titusville, FL 32796 (b) (6) , Sanford, FL 32771 (b) (6) New Port Richey, FL 34655 | Tampa Orlando Orlando Tampa |
| Alvan W. Barber, MD* Ghiath Kashlan, MD | 13933 17th St, Dade City, FL 33525 (b) (6) , Titusville, FL 32796 (b) (6) , Sanford, FL 32771 (b) (6) New Port Richey, FL 34655 17921 Hunting Bow Circle, Ste 101, Lutz, FL 33558 | Tampa Orlando Orlando Tampa Tampa |
| Alvan W. Barber, MD* | 13933 17th St, Dade City, FL 33525 (b) (6) , Titusville, FL 32796 (b) (6) , Sanford, FL 32771 (b) (6) New Port Richey, FL 34655 17921 Hunting Bow Circle, Ste 101, Lutz, FL 33558 5131 S Florida Ave, Ste 2, Lakeland, FL 33803 | Tampa Orlando Orlando Tampa Tampa Orlando |
| Alvan W. Barber, MD* Ghiath Kashlan, MD Target Testing | 13933 17th St, Dade City, FL 33525 (b) (6) , Titusville, FL 32796 (b) (6) , Sanford, FL 32771 (b) (6) New Port Richey, FL 34655 17921 Hunting Bow Circle, Ste 101, Lutz, FL 33558 5131 S Florida Ave, Ste 2, Lakeland, FL 33803 3324 Commerce Center Lane, Sebring, FL 33870 | Tampa Orlando Orlando Tampa Tampa Orlando Orlando |
| Alvan W. Barber, MD* Ghiath Kashlan, MD | 13933 17th St, Dade City, FL 33525 (b) (6) , Titusville, FL 32796 (b) (6) , Sanford, FL 32771 (b) (6) New Port Richey, FL 34655 17921 Hunting Bow Circle, Ste 101, Lutz, FL 33558 5131 S Florida Ave, Ste 2, Lakeland, FL 33803 3324 Commerce Center Lane, Sebring, FL 33870 12140 Cortez Blvd, Brooksville, FL 34613 | Tampa Orlando Orlando Tampa Orlando Orlando Tampa |
| Alvan W. Barber, MD* Ghiath Kashlan, MD Target Testing | 13933 17th St, Dade City, FL 33525 (b) (6) , Titusville, FL 32796 (b) (6) , Sanford, FL 32771 (b) (6) New Port Richey, FL 34655 17921 Hunting Bow Circle, Ste 101, Lutz, FL 33558 5131 S Florida Ave, Ste 2, Lakeland, FL 33803 3324 Commerce Center Lane, Sebring, FL 33870 12140 Cortez Blvd, Brooksville, FL 34613 6545 Ridge Rd, Ste 4, Port Richey, FL 34668 | Tampa Orlando Orlando Tampa Orlando Orlando Tampa Tampa Tampa |
| Alvan W. Barber, MD* Ghiath Kashlan, MD Target Testing | 13933 17th St, Dade City, FL 33525 (b) (6) , Titusville, FL 32796 (b) (6) , Sanford, FL 32771 (b) (6) New Port Richey, FL 34655 17921 Hunting Bow Circle, Ste 101, Lutz, FL 33558 5131 S Florida Ave, Ste 2, Lakeland, FL 33803 3324 Commerce Center Lane, Sebring, FL 33870 12140 Cortez Blvd, Brooksville, FL 34613 6545 Ridge Rd, Ste 4, Port Richey, FL 34668 1070 Bloomingdale Ave, Valrico, FL 33596 | Tampa Orlando Orlando Tampa Orlando Orlando Tampa Tampa Tampa Tampa |
| Alvan W. Barber, MD* Ghiath Kashlan, MD Target Testing KLM Medical Services* | 13933 17th St, Dade City, FL 33525 (b) (6) , Titusville, FL 32796 (b) (6) , Sanford, FL 32771 (b) (6) New Port Richey, FL 34655 17921 Hunting Bow Circle, Ste 101, Lutz, FL 33558 5131 S Florida Ave, Ste 2, Lakeland, FL 33803 3324 Commerce Center Lane, Sebring, FL 33870 12140 Cortez Blvd, Brooksville, FL 34613 6545 Ridge Rd, Ste 4, Port Richey, FL 34668 1070 Bloomingdale Ave, Valrico, FL 33596 400 East Dr MLK Jr Blvd, Tampa, FL 33603 | Tampa Orlando Orlando Tampa Tampa Orlando Orlando Tampa Tampa Tampa Tampa Tampa |
| Alvan W. Barber, MD* Ghiath Kashlan, MD Target Testing KLM Medical Services* Brightside Psy - Internal | 13933 17th St, Dade City, FL 33525 (b) (6) , Titusville, FL 32796 (b) (6) , Sanford, FL 32771 (b) (6) New Port Richey, FL 34655 17921 Hunting Bow Circle, Ste 101, Lutz, FL 33558 5131 S Florida Ave, Ste 2, Lakeland, FL 33803 3324 Commerce Center Lane, Sebring, FL 33870 12140 Cortez Blvd, Brooksville, FL 34613 6545 Ridge Rd, Ste 4, Port Richey, FL 34668 1070 Bloomingdale Ave, Valrico, FL 33596 400 East Dr MLK Jr Blvd, Tampa, FL 33603 1417 N Semoran Blvd, Ste 202, Orlando, FL 32807 | Tampa Orlando Orlando Tampa Tampa Orlando Orlando Tampa Tampa Tampa Tampa Orlando |
| Alvan W. Barber, MD* Ghiath Kashlan, MD Target Testing KLM Medical Services* | 13933 17th St, Dade City, FL 33525 (b) (6) , Titusville, FL 32796 (b) (6) , Sanford, FL 32771 (b) (6) New Port Richey, FL 34655 17921 Hunting Bow Circle, Ste 101, Lutz, FL 33558 5131 S Florida Ave, Ste 2, Lakeland, FL 33803 3324 Commerce Center Lane, Sebring, FL 33870 12140 Cortez Blvd, Brooksville, FL 34613 6545 Ridge Rd, Ste 4, Port Richey, FL 34668 1070 Bloomingdale Ave, Valrico, FL 33596 400 East Dr MLK Jr Blvd, Tampa, FL 33603 1417 N Semoran Blvd, Ste 202, Orlando, FL 32807 1277 N Semoran Blvd, Ste 107, Orlando, FL 32807 | Tampa Orlando Orlando Tampa Tampa Orlando Orlando Tampa Tampa Tampa Tampa Tampa |
| Alvan W. Barber, MD* Ghiath Kashlan, MD Target Testing KLM Medical Services* Brightside Psy - Internal Medicine | 13933 17th St, Dade City, FL 33525 (b) (6) , Titusville, FL 32796 (b) (6) , Sanford, FL 32771 (b) (6) New Port Richey, FL 34655 17921 Hunting Bow Circle, Ste 101, Lutz, FL 33558 5131 S Florida Ave, Ste 2, Lakeland, FL 33803 3324 Commerce Center Lane, Sebring, FL 33870 12140 Cortez Blvd, Brooksville, FL 34613 6545 Ridge Rd, Ste 4, Port Richey, FL 34668 1070 Bloomingdale Ave, Valrico, FL 33596 400 East Dr MLK Jr Blvd, Tampa, FL 33603 1417 N Semoran Blvd, Ste 202, Orlando, FL 32807 1277 N Semoran Blvd, Ste 107, Orlando, FL 32807 (Inactive) | Tampa Orlando Orlando Tampa Tampa Orlando Orlando Tampa Tampa Tampa Orlando Orlando |
| Alvan W. Barber, MD* Ghiath Kashlan, MD Target Testing KLM Medical Services* Brightside Psy - Internal Medicine Susan Shonbrun, SLP | 13933 17th St, Dade City, FL 33525 (b) (6) , Titusville, FL 32796 (b) (6) , Sanford, FL 32771 (b) (6) New Port Richey, FL 34655 17921 Hunting Bow Circle, Ste 101, Lutz, FL 33558 5131 S Florida Ave, Ste 2, Lakeland, FL 33803 3324 Commerce Center Lane, Sebring, FL 33870 12140 Cortez Blvd, Brooksville, FL 34613 6545 Ridge Rd, Ste 4, Port Richey, FL 34668 1070 Bloomingdale Ave, Valrico, FL 33596 400 East Dr MLK Jr Blvd, Tampa, FL 33603 1417 N Semoran Blvd, Ste 202, Orlando, FL 32807 1277 N Semoran Blvd, Ste 107, Orlando, FL 32807 (Inactive) (b) (6) , Tampa, FL 33604 | Tampa Orlando Orlando Tampa Tampa Orlando Orlando Tampa Tampa Tampa Orlando Orlando Orlando |
| Alvan W. Barber, MD* Ghiath Kashlan, MD Target Testing KLM Medical Services* Brightside Psy - Internal Medicine | 13933 17th St, Dade City, FL 33525 (b) (6) , Titusville, FL 32796 (b) (6) , Sanford, FL 32771 (b) (6) New Port Richey, FL 34655 17921 Hunting Bow Circle, Ste 101, Lutz, FL 33558 5131 S Florida Ave, Ste 2, Lakeland, FL 33803 3324 Commerce Center Lane, Sebring, FL 33870 12140 Cortez Blvd, Brooksville, FL 34613 6545 Ridge Rd, Ste 4, Port Richey, FL 34668 1070 Bloomingdale Ave, Valrico, FL 33596 400 East Dr MLK Jr Blvd, Tampa, FL 33603 1417 N Semoran Blvd, Ste 202, Orlando, FL 32807 1277 N Semoran Blvd, Ste 107, Orlando, FL 32807 (Inactive) (b) (6) , Tampa, FL 33604 (b) (6) , Havana, FL 32351 | Tampa Orlando Orlando Tampa Tampa Orlando Orlando Tampa Tampa Tampa Orlando Orlando Orlando Tampa Tampa Tampa |
| Alvan W. Barber, MD* Ghiath Kashlan, MD Target Testing KLM Medical Services* Brightside Psy - Internal Medicine Susan Shonbrun, SLP Steve Odeh, MD* | 13933 17th St, Dade City, FL 33525 (b) (6) , Titusville, FL 32796 (b) (6) , Sanford, FL 32771 (b) (6) New Port Richey, FL 34655 17921 Hunting Bow Circle, Ste 101, Lutz, FL 33558 5131 S Florida Ave, Ste 2, Lakeland, FL 33803 3324 Commerce Center Lane, Sebring, FL 33870 12140 Cortez Blvd, Brooksville, FL 34613 6545 Ridge Rd, Ste 4, Port Richey, FL 34668 1070 Bloomingdale Ave, Valrico, FL 33596 400 East Dr MLK Jr Blvd, Tampa, FL 33603 1417 N Semoran Blvd, Ste 202, Orlando, FL 32807 1277 N Semoran Blvd, Ste 107, Orlando, FL 32807 (Inactive) (b) (6) , Tampa, FL 33604 | Tampa Orlando Orlando Tampa Tampa Orlando Orlando Tampa Tampa Tampa Orlando Orlando Orlando |
| Alvan W. Barber, MD* Ghiath Kashlan, MD Target Testing KLM Medical Services* Brightside Psy - Internal Medicine Susan Shonbrun, SLP | 13933 17th St, Dade City, FL 33525 (b) (6) , Titusville, FL 32796 (b) (6) , Sanford, FL 32771 (b) (6) New Port Richey, FL 34655 17921 Hunting Bow Circle, Ste 101, Lutz, FL 33558 5131 S Florida Ave, Ste 2, Lakeland, FL 33803 3324 Commerce Center Lane, Sebring, FL 33870 12140 Cortez Blvd, Brooksville, FL 34613 6545 Ridge Rd, Ste 4, Port Richey, FL 34668 1070 Bloomingdale Ave, Valrico, FL 33596 400 East Dr MLK Jr Blvd, Tampa, FL 33603 1417 N Semoran Blvd, Ste 202, Orlando, FL 32807 1277 N Semoran Blvd, Ste 107, Orlando, FL 32807 (Inactive) (b) (6) , Tampa, FL 33604 (b) (6) , Havana, FL 32351 | Tampa Orlando Orlando Tampa Tampa Orlando Orlando Tampa Tampa Tampa Orlando Orlando Orlando Tampa Tampa Tampa |
| Alvan W. Barber, MD* Ghiath Kashlan, MD Target Testing KLM Medical Services* Brightside Psy - Internal Medicine Susan Shonbrun, SLP Steve Odeh, MD* | 13933 17th St, Dade City, FL 33525 (b) (6) , Titusville, FL 32796 (b) (6) , Sanford, FL 32771 (b) (6) New Port Richey, FL 34655 17921 Hunting Bow Circle, Ste 101, Lutz, FL 33558 5131 S Florida Ave, Ste 2, Lakeland, FL 33803 3324 Commerce Center Lane, Sebring, FL 33870 12140 Cortez Blvd, Brooksville, FL 34613 6545 Ridge Rd, Ste 4, Port Richey, FL 34668 1070 Bloomingdale Ave, Valrico, FL 33596 400 East Dr MLK Jr Blvd, Tampa, FL 33603 1417 N Semoran Blvd, Ste 202, Orlando, FL 32807 1277 N Semoran Blvd, Ste 107, Orlando, FL 32807 (Inactive) (b) (6) , Tampa, FL 33604 (b) (6) , Havana, FL 32351 (b) (6) , Thomasville, GA 31792 | Tampa Orlando Orlando Tampa Tampa Orlando Orlando Tampa Tampa Tampa Orlando Orlando Orlando Tampa Tampa Tallahassee Tallahassee |
| Alvan W. Barber, MD* Ghiath Kashlan, MD Target Testing KLM Medical Services* Brightside Psy - Internal Medicine Susan Shonbrun, SLP Steve Odeh, MD* Linda Appenfeldt, Ph.D.* | 13933 17th St, Dade City, FL 33525 (b) (6) , Titusville, FL 32796 (b) (6) , Sanford, FL 32771 (b) (6) New Port Richey, FL 34655 17921 Hunting Bow Circle, Ste 101, Lutz, FL 33558 5131 S Florida Ave, Ste 2, Lakeland, FL 33803 3324 Commerce Center Lane, Sebring, FL 33870 12140 Cortez Blvd, Brooksville, FL 34613 6545 Ridge Rd, Ste 4, Port Richey, FL 34668 1070 Bloomingdale Ave, Valrico, FL 33596 400 East Dr MLK Jr Blvd, Tampa, FL 33603 1417 N Semoran Blvd, Ste 202, Orlando, FL 32807 1277 N Semoran Blvd, Ste 107, Orlando, FL 32807 (Inactive) (b) (6) , Tampa, FL 33604 (b) (6) , Thomasville, GA 31792 (b) (6) , St. Petersburg, FL 33707 (b) (6) , Largo, FL 33778 | Tampa Orlando Orlando Tampa Tampa Orlando Orlando Tampa Tampa Tampa Orlando Orlando Orlando Tampa Tallahassee Tallahassee Tallahassee Tampa |
| Alvan W. Barber, MD* Ghiath Kashlan, MD Target Testing KLM Medical Services* Brightside Psy - Internal Medicine Susan Shonbrun, SLP Steve Odeh, MD* Linda Appenfeldt, Ph.D.* | 13933 17th St, Dade City, FL 33525 (b) (6) , Titusville, FL 32796 (b) (6) , Sanford, FL 32771 (b) (6) New Port Richey, FL 34655 17921 Hunting Bow Circle, Ste 101, Lutz, FL 33558 5131 S Florida Ave, Ste 2, Lakeland, FL 33803 3324 Commerce Center Lane, Sebring, FL 33870 12140 Cortez Blvd, Brooksville, FL 34613 6545 Ridge Rd, Ste 4, Port Richey, FL 34668 1070 Bloomingdale Ave, Valrico, FL 33596 400 East Dr MLK Jr Blvd, Tampa, FL 33603 1417 N Semoran Blvd, Ste 202, Orlando, FL 32807 1277 N Semoran Blvd, Ste 107, Orlando, FL 32807 (Inactive) (b) (6) , Tampa, FL 33604 (b) (6) , Thomasville, GA 31792 (b) (6) , St. Petersburg, FL 33707 (b) (6) , Largo, FL 33778 4905 Van Dyke Rd, Ste 12, Lutz, FL 33558 | Tampa Orlando Orlando Tampa Tampa Orlando Orlando Tampa Tampa Tampa Orlando Orlando Orlando Tampa Tallahassee Tallahassee Tampa Tampa Tampa |
| Alvan W. Barber, MD* Ghiath Kashlan, MD Target Testing KLM Medical Services* Brightside Psy - Internal Medicine Susan Shonbrun, SLP Steve Odeh, MD* Linda Appenfeldt, Ph.D.* Billie Jo Hatton, PhD* | 13933 17th St, Dade City, FL 33525 (b) (6) , Titusville, FL 32796 (b) (6) New Port Richey, FL 34655 17921 Hunting Bow Circle, Ste 101, Lutz, FL 33558 5131 S Florida Ave, Ste 2, Lakeland, FL 33803 3324 Commerce Center Lane, Sebring, FL 33870 12140 Cortez Blvd, Brooksville, FL 34613 6545 Ridge Rd, Ste 4, Port Richey, FL 34668 1070 Bloomingdale Ave, Valrico, FL 33596 400 East Dr MLK Jr Blvd, Tampa, FL 33603 1417 N Semoran Blvd, Ste 202, Orlando, FL 32807 1277 N Semoran Blvd, Ste 107, Orlando, FL 32807 (Inactive) (b) (6) , Tampa, FL 33604 (b) (6) , Thomasville, GA 31792 (c) (6) , Largo, FL 33778 4905 Van Dyke Rd, Ste 12, Lutz, FL 33558 (b) (6) , Springhill, FL 34606 | Tampa Orlando Orlando Tampa Tampa Orlando Orlando Tampa Tampa Tampa Orlando Orlando Orlando Tampa Tallahassee Tallahassee Tampa Tampa Tampa Tampa Tampa Tampa Tampa |
| Alvan W. Barber, MD* Ghiath Kashlan, MD Target Testing KLM Medical Services* Brightside Psy - Internal Medicine Susan Shonbrun, SLP Steve Odeh, MD* Linda Appenfeldt, Ph.D.* | 13933 17th St, Dade City, FL 33525 (b) (6) , Titusville, FL 32796 (b) (6) , Sanford, FL 32771 (b) (6) New Port Richey, FL 34655 17921 Hunting Bow Circle, Ste 101, Lutz, FL 33558 5131 S Florida Ave, Ste 2, Lakeland, FL 33803 3324 Commerce Center Lane, Sebring, FL 33870 12140 Cortez Blvd, Brooksville, FL 34613 6545 Ridge Rd, Ste 4, Port Richey, FL 34668 1070 Bloomingdale Ave, Valrico, FL 33596 400 East Dr MLK Jr Blvd, Tampa, FL 33603 1417 N Semoran Blvd, Ste 202, Orlando, FL 32807 1277 N Semoran Blvd, Ste 107, Orlando, FL 32807 (Inactive) (b) (6) , Tampa, FL 33604 (b) (6) , Thomasville, GA 31792 (b) (6) , St. Petersburg, FL 33707 (b) (6) , Largo, FL 33778 4905 Van Dyke Rd, Ste 12, Lutz, FL 33558 | Tampa Orlando Orlando Tampa Tampa Orlando Orlando Tampa Tampa Tampa Orlando Orlando Orlando Tampa Tallahassee Tallahassee Tampa Tampa Tampa |

| MDSI Physician's Group* | 5100 US Hwy 98 N Ste 16, Lakeland, FL 33809 | Orlando |
|-------------------------------|---|--------------|
| | 7791 South US Hwy 1, Pt. St. Lucie, FL 34952 | Orlando |
| James Henderson, MD* | (b) (6) , Stuart, FL 34997 | Orlando |
| Empower Preventative Medicine | 4221 Baymeadows Rd, Ste 6, Jacksonville, FL 32217 | Jacksonville |
| PA* | 1413 Kingsley Ave, Orange Park, FL 32073 | Jacksonville |
| | 404 NW Hall of Fame Drive, Lake City, FL 32055 | Tallahassee |
| Vivian Roy, PhD* | 3074 West Lake Mary Blvd, Ste 140, Lake Mary, FL | Orlando |
| - | 32746 | Orlando |
| | (b) (6) , Maitland, FL 32751 | Orlando |
| | (b) (6) , Sanford, FL 32771 | |
| GNC Therapies, Inc | 601 Heritage Dr, Jupiter, FL 33458 | Miami |
| | 80 SW 8th St, Ste 2000, Miami, FL 33130 | Miami |
| | 8333 NW 53 rd St, Ste 450, Doral, FL 33166 | Miami |
| | 20801 Biscayne Blvd, Ste 403, Miami, FL 33180 | Miami |
| | 8461 Lake Worth Road, Ste 1007, Lake Worth, FL 33467 | Miami |
| | 4000 Hollywood Blvd, Ste 555-S, Hollywood, FL 33021 | Miami |
| | 5100 West Copans Road, Ste 1000, Margate, FL 33063 | Miami |
| | 110 Harrell Dr, South Bay, FL 33493 | Miami |
| | 3801 PGA Blvd, Ste 600 \$ 602, Palm Beach Gardens, FL 33410 | Miami |
| | 3823 State Rd 64 E, Bradenton, FL 34208 | Tampa |
| | 3606 Enterprise Ave, Naples, FL 34104 | Tampa |
| | 850 NW Federal Hwy, Stuart, FL 34944 | Orlando |
| | 601 21 st , Ste 300, Vero Beach, FL 32960 | Orlando |
| James Shoemaker, DO | (b) (6) , Ormond Beach, FL 32174 | Jacksonville |

PERFORMS CEs ONLY

4. Provide the total number of CE providers on the panel.

Approximately 1153

5. Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs.

When a CE provider is recruited, the vendor completes an application, which includes a statement certifying that they have a clear and active Florida license. We obtain license verification from the Florida Department of Health's (DOH) Division of Medical Quality Assurance (MQA) website, the agency responsible for the oversight of healthcare practitioners in our state. We also review the HHS Office of Inspector General (OIG) List of Excluded Individuals and Entities (LEIE) website to verify that the vendor has no sanctions.

Florida DDS maintains CE vendor files electronically within the eVPA. The PROs and the VPC monitor the application as it contains essential data about each CE vendor, including services provided, fiscal data, contact information, and critical review dates. This application allows for a number of useful alerts and management reports. Our Information Services (IS) Bureau schedules a weekly automatic download from DOH's Division of MQA that cross-references our CE vendor database with that of MQA. If a CE vendor's license is not "clear and active," the application provides an action log alert to the VPC and the controlling area PRO. If MQA releases an emergency suspension order (ESO) on any Florida DDS vendor, an automatic alert posts in our eVPA action log. In addition to the alerts built into the eVPA, MQA sends e-mail

| | notifications to designated DDS staff when any ESO is taken against a healthcare provider. MQA also provides periodic notifications of non-emergency disciplinary actions taken against healthcare providers. |
|----|--|
| | The application alerts us annually to re-check the CE vendor's HHS-OIG status. It alerts us two months prior to a vendor's state license expiration. It also alerts us, every five years, to refresh the vendor's CE panel application and acknowledgement of responsibilities. |
| 6 | Provide a brief description of the process used by the DDS to ensure that all CE providers' |
| 0. | support personnel are properly licensed or credentialed when required by State law or regulation. |
| | The CE vendor panel application includes a statement in which the CE vendor attests that his support personnel are properly licensed and certified in accordance with State requirements. |
| 7. | Provide a description of any CE/MER fee schedule changes (include a description of any volume medical provider discounts). |
| | Florida DDS revised its CE fee schedule effective October 1, 2014 to implement 2014 Medicare fee changes. |
| | Florida DDS continues to pay a flat fee of \$14.00 for MER and \$16.00 for the completion of teacher and speech and language questionnaires. |
| 0 | Unload foo askedules to the MDDO ShaveDoint site |
| ο. | Upload fee schedules to the MPRO SharePoint site. |
| 0. | Fee Schedule posted on MPRO SharePoint site. |
| | |
| | Fee Schedule posted on MPRO SharePoint site. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions |
| | Fee Schedule posted on MPRO SharePoint site. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc. Florida's PROs continue to provide technical assistance on the use of ERE to numerous CE and MER sources throughout the state. We continue to register and support MER providers and treating |
| | Fee Schedule posted on MPRO SharePoint site. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc. Florida's PROs continue to provide technical assistance on the use of ERE to numerous CE and MER sources throughout the state. We continue to register and support MER providers and treating sources that use SSA's ERE website for submission of records. The Florida PROs actively recruited over 35 new MER sources to participate in Florida's outbound |
| | Fee Schedule posted on MPRO SharePoint site. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc. Florida's PROs continue to provide technical assistance on the use of ERE to numerous CE and MER sources throughout the state. We continue to register and support MER providers and treating sources that use SSA's ERE website for submission of records. The Florida PROs actively recruited over 35 new MER sources to participate in Florida's outbound fax (OBF) initiative for MER requests. Florida implemented SSA's Medical Evidence Gathering and Analysis through Health IT (MEGAHIT) during this reporting period. Currently, SSA submits Health Information Technology (HIT) requests to selected facilities within the Cleveland Clinic network via their MEGAHIT system. We anticipate |
| | Fee Schedule posted on MPRO SharePoint site. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc. Florida's PROs continue to provide technical assistance on the use of ERE to numerous CE and MER sources throughout the state. We continue to register and support MER providers and treating sources that use SSA's ERE website for submission of records. The Florida PROs actively recruited over 35 new MER sources to participate in Florida's outbound fax (OBF) initiative for MER requests. Florida implemented SSA's Medical Evidence Gathering and Analysis through Health IT (MEGAHIT) during this reporting period. Currently, SSA submits Health Information Technology (HIT) requests to selected facilities within the Cleveland Clinic network via their MEGAHIT system. We anticipate other MEGAHIT sources will be implemented within the next reporting period. In Escambia County, the area PRO provided outreach to the County School District to discuss the disability process and emphasize the importance of school records, speech and language |

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | Atlanta |
|---|---|
| State DDS: | Georgia |
| Report Period (Fiscal Year): | 2014 |
| Current Date: | 11/13/14 |
| Reporter's Name, Phone number, and title: | Name (b) (6) Phone number (b) (6) |
| | Title Professional Relations Supervisor |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

- Pull the provider's file and review to see if there have been other complaints.
- Check the Georgia Boards and OIG sites to ensure the provider is still licensed and in good standing with the state of Georgia and Medicare/Medicaid.
- Contact the adjudicator and/or claimant to obtain additional information about the complaint in question. We also notify the claimant in writing that we are investigating their complaint and will take appropriate action.
- Contact the provider for his/her response to the complaint. The provider may be contacted by phone for minor complaints, or by mail or in person if complaints are more severe.
- If the complaint is found to be without merit, the file is documented and no action is taken.
- If the complaint is found to be minor, but does not significantly affect the provider's ability to perform exams (things such as "office too difficult to locate", "wait too long", etc.) we will discuss with the provider and take corrective action. If warranted, we may place the provider on a Corrective Action Plan with notification to the provider asking them to take appropriate action and we will follow up as appropriate.
- If the complaint is more egregious, such as unethical or illegal activity, we will notify the DAS Director, DAS Medical Director and DAS Legal Services Officer. If warranted we will contact the office of Georgia Vocational Rehabilitation Agency, SSA Regional Office, Office of Internal Security, SSA Office of the Inspector General and/or the local law enforcement, based on the nature and severity of the complaint. Appropriate action will be taken by the DAS depending on severity of the complaint and findings. These actions could include anything from a Corrective Action Plan to termination from the DAS Panel of Providers.

• Georgia routinely sends questionnaires to claimants regarding their CE experience. Should complaints be lodged against a particular provider, the Professional Relations Unit (PRU) will target that provider and send a larger sample of questionnaires to other claimants who are scheduled to be seen by that provider. The questionnaires are then used to determine if any of the additional steps outlined above should be taken.

2. Attach a list of completed onsite reviews of CE providers.

- Alta Medical Consulting Services LLC
- Berger, Steven, Ph.D
- Besses, Valerie Michelle PH.D.
- Cain, Alicia, M.D.
- Dixon-Martin, Kelly M.D.
- Dubose, Philip James, PsyD
- Evaluation Systems Inc.
- Grace, John Ph.D.
- Janit, Adrian Stanford Ph.D.
- Hamby, Stephen Ph.D.
- Huthwaite, Justin Scott, Ph.D.
- Lee, Tiffany, M.D.
- Med Plus
- Odeh, Steve O. M.D.
- Premier Psychological Center
- Rose, Michael, PhD
- Roth, Kristiansson, Ph.D.
- Schacher, Stephen M.D.
- Steinert, Harriett M.D.
- Snook, Steven Ph.D.
- The Renaissance Centre
- Tri-State Occupational Medicine
- Walker, Lance, M.D.
- Wallace, Stanley, M.D.
- Whiteman, Diana, MD
- Whitley III, John, Ph.D.
- Williamson, Stanford, M.D.

3. Attach a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch.

Alta Medical Consulting Services LLC

Middle Georgia Medical Complex

1122 Gray Highway, Suite 4 Macon, GA 31211

Berger, Steven Gary, Ph.D.

4939 Lower Roswell Rd. Bldg. B Suite 202 Berger Psychological Services PC Marietta, Ga. 30068-4338

Besses, Valerie, Ph.D.

(b) (6)

Conyers, GA 30012

Cain, Alicia, M.D. 1305 Pennsylvania Avenue Medstop Consult Group McDonough, GA 30253

Dubose, Philip James, PsyD (b) (6)

Thomasville, GA 31792

Evaluation Systems Inc. 2374A Main Street, Building C Tucker, GA 30084

Janit, Adrian S., Ph.D. 3736 Executive Center Dr. Georgia Psychology & Counseling Martinez, Ga. 30907

Hamby, Stephen Ph.D. (b) (6) Gainesville, GA 30504

Huthwaite, Justin, Ph.D 214 Canton Road, Suite D Cumming, GA 30040

Lee, Tiffany Strawbridge M.D. 4150 Snapfinger Woods Dr., Suite 100 Priority Health Decatur, GA 30035

Med Plus, GA c/o Kitchings Chiropractic 110 Rushing Lane

Statesboro, GA 30458

Odeh, Steve O., M.D. 3433 B Main Street Bertrand Chiropractic Center College Park, GA 30337

Premier Psychological Center Inc. 2268 Mt Zion Rd Jonesboro, GA 30236

Rose, Michael, PhD 136-D W. McIntosh Street Milledgeville, GA 31061

Roth, Kristiansson Ph.D. 10385 Ford Ave, Unit 7 Richmond Hill, GA 31324

Schacher, Stephen A., M.D. 105 Whitehead Rd., Unit 4 Athens, Ga. 30607

Steinert, Harriett, M.D. (b) (6) Savannah, GA 31404

Snook, Steven C., Ph.D. 101 Devant Street, Suite 1001 Fayetteville, GA 30214

The Renaissance Centre 506 N. Jackson St. Albany, GA 31701

Tri-State Occupational Medicine 425 North Cobb Street c/o Oconee Neurology Milledgeville, GA 31061

Walker, Paul Lance, MD Pointmed Inc. 51 Gordon Road

Jasper, GA 30193

Wallace, Stanley W., MD 413 Shorter Avenue, Suite 105 Rome, GA 30165

Whiteman, Diana, MD

Jonesboro, GA 30236

Whitley III, John C., Ph.D 8100 Bob Williams Parkway GA Piedmont Technical College Covington, GA 30014

Williamson, Stanford, MD

Physician Disability Examination Services 507 West 3rd Avenue Suite 8A Albany, GA 31701

4. Provide the total number of CE providers on the panel.

880

5. Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs.

When recruiting a new practitioner, the Professional Relations Coordinators (PRC) check existing Professional Relations Unit (PRU) records to determine if there is an existing file on the practitioner in question. If so, we review the contents of the file for any adverse information. We then check the different Georgia websites for the various boards of licensure (Medical Examiners, Speech and Audiology, Psychology, Physician Assistant, etc.) to ensure that the practitioner is currently licensed. The Georgia Medical Board of Examiners web site also contains information concerning any sanctions or board orders. If the practitioner's license is inactive, suspended or revoked we do not recruit that person. We also check the OIG web site for sanctions and should we find any, we do not recruit that individual.

At the time a new practitioner is recruited, they sign a Certificate of Licensure and

Credentials attesting to the following: a) they are in good standing with Medicare/Medicaid b) they have an active license that is not suspended or restricted, and c) they have no disciplinary actions against them. When the new practitioner successfully completes their orientation, they sign a Statement of Agreement prior to placement on the panel of permanent examiners. Each year the PRC is responsible for checking the current licensure of the Georgia Boards of Medical Examiners, Psychologists, Audiologists, Speech Pathologists, and Physician Assistants as well as the Office of Inspector General (OIG) site. We update our vendor files with the license number and expiration date. Credentials and exclusions lists are checked several times throughout the year.

Providers are advised in writing, via the Certificate of Licensure and Credentials and the Statement of Agreement, that if their licensure is suspended or restricted or if their standing with Medicare/Medicaid changes, it is their responsibility to notify DAS. In addition, on every CE appointment letter, we include the following: *"It is your responsibility to advise the DAS Professional Relations Unit if you or any of your staff who participate in the consultative examination are currently sanctioned, have lost your license or are excluded from receiving Medicare or Medicaid funding. Failure to notify us immediately will result in permanent removal from the DAS panel".*

6. Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation.

All practitioners are advised that they must notify DAS when they use a Nurse Practitioner (NP) or Physician's Assistant (PA) in the CE process. The name of the NP/PA is checked in the Georgia website. Providers are asked to sign a Certificate of Licensure and Credentials attesting that any support staff who help to perform or otherwise participate in the conduct of consultative examinations are properly licensed and/or certified. The provider is also advised that it is his/her responsibility to bar any support staff person from participating in the CE process if that individual is not properly licensed and/or certified or is barred from participation in the Medicare or Medicaid programs.

7. Provide a description of any CE/MER fee schedule changes (include a description of any volume medical provider discounts).

Ancillary fee schedules changed this year due to changes in Medicare reimbursement rates. Exam fees did not change.

8. Upload fee schedules to the MPRO SharePoint site.

Fee schedules upload completed on 11/12/14.

9. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc.

The PRCs encourage the use of electronic transmissions with every CE and MER source they encounter. All CE providers are required to send in evidence electronically (ERE or fax). We no longer include return envelopes with CE paperwork. We discontinued sending envelopes to MER providers on April 1, 2008. We have not included envelopes in our Teacher Questionnaire requests since August of 2007. The professional relations officers successfully promoted ERE to a large school system in the metro Atlanta area that now uses ERE to receive requests and submit special education records. The success with this vendor has enabled us to promote ERE to other school systems and we expect to add another large metro Atlanta school system to ERE in 2015. In conjunction with our ERE/HIT Coordinator, the professional relations officers worked closely with Release of Information departments at two large metro hospitals to use ERE to receive requests and submit MER.

Currently we have 339 vendors who receive requests and transmit records via Outbound ERE. This process enabled these vendors to electronically receive 50,929 requests for evidence. The Georgia DDS received 20,455 ERE responses to these requests. For FFY 2014, 231,841 requests were transmitted via Outbound Fax. For FFY 2014 the GA DDS received 108,053 MER submissions via FAX. We encourage all adjudicators to educate MER providers about electronic transmission choices and to refer anyone with questions to one of the Professional Relations Coordinators. We take every opportunity to provide literature explaining the electronic process to all providers.

Please attach any additional information before submitting this form.

State of Hawaii Disability Determination Branch

CE Management/Oversight Report for FY 2014

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

All complaints received by the Hawaii DDS office are treated seriously and investigated. The procedure used is:

A. Complaints that a CE provider is rude or acted in an unprofessional manner:

1. Respond to claimant's complaint by sending a letter of acknowledgement.

2. CE provider is notified and the allegation is investigated. The chief physical or psychological MC is notified of complaint. The CE report is reviewed and the CE provider is called by the chief MC. The MC will determine whether there is any validity to the complaint and will have this information placed in the claimant's file. Complaints and responses are reviewed per State procedures to determine if any additional action is required.

- B. Complaints or allegations of an egregious nature (which Could include illegal/criminal activity, inappropriate sexual behavior, cultural insensitivity, allegations compromising the health and safety of claimants):
 - 1. Same as above.
 - 2. Same as above.
 - **3.** If validity to the complaint is suspected:
 - a. Suspend any referrals and reschedule pending appointments with the provider while the allegations are being further investigated (meet with the CE provider to further discuss claimant's allegations, present CE provider with copies of the complaints).

- **b.** Notify the DDS Administrator of the nature and severity of the claimant's complaints.
- c. Notify State authorities of law enforcement.
- d. Document the appropriateness of the CE provider's responses and determine if further actions are needed.
- e. Notify the Regional Office of the complaints/allegations and the course of actions taken by the DDS/State authorities.
- 2. Provide a list of the onsite reviews of CE providers completed by the DDS.
 - A. Ohana Psyological Services, (William Marks, Ph.D)
 - B. John Wingert, Ph.D.
 - C. Heather McDermott, Psy.D.
 - D. Dennis Donovan, Ph.D.
 - E. Antoine Cazin, M.D.
- 3. Provide a current list of names and addresses of key providers:
 - A. Joseph Bratton, Ph.D. 101 Aupuni St. Ste. 216 Hilo, Hi 96720
 - B. Dennis Donovan, Ph.D. 1164 Bishop St., Rm 1502 Honolulu, Hi 96813
 - C. Deanna Coschignano, Ph.D. 135 S. Wakea, Suite 208 Kahului, Hi 96732
 - D. MSLA 99-128 Aiea Heights Drive, Suite 405 Aiea, Hi 96701
 - E. Stanley C.M. Luke, Ph.D. 328 Uluniu St., Suite 203 Kailua, Hi 96734

4. For CE Panels:

- A. Number of current CE providers on the panel-20 providers
- B. Process used to ensure that medical credential checks and exclusion list checks are made at initial agreement and periodically thereafter:
 A check of credentials and the exclusion list is always made by the DDB when using a new panelist. These checks include Hawaii state professional and vocational licensing search and business and licensee complaints history which are done from the Dept. of Commerce and Consumer Affairs. Also, the HHS Office of Inspector General (list of Excluded Individuals/Entities) is researched. An annual check of the CE providers is done.
- C. A brief description of the process used by the DDS to ensure That all CE providers' support personnel are properly licensed or credentialed when required by State law/ regulation.:

A certification of Support Personnel is sent to all providers who have support personnel. CE provider will sign the certification if all support personnel is properly licensed or credentialed as required by the State of Hawaii.

- 5. For medical fee schedules:
 - A. There were no CE/MER fee schedule changes
 - B. Current fee schedule- See attached
- 6. Provide a brief description of DDS Professional Relations officer's/medical relations officer's activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc.
 - A. Various dates: One on one contact and phone contact with new and existing consultative examiners, MER providers and others

regarding participation in ERE. Sign up new participants to use ERE website.

Hawaii DDS

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The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | 10 |
|---|---|
| State DDS: | IDAHO |
| Report Period (Fiscal Year): | 2014 |
| Current Date: | 11/6/2014 |
| Reporter's Name, Phone number, and title: | Name (b) (6) Phone number (b) (6) |
| | Title Administrative Support Manager/PRO |
| | |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

1. Upon receipt of a complaint via telephone, the claimant is asked to put the specifics in writing and include the name(s) and phone number(s) of anyone else who accompanied them to the CE.

2. Upon receipt of a written complaint, a letter is sent to the claimant acknowledging the receipt of their letter and informing them that the complaint will be investigated and any necessary action will be taken.

3. The claimant's file is reviewed, the CE report is reviewed and the CE provider's file is reviewed to determine whether or not there is a history of previous complaints. If deemed necessary, based on the nature of the complaint, the provider is sent written notification of the complaint and asked to respond. If the claimant has filed a complaint with the Idaho Medical Association or the Board of Medicine, the provider is informed of this action.

4. If the complaint contains allegations of an egregious nature, the DDS may suspend any referrals and/or reschedule any pending appointments while the situation is being investigated. The DDS administrator is notified of the nature and severity of the complaint. If deemed necessary, an onsite visit may be conducted by the PRO to discuss the complaint directly with the provider.

5. Additional action is determined after review of the provider's response. This may take the form of a written notice to the doctor addressing the behavior and how exams need to be conducted in order to remain on the panel or a notice that we will no longer use their services. If

necessary, appropriate state authorities and law enforcement officials will be notified. The Regional Office will be notified of the complaints and the course of action taken by the DDS/state authorities.

6. If the claimant requires further notification, explanation or information about the outcome of the investigation, they are contacted via letter and/or telephone.

7. All correspondence and reports of contact are kept in the provider's file.

2. Attach a list of completed onsite reviews of CE providers.

There were no onsite reviews completed during this fiscal year.

3. Attach a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch.

For the purposes of this report, key providers are identified as those reimbursed \$50,000 or more during FY 14.

| PROVIDER/# of LOCATIONS | FY 2014 REIMBURSEMENT |
|-----------------------------|-----------------------|
| Ralph Heckard, MD - 5 | \$202,220.00 |
| David Starr, PhD - 2 | \$115,678.00 |
| Thomas Hull, MD - 2 | \$64,096.00 |
| Richard Sonnenberg, PhD - 1 | \$63,695.00 |
| Ryan Hulbert, PhD - 2 | \$62,858.00 |
| Jerry Doke, PhD - 2 | \$59,407.00 |
| Gerald Gardner, PhD - 1 | \$54,641.00 |
| Jay Casper, PhD - 1 | \$50,911.00 |
| Michael Spackman, MD - 2 | \$50,587.00 |

4. Provide the total number of CE providers on the panel.

The Idaho DDS utilizes 198 CE providers, including MD's, PhD's, audiologist, speech pathologists, optometrists, and a variety of ancillary service providers (lab, x-ray, radiology). Several of the providers on the panel cover multiple specialties and provide services in more than one location.

5. Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs.

The Idaho Board of Medicine's web site (www.bom.state.id.us) includes a feature whereby medical and osteopathic physicians' standing with the board can be easily verified. The

information includes, among other items, license status, expiration date, and any previous or pending board actions against the provider.

The Idaho Board of Occupational Licenses' web site (www.ibol.idaho.gov) also includes a feature whereby psychologists', audiologists', and speech therapists' standing with the board can be easily verified. The information includes, among other items, license status, expiration date, and any previous or pending board actions against the provider.

The PRO also has access to the various licensing boards for the providers in bordering states who perform consultative exams for Idaho claimants.

At the beginning of each month, the PRO reviews license expiration information for the following month. The appropriate agency's web site is checked for providers whose licenses expire that month. If currently license information is not yet available, a reminder email is sent to each provider whose license is set to expire. Once current license information is obtained, the master CE provider Excel spreadsheet is updated. Licensing information is also entered into each provider's vendor file in the legacy system.

The Federal list of sanctioned providers is also checked annually (http://exclusions.oig.hhs.gov/) to ensure that none of our CE providers are on the list.

If current license information is not available or a provider's license has been suspended or inactivated, the provider and/or the appropriate licensing board is contacted for further information and the provider is not used until the issue is resolved.

As new CE providers join the panel, licensing information is verified through the appropriate licensing agency and via the Federal list of sanctioned providers.

In the initial recruiting packet sent to potential panelists, a "Memorandum of Understanding and Agreement" is included. This form includes a section entitled "Program Integrity," which states, "You must certify (1) that you are not currently excluded, suspended, or otherwise barred from participation in the Medicare or Medicaid programs, or any other federal or federally-assisted program, (2) that your license is not currently revoked or suspended by any state licensing authority for reasons bearing on professional competence, professional conduct, or financial integrity, and (3) that you have not surrendered your license pending disciplinary procedures involving professional conduct." This form must be signed by the provider and returned to the DDS prior to the performance of CE's. The signed form is placed in the provider's file.

6. Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation.

Also included in the "Memorandum of Understanding and Agreement" (as mentioned in 5. Above) is a section entitled "Fostering Public Confidence." This section states, "all support staff used in the performance of Consultative Exams must meet the appropriate licensing or certification requirements of the state." This form must be signed by the provider and returned to the DDS prior to the performance of CE's. This signed form is kept in the

provider's file.

7. Provide a description of any CE/MER fee schedule changes (include a description of any volume medical provider discounts).

There were no changes made to the CE fee schedule during FY 2014. Idaho does not offer any volume medical provider discounts. Additionally, the Idaho DDS continues to reimburse up to \$15 for copies of MER (Medical Evidence of Record).

 Upload fee schedules to the MPRO SharePoint site. The Idaho DDS Official Fee Schedule was uploaded to the MPRO SharePoint site on 11/10/14.

9. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc.

<u>Disability Case Processing System (DCPS)</u>: Participated in conference calls, beta scoping, training, and testing for the 4.0 and 4.1 rollouts; fiscal SME; participated on DCPS CE workgroup. Participation in DCPS is ongoing.

<u>PRO Material Workgroup</u>: The PRO Material Workgroup was formed to identify, update and develop materials used by DDS PROs. A list of materials currently used was sent to the workgroup participants. The workgroup obtained input from co-workers and reported whether or not the materials were still needed and/or whether or not updates were needed. Suggestions for new training materials and additional materials was solicited from workgroup participants. Participation is ongoing.

<u>ERE Website PRO Workgroup</u>: The purpose of this national workgroup is to examine the current business process for registering ERE website users and to explore requirements for implementing an automated registration process for the ERE web site. The workgroup focused on SSA's initiative to improve the registration process for several public internet applications, including the ERE website. This effort is known as the Single-Sign-On (SSO) project. In the past, ERE web site users have asked for self registration and automatic password reset functionality. These requested enhancements will now be explored in connection with the SSO project. Participation is ongoing.

<u>CE Oversight Workgroup</u>: The purpose of this national workgroup is to review/revise the current CE oversight process including on-site provider reviews, licensing requirements, the annual CE oversight report requirements, possible us of national template to replace the annual CE oversight report, and provider recruitment. Participation is ongoing.

<u>National Monthly PRO Call</u>: The PRO participates in a monthly call with Professional Relations Coordinators and other PRO's from around the country.

<u>Seattle Regional Office Monthly PRO Call</u>: The PRO participates in a monthly call with the Professional Relations Coordinator and other PRO's from the Seattle Region.

Please attach any additional information before submitting this form.

N/A

DDS CE Oversight Report - ADDENDUM

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | 10 |
|---|---|
| State DDS: | IDAHO |
| Report Period (Fiscal Year): | 2014 |
| Current Date: | 11/6/2014 |
| Reporter's Name, Phone number, and title: | Name (b) (6) Phone number (b) (6) |
| | Title Administrative Support Manager/PRO |
| | |

The Idaho DDS was unable to perform onsite reviews during FY14. The PRO, who supervised two groups of support staff, was given an additional support unit to supervise at the beginning of FY14, due to the loss of the previous Administrative Support Manager. With these additional duties, the PRO was not able to perform onsite reviews. The DDS Administrator was aware that onsite reviews were not being performed. At the end of the fiscal year, the PRO was promoted to the Administrative Support Manager role. The Idaho DDS is in the process of hiring a full-time PRO, whose role will not include supervisory duties.

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | Chicago |
|---|---------------------------------------|
| State DDS: | Illinois |
| Report Period (Fiscal Year): | 2014 |
| Current Date: | November 10, 2014 |
| Reporter's Name, Phone number, and title: | Name (b) (6) Phone number (b) (6) |
| | Title Professional Relations Officer |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

A CE complaint is received in the Program Services Section (PSS) via the electronic queue and is assigned by an Office Coordinator to a Disability Assistance Unit (DAU) Specialist. The Office Coordinator also logs the complaint and all follow up action on the Weekly CE Complaint Report.

Copies of the complaint, CE report (if received), and history of prior complaints (if prior complaints received) are forwarded to Auxiliary Services Division Administrator and for association with the DAU file. Original CE complaint is associated with the MRU consultant file.

If the complaint is vague, the DAU Specialist will call the person who complained and request details. Telephone surveys to other claimants seen by the consultant may be needed to determine if others have the same or similar complaints. If necessary, an onsite visit will be made to meet personally with the consultant or to inspect the facility.

Appropriate action will be taken. In most cases a letter to the claimant or the claimant's representative acknowledging receipt of the complaint, as well as a letter to the consultant with an explanation of the complaint, will be prepared by the DAU Specialist for approval and signature by the Deputy director. If a group is involved, a copy of the letter to the consultant will be sent to the manager of the group. Contact with consultants will vary depending on the circumstances. Usually consultants are notified that a response is expected within 15 days from the date of the letter.

Copies of all letters are sent to Auxiliary Services Division Administrator and for association

in the DAU file. A copy of the signed letter and all pertinent complaint information is associated with the MRU file for the consultant. If a response is requested and is not received within 15 days from the date of the letter, the DAU Specialist will follow up with the vendor and/or the manager of the group. Copies of this response are associated in the DAU file and the vendor's file. The original response is associated with the complaint information in the MRU file. If no further action is needed, the complaint is closed.

If the complaint or allegation is of an egregious nature (which could include illegal/criminal activity, sexual harassment, cultural insensitivity, allegations compromising the health and safety of claimants), special action will be taken depending on the specific complaint. Many of the steps mentioned in the first segment above would be repeated in most situations. If necessary and as appropriate, referrals would be put on hold, an onsite visit would be made, a referral would be sent to the Fraud Unit, and/or law enforcement would be contacted. Investigations may include contacts with the Illinois Department of Financial and Professional Regulation. The Department of Children and Family Services, the Illinois Department on Aging's Elder Abuse and Neglect Program, or the Office of Inspector General would be contacted if abuse is suspected. In some instances consultants are removed from the CE Panel. MRU files are documented with a description of actions taken and include copies of pertinent correspondence. SSA staff in Chicago Regional Office and other SSA or BDDS staff would be contacted, as appropriate. If we receive a CE complaint from SSA Chicago Regional Office, we will investigate and work with Regional Office staff.

Good Judgement

Good judgement must be exercised by all staff. The procedure outlined above will apply in most situations; however, in emergency situations, such as those involving the safety of an individual, Auxiliary Division Administrator, and the Deputy Director will be notified immediately of the complaint. If one is absent, it is necessary to proceed immediately up the chain of command.

Special Procedures

If a complaint is received by the media, the Deputy Director must be notified immediately. We do not rely on email or voice mail messages but personally notify the Deputy Director. We will not discuss with the media any aspect of the complaint or even acknowledge that we have a claim. (All media requests are forwarded to our parent Agency.)

2. Attach a list of completed onsite reviews of CE providers.



FOR OVERSIGHT FFY

3. Attach a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch.



4. Provide the total number of CE providers on the panel.

672

5. Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs.

New Panel Members

The Medical Relations Supervisor will review inactive files and purged lists to determine if the potential provider was ever on the CE Panel and if there were any problems. Regardless of the findings, a new license check will be initiated by accessing the Illinois Department of Financial and Professional Regulation (IDFPR) website <u>www.idfpr.com</u>. The IDFPR routinely monitors the Federation of State Medical Boards (FSMB) database for any sanctioned providers. MRU will also access the HHS Office of Inspector General website <u>http://exclusions.oig.hhs.gov/</u> for any sanctioned providers. New providers are required to submit a completed Medical Facility Usability Survey form to determine if the office/building is accessible. If the consultant's license is active, the consultant is a specialty need, there is no history of discipline, and the site is accessible, the consultant's file will be sent to BDDS Administration for approval.

If the consultant does not have an active license or if there is a history of discipline, a contact will be made with a representative from the Illinois Department of Financial and Professional Regulation for additional information regarding any problems. Action taken will depend on the individual situation.

A consultant that is board certified is asked to provide a copy of his/her certificate. Expiration dates for board certification vary depending on the specialty.

Reviews of Existing CE Panel Members

The MRU Supervisor or MRU Specialist accesses the Internet for the monthly list of sanctioned medical providers from the Illinois Department of Financial and Professional Regulation.

MRU staff will inform BDDS Administration of any CE Panel Members sanctioned or under investigation either by the Illinois Department of Financial and Professional Regulation or by legal authorities. BDDS Administration provides direction of action to be taken.

During the onsite visit the reviewer will ensure licenses /certifications are prominently posted. BDDS staff will also review the facility for accessibility. Staff from Chicago Regional Office are invited to participate in these onsite reviews.

All new providers are required to sign a License/Credentials Certification form which includes a statement verifying all support staff who participate in the consultative examination process and any third parties who conduct studies purchased by the Illinois BDDS meet all appropriate licensing or certification requirements of the State.

Periodically the MRU Supervisor may receive information from SSA and/or BDDS staff regarding doctors who have appeared in the news for questionable activity. All leads are

investigated. Professional Relations Officers from other states contact staff in the Medical Relations Unit to inquire about any consultants who may have practiced in Illinois or been on the CE Panel. Likewise, our Medical Relations Unit Staff will contact MPROs from other states to request information regarding CE or potential CE Panel members. In Illinois, as well as bordering states, license expire at different yearly intervals, depending on the specialty of the CE provider. The MRU Supervisor follows up on renewals and keeps Administration informed. The Health and Human Services Office of Inspector General (HHS-OIG) website is accessed annually to ensure that no current CE Panel Members are on the List of Excluded Individuals/Entities (LEIE). 6. Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation. BDDS staff conducts onsite reviews of high volume vendors and ensures these consultants, as well as all support staff, are properly licensed or credentialed as required by State law or regulations and licenses are prominently posted. Most of the Illinois CE providers refer ancillary testing to local community hospitals. All vendors are required to sign a statement that all support staff and any other third parties who conduct studies for the BDDS meet all appropriate licensing or certification requirements of the State as required by SSA regulations. 7. Provide a description of any CE/MER fee schedule changes (include a description of any volume medical provider discounts). Automobile travel)claimants travel to CE) was changed from 56.5 cents/mile to 56 cents/mile. Travel must be at least 50 miles round trip to qualify for reimbursement. 8. Upload fee schedules to the MPRO SharePoint site. SCHEDULE mol 9. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc. In the past federal fiscal year the Illinois BDDS has continued to encourage and recruit CE vendors into ERE. There are currently 92 Illinois CE providers that have active accounts on the ERE website. Currently, CE providers are only added to the Panel with the

understanding they will send reports by fax or by using the ERE website. Illinois BDDS

DDS CE Oversight Report

consistently has received 98%-99% of all CE reports as ERE documents. Recruitment and orientation include the information needed to fax or send reports on the website. Illinois BDDS also sends referrals outbound from the BDDS to many providers. We continue to coordinate ERE outreach with recruitment of new CE Panelists.

MER outreach is ongoing, continues to require the most effort and uses the most resources for the Illinois BDDS. An email address for obtaining information about ERE accounts is included on all MER requests. That opportunity for additional information has resulted in numerous new ERE accounts for medical providers, schools and legal representatives. In addition, the number of vendors accepting requests via outbound fax and eOR has significantly increased. We have exhibited at the Southern Illinois Health Information Management annual conference and the Illinois Health Information Management conference.

Please attach any additional information before submitting this form.

CE ONSITE VISITS IN FFY 2014

ARTHRITIS & INTERNAL MEDICINE SPECIALISTS, LTD (AIMS)

| Office addresses: | |
|---------------------------|---|
| Vendor ^{(b) (6)} | 30 S Michigan Ave Ste 404 |
| | Chicago IL 60603 |
| | Site visited 11/06/13 |
| Vendor ^{(b) (6)} | Elgin Physical Health Center |
| | 1510 Larkin Ave Ste B |
| | Elgin IL 60123 |
| | Site visited 11/19/13 |
| Vendor ^{(b) (6)} | 9700 Kenton St Ste 405 |
| | Skokie IL 60076 |
| | Site visited 12/11/13 |
| Vendor ^{(b) (6)} | Doctors Office of Zion – (On HOLD since 09/14/11) |

2606 Elisha Ave Zion IL 60099 Site last visited 06/20/11

VITTAL CHAPA MD (BC Internist)

| Office addresses: | |
|---------------------------|--|
| Vendor ^(b) (6) | Memorial Healthcare Center |
| | 800 E Hwy 50 |
| | O'Fallon IL 62269 |
| | (Duals with Harry Deppe PhD (b) (6)) |
| | Site visited 07/17/14 |
| Vendor ^{(b) (6)} | Proctor First Care |
| | 2535 E. Washington |
| | East Peoria, Illinois 61611 |
| | Site visited 09/16/14 |
| Vendor ^{(b) (6)} | Effingham Medical Center |
| | 900 W Temple Ste 203 |
| | Effingham IL 62401 |
| | (Duals with Jerry L. Boyd PhD (b) (6)) |
| | Site visited 02/25/14 |
| Vendor ^{(b) (6)} | (b) (6) Springfield, Illinois 62703 New site added 09/11/13; replaced (b) (6) Site visited 01/15/14 |
| | |

CHICAGO CONSULTING PHYSICIANS (CCP)

Office address: Vendor ^{(b) (6)}

180 N Michigan Ave Ste 1600 Chicago IL 60601 Site visited 02/27/14

HARRY DEPPE PhD

| Office addresses: | |
|--------------------------------------|---|
| Vendor ^(b) ⁽⁶⁾ | Memorial Healthcare Center |
| | 800 E Hwy 50 |
| | O'Fallon IL 62269 |
| | (Singles) |
| | Site visited 07/17/14 |
| Vendor ^(b) (6) | Memorial Healthcare Center |
| | 800 E Hwy 50 |
| | O'Fallon IL 62269 |
| | (Duals with Vittal Chapa MD ^(b) (⁶⁾) |
| | Site visited 07/17/14 |
| Vendor ^(b) (6) | Gateway Medical Office Bldg |
| | 2044 Madison Ave Ste 21 |
| | Granite City, IL 62040 |
| | (Duals with Adrian Feinerman MD (b) (6)) |
| | Site visited 04/15/14 |
| Vendor ^{(b) (6)} | Stedelin Realty Building (w/ Dr. Feinerman) |
| | 126 S Lincoln Blvd |
| | Centralia, IL 62801 |
| | (Duals with Adrian Feinerman MD (b) (6)) |
| | Site visited 09/03/14 |
| Vendor ^(b) (6) | Miners Memorial Health Center |
| | 2553 Ken Gray Blvd |
| | West Frankfort IL 62896 |
| | (Duals with Adrian Feinerman MD (b) (6) - Dr. Deppe alternates |
| | weeks with Dr. Peterson (b) (6).) |
| | Site visited 07/28/14 (office shared by Dr. Feinerman (b) (6) and |
| | Dr. Peterson (b) (b) |
| | |

ADRIAN D FEINERMAN MD

Office addresses: Vendor ^{(b) (6)}

Feinerman Family Practice 205 W Davis (behind bank) Ava, IL 62907 Site not visited – very low volume

ADRIAN D FEINERMAN MD (cont.)

| Office addresses: | |
|--------------------------------------|--|
| Vendor ^(b) ⁽⁶⁾ | Gateway Medical Office Bldg |
| | 2044 Madison Ave Ste 21 |
| | Granite City IL 62040 |
| | (Duals with Harry Deppe PhD) |
| | Site visited 04/10/14 |
| Vendor ^{(b) (6)} | Feinerman Family Practice |
| | 501 W Illinois St |
| | Steeleville, IL 62288 |
| | Site not visited – very low volume |
| Vendor ^{(b) (6)} | Miners Memorial Health Center |
| | 2553 Ken Gray Blvd |
| | West Frankfort, IL 62896 |
| | (Duals with Harry Deppe PhD (b) (6) and James Peterson PhD (b) (6) |
| | Site visited 07/28/14 |
| Vendor ^{(b) (6)} | Stedelin Realty Building |
| | 126 S. Lincoln Blvd |

Dr

Stedelin Realty Building 126 S Lincoln Blvd Centralia, IL 62801 (Duals with Harry Deppe PhD (b) (6)) Site visited 09/03/14

GOZI MED & OCC HLTH LTD - Dr. Ezike Office addresses:

| Office addresses: | |
|---------------------------|-------------------------------|
| Vendor ^{(b) (6)} | Gozi Medical & Occ Health Ltd |
| | c/o Kidney Care Center |
| | 812 Campus Drive |
| | Corner of Black Rd & Campus D |
| | Joliet IL 60435 |
| | Site visited 02/28/14 |
| | |

Vendor ^(b) ⁽⁶⁾

Gozi Medical & Occ Health Ltd Advocate South Suburban Hosp Physician Pavilion 17850 S Kedzie Ave Ste 3000 Hazel Crest IL 60429 Site visited 02/28/14

JEFFREY T KARR PHD

Office address: Vendor (b) (6)

10540 S Western Ste 501 Chicago IL 60643 Site visited 03/06/14

LAKE SHORE MEDICAL CLINIC

Office address: Vendor ^{(b) (6)}

30 N Michigan Ave Ste 600 Chicago IL 60602 Site visited 08/14/14

MARK B LANGGUT PhD

Office addresses: Vendor (b) (6)

180 N Michigan Ave Ste 340 Chicago IL 60601 Site visited 11/07/13

Vendor ⁽⁶⁾ (6) Lake & Harlem 1140 Lake St Ste 504 Oak Park IL 60302 Site visited 11/20/13

> Fox River Center 110 E Main St Ste 309 Ottawa IL 61350

> > Site visited 03/28/14

Vendor ^{(b) (6)}

Vendor ^(b) ⁽⁶⁾

Medical Building 111 N Church St Rockford IL 61101 Site visited 11/15/13 (office shared by Dr. Ramchandani (b) (6))

PSYCOLOGY CONSULTANTS PC

<u>Office addresses</u>: Vendor ^{(b) (6)}

Psychology Consultants PC 800 W 5th Ave Ste 203A Naperville IL 60563 Site visited 03/27/14

Vendor (b) (6

Psychology Consultants PC City Plaza Bldg 555 N Court St Suite 100 Lower Level Rockford IL 61103 Site visited 11/14/13

PHYSICIANS MANAGEMENT NORTH (PMN)

| <u>Office a</u> ddress: | |
|---------------------------|-----------------------|
| Vendor ^{(b) (6)} | 48 S Old Rand Rd |
| | Lake Zurich IL 60047 |
| | Site visited 08/07/14 |

PHYSICIANS MANAGEMENT SYSTEM (PMS)

Office address: Vendor (b) (6)

205 W Randolph Ste 750 Chicago IL 60606 Site visited 06/25/14

PHYSICIANS MANAGEMENT WEST INC (PMW)

Office address:

Vendor ^{(b) (6)}

1440 W North Ave – Rm 308 Melrose Park, IL 60160 Site visited 12/12/13

PHYSICIANS MANAGEMENT JUSTICE (PMJ)

Office address: Vendor (b) (6)

Forest Med-Surg Ctr 9050 W 81st St 2nd Fl Justice IL 60458 Site visited 03/26/14

K (Kamlesh) P RAMCHANDANI MD (BC Internist)

Office address: Vendor ^{(b) (6)}

One Eleven Medical Clinic 111 N Church St Rockford IL 61101 Site visited 11/15/13

GREGORY C RUDOLPH PhD

Office addresses:

Midwest Therapy Center 10021 South Western Avenue Chicago IL 60643 Site visited 03/05/14

Vendor ^{(b) (6)}

Vendor (b) (6)

1702 Washington St Ste 202 Waukegan IL 60085 Site visited 06/26/14

SIGNATURE CONSULTING & PSY SVCS

Office addresses: Vendor (b) (6)

2210 Dean St Ste I St. Charles IL 60175 Site visited 08/06/14



128 N Sacramento St Sycamore IL 60178 Site visited 08/15/14

SIGNATURE CONSULTING & PSY SVCS (cont.)

Office addresses: Vendor (b) (6)

Proctor First Care 1120 E War Memorial Drive Peoria Hts IL 61616 Site visited 09/16/14

AFIZ A TAIWO MD MPH

Office addresses:

| Vendor ^{(b) (6)} | Matzner Chiropractic Clinic |
|---------------------------|-----------------------------|
| | 1712 S Duncan Rd Ste B |
| | Champaign IL 61822 |
| | Site visited 07/18/14 |
| | |

Vendor ^(b) ^(b)

Office Apex 605 N. Logan Ste 1 Danville, IL 61832 Site visited 07/18/14

Vendor ^{(b) (6)}



Vendor ^{(b) (6)}

Proctor First Care 2535 E. Washington Street East Peoria, IL 61611 Site visited 09/19/14

Vendor ^(b) ⁽⁶⁾

Cottage Medical Plaza Seminary Building 834 N Seminary St Ste 201 Galesburg IL 61401 Site visited 12/19/13



Perry Memorial Hospital – Main Entrance 530 Park Ave East 3rd Floor-Specialty Clinic Princeton IL 61356 Site visited 04/17/14

STEPHEN G VINCENT PhD

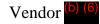
<u>Office addresses:</u> Vendor ^{(b) (6)}

Heritage Behavioral Ctr 151 N Main St 2nd Fl Decatur IL 62523 Site visited 01/14/14

STEPHEN G VINCENT PhD (cont.)

| <u>STEPHEN G VIN</u> | <u>ICENT PhD (cont.)</u> |
|---------------------------|---|
| Office addresses: | |
| Vendor ^{(b) (6)} | 1358 West Delmar Ave |
| | D'Adrian Professional Park |
| | Godfrey IL 62035 |
| | (Duals with West Park Med Clinic - Dr. Leung (b) (6)) |
| | Site visited 12/12/13 |
| | |
| Vendor ^(b) (6) | Gateway Medical Office Bldg |
| venuor | 2044 Madison Ave Ste 21 |
| | Granite City IL 62040 |
| | (Duals with West Park Medical Clinic - Dr. Leung (b) (6) |
| | Site visited 06/18/14 |
| | She vished 00/18/14 |
| Vendor ^{(b) (6)} | 450 S Durkin Dr Ste C |
| Vendor | Springfield IL 62704 |
| | Site visited 01/13/14 |
| | She vished 01/13/14 |
| Vendor ^(b) (6) | 331 Fulton St |
| | Suite 435 |
| | Peoria IL 61602 |
| | Site visited 08/20/13 (HOLD 10/17/13-may be used if needed) |
| | |
| WEST PARK ME | EDICAL CLINIC INC – Dr. Leung |
| Office addresses: | |
| Vendor ^(b) (6) | 1358 West Delmar Ave |
| | D'Adrian Professional Park |
| | Godfrey IL 62035 |
| | Clinic Ph 618-474-5059 |
| | (Duals with Stephen Vincent PhD (b) (6)) |
| | Site visited 12/12/13 |
| | |
| Vendor ^(b) (6) | Gateway Medical Office Bldg |
| | 2044 Madison Ave Ste 21 |
| | Granite City IL 62040 |
| | (Duals with Stephen Vincent PhD (b) (6)) |
| | Site visited 06/18/14 |
| | |
| Vendor ^(b) (6) | Healing Hands Chiropractic |
| | - A |

lor (6) (6) Healing Hands Chiropractic 2801 Broadway St Mt Vernon IL 62864 Site visited 07/29/14



Irvine Neck & Back Chiropractic 1024 Main St Quincy IL 62301 Site not visited – Low volume

KEY PROVIDERS ADDRESSES with ROSTERS

ARTHRITIS & INTERNAL MEDICINE SPECIALISTS, LTD. (AIMS)

CORRESONDENCE ADDRESS FOR ALL OFFICES

Attn: Scott Kale MD (ATTN line on complaint letters-not on CE screens) 30 S Michigan Ave Ste 404 Chicago IL 60603 Ph 312-726-5617 or 312-781-9979; Fax 312-372-4373 Hours: M-F 8a-4p

Contacts: (b) (6) **Business Office in Chicago**

Manager: (b) (6)- 312-781-0880 (b) (6)

Medical Assistant

*Dr. Kale no longer in practice but does still own the business---per (b) (6) 3/5/12 (b) E-Mail Addresses: (Dr. Kale) (b) (6)

(b) (6)

(primary email)

| Office addresses: | | IN – Roopa Karri MD (BC) |
|---------------------------------|-------------------------------|---------------------------------------|
| Vendor ^{(b) (6)} | 30 S Michigan Ave Ste 404 | IN – Liana Palacci DO (BC) |
| Cook Co | Chicago IL 60603 | IN – Peter Biale MD (BC) |
| | Clinics: (b) (6) 312-726-5616 | IN – Henry Fine MD (also at PMJ) |
| | | PG – Christine Kieffer MD(BC) |
| | | PG – Gregory Rudolph PhD (also indep) |
| | | PS – Kelly Johnson MD(not BC) |
| | | |
| Vendor ^{(b) (6)} | Elgin Physical Health Ctr | IN – Roopa Karri MD (BC) |
| Kane Co | 1510 Larkin Ave Ste B | IN – Peter Biale MD (BC) |
| | Elgin IL 60123 | |
| | Clinics: (b) (6) 847-697-2211 | |
| | | |
| Vendor ^{(b) (6)} | 9700 Kenton St Ste 405 | IN – Roopa Karri MD (BC) |
| Cook Co | Skokie IL 60076 | IN – Peter Biale MD (BC) |
| | Clinics: (b) (6) 847-674-3332 | PG – Joan Hakimi MD (BC) |
| | | PS – Kelly Johnson MD(not BC) |
| | | |
| Vendor ^{(b) (6)} -HOLD | Doctors Office of Zion | HOLD – 09/14/11 - NO MDs |
| Lake Co | 2606 Elisha Ave | |
| | Zion IL 60099 | |
| | Clinics: (b) (6) 847-674-3332 | |

VITTAL CHAPA MD (BC Internist)

CORRESPONDENCE ADDRESS FOR ALL OFFICES:

138 E Dean PO Box 138 Virden IL 62690 (b) (6)

Contacts: (b) (6) E-Mail Address:

ldress: (b) (6)

| Office addresses: | | |
|---------------------------|-----------------------------------|---|
| Vendor ^{(b) (6)} | Memorial Healthcare Center | (Duals w/Harry Deppe PhD (b) (6). |
| St Clair Co | 800 E Hwy 50 | (b) (6) w/Chapa schedules for both Drs) |
| | O'Fallon IL 62690 | (replaced (b) (6)01/13) |
| | | |
| Vendor ^(b) (6) | Effingham Medical Center | (Duals w/ Jerry Boyd PhD (b) (6). |
| Effingham Co | 900 W Temple Ste 210 | (b) (6) w/Chapa schedules for both Drs) |
| | Effingham IL 62401 | |
| | | |
| Vendor ^{(b) (6)} | Proctor First Care | |
| Peoria Co | 2535 E. Washington | |
| | East Peoria, Illinois 61611 | |
| | | |
| Vendor ^(b) (6) | Capital Healthcare (no DPs) | (set up to pay Prairie Cardiovascular |
| Sangamon Co | 2603 S 6 th Street | for TM) |
| | Springfield IL 62703 | (replaced (b) (6)09/13) |
| | | |
| Vendor ^{(b) (6)} | Capital Healthcare (all Dopplers) | (set up to pay MMC for DP) |
| Sangamon Co | 2603 S 6 th Street | |
| | Springfield IL 62703 | (replaced (b) (6)09/13) |

CORRESPONDENCE ADDRESS (same

| 011101100000 | | |
|---------------------------|--|-----------------------------------|
| COF | RRESPONDENCE ADDRESS (same as offic | e address): |
| Vendor ^{(b) (6)} | Attn: James A Runke MD, Medical Dire | ector (ATTN on complaint letters- |
| Cook Co | 180 N Michigan Ave Ste 1600 | not on CE screens) |
| | Chicago IL 60601 (new address & ph eff 5/31/ | 11) |
| | Ph 312-855-1414; Fax <u>312-855-021</u> 6 | |
| | Contacts: Office Mgr (b) (6) | |
| | E-Mail Address: (b) (6) | |

| IN - James Runke MD (BC) | IN – Carolyn Hildreth MD(BC) |
|---|---|
| IN - Jeffrey Ryan MD (BC) | IN – Ramesh Kharwadkar MD (also at PMJ) |
| IN – Donald Pochyly MD (BC) | |
| | |
| FP - Joseph Youkhana MD (BC) | |
| | |
| NE – NONE | |
| | |
| OP – David Hillman MD (BC) | OP - Steven C Eidt MD (BC 11/14/04-12/13/14) |
| | |
| OR – James Elmes MD (BC) | |
| | |
| PE – Dave Miller MD (BC) | PE – Daksha Patel MD (BC) |
| | |
| PS - Zvezdana Djuric-Bijedic MD | PS – Myrtle Mason MD (BC |
| PS - John Franklin MD (BC) | PS - Angeles Gonzalez MD (BC) |
| | |
| Child Psychiatry | |
| PS – NONE | |
| DC Hammer Eric Iaan DeeD | DC Dehart Dressett DhD |
| PG - Harvey Friedson PsyD | PG – Robert Prescott PhD |
| PG - Angelica Ortiz PsyD | PG – Elaine Rado PhD |
| PG - Patricia Morrin, PsyD | |
| PG – Kari Poby PsyD | |
| Sneech Language | |
| Speech-Language | |
| Kathryn (Katie) (Boyd) O'Brien MS CCC-SLP | Melanie Boyd CCC-SLP |
| Jennifer Knudson CCC-SLP | Mary-Beth Madden CCC-SLP |
| Avital Rabin CCC-SLP | Thomas Chibucos MS CCC-SLP (Spanish) 12/01/11 |
| Lisa Salvatore SLP (Spanish) 06/27/13 | |

<u>CCP (continued)</u>

| Radiology | Joliet Radiol | Joliet Radiological Services | |
|------------------------|---------------|------------------------------|--|
| | | | |
| Office Staff | | | |
| Joanne Runke Co-Owner | | | |
| (b) (6) Office Manager | (b) (6) | Associate Office Manager | |
| | | | |
| Medical Assistants | | | |
| (b) (6) | | | |
| | | | |
| | | | |
| | | | |
| | | | |

HARRY DEPPE PhD Email address: (b) (6)



(BLOCK BOOK @ (b) (6))

| Office addresses: | | Correspondence addresses: |
|--------------------------------------|--------------------------------|------------------------------------|
| Vendor ^(b) ⁽⁶⁾ | Miners Memorial Health Ctr | c/o Adrian Feinerman MD |
| Franklin Co | 2553 Ken Gray Blvd | Feinerman Family Practice |
| | West Frankfort IL 62896 | 501 W Illinois St |
| | (b) (6) | Steeleville IL 62288 |
| | Duals w/A Feinerman MD (b) (6) | (b) (6) RN schedules both Drs |
| Vendor ^{(b) (6)} | Gateway Medical Office Bldg | c/o Adrian Feinerman MD |
| Madison Co | 2044 Madison Ave Ste 21 | Feinerman Family Practice |
| | Elevator B | 501 W Illinois St |
| | Granite City, IL 62040 | Steeleville IL 62288 |
| | (b) (6) | |
| | Duals w/A Feinerman MD (b) (6) | (b) (6) RN schedules both Drs |
| Vendor ^{(b) (6)} | Stedelin Realty Building | c/o Adrian Feinerman MD |
| Marion Co | 126 S Lincoln Blvd | Feinerman Family Practice |
| | Centralia, IL 62801 | 501 W Illinois St |
| | (b) (6) | Steeleville IL 62288 |
| | Duals w/A Feinerman MD (b) (6) | (b) (6) RN schedules both Drs |
| Vendor ^{(b) (6)} | Memorial Healthcare Center | c/o Vittal Chapa MD |
| St Clair Co | 800 E Hwy 50 | 138 E Dean |
| | O'Fallon, IL 62269 | PO Box 138 |
| | (b) (6) | Virden IL 62690 |
| replaced (b) (6) | Duals w/V Chapa MD (b) (6) | (b) (6) w/Chapa schedules both Drs |
| Vendor ^{(b) (6)} | Memorial Healthcare Center | PO Box 314 |
| St Clair Co | 800 E Hwy 50 | Belleville IL 62222 |
| | O'Fallon, IL 62269 | (independent, replaced (b) (6)) |
| | (b) (6) | |

ADRIAN D FEINERMAN MD (Internist)

CORRESPONDENCE ADDRESS FOR ALL OFFICES

501 W Illinois St

Steeleville, IL 62288 (b) (6) Contact: (b) (6) RN Office phone rolls over to (b) (6) DO NOT CALL (b) (6) CELL DIRECTLY UNLESS URGENT E-mail address: (b) (6)

| Office addresses: | | |
|---------------------------|-----------------------------|----------------------------------|
| Vendor ^{(b) (6)} | Feinerman Family Practice | |
| Randolph Co | 501 W Illinois St | |
| | Steeleville, IL 62288 | |
| | | |
| Vendor ^{(b) (6)} | Feinerman Family Practice | |
| Jackson Co | 205 W Davis (behind bank) | |
| | Ava, IL 62907 | |
| | Ph 618-426-3394 | |
| | | |
| Vendor ^{(b) (6)} | Miners Memorial Health Ctr | (Duals w/H Deppe PhD (b) (6) and |
| Franklin Co | 2553 Ken Gray Blvd | J Peterson PhD (b) (6) |
| | West Frankfort, IL 62896 | (b) (6) schedules both Drs) |
| | | |
| Vendor ^(b) (6) | Gateway Medical Office Bldg | (Duals w/H Deppe PhD (b) (6) |
| Madison Co | 2044 Madison Ave Ste 21 | (b) (6) schedules both Drs) |
| | Elevator B | |
| | Granite City IL 62040 | |
| | | |
| Vendor ^{(b) (6)} | Stedelin Realty Building | (Duals w/H Deppe PhD (b) (6) |
| Marion Co | 126 S Lincoln Blvd | (b) (6) schedules both Drs) |
| | Centralia IL 62801 | |

GOZI MED & OCC HLTH LTD (Dr. Ezike) (Internist) (BLOCK BOOK @ ALL SITES) Email Address: (b) (6) Phone 773-663-4669; Fax 708-579-9573

| Office addresses: | | Correspondence addresses: |
|--------------------------------------|--|--|
| Vendor ^(b) ⁽⁶⁾ | Gozi Medical & Occ Health Ltd | Gozi Medical & Occ Health Ltd |
| Cook Co | Advocate South Suburban Hosp | PO Box 379 |
| | Medical Office Center | Hazel Crest IL 60429 |
| | 17850 S Kedzie Ave Ste 3000 | |
| | Hazel Crest IL 60429 | Duals w/Michael Stone (b) (6) |
| | | |
| | IN – Stanley Simon MD | IN – ChukwuEmeka Ezike MD(BC) |
| | IN – Charles Carlton MD | IN – William Lopez MD |
| | IN – Albert Osei MD(BC) | IN – Amit Joshi MD |
| | IN – Norbert DeBiase MD | |
| Vendor ^{(b) (6)} | Gozi Medical & Occ Health Ltd | Gozi Medical & Occ Health Ltd |
| Will Co | c/o Kidney Care Center | 812 Campus Drive |
| () III CO | 812 Campus Drive | Corner of Black Rd & Campus Dr |
| | Corner of Black Rd & Campus Dr | Joliet IL 60435 |
| | Joliet IL 60435 | |
| | | |
| | IN – Stanley Simon MD | IN – ChukwuEmeka Ezike MD(BC) |
| | IN – Charles Carlton MD | IN – William Lopez MD |
| | IN – Albert Osei MD(BC) | IN – Amit Joshi MD |
| | IN – Norbert DeBiase MD | |
| Vendor ^{(b) (6)} (PG) | Gozi Medical & Occ Health Ltd-Brenzinger | Mark Brenzinger PsyD |
| Will Co | c/o Kidney Care Center | 869 E Schaumburg Rd #252 |
| | 812 Campus Drive | Schaumburg IL 60194 |
| | Corner of Black Rd & Campus Dr | Brenzinger requested this corr. address 9/9/14 |
| | Joliet IL 60435 | |
| | PG - Thomas Brenzinger PsyD | |
| | | |
| Vendor (b) (6) (PG) | Gozi Medical & Occ Health Ltd-Thomas | Gozi Medical & Occ Health Ltd |
| Will Co | c/o Kidney Care Center | 812 Campus Drive |
| | 812 Campus Drive | Corner of Black Rd & Campus Dr |
| | Corner of Black Rd & Campus Dr | Joliet IL 60435 |
| | Joliet IL 60435 | |
| | PG – Kelly Thomas PhD | |

JEFFREY T KARR PHD

| <u>CORRESPONDENCE ADDRESS (same as office address):</u> | | |
|---|--|--|
| Vendor ^(b) (6) 10540 S Western Ste | b) (6) 10540 S Western Ste 501 | |
| Cook Co Chicago IL 60643 | | |
| (b) (6) | | |
| Fax for emergency on | ly (b) (6) | |
| Email Address: (b) | 6) | |
| | | |
| LAKE SHORE MEDICAL CLINIC | | |
| | DRESS (same as office address): | |
| | Director (ATTN line on complaint letters-not on CE screens) | |
| Cook Co 30 N Michigan Ave | | |
| Chicago IL 60602 | | |
| Ph 312-558-9190; Fax | x 312-558-9051 | |
| Contact: (b) (6) Practice | Mgr or (b) (6), Medical Asst | |
| Primary Email Address: (b) (6) | | |
| (Alternate E-Mail Address:) (b) | (6) | |
| | | |
| CD – Mukesh Jain MD (BC) | TM & TM Monitor only-no CD exam-(PAG 4/5/07) | |
| IN Utiton Condon MD (DC) | IN Dechalle Herrice MD (DC) | |
| IN - Hilton Gordon MD (BC) | IN - Rochelle Hawkins MD (BC) | |
| IN – Fauzia Rana MD | | |
| OR – Thomas Oryszczak MD | PE - Rita George MD (BC) | |
| Thomas Oryszczak MD | | |
| PS - Ana Gil MD (BC) | PS – Harley Rubens MD | |
| | | |
| PG - Michael Stone PsyD | PG – Edmond Yomtoob PsyD | |
| | | |
| <u>Radiologist</u> | Milroy Emmanuel MD (BC) | |
| Radiology Technician | | |
| | | |
| Office Staff | | |
| (b) (6) - Clinic Director | (b) (6) - Practice Mgr | |
| (b) (6) – Administrative Assistant | | |
| N# 11 1 A 14 4 | | |
| Medical Assistants | | |
| (b) (6) | | |

MARK B LANGGUT PhD (BLOCK BOOKER @ (b) (6)

CORRESPONDENCE ADDRESS FOR ALL OFFICES:

| 180 N Michigan Ave | Ste 340 |
|--------------------|---------|
| Chicago IL 60601 | |
| (b) (6) | |
| (b) (6) Office | Mgr |
| | (6) |

| Office addresses: | | |
|---------------------------|----------------------------|--|
| Vendor ^{(b) (6)} | 180 N Michigan Ave Ste 340 | (block) |
| Cook Co | Chicago IL 60601 | |
| | | |
| Vendor ^{(b) (6)} | Fox River Center | (block) |
| LaSalle Co | 110 E Main St Ste 309 | |
| | Ottawa IL 61350 | |
| | | |
| Vendor ^{(b) (6)} | 1010 W Lake Ste 603B | (block) |
| Cook Co | Oak Park IL 60302 | (replaced (b) (6) 7/1/14) |
| | | |
| Vendor ^{(b) (6)} | Medical Building | (batch) |
| Winnebago Co | 111 N Church St | (Same bldg as K P Ramchandani MD (BC) (b) (6)) |
| | Rockford IL 61101 | |

JOHN PEGGAU PsyD

(BLOCK BOOKER BOTH SITES)

CORRESPONDENCE ADDRESS FOR ALL OFFICES:

Psychology Consultants PC 1426 Ferncroft Ct Naperville IL 60563 Ph 630-745-0080; Fax 630-548-0095 Email address: (b) (6)

| Office addresses: | | |
|---------------------------|------------------------------------|--|
| Vendor ^{(b) (6)} | Psychology Consultants PC | PG – John Peggau PsyD |
| DuPage Co | 800 W 5 th Ave Ste 203A | PG – Julie Harris PsyD |
| | Naperville IL 60563 | PG – Dianna Krucera PsyD |
| | | |
| Vendor ^{(b) (6)} | Psychology Consultants PC | PG – John Peggau PsyD |
| Winnebago Co | City Plaza Bldg | PG – Julie Harris PsyD |
| | 555 N Court St | PG – Dianna Krucera PsyD |
| | Suite 100 Lower Level | PG – Christina Rudawski PsyD (to be inact) |
| | Rockford IL 61103 | PG - Peter Thomas PsyD |

)

PHYSICIANS MANAGEMENT

PHYSICIANS MANAGEMENT JUSTICE (PMJ)

CORRESPONDENCE ADDRESS (same as office address):

Vendor (b) (6 Attn: Ellie Wojcik, President (ATTN line on complaint letters-not on CE screens) Cook Co **Forest Med-Surg Ctr** 9050 W 81st St 2nd Fl Justice IL 60458 Ph 708-924-0811; Fax 708-924-0772 Contacts: (b) (6) Email address: (b) (6)

| IN – Peter Biale MD (BC) | IN – Mahesh Shah MD (BC) |
|-------------------------------|---------------------------------------|
| IN – Debbie Weiss MD (BC) | IN – Ramesh Kharwadkar MD (also @CCP) |
| | |
| PS – Henry Fine MD (also CCP) | PS – Herman Langner MD (BC) |
| | |
| PG – Carol Reid PsyD | FP – NONE |
| | |
| PE – Asha Kharwadkar MD | SLP – DiAnne Bielinsky CCC |

PHYSICIANS MANAGEMENT NORTH (PMN)

CORRESPONDENCE ADDRESS (same as office address):

| Vendor | (b) | (6) |
|---------|-----|-----|
| Lake Co |) | |

Attn: Ellie Wojcik, President (ATTN line on complaint letters-not on CE screens) 48 S Old Rand Rd Lake Zurich IL 60047 Ph 847-438-2021; Fax 847-438-0010 Contacts: (b) (6) Email address: (b)

A 1 ·

| IN – Jorge Aliaga MD | IN – Debbie Weiss MD (BC) |
|----------------------------|---|
| IN – Mahesh Shah MD (BC) | IN – Peter Biale MD (BC) |
| IN – Maria Powell MD | |
| | |
| PG – Matthew Galloucis PhD | PG – Timothy Sterzik PsyD |
| PG – Anthony Peterson PsyD | PG – Don White PhD |
| PG – Laura Higdon PhD | PG – Andrew Beatty PhD (3/21/14) |
| | (per p/c (b) (6) -Beatty not back yet—they know he has to call DDS 1^{st}) |
| PS – Chirag Raval MD | SLP – DiAnne Bielinsky CCC |

| PHYSICIANS MANAGEMENT (cont) | | |
|---|---|--|
| PHYSICIANS MANAGEMENT SYSTEM (PMS) | | |
| CORRESPONDENCE AD | DRESS (same as office address): | |
| Vendor ^{(b) (6)} Attn: Ellie Wojcik, | President (ATTN line on complaint letters-not on CE screens) | |
| Cook Co 205 W Randolph S | te 750 | |
| Chicago IL 60606 | | |
| Ph 312-85 <u>3-3666; Fa</u> | | |
| Contacts: (b) (6) | Ofc Mgr/XR Tech/Lab & DP | |
| (b) (6) | Sched/PFT/DP; or (b) (6) Sched/PFT | |
| Email address: (b) (6) | | |
| IN – Peter Biale MD (BC) | IN – Alexander Panagos MD (BC) | |
| IN – Mahesh Shah MD (BC) IN – Ramesh Kharwadkar MD | | |
| | | |
| PS – Henry Fine MD (also CCP) ? PS – Laron Phillips MD | | |
| | | |
| PG – Don White PhD PG – Carol Reid, PsyD | | |
| PG – Karen Jaffe Psy D ? | | |
| ¥ | | |
| PE – Asha Kharwadkar MD | SLP – DiAnne Bielinsky CCC | |

PHYSICIANS MANAGEMENT WEST INC (PMW)

| Vendor(b) (6)Attn: Ellie Wojcik, President (ATTN line on complaint letters-not on CE screens)Cook Co1440 W North Ave Rm 308Melrose Park IL 60160Ph 708-345-7035; Fax 708-345-7010ContractorContractorContractor(b) (c) |
|--|
| Melrose Park IL 60160 Ph 708-345-7035; Fax 708-345-7010 |
| Ph 708-345-7035; Fax 708-345-7010 |
| |
| |
| Contacts: (b) (6) |
| Email Address: (b) (6) |
| IN – Peter Biale MD (BC) IN – Barry Daughtry MD |
| IN – Mahesh Shah MD (BC) IN – Dean Velis MD (BC) |
| IN – Debbie Weiss MD (BC) IN – Alexander Panagos MD (BC) |
| IN – Jorge Aliaga MD IN – Maria Powell MD |
| |
| PE – Asha Kharwadkar MD |
| |
| PS – Chirag Raval MD (BC-12/31/15) PS – Kenzie Kicharoen MD |
| PS – Laron Phillips MD PS – Christina Girgis |
| PS – Joyce Kocher MD |
| |
| PG – Michael Ingersoll PhD PG – Laura Higdon PhD |
| PG – Don White PhD |
| |
| SLP – DiAnne Bielinsky CCC |

K (Kamlesh) P RAMCHANDANI MD (BC Internist) CORRESPONDENCE ADDRESS (same as office address): **One Eleven Medical Clinic** (In same building Vendor^{(b) (6} Winnebago Co as Mark Langgut **111 N Church St** PhD (b) (6) **Rockford IL 61101** (b) (6) Contact: (b) (6) E-Mail Address: (b) (6) (BLOCK BOOK BOTH SITES) **GREGORY C RUDOLPH PhD** CORRESPONDENCE ADDRESS FOR ALL OFFICES: (6)Libertyville IL 60048 (b) (6) Email Address: (b) Office addresses: Vendor (b) (6) 1702 Washington St Ste 202 Lake Co Waukegan IL 60085 Vendor ^(b) (6 Midwest Therapy Center 10021 S Western Ave Cook Co

SIGNATURE CONSULT & PSY SVCS

Chicago IL 60643

(BLOCK BOOK ALL SITES)

(David Niekamp, PsyD)

- - -

CORRESPONDENCE ADDRESS FOR ALL OFFICES:

2210 Dean St Ste I St Charles IL 60175 Ph 630-377-1695; Fax 630-584-2490 Contact: (b) (6)

Email Address: (b) (6)

| Office addresses: | | |
|---------------------------|-------------------------------|---------------------------------|
| Vendor ^{(b) (6)} | 2210 Dean St Ste I | (Signature Consult-Niekamp) |
| Kane | St Charles IL 60175 | |
| | | |
| David Niekamp PsyD | Syndrea Porter, Psychometrist | Jeanette Mazeiki, Psychometrist |
| Robert Watson PsyD | Marc Browning PsyD | Kristen (Wright) Carney PsyD |
| | | |

SIGNATURE CONSULTING (continues)

SIGNATURE CONSULT & PSY SVCS (cont)

| Vendor ^{(b) (6)} | 128 N Sacramento St | (Signature Consult-Niekamp) |
|--------------------------------------|-------------------------------|------------------------------|
| Kane Co | Sycamore IL 60178 | |
| | | |
| David Niekamp PsyD | Syndrea Porter, Psychometrist | Kristen (Wright) Carney PsyD |
| Robert Watson PsyD | Marc Browning PsyD | |
| | | |
| Vendor ^(b) ⁽⁶⁾ | Proctor First Care | (Signature Consult-Watson) |
| Peoria Co | 1120 E War Memorial Dr | Robert Watson PsyD |
| | Peoria Hts IL 61616 | |
| | | |
| Vendor ^(b) (6) | Proctor First Care | (Signature Consult-Wright) |
| Peoria Co | 1120 E War Memorial Dr | Kristen (Wright) Carney PsyD |
| | Peoria Hts IL 61616 | |
| Vendor ^(b) (6) | Proctor First Care | (Signature Consult-Browning) |
| Peoria Co | 1120 E War Memorial Dr | Marc Browning PsyD |
| | Peoria Hts IL 61616 | |
| Vendor ^{(b) (6)} | Proctor First Care | (Signature Consult-Pamphile) |
| Peoria Co | 1120 E War Memorial Dr | Natalie Pamphile PsyD |
| | Peoria Hts IL 61616 | |
| | Peona fils IL 01010 | |

AFIZ A TAIWO MD MPH (Internist) Email Addresses: (b) (6) Office Manager-(b) (6)

(BLOCK BOOK ALL SITES)

CE COMPLAINT CORRESPONDENCE ADDRESS: PO BOX 449, PALOS HTS IL, 60463 DR. TAIWO MAY CONDUCT EXAMS AND/OR FILL FOR OTHER DRs @ THESE SITES

| Office addresses: | | Correspondence addresses: |
|---------------------------|--|---------------------------|
| Vendor ^{(b) (6)} | Cottage Medical Plaza | 834 N Seminary St |
| Knox Co | Seminary Building | Suite 201B |
| | 834 N Seminary St Ste 201 | Galesburg IL 61401 |
| | Galesburg IL 61401 | |
| | (b) (6) | |
| | (b) (6) | |
| | | |
| Vendor ^{(b) (6)} | Perry Memorial Hospital–Main Entr | Perry Memorial Hospital |
| Bureau Co | 530 Park Ave East | 530 Park Ave East |
| | 3 rd Floor-Specialty Clinic | Princeton IL 61356 |
| | Princeton IL 61356 | |
| | (b) (6) | |
| | (b) (6) | |

AFIZ A TAIWO MD MPH (Internist) (cont)

| Vendor ^{(b) (6)} | Office Apex | Office Apex |
|--------------------------------|------------------------|-----------------------------|
| Vermilion Co | 605 N Logan Ste 1 | 605 N Logan Ste 1 |
| | Danville IL 61832 | Danville IL 61832 |
| | (b) (6) | |
| | (b) (6) | I |
| | | |
| Vendor ^{(b) (6)} | Proctor First Care | 834 N Seminary Street |
| Peoria Co | 2535 E Washington | Suite 201B |
| | East Peoria IL 61611 | Galesburg IL 61401 |
| | (b) (6) | |
| | (b) (6) | - |
| Vendor ^{(b) (6)} (FP) | Proctor First Care | 834 N Seminary Street |
| Peoria Co | 2535 E Washington | Suite 201B |
| reona Co | East Peoria IL 61611 | Galesburg IL 61401 |
| | (b) (6) | Galesburg IL 01401 |
| | (b) (6) | |
| | | |
| Vendor ^{(b) (6)} | (b) (6) | |
| Champaign Co | Champaign IL 61820 | Champaign IL 61820 |
| F | (b) (6) | |
| | | I |
| | | |
| Vendor ^{(b) (6)} (PG) | (b) (6) | |
| Champaign Co | Champaign IL 61820 | Champaign IL 61820 |
| | (b) (6) | |
| | | |
| | | |
| Vendor ^{(b) (6)} | 4011 Ave of the Cities | 4011 Ave of the Cities |
| Rock Island Co | Suite 101B | Suite 101B |
| | Moline IL 61265 | Moline IL 61265 |
| | (b) (6) | (replaced (b) (6) 10/01/14) |
| Vendor ^{(b) (6)} (PG) | 4011 Ave of the Cities | 4011 Ave of the Cities |
| Rock Island Co | Suite 101B | Suite 101B |
| NOCK ISIAIIU CO | Moline IL 61265 | Moline IL 61265 |
| | | (replaced (b) (6) 10/01/14) |
| | | (replaced (0) (0) 10/01/14) |

STEPHEN G VINCENT PhD

CORRESPONDENCE ADDRESS FOR ALL OFFICES:

450 S Durkin Dr Ste C **Springfield IL 62704**

(b) (6) Contact: (b) (6) Email Address: (b) (6)

| North of Clocktower Dr | |
|----------------------------------|--|
| 450 S Durkin Dr Ste C | |
| Springfield IL 62704 | |
| | |
| Corner of N Main & Prairie | |
| Heritage Behavioral Ctr | |
| 151 N Main St 5 th Fl | |
| Decatur IL 62523 | |
| Gateway Medical Office Bldg | (Duals w/R Leung MD of |
| 2044 Madison Ave Ste 21 | West Park Med Clinic (b) (6). |
| Elevator B | (b) (6) schedules both Drs.) |
| Granite City IL 62040 | |
| Clinic Ph 800-444-0032 | |
| 1358 W Delmar Ave | (Duals w/R Leung MD of |
| D'Adrian Professional P ark | West Park Med Clinic (b) (6). |
| Godfrey IL 62035 | (b) (6) schedules both Drs.) |
| Social Security Office | (HOLD—1x clinic most likely) |
| | |
| Pekin IL 61554-2678 | |
| 331 Fulton St. Ste 435 | (HOLD—maybe used if needed) |
| | |
| Peoria IL 61602 | |
| | 450 S Durkin Dr Ste C Springfield IL 62704 Corner of N Main & Prairie Heritage Behavioral Ctr 151 N Main St 5 th Fl Decatur IL 62523 Gateway Medical Office Bldg 2044 Madison Ave Ste 21 Elevator B Granite City IL 62040 Clinic Ph 800-444-0032 I358 W Delmar Ave D'Adrian Professional P ark Godfrey IL 62035 Social Security Office 2801 Broadway Pekin IL 61554-2678 331 Fulton St Ste 435 Corner of Fulton & Jefferson |

WEST PARK MEDICAL CLINIC INC

CORRESPONDENCE ADDRESS FOR ALL OFFICES: Attn: Raymond Leung MD (BC Internist) 950 Francis Place Ste 213 Clayton MO 63105 Ph 888-716-9242; Fax 314-727-6796 Contact: (b) (6) Email address: (b) (6)

| Office addresses: | | |
|---------------------------|-----------------------------------|---------------------------------|
| Vendor ^{(b) (6)} | Irvine Neck & Back Chiropractic | |
| Adams Co | 1024 Maine St | |
| | Quincy IL 62301 | |
| | | |
| Vendor ^{(b) (6)} | Gateway Medical Office Bldg | (Duals w/S Vincent PhD (b) (6). |
| Madison Co | 2044 Madison Ave Ste 21 | (b) (6) w/Vincent schedules |
| | Elevator B | both Drs.) |
| | Granite City IL 62040 | |
| | Ph 800-444-0032; Fax 217-793-1930 | |
| | | |
| Vendor ^{(b) (6)} | 1358 W Delmar Ave | (Duals w/S Vincent PhD (b) (6). |
| Madison Co | D'Adrian Professional Park | (b) (6) w/Vincent schedules |
| | Godfrey IL 62035 | both Drs.) |
| | Ph 800-444-0032; Fax 217-793-1930 | |
| | | |
| Vendor ^{(b) (6)} | Healing Hands Chiropractic | |
| Jefferson Co | 2801 Broadway St | |
| | Mt Vernon IL 62864 | |

DDS CE Oversight Report

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | Chicago |
|---|-----------------------------------|
| State DDS: | IN |
| Report Period (Fiscal Year): | 2014 |
| Current Date: | October 30, 2014 |
| Reporter's Name, Phone number, and title: | Name (b) (6) Phone number (b) (6) |
| | Title Supervisor |

| Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year. |
|--|
| Complaint Resolution Procedures: |
| Below are DDB instructions on how to proceed in these situations: |
| 1. A complaint should be in written form (see DI 39545.375 B above). |
| a. However, if the caller does not want to submit it in written form, quotes will be recorded |
| by the receiver of the call. |
| b. All attempts should be made to obtain the name and contact information of the complainant. |
| 2. The Professional Relations (PR) Unit Supervisor will keep a log of all complaints. |
| a. Immediately upon the receipt of a complaint, it will be entered into the log. |
| b. It will then be monitored for timely actions until resolved. |
| 3. Within 2 business days of notification, the Professional Relations Officer (PRO) will: |
| a. Notify the vendor of the concerns brought forth. |
| b. Allow the vendor 7 days to respond in writing. |
| 4. Within 3 days of receiving a response from the vendor, the PRO will: a. Assess the situation. |
| b. Take any needed action, i.e., a site visit, to determine if the complaint is valid. |
| 5. Within 2 days, the PRO will: |
| a. Prepare a summary of the issues at hand, conclusions drawn, and any recommended |
| |
| DDS CE Oversight Report Page 1 |

action.

- b. Draft a notice to the vendor, include the conclusion and any action that has been (will be) taken.
- c. If notification was requested by the complainant, draft this notice.
- d. Assemble complaint packet: copies of complaint, vendor response, summary, and draft(s).
- e. Deliver complaint packet to the PR Unit Supervisor and the PR Department Supervisor for their review and approval.
- 6. Within 2 days, the PRO will:
 - a. Revise the draft(s), per direction from the PR Unit or Department Supervisor.
 - b. Deliver final versions of the notice(s) to the PR Unit Supervisor.
 - c. Place a copy of the complaint packet in the vendor's paper file, maintained in PRD.
- 7. Within 2 days, the PR Unit Supervisor will:
 - a. Sign & mail the notice(s), filing a copy in the vendor's paper file.
 - b. Update the log with the final disposition & date.
- 8. ASD will determine the need to report to RO, based on the information provided by the PR Department Supervisor.

All written complaints are kept in the CE provider's credentials folder. In the last fiscal year the Indiana DDB received 62 complaints. These were all investigated. The majority concerned either allegations of the consultant being rude or being rushed through the examination. These ended up being a "He says, she says". Complaints regarding rudeness were scattered amongst the 530 consultants. None received an alarming number of complaints. In all instances of a rushed examination a Professional Relations Officer made an unannounced visit to inspect the location and interview claimants.

2. Attach a list of completed onsite reviews of CE providers.

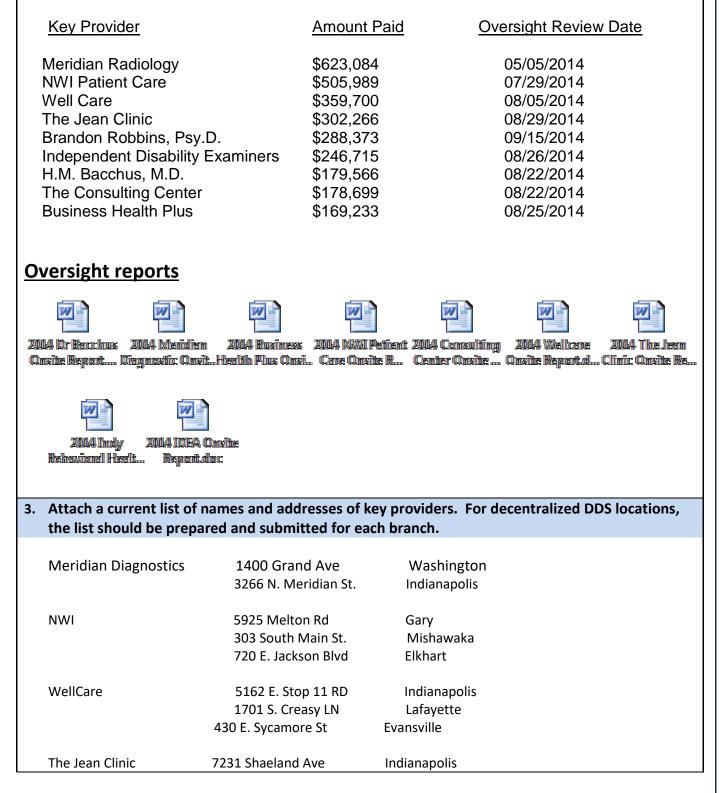
Oversight Reviews:

Oversight reviews are conducted during normal visits to both key and non-key consultative source's offices. In the past year the Indiana Professional Relations Department has conducted unannounced onsite inspections of major vendors and several others. Reviews are conducted by members of the Professional Relations staff.

Consultant's licenses are reviewed on an ongoing schedule. Verification of license status

is made at the time of recruitment. A photo identification may be requested at the visit.





DDS CE Oversight Report

| i i | | 1800 E. 19 th | Anderson |
|-----|---|--|---|
| | Brandon Robbins | (b) (6) | Indianapolis Anderson |
| | | (b) (6) | Richmond |
| | IDEA | 503 S. Tillotson Ave | Muncie |
| | | 2716 S. Western Ave | Marion |
| 1 | H. M. Bacchus M.D. | (b) (6) | Ft. Wayne |
| | Consulting Center | 6026 E. State Blvd | Ft. Wayne |
| | Business Health | 1615 Blackiston | Clarksville |
| | | | |
| 4. | Provide the total numb | per of CE providers on the | panel. |
| | 530 | | |
| F | Brovido a brief descript | tion of the process used k | by the DDS to ensure that medical credentials |
| э. | checks and exclusion li | • | nitial agreement and periodically thereafter to |
| | | | |
| | Indiana requires several documents to be completed before one is added to the consultant roster. This includes license check with the Indiana Licensing Board and an OIG check. | | |
| | • | | • |
| 6. | roster. This includes lice | ense check with the Indiar | ha Licensing Board and an OIG check. |
| 6. | roster. This includes lice Provide a brief descript | ense check with the Indiar tion of the process used k | • |
| 6. | roster. This includes lice Provide a brief descript support personnel are regulation. | ense check with the Indiar tion of the process used k properly licensed or cred | na Licensing Board and an OIG check. By the DDS to ensure that all CE providers' entialed when required by State law or |
| 6. | roster. This includes lice Provide a brief descript support personnel are regulation. Licenses are checked | ense check with the Indiar tion of the process used k properly licensed or cred periodically throughout | by the DDS to ensure that all CE providers' |
| | roster. This includes lice Provide a brief descript support personnel are regulation. Licenses are checked completing oversight re | ense check with the Indiar tion of the process used k properly licensed or cred periodically throughout eviews, we require proper | the year to determine their validity. When ID presented by the consultant. |
| | roster. This includes lice Provide a brief descript support personnel are regulation. Licenses are checked completing oversight re | ense check with the Indiar tion of the process used k properly licensed or cred periodically throughout eviews, we require proper of any CE/MER fee schedu | the year to determine their validity. When |
| | roster. This includes lice Provide a brief descript support personnel are regulation. Licenses are checked completing oversight re Provide a description of medical provider disco | ense check with the Indiar tion of the process used k properly licensed or cred periodically throughout eviews, we require proper of any CE/MER fee schedu | The Licensing Board and an OIG check. By the DDS to ensure that all CE providers' entialed when required by State law or the year to determine their validity. When ID presented by the consultant. Ile changes (include a description of any volume |
| | roster. This includes lice Provide a brief descript support personnel are regulation. Licenses are checked completing oversight re Provide a description of medical provider disco WISC, WAIS & WPPSI test | ense check with the Indiar tion of the process used k properly licensed or cred periodically throughout eviews, we require proper of any CE/MER fee schedu unts). | the year to determine their validity. When ID presented by the consultant. |
| 7. | roster. This includes lice Provide a brief descript support personnel are regulation. Licenses are checked completing oversight re Provide a description of medical provider disco WISC, WAIS & WPPSI test | ense check with the Indiar tion of the process used to properly licensed or cred periodically throughout eviews, we require proper of any CE/MER fee schedu unts). | the year to determine their validity. When ID presented by the consultant. |
| 7. | roster. This includes lice Provide a brief descript support personnel are regulation. Licenses are checked completing oversight re Provide a description of medical provider disco WISC, WAIS & WPPSI test Upload fee schedules t | ense check with the Indiar tion of the process used to properly licensed or cred periodically throughout eviews, we require proper of any CE/MER fee schedu unts). | the year to determine their validity. When ID presented by the consultant. |
| 7. | roster. This includes lice Provide a brief descript support personnel are regulation. Licenses are checked completing oversight re Provide a description of medical provider disco WISC, WAIS & WPPSI test | ense check with the Indiar tion of the process used to properly licensed or cred periodically throughout eviews, we require proper of any CE/MER fee schedu unts). | the year to determine their validity. When ID presented by the consultant. |
| 7. | roster. This includes lice Provide a brief description support personnel are regulation. Licenses are checked completing oversight re- Provide a description of medical provider disco WISC, WAIS & WPPSI test Upload fee schedules t MA Free Schedules t | ense check with the Indiar tion of the process used to properly licensed or cred periodically throughout eviews, we require proper of any CE/MER fee schedu unts). ing increased from \$140 to 1 o the MPRO SharePoint s | the year to determine their validity. When ID presented by the consultant. |

DDS CE Oversight Report

activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc.

90%+ of all CE providers use electronic records. PRD has vigorously contacted other providers to use ERE. PRD utilizes all direct contact with those providers who are not using the ERE website to express the advantages with using ERE and the accessibility of the website. Due to travel budget and staffing restrictions, IN did not exhibit at medical conventions, etc.

Please attach any additional information before submitting this form.

Initiatives to reduce CE processing time

When studying the Consultative Examination process, the time it takes from date of authorization until the report is actually received, it can be dissected into three timeframes. Those have been identified as:

- 1) Length of time from CE authorization to time the CE is scheduled.
- 2) Length of time from CE scheduling to actual appointment date.
- 3) Length of time from date of appointment to the date the report received.

All three areas continued experiencing a considerable decline in time, thus allowing the overall consultative examination process to decrease. The average length of time from CE authorization to time the CE is scheduled is less than 0.15 days. The length of time from date of scheduling is 12.71 days. Average time for the reports to be received is 2.19 days. Due to phone calls for reports not received within 3 days and counseling of frequent problem consultants, length of time from date of appointment to the date the report received is less than 3 days.

Factors that are attributable to the decline, while not limited to, would include the following action items:

- 1) Changing the requirement that reports be submitted from within five calendar days of the appointment to three calendar days of the appointment.
- 2) Follow up for late reports being the responsibility of the Professional Relations Officers
- 3) Follow up for reports 9+ days being performed by the Supervisor of the Professional Relations Unit and speaking directly with the consultant.
- 4) Encouraging the scheduling of earlier appointments
- 5) Expediting "Priority CE" requests from Claims Examiners
- 6) Greater use of ERE website
- 7) 98% of vendors utilizing "Block Scheduling"
- 8) Requiring all vendors of Psychological Services to provide a full menu of testing.

- 9) Closely monitoring each vendor for all aspects of timeliness.
- 10) Meeting with vendors unable to adhere to timeliness requirements.

DDS CE Oversight Report

DDS CE Oversight Report

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| Region: | Chicago |
|---|-----------------------------------|
| State DDS: | IN |
| Report Period (Fiscal Year): | 2014 |
| Current Date: | October 30, 2014 |
| Reporter's Name, Phone number, and title: | Name (b) (6) Phone number (b) (6) |
| | Title Supervisor |

| Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year. |
|---|
| Complaint Resolution Procedures: |
| Below are DDB instructions on how to proceed in these situations: |
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| a. However, if the caller does not want to submit it in written form, quotes will be recorded by the receiver of the call. |
| b. All attempts should be made to obtain the name and contact information of the complainant. |
| 2. The Professional Relations (PR) Unit Supervisor will keep a log of all complaints. |
| a. Immediately upon the receipt of a complaint, it will be entered into the log. |
| b. It will then be monitored for timely actions until resolved. 3. Within 2 business days of notification, the Professional Relations Officer (PRO) will: a. Notify the vendor of the concerns brought forth. |
| b. Allow the vendor 7 days to respond in writing. |
| 4. Within 3 days of receiving a response from the vendor, the PRO will: a. Assess the situation. |
| b. Take any needed action, i.e., a site visit, to determine if the complaint is valid. |
| 5. Within 2 days, the PRO will: |
| a. Prepare a summary of the issues at hand, conclusions drawn, and any |
| DDS CE Oversight Report Page 1 |

recommended action.

- b. Draft a notice to the vendor, include the conclusion and any action that has been (will be) taken.
- c. If notification was requested by the complainant, draft this notice.
- d. Assemble complaint packet: copies of complaint, vendor response, summary, and draft(s).
- e. Deliver complaint packet to the PR Unit Supervisor and the PR Department Supervisor for their review and approval.
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 - b. Deliver final versions of the notice(s) to the PR Unit Supervisor.
- c. Place a copy of the complaint packet in the vendor's paper file, maintained in PRD. 7. Within 2 days, the PR Unit Supervisor will:
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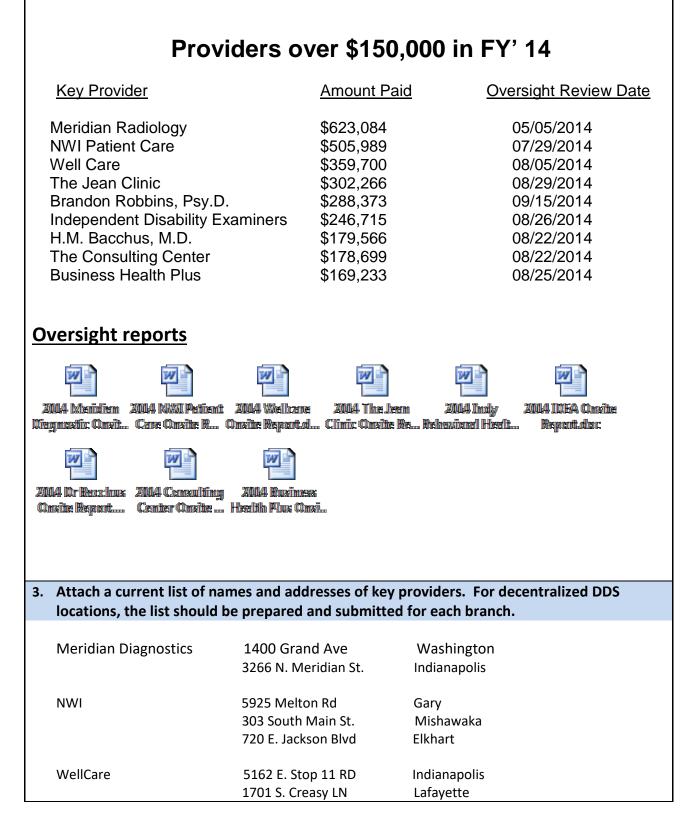
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2. Attach a list of completed onsite reviews of CE providers.

Oversight Reviews:

Oversight reviews are conducted during normal visits to both key and non-key consultative source's offices. In the past year the Indiana Professional Relations Department has conducted unannounced onsite inspections of major vendors and several others. Reviews are conducted by members of the Professional Relations staff.

Consultant's licenses are reviewed on an ongoing schedule. Verification of license status is made at the time of recruitment. A photo identification may be requested at the visit.



DDS CE Oversight Report

| | | 430 E. Sycamore St | Evansville |
|----|--|---|---|
| | The Jean Clinic | 7231 Shaeland Ave 1800 E. 19 th | Indianapolis Anderson |
| | Brandon Robbins | (b) (6) (b) (6) (b) (6) | Indianapolis Anderson Richmond |
| | IDEA | 503 S. Tillotson Ave 2716 S. Western Ave | Muncie Marion |
| | H. M. Bacchus M.D. | (b) (6) | Ft. Wayne |
| | Consulting Center | 6026 E. State Blvd | Ft. Wayne |
| | Business Health | 1615 Blackiston | Clarksville |
| 4. | Provide the total number of CE providers on the panel. | | |
| | | | |
| | 530 | | |
| 5. | Provide a brief descri credentials checks an | d exclusion list(s) checks a | by the DDS to ensure that medical are made at initial agreement and nsed or excluded CE providers perform |
| 5. | Provide a brief descri credentials checks an periodically thereafte CEs. Indiana requires seve | d exclusion list(s) checks a er to ensure that no unlice ral documents to be comp | by the DDS to ensure that medical are made at initial agreement and |
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9. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc.

90%+ of all CE providers use electronic records. PRD has vigorously contacted other providers to use ERE. PRD utilizes all direct contact with those providers who are not using the ERE website to express the advantages with using ERE and the accessibility of the website. Due to travel budget and staffing restrictions, IN did not exhibit at medical conventions, etc.

Please attach any additional information before submitting this form.

Initiatives to reduce CE processing time

When studying the Consultative Examination process, the time it takes from date of authorization until the report is actually received, it can be dissected into three timeframes. Those have been identified as:

- 1) Length of time from CE authorization to time the CE is scheduled.
- 2) Length of time from CE scheduling to actual appointment date.
- 3) Length of time from date of appointment to the date the report received.

All three areas continued experiencing a considerable decline in time, thus allowing the overall consultative examination process to decrease. The average length of time from CE authorization to time the CE is scheduled is less than 0.15 days. The length of time from date of scheduling is 12.71 days. Average time for the reports to be received is 2.19 days. Due to phone calls for reports not received within 3 days and counseling of frequent problem consultants, length of time from date of appointment to the date the report received is less than 3 days.

Factors that are attributable to the decline, while not limited to, would include the following action items:

- 1) Changing the requirement that reports be submitted from within five calendar days of the appointment to three calendar days of the appointment.
- 2) Follow up for late reports being the responsibility of the Professional Relations Officers
- 3) Follow up for reports 9+ days being performed by the Supervisor of the Professional Relations Unit and speaking directly with the consultant.
- 4) Encouraging the scheduling of earlier appointments

- 5) Expediting "Priority CE" requests from Claims Examiners
- 6) Greater use of ERE website
- 7) 98% of vendors utilizing "Block Scheduling"
- 8) Requiring all vendors of Psychological Services to provide a full menu of testing.
- 9) Closely monitoring each vendor for all aspects of timeliness.
- 10) Meeting with vendors unable to adhere to timeliness requirements.

| (b) (6) | | |
|---------|--|--|
| | | |
| | | |
| Owner: | | |
| (b) (6) | | |
| | | |

On August 25, 2014, I visited (b) (6) with PRD Supervisor, (b) (6) is a key CE provider therefore, requiring an annual onsite visit. (b) (6) completes physical exams for adults and children and pulmonary function testing. Labs and x-rays are done onsite.

The office is located about (b) (6)

. This appears to be a safe location for the claimants to travel. (b) (6) completes evaluations every Monday and Tuesday from 9:00 am-3:00 pm, Wednesdays 12:00 pm-2:30 pm, and Fridays from 9:00 am-2:00 pm. They have provided evaluations in (b) (6)

has submitted their reports in an average of 1.6 days.

Facility-

- (b) (6) shares an office building with (b) (6)
- The building is handicap accessible. There is a handicap accessible restroom and several handicap parking spaces near the entrance.
- There is clear signage at the main entrance.
- The building is clean and professional.
- The emergency exit signs are clearly marked.
- The medical records are maintained on the Electronic Records Express web site. The physicians and medical assistants have access to the web site. The exam referrals are sent through the ERE web site and the reports are uploaded through ERE.
- The waiting room has 12 chairs available for seating. The waiting room was very busy and at times the 12 chairs in the waiting room were not enough to accommodate everyone in the waiting room.
- The doctors do not speak a foreign language.
- The weight scale has a capacity of 500 lbs.

- There are two exam rooms used for disability exams. Each exam room had an exam table and sink.
- (b) (6) license was displayed on the wall.

We arrived at the office at 10:10 am. There were 11 claimants scheduled for physical exams on the day of my visit. (b) (6) was the doctor working this clinic with Medical Assistant, (b) (6) . I briefly spoke with (b) (6) before of first physical exam for the day. I had previously met (b) (6) and verified of photo ID during my previous visit so I did not verify of ID during this visit. The medical assistant (b) (6) wore scrubs and (b) (6) was wore a dress shirt and and dress pants. (b) (6) spoke with the clinic owner (b) (6) while I interviewed claimants.

Scheduling – (b) (6) completes evaluations on Mondays and Tuesdays, 9:00 am-3:00 pm, Wednesdays 12:00 pm-2:30 pm, and Fridays from 9:00 am-2:00 pm. Labs and x-rays are done onsite and are scheduled on Mondays and Fridays from 7:30 am through 3:00 pm; and Tuesdays from 7:30 am through 1:30 pm. Limited physical exams have been scheduled during these same time periods.

Interviews-

The 9:30 am appointment was a no show so the first claimant I interviewed was (b) (6) had already completed the vision and vitals portion of the exam when we arrived at the clinic. We was called back by the medical assistant at 10:20 am for We physical exam. The exam was completed at 10:35 am. (b) (6) stated that We had no difficulty locating the office. (b) (6) said We was "very satisfied" with the quality of the exam and (b) (6) addressed all of We complaints during the exam. We said the medical assistant did the vision and vitals portion of the exam.

The second claimant I interviewed was (b) (6) was scheduled for a physical exam at 10:30 am. (b) (c) was called back for the vision and vitals at 10:24 am. (b) (c) finished the vision/vitals portion of the exam at 10:38. (c) was called back again by the MA at 10:45 am for the physical exam and the exam was completed at 11:05 am. (b) (c) so my conversation with (c) (c) was very

brief. **b**(6) said that **b**(6) was satisfied with the exam and **b**(6) complaints were addressed by **b**(6) during the exam. **(b) (6)** drove **b**(6) to the

appointment. They had no trouble locating the office.

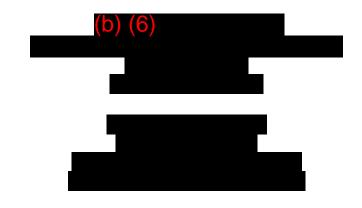
The third claimant I interviewed was (b) (6) was scheduled for a physical exam at 11:00 am. arrived early and was called back for the vision/vitals exam at 10:52 am. was back in the waiting room at 11:02 am and was called back again by the medical assistant at 11:15 am for the physical exam. However, within a few minutes is left the office and was obviously very upset. I interviewed (b) (6) outside the office and is said that was informed by the medical assistant (b) (6) that the exam could not be completed because indicated on a questionnaire that (b) (6) should have been notified of this policy (b) (6) should have been notified of this policy prior to appointment. I called the PRD Leadworker, (b) (6) who rescheduled by the prior to be a stated that be the prior be the prior to be the p

for 9/2/14 with another vendor.

The last claimant I interviewed was (b) (6) was scheduled for a physical exam at 11:30 am. I was talking to (b) (6) when (b) (6) was called back for $\frac{100}{100}$ exam. $\frac{100}{100}$ was finished with the exam at 12:05 pm and (b) (6) stated that the complete exam lasted about 30 minutes. (b) (6) said that the exam was thorough and (b) (6) addressed all of $\frac{100}{100}$ complaints during the exam. $\frac{100}{100}$ described the office staff as "wonderful" and described $\frac{1000}{100}$ as a "good doctor." (b) (6) had no difficulty locating the office. $\frac{1000}{100}$ felt the exam was private and had no concerns about the condition of the office or cleanliness.

After interviewing the claimants, (b) (6) and I both discussed the issue of claimants being turned away (b) (6) and the crowded waiting room, with the clinic owner (b) (6) and Medical Assistant (b) (6) . We discussed the need to inform the claimants of (b) (6) policy regarding (b) (6) before they arrive for their exam. (b) (6) stated that they make reminder calls for the appointments but they do not ask about (b) (6) . I asked that they ask the claimants about (b) (6) when they make the reminder calls. I also informed them that I would add language to the claimant exam letters to specifically mention exposure to (b) (6) within the last 30 days. We also discussed our concerns about the crowded waiting room with (b) (6) stated that Mondays are always very busy and ⁽¹⁰⁾ is aware that this is a problem. planning to open a new clinic sometime next year with two separate clinics, one dedicated to occupational health patients and the other for Social Security disability claimants only.

Professional Relations Officer 8/28/2014



3 psychologists that perform mental status examinations and various tests for the DDB. Combined, these psychologists perform the following tests: WAIS-IV, WISC-IV, WMS-IV, WPPSI-IV, and the BAYLEY. (b) (6) is considered to be a Key Provider.

08/22/2014 -Onsite Visit

(b) (6)

An (b) (6) visit was made due to this vendor being a Key Provider.

I arrived to the (b) (6) at approximately 1:30 pm. At the time of my visit, ^(b) (6) was with a claimant, (b) (6) At that time, it appeared that no one else was in the office besides (b) (6) , the claimant, and the 3rd party. I waited in the lobby for the examination to end. While in the lobby, I was able to hear the examination and noticed that the exam door was slightly opened, which I thought was unusual. I heard (b) (6) speaking loudly and repeatedly asking the claimant a math question. When the examination was over, I quickly introduced myself to (b) (6) because I wanted to catch the claimant or 3rd party for an interview.

3rd Party, I met (b) (6) After the interview with (b) (6) was as entering the front entrance of the (b) (6) . Initially, when introducing myself, I thought perhaps this was $\frac{b}{6}$, office manager, but (b) (6) corrected me. $\frac{b}{6}$ was surprised to see me, and said that would like to give me a tour of the office. that they added a small play area for younger kids in the lobby.⁽⁶⁾ showed me every room in the office, including the restroom and emergency exits. The last thing bill showed me was the portable wooden ramp that appeared to be hand-made. The ramp appeared to be poorly constructed. The ramp is kept in (b) (6) office and I carried the ramp to the front entrance of the office. The front door is approximately 4-5 inches from the ground. I put the ramp in front of the entrance and noticed that there was still a one inch gap in between the ramp and door. I told (b) (6) that this may be a problem and I mentioned another vendor that had a similar issue.

Office Description

The office is located (b) (6) . The scheduling notes for the claimant letters read, "*There is one five inch step up to the ground floor, but there is a portable ramp that can be used for wheelchair accessibility if needed. There is a bus stop within three hundred yards.* (b) (6)

" However, due to Federal Regulations, a permanent ramp is required for all vendors. I will contact (b) (6) about installing a permanent handicap ramp for the entrance.

As noted in the scheduling instructions, a bus stops approximately 300 yards away from the office. The claimants that I interviewed had no difficulty finding the location. The location is safe for claimants to travel to for examinations. The psychologists combined at the **(b) (6)** see claimants Monday through Saturday. The main contact is ^{(b) (6)} and ^{(b) (6)} phone number is listed above. ^{(b) (6)} submits the schedule for **(b) (6)** on a monthly basis. **(b) (6)** has an eternity schedule.

<u>Facility</u>

- The office is easily identifiable with a sign on the building.
- The main lobby seats 5 people.
- The office entrance is not handicap accessible. The vendor offers a wooden ramp but is not ADA approved.
- The office has 10+ parking spots available and 1 handicap parking space.
- The office has 3 emergency exits, one at the entrance and the other two at the back of the office building.
- The office has a restroom that is handicap accessible. The restroom is well-kept.
- Records are kept secure in a locked room.
- (b) (6) receives referrals through the Electronic Records Express website. Reports are submitted by fax.

Scheduling

- This vendor offers slots for mental status examinations and tests Mondays through Saturday.
- The minimum interval times that the exam provider schedules for examinations are 45 minutes.

Vendor understands and practices the privacy and confidentiality of claimant information. Claimant history is obtained through one-on-one interviews by the psychologists. meet the appropriate licensing or certification requirements of the State of Indiana.

<u>Claimant Interview</u>

1. (b) (6) ______ – Appointment: 08/22 at 1: 00 pm (*I arrived to* ^{(b) (6)} *at approximately 1:30 pm, while* (b) (6) *was evaluated* ^{(b) (6)} *. Exam was completed at 1:45 pm*)

While waiting for the examination to be completed, I noticed that the door in the examination room was slightly opened and I was able to hear the examination with (b) (6) I noticed that (b) (6) had to ask a math question approximately 4 times. The claimant was very slow at

responding to (b) (6) questions and seemed irritated and unresponsive.

When (b) (6) appointment ended, I rushed out to speak with the claimant and the 3rd party. The claimant left the lobby to go outside to wait in the car. appeared suspicious or paranoid of me, but I was able to speak with the 3rd party named (b) (6), which is (b) (6) . The 3rd party and I went outside to have more privacy to talk about the examination. The 3rd party noted that their family is very worried about the claimant and don't know how to help (b) (6) stated that the claimant refuses to take medications and family often tries to put medications in food, but finds it in the food and doesn't take it. The 3rd party stated that food, but signal food, but signal food, but signal food and doesn't take it. The 3rd party stated that signal food and the government or police are out to get (b) (6) stated that signal shours per day and is always paranoid people are out to get (b) (6). State of that the claimant needs assistance for (b) (6) by family members.

The 3rd party stated that ^{(b)(6)} was in the exam room the entire time. ^{(b)(6)} said that they arrived to (b) (6) at 12:45 pm and the examination started at approximately 1:05 pm.^{(b)(6)} stated that ^{(b)(6)} and the claimant are from (b) (6) and they didn't have any problems finding the office. ^{(b)(6)} said that when ^{(b)(6)} showed

up to the appointment a female was there and ^{(b) (6)} noted that ^{(b) (6)} was not welcoming. I believe^{(b) (6)} was referring to (b) (6) said that it would be nice if the office offered water or some other drinks. The 3rd party said that (b) (6) was professional and "mellow". ^{(b) (6)} stated that (b) (6) covered all the claimant's complaints. ^{(b) (6)} said the office was "clean and basic".

Later, when (b) (6) was available, we talked about (b) (6) said that (b) (6) is well-known by all the staff members at (b) (6) said (b) (6) has been seen by ^{(6) (6)} about 3 times. I asked why the exam door was opened during the evaluation with (b) (6) said that it was requested by (b) (6) felt it was a good idea for this didn't feel comfortable with the door being closed and claimant. (b) (6) preferred the door to be open as well because (b) (6) is verv joined the conversation unpredictable and feels nervous around (b) (6) and said that (b) (remembers (b) (6) from previous times being at stated that (b) has a history of violence. They noted that (b) (6) is very scary. said that "vou never know you are going to get". I told (b) (6) which (b) (6) that can reference bast evaluations with (b) (6) and I asked (b) (6) to provide a lot of details in $\mathbb{D}^{(6)}$ report.

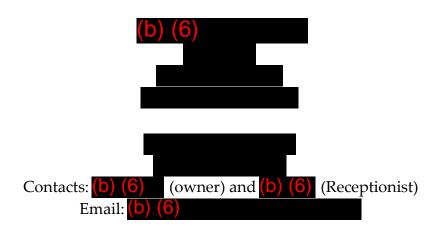
2. (b) (6) – Appointment: 08/22 at 2:00 pm (*Exam started 1:40 pm and ended at 2:30 pm*)

Claimant stated that (b) (6) and and had no problems finding the office. bit stated that if received 1 letter for the appointment. bit felt that the appointment was thorough and private. The claimant stated that confirmed the appointment by mail. bit said that (b) (6) was courteous and professional. bit said that (b) (6) addressed all bit complaints.

3. (b) (6) – Appointment: 08/22 at 3:00 pm (*Exam started at 3pm and ended at 3:45*)

Claimant stated that received 2 letters about the appointment and a phone call from the adjudicator. Claimant reported that the office was easy to find. When asked about the cleanliness of the office, claimant reported the office to be clean. stated that the **(b) (6)** was professional and courteous. The stated that **(b) (6)** felt the exam was confidential.





(b) (6) has been doing examinations for the Disability Determination Bureau for (b) (6) completes Adult and Pediatric physical examinations, PFS, Labs, Pulse Oximetry, and ECG at their office. (b) (6) uses a secondary vendor for x-rays and Quest Diagnostic for reading labs. (b) (6) is considered to be a Key Provider.

08/22/2014 –Onsite Visit

An (b) (6) visit was made due to this vendor being a Key Provider.

(b) (6) was not present when I arrived to the office, but (b) (6) was there and informed me that they are running behind because (b) (6) and will be right back. I waited in the lobby while I was waiting for (b) (6) to return. In the lobby was a couple, and I asked if they were there for a disability appointment. They said no, and they were very upset because they have been waiting for an appointment for an hour and other people were getting called in that came after them. After about 5 minutes, (b) (6) invited me to come back to ^{b)(6} desk which is on the other side of reception window. (b) (6) apologized for (b) (6) not being in the office yet. ^{b)(6} stated that disability claimants get first priority over patients outside of disability.

While I was speaking with (b) (6) PRD Lead worker, informed me that (b) (6) has 6 late reports. By the time I arrived to (b) (6) ' office, the reports had already been submitted. I began telling (b) (6) about how reports can be submitted through ERE, which ^{(b) (6)} did not know about. Shortly after I was explaining how reports are submitted through ERE, (b) (6) arrived to the office.

(b) (6) quickly invited me to good office to talk and good stated that good was very excited to meet me in person. I briefly explained how reports could be sent through ERE. I also told good that good has an ERE email account where good can send emails to the DDB securely. I showed (b) (6) how to send emails using ERE. I told (b) (6) that I've received several clarification requests about reports missing a page. I recommended using ERE to submit reports and added the reports come in very clear through ERE. Also, I mentioned that some of our top vendors respond to clarification requests by submitting a new report with the missing information or add an addendum to the reports to be in one document. (b) (6) stated that good would like the clarifications and the reports to be in one document. (b) (6) stated that good would like to try submitting reports online. I told (b) (6) that I will assist any way I can.

I noticed that the office did not have the licenses displayed. I spoke with the entire staff about the missing license and how it's important for this information to be displayed. (b) (6) recalls (b) (6) re

Office Description

The office is located (b) (6) The claimants that I interviewed found the office to be somewhat difficult to locate. The (b) (6) does provide public bus transportation; however, it stops at a (b) (6) . The location is safe for claimants to travel to for a physical examination or testing. (b) (6) sees claimants Monday through Saturday. The main contact is (b) (6) and (b) (6) phone number is listed above.

Facility

- The office is easily identifiable with a sign on the building. However, the scheduling instructions needs to be more specific as to where the office is located.
- The main lobby seats 8 people.
- The office is handicap accessible and includes handicap parking spots and flat terrain to the office entrance.
- The office has 10+ parking spots available and 1 handicap parking space.
- The office has 3 emergency exits, one at the entrance and the other two at the back of the office building.
- The office has a restroom that is handicap accessible. The restroom is well-kept.
- The receptionist desk and exam rooms have a door that separates them from the lobby area. Medical records are stored in file cabinets and records are destroyed after 7 years.
- Pulmonary Function Test (Spirometry) *Vendor provides bronchodilators,* and Labs/Tests are completed onsite.
- X-Rays are done at Dunlap Radiology.
- The waiting room is approximately 12x12.
- (b) (6) receives referrals through the Electronic Records Express website. Reports are submitted by fax.

Scheduling

- This vendor offers slots for physical examinations, labs, and PFS, Mondays through Saturday.
- The minimum interval times that the CE provider schedules for physical examinations, labs and PFS are 30 minutes.

Vendor understands and practices the privacy and confidentiality of claimant information. Claimant history is obtained through one-on-one interviews by a nurse. (b) (6) also reviews the claimants' history during the physical examination. (76) meets the appropriate licensing or certification requirements of the State of

Indiana.

<u>Laboratories</u>

- Diagnostics and Lab tests are performed by:
 - X-rays are performed and read Dunlap. Dunlap send the results directly to (b) (6)
 - Labs are drawn at (b) (6) office then sent to Quest Diagnostics for interpretation/results.
 - The Pulmonary Function Tests are performed at their office.

Claimant Interview

*Vendor gives the claimants pre-exam paperwork to fill out prior to getting started with vitals.

1. (b) (6) – Appointment: 08/22 at 9:30 am (Exam start time was 9:50 am. Exam was completed at 11:15 am) Exam started late because (b) (6)

indicated that is (b) (6) . I asked^{(D) (6)} if found the (D) (G) location easily and at first ^{(0) (6)} said yes, then said ^{b) (6)} had some difficulty finding the office. (0,0) stated that (0,0) knew the office was in (b) (6) but didn't know it was on the side of the building. (b) (6) stated that received the initial letter and the reminder letter on the same day. stated and that spent approximately 10-15 minutes with (b) (6) stated that addressed all **b** issues. When asked about the cleanliness office, said "Okay". ⁽⁰⁾ felt that the appointment was private.

2. (b) (6) — Appointment: 08/22 at 10:00 am (Vitals started at 10:25 am. Exam was completed at 11:45 am. (b) (6) stated (b) (6) arrived "a little late" to ^[010] appointment)

(b) (6) stated that had a difficult time finding this office. suggested that the exam letter state that the office is located (b) (6) felt that the office was a "cluttered mess". stating the office has "a lot of junk in the exam rooms." I was speaking with in the lobby and said, "Look up there (above the receptionist's window). name is held by a push pin. To me, it looked like it was a piece cardboard that had (b) (6) name on it. (b) (6) said that the nurse was very nice, but the receptionist (b) (6) was "grumpy". stated that when arrived, that had to fill out paperwork prior to being seen. stated that arrived to the appointment with a document made that had whole medical history and ^{b(6)} list of medications. ^{b(6)} asked (b) (6) if ^{b(6)} would accept document and told him still had to fill out the forms. was upset about this because everything they wanted to know was already in the document brought in (medical history and list of medications). However, 👹 said that was "very cordial" and said that the physical examination was "very well-done". felt that the examination was private.

3. (b) (6) – Appointment: 08/22 at 11:00 am (*Clmt arrived on time*. *Vitals at 11:15 am. Exam was completed at 12:00 pm*)

Claimant stated that received 2 letters about the appointment and a phone call from the adjudicator. Claimant reported that the office was easy to find because has been seen (b) (6) in the past. When asked about the cleanliness of the office, claimant report "Pretty clean." stated that the office staff was professional and courteous. added that the "they (staff) can smile a little bit more." The stated that "felt the exam was confidential.



Professional Relations Officer 09/03/2014

Co-Owners: (b) (6 E-mail: (b) (6) Phone (b) (6)



8.26.2014

I visited the (b) (6) on Tuesday, August 26, 2014. (b) (6) completes adult physical exams, pediatric exams, neurological exams, orthopedic exams, PFS testing, labs, seizure drug level labs, X-rays and Medical Assessment forms. This vendor has provided consultative evaluations (b) (6) is a key provider, therefore, requiring an annual onsite visit.

The office is located (b) (6) It is easily located and well-known in the area. There is limited public transportation available. There is a bus stop located across the street from the office. This office is a safe location for claimants to travel to for a physical exam or testing study. (b) (6) submits a different monthly schedule, each month. At the (b) (6) they generally complete evaluations on Tuesdays and Saturdays. The main contact is (b) (6)

I arrived at the location at 2:15pm on Tuesday, August 26th, and was greeted by the medical assistant, (b) (6) was waiting on the 2:30pm claimant to arrive. I asked ¹⁰ if the 2:00pm claimant was back with (b) (6) being examined and ¹⁰ said that the 2:00pm evaluation had already been completed. The claimant arrived early to the exam site and was able to be seen at 1:40pm. (b) (6) gave me a tour of the office, showing me the restrooms and PFS testing room. ¹⁰ also introduced me to who is the physician who completes the evaluations for (b) (6)

(b) (6), the medical assistant, was dressed professionally in scrubs and (b) (6) had a polo shirt on with khaki dress slacks. Both staff persons looked professional and acted in a professional manner during my onsite visit. All claimants were greeted timely and dealt with compassionately.

<u>Facility</u> –

- The building was easy to locate (b) (6) and identified by a large sign stating (b) (6) which could be seen easily from the street. Getting to the actual office entrance was somewhat confusing. The entrance for the disability evaluations is at the back side of the building. There are signs with arrows indicating which entrance to use, however.
- There was ample parking with 20+ parking spaces within 5 yards from the office entrance. Three handicap parking spaces were available. There is a ramp to the main entrance from the parking lot.
- The building is older but it was clean and looked like a professional doctor's office. There was seating available for 5 people in the waiting area and a small table with magazines on it for the claimants to use. The medical assistant also had a radio playing soft music in the waiting room. The décor in the waiting room was quite stark, so I will be contacting the owner, (b) (6) about adding some additional decoration to the office.
- The building did meet all handicap accessibility requirements. The parking lot had access to a ramp that led directly to the main entrance. There was a separate restroom for those needing wheelchair access or the use of ADA compliant facilities.
- The emergency exit signs are visible to the public.
- There were three restrooms available for use. One is handicap accessible with handrails by the toilet and has a wide doorway for wheelchair access. The other two restrooms were not handicap accessible and one of the two was for staff use only.
- There is a secure location for the medical records and computer records. All medical records are kept on either (b) (6) laptop or the computer used by the medical assistant. (b) (6) did lock (b) (6) computer each time (b) (6) left from the reception desk.
- The PFS tests are completed onsite by the medical assistant.
- This medical source speaks only English.
- This vendor uploads their reports utilizing the ERE website. They upload both the payment voucher and report in one document.
- The scale at this office goes up to 500 lbs.
- All Indiana Medical Licensing information was displayed in the office above the reception desk area.
- The office has a separate room dedicated for the PFS testing only.

Scheduling

- This vendor has the capability of completing 6-18 physical evaluations per day, 2-3 days per week; depending on physician obtainability.
- The vendor completes physical evaluations in 30 minute intervals

Laboratories –

- Diagnostic lab testing and Radiology studies are performed by:
 - o Marion General Hospital
 - o All tests interpreted by a licensed physician
 - o All licensing information for the secondary vendor is documented at DDB office
- Pulmonary function study testing is performed by :

o (b) (6) – Medical Assistant

• (Spirometry/Doppler) – Tests are completed onsite by a licensed technician.

Interviews -

6 _____ Appointment: Scheduled at 2:00pm (Adult Physical Exam)

(b) (6) arrived early to the office at 1:40pm for ^(b) 2:00pm appointment. The physician and medical assistant completed the evaluation early and the claimant was finished with the exam at 2:15pm, when I arrived. I contacted the claimant by phone the next day to follow-up on ^(b) exam experience. The claimant said ^(b) had no trouble finding the office. (b) (6) and found the office easily. (b) (6) felt the exam was thorough and that ^(b) did a "good job". ^(b) was happy that ^(b) was able to be seen early for the exam and didn't have to wait for 20 minutes. This is ^(b) second application for benefits. ^(b) was denied at ^(b) first application. ^(b) had no complaints about ^(b) experience at (b) (6) felt the office was appropriate for a disability evaluation and the staff was very friendly.

(b) (6) _ – Appointment: Scheduled at 3:30pm (Adult Physical Exam and PFS study)

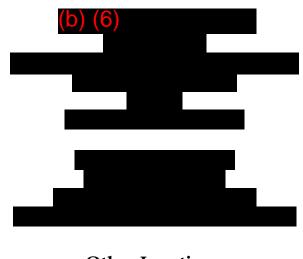
(b) (6) arrived for her evaluation at 3:34pm. The medical assistant asked to sign-in and checked her ID upon arrival. (b) (6) was taken for vitals at 3:35pm. PFS test was completed by the medical assistant, immediately following vitals. (6) allowed me to be in the room during her PFS testing. completed suitably. (b) (6) the medical assistant, gave excellent directions and instructions to the claimant for the PFS test. ⁽⁰⁾ was extremely patient with the claimant and helped ⁽⁰⁾ so would have a testing showing full effort. (b) (6) was seen for why physical evaluation by (6) from 4:17pm to 4:44pm. (b) (6) checked ^{(0) (6)} range of motion, strength, fine fingering movements, and walking with and without cane. felt the staff was very friendly and that this was an appropriate place for a disability evaluation. (b) (6) did state that bild had trouble finding the office entrance. bild found the building easily but couldn't find the correct entrance for the disability evaluations.

(b) (6) – Appointment: Scheduled at 4:30pm (Adult Physical Exam)

(b) (6) arrived for the evaluation appointment at 4:20pm. ^(b) (6) was taken back by (b) (6) for the vitals information at 4:22pm. The claimant's vitals and the evaluation were completed by 5:04pm. The medical assistant checked the claimant's exam letter and photo ID upon arrival. ^(b) (6) said that this is ^(b) (f) first application for benefits. ^(b) (f) found the location without trouble. (b) (6) was pleased with ^(b) (6) evaluation by (b) (6) . The physician tested ^(b) (6) strength, range of motion, fine fingering abilities and walking. ^(b) (f) felt it was a good, comprehensive exam. (b) (6) also felt the medical assistant was very compassionate and friendly. ^(b) (f) had no complaints about the application process or ^(b) (f) evaluation with ^(b) (f).



Professional Relations Leadworker 9/03/2014



Other Locations:



(b) (6) has been doing examinations for the Disability Determination Bureau (b) (6) This vendor has 2 psychologists that perform mental status examinations and various tests for the DDB. Combined, these psychologists perform the following tests: WAIS-IV, WISC-IV, WMS-IV, WPPSI-IV, BAYLEY, WRAT4, and STANFORD-BINET.

(b) (6) is considered to be a Key Provider.

09/15/2014 -Onsite Visit

An (b) (6) visit was made due to this vendor being a Key Provider.

I arrived to (b) (6) at approximately 10 am. At my arrival, was with claimant, (b) (6) The office lobby had soft music playing when I arrived.

Office Description

The office is located (b) (6)

which is noted on the claimant exam letters. The office location is (b) (6) The claimants that I interviewed had no difficulty finding the location. The location is safe for claimants to travel to for examinations. (b) (6) sees claimants in (b) (6) and this vendor submits their schedule, monthly. The main contact is (b) (6) and ^{(D)(6)} phone number is listed above. Reports are submitted via ERE.

<u>Facility</u>

- The office is easily identifiable with a sign on the building.
- The main lobby seats 5 people.
- The office is handicap accessible.
- The office has 10+ parking spots available and 1 handicap parking space.
- The office has 3 emergency exits.
- The office has a male and female restroom. The female restroom has a handicap bar, but the men's restroom does not. (b) (6) was not aware that the men's restroom did not have handicap bars. (b) (6) said that it shouldn't be a problem to install a bar right away and will let (b) (6) where the statement of the statem
- Records are kept secure in a computer.
- (b) (6) receives referrals through the Electronic Records Express website. Reports are submitted via ERE.

Scheduling

- This vendor sends their schedule month-to-month, so the days they see claimants vary from month-to-month.
- The minimum interval times that the CE provider schedules for examinations are 45 minutes.

This vendor understands and practices the privacy and confidentiality of claimant information. Claimant history is obtained through one-on-one interviews by the psychologist. (b) (6) meets the appropriate licensing or certification requirements of the State of Indiana.

Claimant Interview

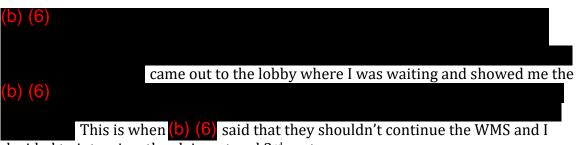
1. (b) (6) — Appointment: 09/15 at 10:15 pm *MSE/WAIS*- (I arrived to (b) (6) — at approximately 10:00 am, and (b) (6) was already evaluating the claimant. (b) (6) stated that the claimant arrived at 9:45 am, so they started the examination early. Exam was completed at 11:10 am)

Claimant stated that (b) (6) drove her to the examination. The (b) (6) stated that ^{(b) (6)} didn't have any problems finding the office. The (b) (6) stated that they received two letters for the appointment. The claimant felt that the appointment was thorough and private. Claimant said that (b) (6) was courteous and professional.

2. (b) (6) – Appointment: 09/15 at 12:30 pm *MSE/WMS (Exam started at 12 pm per*(b) (6) *and ended at 1:45 pm)*

Claimant stated that **b**⁽⁶⁾ received two letters about the appointment. The claimant's (b) (6) reported that the office was easy to find. When asked about the cleanliness of the office, claimant reported the office to be clean. The claimant stated that (b) (6) was professional and courteous. **b**⁽⁶⁾ felt the exam was confidential and private.

The examination ended early because the claimant (b) (6) . I was not present for the (b) (6) because I was out on lunch. The claimant stated that (b) (6)



decided to interview the claimant and 3rd party.



| (b) (6) |
|---|
| |
| |
| On 5/5/2014, (b) (6) and I made an onsite visit to (b) (6) . The (b) (6) |
| The office address is clearly visible (b) (6) , however, there is (b) (6) . The building is handicap accessible and a handicap accessible restroom is located in their office. The office manager (b) (6) and all other staff wore scrubs. There are 15 chairs in the waiting room and two benches on each side of the reception desk. The office was clean and in good condition. Several bus stops run on (b) (6) and there is a bus stop on (b) (6) in front of the office building. Since March 1, 2014 (b) (6) has submitted their reports in an average of 1 day for appointments scheduled in (b) (6) . |
| (b) (6) and I both spoke with the owner of (b) (6) concerning the lack of signage outside the building. (b) (6) stated that ^{bin} understands the confusion this causes for the claimants and ^{bin} is in the process of taking the property owner to court to allow (b) (6) to add their name to the sign outside the building. Other tenants in the building have their business names listed on the sign but for some reason the property manager has refused to allow (b) (6) to list their name on the sign. I also spoke with the office manager (b) (6) during our visit. The doctors' licenses are displayed in the hallway near the exam rooms in the (b) (6). However, they were not on display at the (b) (6) during my visit on 4/25/14. I discussed this concern with ^{bin} was surprised that the clinic staff in (b) (6) were not aware that they need to have their license on display. ^{bin} stated that ^{bin} will discuss this with (b) (6) and the staff |

who work in (b) (6) to be sure they bring a copy of their license to display at the (b) (6) clinic.

Facility-

- There is a parking garage and open parking lot next to the building. There was plenty of parking available during our visit.
- The building is handicap accessible. There is a handicap accessible restroom located near the waiting room.
- There is a sign that identifies (b) (6) inside the building but there is no signage for the clinic outside the building.
- The building is clean and professional.
- The emergency exit signs are clearly marked in the building.
- The medical records are maintained on the Electronic Records Express web site. The physicians and medical assistants have access to the web site. The CE referrals are sent through the ERE web site and the reports are uploaded through ERE.

Laboratories-

- All x-rays and labs are done at the (b) (6) office.
- Diagnostic testing is interpreted by a physician.
- Since 3/1/2014, (b) (6) has submitted their reports in an average of 1 day.

<u>Scheduling</u> –

(b) (6) schedules physical exams in (b) (6) on Mondays, Tuesdays, Wednesdays, Fridays, and Saturdays from 8:30 am through 5:30 pm (last appointment at 5:00 pm). They typically have just one doctor working but frequently add a second doctor to the schedule. Exams are scheduled in 30-minute time intervals and he offers 18 time slots per day (or 35 for a double clinic) for physical exams.

- X-rays are scheduled from 8:40 am through 2:40 pm (12 time slots) in 20 minute time intervals on Mondays. X-rays are scheduled on Tuesdays, Wednesdays, Fridays, and Saturdays from 1:00 pm through 2:40 pm every 20 minutes.
- **Spirometry/DLCO** testing is scheduled Mondays, Tuesdays, Wednesdays, Fridays, and Saturdays from 9:00 am through 2:40 pm (9 time slots). Appointments are scheduled every 20 minutes.

- Echocardiograms are scheduled on Mondays, Tuesdays, and Fridays from 10:00 am through 3:00 pm (16 time slots). Appointments are scheduled in 20 minute time intervals.
- **Doppler** testing is scheduled on Mondays, Tuesdays, Fridays, and Saturdays from 1:00 pm through 2:40 pm (6 time slots) every 20 minutes.

Interviews-

I interviewed four claimants during our visit. The first claimant I interviewed was (b) (6) was scheduled for a physical exam at 9:30 am. (b) (6) was called back by the medical assistant at 9:47 am and was finished with was at 10:25 am. (b) (6) was the examining doctor. (b) (6) described (b) (6) as "friendly," and was patient in answering questions. was stated that we felt (b) (6) addressed all of was patients. (b) (6) drove (b) (6) to the clinic (b) (6) (6) the condition or cleanliness of the office. The medical assistant verified was photo ID.

The second claimant I interviewed was (b) (6) was scheduled for a physical exam and x-rays at 10:30 am. ^{(b)(6)} was called back for the x-rays at 10:38 am. The vision/vitals were done at 10:45 am and ^{(b)(6)} was called back for the physical exam at 11:05 am. ^{(b)(6)} was finished with the exam at 11:22 am. ^{(b)(6)} was seen by (b) (6) drove herself to the appointment (b) (6) also stated that (b) (6) addressed all of ^{(b)(6)} complaints and ^{(b)(6)} felt the exam was thorough. The office staff verified ^{(b)(6)} photo ID. There were no complaints concerning the condition of the office.

The last claimant I interviewed was (b) (6) was scheduled for a physical exam at 10:45 am. arrived for his appointment at 10:40 and was not called back for vision and vitals testing until 11:35 am. are drove himself to the office f(b) (6) stated that are initially passed the office driving down (b) (6) and this delayed (b) (6) about 5 minutes. are was seen by (b) (6) and stated that (b) (6) "covered everything" and addressed all of about 5 minutes. The office staff verified are photo ID.

Interviews-

The first claimant I interviewed was (b) (6) appointment was at 945am. They did ID when () () came in. arrived at 935am. was called back at 1015am for check vitals and was back out in the waiting room at 1020am. then was called back for the actual exam at 1025am. (b) (6) and I heard telling the assistant did not need it to walk back to the exam room. did ask me why some people were seen before and I told that some people were there for echo, or just PFTS. ^{(b) (g)} was seen for 15 min as was back out at 1040am. actually saw (b) (6) and did introduce (b) (6) said was very polite and asked if ^{(0) (6)} had any other questions. ^{(0) (6)} had no problems with exam. was very pleasant.

The second interview I conducted was with (b) (6) was scheduled for a physical exam at 10am. arrived at 1015am but said said solutions is not originally from this area. I believe had called (b) (6) to let them know was lost and would be late. They did ask for solutions in the second in the second secon

The last claimant I interviewed was (b) (6) had an appt at 1015am. They checked ID when (b)(6) came in. (b)(6) arrived on time. (b)(6) said (b)(6) had a little difficulty finding it. (b)(6) did not know which door to come to. There were no signs out front with (b) (6) on it. (b)(6) was taken back to the exam room at 1059am and came out at 1130am. (b)(6) saw (b)(6) and said (b)(6) was very polite to (b) (6) said (b)(6) really did not have alot of questions for the doctor. (b)(6) seems pleased with (b)(6) was

My concerns with this visit to (b) (6) , was it appeared the doctors were running behind and I am not sure what happened. The claimants I interviewed were not seen until about 30-45 minutes after their scheduled appointment times. I will email (b) (6) to see if can explain why they were running behind.

(b) (6) Unit Supervisor PRD 5/23/2014 (b) (6) Professional Relations Officer 5/5/2014

| | (b) (6) |
|---------|--|
| | Phone: (b) (6) (b) (6) Contacts: (b) (6) (owner) Email: (b) (6) |
| (b) (6) | |
| | |
| | |
| | |
| | |

^{(b) (6)} has been doing examinations since (b) (6) completes Adult and Pediatric physical examinations, PFS, Labs, X-rays, Dopplers, Pulse Oximetry, and Echocardiograms at their offices. ^{(b) (6)} is considered to be a Key Provider.

07/29/2014 –Onsite Visit

(b) (6)

called (D)

(b) (6) has been doing examinations at the (b) (6) I made an due to this vendor being a Key Provider.

(6) was not present when I arrived to the (b) (6) , but (b)(6) staff
(6) immediately to inform that I was there. The office was quiet and they

had some No Shows when I arrived. The office is shared with an x-ray group and the x-ray tech gave me a tour of the office.

(b) (6) arrived to the office approximately 30 minutes after I arrived. (b) (6) introduced me to the new doctor that ^{b)(6} hired, (b) (6) stated that ^{b)(6} recently recruited ^{b)(6}, but had not given the vendor paperwork/license information to our office yet. I told ^{b)(6} to fax to PRD right away and ^{b)(6} did while I was there. ^{b)(6} e stated that the new doctor is unsure if will continue doing examinations or not. ^{b)(6} is trying to find a doctor that is local. ^{b)(6} mentioned trying to recruit an MD out Elkhart General Hospital, but the MD ^{b)(6} spoke to was uninterested but did not say no to (b) (6) Instead, the MD asked for a high compensation fee that ^{b)(6} knew (b) (6) could not afford.

I noticed that the office did not have the licenses displayed, which I spoke with about and will get this put up immediately.

(b) (6) informed me that the office next door will be available for rent soon. (b) (6) stated that (b) (6) feels that the current (b) (6) space is small and would like a larger space. I did not look at the office next door, but I told (b) (6) to call me if (b) (6) decides to rent the space.

Office Description

The office is located (b) (6) provides public transportation and stops in front of the (b) (6) physical examination or testing. (b) (6) Fridays. The main contact is (b) (6) and (b) (6) phone number is listed above.

Facility

- The office is easily identifiable with a sign on the building.
- The main lobby seats 8 people.

- The office is handicap accessible and includes handicap parking spots and flat terrain to the office entrance.
- The office has 30+ parking spots available.
- The office has two emergency exits, one at the entrance and the other at the back of the office building.
- The office has a restroom that is handicap accessible. The restroom is well-kept.
- The office has a reception desk and a private office to keep records; however, most records are kept electronically.
- Pulmonary Function Test (Spirometry) *Vendor provides bronchodilators,* and Labs/Tests are completed onsite by licensed staff.
- X-Rays are performed onsite by a licensed technician.
- The waiting room is approximately 12x12.
- (b) (6) receives referrals through the Electronic Records Express website. (b) (6) transmits the reports via Electronic Records Express website.

Scheduling

- This vendor offers slots for physical examinations, labs, pulse oximetries, PFS, and dopplers on Mondays and Fridays.
- The minimum interval times that the CE provider schedules for physical examinations and Echocardiograms are 30 minutes and 15 minutes for labs and PFS.

Vendor understands and practices the privacy and confidentiality of claimant information. Claimant history is obtained through one-on-one interviews by staff members. The MD also reviews the claimants' history during the physical examination. The **(b) (6)** assistants meet the appropriate licensing or certification requirements of the State of Indiana.

b) (6) staff members call the claimant's prior to the exam to confirm the appointments.

<u>Laboratories</u>

- Diagnostics and Lab tests are performed by:
 - X-rays are performed and read by Mobile Health Care.
 - Labs are drawn at ^{(b) (6)} then sent to Quest Diagnostics for interpretation/results.
 - The Pulmonary Function Tests are performed by (b) (6) , licensed, and results are generated at their office.

Claimant Interview

1. (b) (6) — Appointment: 07/28 at 9:30 am (check-in time was 11:15 am. Exam was completed at 11:50 am) Claimant's (b) (6) called in saying they were going to be late and (b) (6) offered that they come in at 11:00am.

I introduced myself to the claimant and (b) (6). The claimant had difficulty making eye contact with me and was talking to someone that was not there. asked me to move my bag from one of the chairs because friend needs to sit there. The (b) (6) explained that friend has an imaginary friend that is with (b) (6) at all times. I moved my bag so friend imaginary friend can sit down between me and the claimant. The claimant said only a few words to me the whole time.

This claimant (b) (6) commuted from (b) (6) reported no problems finding the location. They reported that the examination was thorough and said that the doctor was friendly. ^{(b) (6)} stated that they only received one letter about the appointment. (b) (6) asked how long it would take to get a decision, so I discussed the adjudication process. I explained that the CEs are usually the last thing needed to make a decision and (b) (6) said that they might have one more appointment to attend to with a psychologist. ^{(b) (6)} had no other questions for me.

2. (b) (6) — Appointment: 07/28 at 12:00 pm (*Exam was started at 12:45 pm*. *Exam was completed at 1:20 pm*)

The claimant stated that was late getting to the appointment, but (b) (6) allowed (b) (6) to come in late after getting a phone call from (b) (6) staff employee, (b) (6) The claimant stated that received two letters from disability and a phone call from (b) (6) stated that (b) ID was checked when arrived to the appointment. **()** stated that **()** history was taken by a nurse, before **()** saw the doctor. **()** stated the examination was thorough and covered all **()** complaints. The claimant spent some time explaining why **()** is disabled and **()** psychological complaints. **()** stated that **(b)** (6)

. I told ^(b) (⁶⁾ if the records from (b) (6) are not sufficient to make a decision, then an examination with a psychologist may be ordered. I reviewed the file and ^(b) now has an upcoming appointment with (b) (6).

3. (b) (6) – Appointment: 07/28 at 2:00 pm (*Exam start time was 2:30 pm*. *Exam was completed at 3:10 pm*)

Claimant stated that **w** received 2 letters about the appointment and received a travel voucher. stated that traveled (b) (6) and said that found the place "okay". This claimant took public transportation to get this appointment, which was why was late. said that the ^{(b) (6)} staff checked ID when arrived. The claimant was somewhat slow at responding to questions and said that (b) (6) The first application for disability and claimant stated that this was only wants disability pay for the past 6 months due to overwhelming debt. wants to work again.

Claimants did not have to wait at all to be seen. Medical history was taken by (b) (6) and (b) (9) takes approximately 15-25 minutes to obtain. Claimants spend approximately 15 minutes with the physician.

Concerns

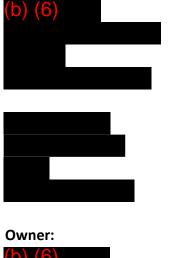
I interviewed claimant, (b) (6) , and noticed that the report in file \mathbf{b}

I called (b) (6) immediately and informed ^{(b) (6)} of this problem and ^{(b) (6)} had the office manager send the correct report. The correct report was submitted. However, the updated report that was submitted had the wrong doctor on the narrative report, but the correct doctor on the ROM sheet. I called the office about this problem and explained that all reports must be carefully reviewed for errors before being submitted.

I will speak with (b) (6) about making sure that every report is being thoroughly reviewed before submitting to our office.



Professional Relations Officer 08/06/2014





On August 29, 2014, I visited (b) (6) is a key CE provider therefore, requiring an annual onsite visit. They complete physical exams for adults and children and pulmonary function testing. Labs are done onsite and x-rays are done at Community Hospital North (b) (6).

The office is located c(b) (6)

There is a bus route that runs ((b) (6) The closest bus stop is about (b) (6) . This appears to be a safe location for the claimants to travel. (b) (6) provides exams on Mondays from 8:00 am through 6:00 pm. They typically offer 20 time slots on Mondays with two doctors working. They offer exams on Tuesdays and Fridays from 8:00 am through 1:00 pm, and Saturdays from 8:30am through 1:30 pm. They typically have one doctor working (10 time slots) on Tuesdays, Fridays, and Saturdays. They have provided evaluations for the DDB (b) (6)

Facility-

- (b) (6) is located on the first floor of a (b) (6) office building.
- The building is handicap accessible. There is a handicap accessible restroom on the first floor.
- There is a sign on the office door identifying the office as (b) (6) The address for the building is clearly visible.

- The building is clean and professional.
- The emergency exit signs are clearly marked.
- The medical records are maintained on the Electronic Records Express web site. The physicians and medical assistants have access to the web site. The exam referrals are sent through the ERE web site and the reports are uploaded through ERE.
- The waiting room has 15 chairs available for seating.
- The doctors do not speak a foreign language.
- The weight scale has a capacity of 500 lbs.
- There are two exam rooms. One is used for vision and vitals testing and the other is used for the physical exams and has an exam table.

I arrived at the office at 8:15 am. There were 14 claimants scheduled for physical exams on the day of my visit. (b) (6) was the doctor working this clinic with assistant (b) (6) did the vision and vitals portion of the exam. (b) (6) is a psychologist. I spoke with (b) (6) for a few minutes prior to (b) (6) first exam. I verified (b) (6) ID with (b) (6) driver's license. (b) (6) medical license was framed on the desk in the exam room. Both (b) (6) were dressed professionally.

Scheduling – (b) (6) provides exams on Mondays from 8:00 am through 6:00 pm. They typically offer 20 time slots on Mondays with two doctors working. The appointments are scheduled in 30 minute time slots. They offer exams on Tuesdays and Fridays from 8:00 am through 1:00 pm, and Saturdays from 8:30 am through 1:30 pm. They typically have one doctor working (10 time slots) on Tuesdays, Fridays, and Saturdays. Pulmonary function tests are scheduled in the same time slots as the physical exams in (b) (6). Labs and x-rays are scheduled with the physical exams only.

Laboratories-

- X-rays are done at Community Hospital North (b) (
- Blood draws for labs are done onsite.
- Since 6/1/2014, (b) (6) has submitted their reports in an average of 1 day.

Interviews-

I interviewed four claimants during my visit. The first claimant I interviewed was (b) (c) was scheduled for a physical exam at 8:30 am. (b) (6) verified photo ID and was given a one page medical history form to complete. arrived for appointment at 8:12 am and was called back for the vision and vitals testing at 8:20 am. completed the vision/vitals testing at 8:26 am and was then seen by (b) (6) was finished with the exam at 8:50 am. (b) (6) gave ^{(0) (6)} a ride to the appointment. The exam door was closed throughout the exam and (b) (6) stated that **felt** the exam was private. received appointment letter about 8-9 days prior to the appointment. stated that (b) (6) was friendly. When asked if (b) (6) addressed all of complaints stated "yeah, checked everything." (b) (6) was also scheduled for x-rays. (b) (6) gave the claimant an x-ray order to take to Community Hospital and ⁶⁰⁶ gave ⁶⁰⁶ directions to the hospital.

The second claimant I interviewed was (b) (6) was scheduled for a physical exam at 9:30 am. arrived for appointment at 9:04 am. The vision and vitals testing was done at 9:19 am and finished at 9:28 am. The physical exam began at 9:30 am and was finished at 10:06 am. The claimant's (b) (6) drove of the appointment. If had no trouble locating the office. Free received about 10 days notice for the appointment. If was satisfied with the exam and stated that (b) (6) addressed all of complaints. If had no complaints about the condition or cleanliness of the office.

The last claimant I interviewed was (b) (6) was scheduled for a physical exam at 10:30 am. (a) arrived at 10:27 am. The vision/vitals testing was done at 10:42 am. The physical exam began at 10:52 am and was finished at 11:14 am. (b) (c) described the office as "very clean, spotless." (b) (6) drove (b) (c) to the appointment and they had no trouble locating the office. (b) (c) said that all of (c) mplaints were addressed by (b) (c) described (b) (c) as "very friendly." Claimant (b) (6) was initially scheduled for a physical exam on Wednesday 8/27/14. (b) (6) rescheduled appointment for Friday 8/29/14. I did not see (b) (6) on the schedule for 8/29/14 so I asked (b) (6) why the DDB had not been notified of the rescheduled appointment. (b) (6) stated that ^[5] (6] rescheduled the claimant (b) (6) because the claimant's appointment conflicted with their doctor's schedule on 8/27/14. I let (b) (6) know that the DDB must always be notified if an appointment must be rescheduled and all reschedules must be done through the DDB. ^[5] (6] stated that ^[5] (6] will be sure to notify the DDB in the future when an appointment must be rescheduled. (b) (6)

(b) (6)

Professional Relations Officer 9/09/2014



On August 5, 2014, I visited (b) (6) is a key CE provider therefore, requiring an annual onsite visit. (b) (6) completes physical exams for adults and children and pulmonary function testing. Labs and x-rays are done at Deaconess Hospital in downtown Evansville, (b) (6)

The office is located (b) (6) This appears to be a safe location for the claimants to travel. (b) (6) completes evaluations every Tuesday from 7:30 am to 5:00 pm. They have provided CE's in (b) (6) Since 6/1/2014, (b) (6) has submitted their reports in an average of 1.4 days.

Facility-

- (b) (6) and there is a large parking lot with plenty of parking available.
- The building is handicap accessible. There is a handicap accessible restroom.
- There is a sign on the door identifying the office as (b) (6)
 There was also a small sign on (b) (6)
 for the clinic.
- The building is clean and professional.

- The emergency exit signs are clearly marked.
- The medical records are maintained on the Electronic Records Express web site. The physicians and medical assistants have access to the web site. The CE referrals are sent through the ERE website and the reports are uploaded through the ERE website.
- The waiting room has 16 chairs available for seating.
- (b) (6) does not speak any languages other than English.
- The weight scale has a capacity of 750 lbs.
- There are two exam rooms. Each exam room had an exam table.

I arrived at the office at 7:30 am. There were 17 claimants scheduled for physical exams on the day of my visit. (b) (6) was the doctor working this clinic with medical assistant (b) (6). I spoke with (b) (6) for about 10 minutes. I verified [10] ID with [10] driver's license. [11] Indiana medical license was not displayed. I discussed this with [10] and let [10] know that I would also remind the owner of (b) (6) that they are required to diplay the physicians' licenses at the clinic. The medical assistant and (b) (6) both wore scrubs.

<u>Scheduling</u> – Wellcare schedules physical exams in (b) (6) every Tuesday from 7:30 am through 5:30 pm (last appointment at 5:00 pm). Exams are scheduled in 30-minute time intervals and they offer 20 time slots per day for physical exams. Pulmonary function tests and limited exams are also scheduled during the same time intervals and are completed by the medical assistant.

Laboratories-

- X-rays are done at Deaconess Hospital in Evansville (b) (6)
- Blood draws for labs are done at (b) (6)

Interviews-

The second claimant I interviewed was (b) (6) was scheduled for a physical exam at 10:00 am. arrived for his appointment at 9:45 am. The medical assistant verified photo ID. Vision and vitals testing was done at 10:12 am. The exam with (b) (6) began at 10:16 am and was completed at 10:37 am. (b) (6) also had very positive things to say about and described (b) (c) as "friendly" and context and that (b) (c) was patient and took time to answer the claimant's questions. arrived early for context appointment and denied having any trouble locating the office. A (b) (6) medical conditions during the exam.

The last claimant I interviewed was (0) (6) drove to the was scheduled for a physical exam at 12:30 appointment (b) (6) pm but arrived early at 10:25 am. was seen by the medical assistant at 11:27 am for vision and vitals testing. (b) (6) seemed to have significant difficulty completing the two page medical history form and repeatedly asked (0) (6) who was in the waiting room with ^{(b) (6)} for help with the questions. was seen by (b) (6) at 11:38 am for the physical exam and finished at 12:07 pm.(b) (6) also had only positive things to say about said all complaints were addressed by the doctor. are received both appointment letters and said had about two weeks notice for the appointment. (0) (6) drove and they had no difficulty locating the office.

Professional Relations Officer 8/7/2014

2014

CONSULTATIVE EXAMINATION MANAGEMENT/OVERSITE REPORT

IOWA DDS



PROFESSIONAL RELATIONS OFFICER

10/2014

VENDOR COMPLAINTS:

The following process details the Iowa DDS procedure for CE Vendor complaint reporting, assessment and action.

- 1. Whomever receives the complaint should:
 - a) Obtain claimant name,
 - b) Obtain name of CE provider,
 - c) Obtain <u>general</u> nature of complaint if possible,
 - d) Inform claimant that if they wish to make a formal complaint, the complaint must be submitted in writing and sent to the DDS Professional Relations Officer (PRO), who will contact the claimant if further information is needed.
 - e) Provide the general information to the PRO or in his/her extended absence to the supervisor of the examiner handling the case.
- 2. The PRO (or supervisor) will:
 - a) Generally, obtain a copy of the CE report before contacting the CE source to see if the provider mentions the alleged problem. In some cases, however, the complaint may be so significant that it would not be appropriate to wait for the report. When the PRO determines the appropriate time to contact the provider, the contact may be by phone, mail, or in person, whichever the PRO feels is most appropriate. The provider should be informed of the nature of the complaint and offered an opportunity to respond, preferably in writing. If the response is received verbally, the PRO will write a summary and send it to the provider to verify its accuracy.
 - b) Review DDS records and state licensing information for any past complaints or sanctions. PRO may survey other claimants with past exams for similar issues.
 - c) Review the evidence and make a conclusion as to the credibility of the allegations. Next steps depend on if the allegation is deemed credible and the nature of the complaint. The PRO may; counsel the provider, remove the provider from the list of authorized CE providers, or report the provider to the appropriate licensing board. Future CEs may be cancelled if necessary. The PRO may consult with the Bureau Chief or designated staff in the Center for Disability Programs (CDP) in the Regional Office.
 - d) Send a final report to the claimant, the provider, the Bureau Chief, the disability examiner, the unit supervisor, and the designated staff person in the CDP. The PRO will keep a file of all complaints by fiscal year as well as by provider.

The majority of the complaints received by the Iowa DDS were routine in nature. The CE vendor's demeanor such as rudeness or being "Too rough" was identified as the chief complaint. Each complaint was extensively documented. A copy of each complaint is maintained in the doctors file. The exam is reviewed and action taken if necessary.

The following vendors received an onsite visit this fiscal year.

| Name: | City: | Specialty: |
|-------------------------------|----------------|------------------|
| 1. Carroll Roland, PHD | Northeast Iowa | Psychology |
| 2. Roger Mraz PHD | Davenport | Psychology |
| 3. Harlan Stientjes, PHD | Cedar Rapids | Psychology |
| 4. Bruce Dawson, PHD | Des Moines | Psychology |
| 5. Burlington Neuro and Sleep | SE Iowa | General Practice |

KEY VOLUME VENDORS IN IOWA - May15, 2013- MAY 15, 2014

| Rank for | Rank for | Name | Amount Paid |
|------------------------|--------------------|--|------------------------|
| Previous Period | This Period | | This Period |
| 3 | 1 | Wahl Psychological Services | \$121,900 |
| 1 | 2 | Carroll Roland, PHD | \$115,930 |
| 2 | 3 | Rich Martin, PHD | \$103,045 |
| 5 | 4 | John Kuhnlein, DO | \$98,508 |
| 4 | 5 | Consultants in Disability | \$87,760 |
| 8 | 6 | Roger Mraz, PHD | \$87,322 |
| 7 | 7 | Plains Area MHC | \$72,385 |
| 9 | 8 | Rosanna Jones Thurmond, PHD | \$72,025 |
| 6 | 9 | Harlan Stientjes, PHD | \$66,185 |
| X | 10 | NE IA Family Practice | \$51,840 |
| | | Total paid out this period Total paid out last period | \$876,900 \$997,912 |

The following vendors were not reviewed this year: Plains Area MHC and NE IA Family Practice as they were all reviewed in 2013. Rosanna Jones Thurman, PHD, Tim Wahl, PHD, John Kuhnlein, DO and Midtown Medical were all reviewed in 2012. Rich Martin, PHD, and Consultants in Disability both were reviewed in 2011.

The following vendors received an onsite visit this year; Carol Roland PHD, Roger Mraz, PHD and Harlan Stientjes, PHD are all in the top ten and have not been reviewed in four years. Bruce Dawson, PHD and Burlington Neuro and Sleep also received an onsite visit, as these clinics have consistently ranked in the top 20, but have never received a review.

1) Number of CE providers on CE panel;

The lowa DDS utilizes approximately 190 physical clinic locations, 138 psychological clinic locations and 80 outpatient vendors (i.e. Hospital Radiology Depts.) for consultative examinations. Over 60 Physical Therapy vendors are also utilized by the agency.

2) Credentials Checks:

The IA Board of Medical Examiners provides a public website, www.medicalboard.iowa.gov, which lists licensing information including expiration dates. This information is placed in a spreadsheet and on the agency legacy system. At the start of each month, the spreadsheet is checked to identify any vendors whose license was set to expire. A new check of the website will indicate if the prior expiration date has changed. The new expiration date is noted on the spreadsheet and the legacy system. Those that have lapsed are contacted. Proof of licensure is required. The vendor is suspended until proof of current state licensing is obtained. A yearly check is made on all CE vendors on the national vendor suspension list. (Review was completed in August 2014.) The national list is also reviewed for each new CE vendor.

3) License and credentials of CE support personnel:

Support personnel such as X-ray technicians, RN's, etc... can also be obtained through the Iowa Licensing Board. All volume vendors provide a list of their support staff and credentials. The doctor signs the report and is therefore responsible for the report as a whole.

IOWA FEE SCHEDULE MANAGEMENT - 2014:

The Iowa DDS Fee Schedule continued to reflect Iowa's Medicare fee schedule. Changes were made to the schedule based upon the yearly updates completed by Iowa Medicare.

ERE ACTIVITIES:

The lowa DDS has made extensive progress in the obtainment of electronic medical records. At this point, over 96% of the state's CE vendors have agreed to send in their reports electronically through the fax server or ERE website.

Over 6,000 MER vendors including all Iowa Hospitals are now accepting the agency disability requests through Outbound Fax.

Healthport continues sending in all requests through "Connect Direct."

The University of Iowa Hospitals and Clinics is the Iowa DDS's largest MER vendor. Over 12,000 requests are received annually. UIHC have begun utilizing the HIT process.

Additional MER and CE vendors have been added to the ERE and have begun using the ERE fiscal process to request payment.

The agency now receives over 82% of all medical records electronically. Additional work is continuing with all major locations to identify ERE alternatives.

b (6) Professional Relations Officer (2014)

Attachment 1

2013 Iowa DDS Fee Schedule

2014 IOWA DDS FEE SCHEDULE FOR CONSULTATIVE EXAMINATIONS

| MENTAL EXAMS: | Reimbursement | CPT Coding DDS | Code |
|---|-------------------|------------------------------|-----------------|
| WAIS – IV | \$250 | 96101 | 00800 |
| WISC – IV | \$250 | 96101 | 00801 |
| Wechsler Memory Scale IV | \$250 | 96101 | 00802 |
| Mental Status Only | \$200 | 96101 | 00803 |
| Mental Status with Testing | \$100 | 96101 | 00804 |
| Denver Developmental | \$80 | 96101 | 00807 |
| Vineland Scale | \$150 | 96101 | 80800 |
| Stanford Binet | \$200 | 96101 | 00809 |
| WPPSI | \$225 | 96101 | 00810 |
| • WRAT | \$75 | 96101 | 00811 |
| Bailey Infant Scale | \$125 | 96111 | 00812 |
| Consultative (Psychiatric) | \$210 | 99205 | 00700 |
| PHYSICAL EXAMINATIONS: | | | |
| | \$210 | 99205 | 00200 |
| Consultative (General) Consultative (General with ROM) | \$244 | 99205, 95851 | 00200 00200R |
| Consultative (General with ROM) Consultative (Cardiac) | \$210 | 99205, 9585 i 99205 | 00200 |
| Consultative (Cardiac) Consultative (Orthopedic) | \$244 | 99205, 95851 | 00100 |
| Consultative (Orthopedic) Consultative (Neurological) | \$210 | 99205, | 00600 |
| Consultative (Neurological with ROM) | \$244 | 99205, 95851 | 00600R |
| Consultative (Pediatric) | \$210 | 99205 | 01600 |
| Consultative (Pediatric with ROM) | \$244 | 99205, 95851 | 01600R |
| Consultative (Otological & Audiogram) | \$264 | 99205, 92557 | 01000 |
| Audiometric (Testing Only) | \$54 | 92557, 92567 | 92557 |
| Consultative (Speech/Language) | \$308 | 99203, 92506 | 00300 |
| Consultative (Vision with Fields) | \$275 | 99205, 92083 | 00900 |
| Consultative (Vision without Fields) | \$210 | 99205 | 00901 |
| Field Testing Only | \$65 | 92083 | 92083 |
| Treating Source (Office Visit - 15 Min) | \$74 | 99213 | 00400 |
| Treating Source (Complete Exam) | \$147 | 99215 | 00401 |
| Treating Source (Complete Exam (ROM) | \$181 | 99215, 95851 | 00401R |
| Physical Therapy Exam | \$163 | 97001, 95851, 95834 | 97001 |
| ROM Chart | \$34 | 95851, 95852 | 95851 |
| Fibromyalgia Chart | \$52 | 95834 | 95834 |
| LABORATORY: | | | |
| Venipuncture (Blood Draw) | \$4.00 | 36415 | 36415 |
| Metabolic Panel (Multi-Channel) | \$16.00 | 80053, 36415 | 80053 |
| Drug Screen (Dilantin) | \$20.00 | 80185, 36415 | 80185 |
| Drug Screen (Depakane) | \$20.00 | 80164, 36415 | 80164 |
| Drug Screen (Mysolene) | \$26.00 | 80188, 36415 | 80188 |
| Drug Screen (Phenobarbital) | \$24.00 | 80184, 36415 | 80184 |
| Drug Screen (Tegretol) | \$21.00 | 80156, 36415 | 80156 |
| Creatinine | \$8.00 | 82565, 36415 | 82565 |
| Hematocrit | \$3.00 | 85014, 36415 | 85014 |
| Hemoglobin R.A. Factor | \$3.00 \$8.00 | 85018, 36415 | 85018 |
| | \$8.00 \$5.00 | 86430, 36415 | 86430 |
| Sedimentation Rate Serum Potassium | \$5.00 \$7.00 | 85651, 36415 84132, 36415 | 85651 84132 |
| Serum Potassium CBC with Hematocrit | \$7.00 \$11.00 | 84132, 36415 85025, 36415 | 84132 85025 |
| Lead Level | \$18.00 | 83655, 36415 | 83655 |
| Urinalysis | \$5.00 | 81000 | 81000 |
| STUDIES: | Reimbursement | CPT Coding DDS | Code |
| PFS – Technical with Interpretation | \$150 | 94060 | 94060 |

| ٠ | DLCO's | \$94 | 94729 94727 | 94720 |
|---|---------------------------------|-------|--------------|-------|
| ٠ | Resting Blood Gases | \$23 | 82803, 36415 | 82803 |
| ٠ | Resting Doppler's | \$138 | 93923 | 93923 |
| ٠ | Exercise Doppler's | \$209 | 93924, 93017 | 93924 |
| ٠ | Stress Test Interpretation only | \$15 | 93018 | 93018 |
| ٠ | Stress Test Technical only | \$45 | 93017, 84132 | 93017 |
| ٠ | Stress Test and Interpretation | \$83 | 93015, 84132 | 93015 |
| ٠ | Electrocardiogram | \$16 | 93000 | 93000 |
| ٠ | Electroencephalogram (EEG) | \$348 | 95816 | 95816 |

| XF | RAYS: | <u>TOTAL</u> | <u>TECH</u> | INTERP | | <u>TECH</u> | INTER |
|----|------------------|--------------|-------------|---------------|-------|-------------|--------------|
| • | Ankle | \$54.00 | \$39.00 | \$15.00 | 73600 | 73600 | 23600 |
| • | Cervical Spine | \$66.00 | \$46.00 | \$21.00 | 72040 | 72040 | 22040 |
| ٠ | Chest | \$56.00 | \$37.00 | \$19.00 | 71020 | 71020 | 21020 |
| • | Clavicle | \$54.00 | \$39.00 | \$15.00 | 73000 | 73000 | 23000 |
| • | Elbow | \$53.00 | \$39.00 | \$14.00 | 73070 | 73070 | 23070 |
| ٠ | Femur | \$54.00 | \$37.00 | \$16.00 | 73550 | 73550 | 23550 |
| ٠ | Foot | \$52.00 | \$38.00 | \$14.00 | 73620 | 73620 | 23620 |
| ٠ | Forearm | \$53.00 | \$38.00 | \$15.00 | 73090 | 73090 | 23090 |
| • | Hand | \$52.00 | \$37.00 | \$15.00 | 73120 | 73120 | 23120 |
| ٠ | Нір | \$74.00 | \$54.00 | \$20.00 | 73510 | 73510 | 23510 |
| ٠ | Knee | \$58.00 | \$41.00 | \$17.00 | 73560 | 73560 | 23560 |
| ٠ | LS Spine | \$67.00 | \$46.00 | \$21.00 | 72100 | 72100 | 22100 |
| ٠ | Pelvis | \$54.00 | \$38.00 | \$16.00 | 72170 | 72170 | 22170 |
| ٠ | Rib | \$76.00 | \$52.00 | \$24.00 | 71110 | 71110 | 21110 |
| ٠ | Shoulder | \$58.00 | \$40.00 | \$18.00 | 73030 | 73030 | 23030 |
| ٠ | Thoracic Spine | \$63.00 | \$43.00 | \$20.00 | 72070 | 72070 | 22070 |
| • | Tibia and Fibula | \$52.00 | \$36.00 | \$16.00 | 73590 | 73590 | 23590 |
| • | Wrist | \$60.00 | \$44.00 | \$16.00 | 73100 | 73100 | 23100 |

RARE MENTAL:

| ٠ | Neuropsychological Assessment | \$600 | 96101 | 00814 |
|---|-------------------------------------|-------|-------|-------|
| ٠ | Neuro-Cognastat | \$150 | 96101 | 00815 |
| ٠ | Executive Functioning Battery | \$100 | 96101 | 00816 |
| ٠ | Test of Variable Attention | \$100 | 96101 | 00817 |
| ٠ | Beck Anxiety Inventory | \$25 | 96101 | 00818 |
| ٠ | Beck Depression Inventory | \$25 | 96101 | 00819 |
| ٠ | Beck Hopelessness Inventory | \$25 | 96101 | 00820 |
| ٠ | Rey 15-Item Memory Test | \$50 | 96101 | 00822 |
| ٠ | Rey Auditory- Verbal Learning Test | \$150 | 96101 | 00823 |
| ٠ | Ray Complex Figure Test | \$100 | 96101 | 00824 |
| ٠ | Raven Standard Progressive Matrices | \$150 | 96101 | 00825 |
| ٠ | Draw – a – Person Test | \$50 | 96101 | 00826 |

| 0 | THER: | | | |
|---|-----------------------------------|----------------|-------|-------|
| ٠ | Ear Wax Removal | \$50 | 69210 | 69210 |
| ٠ | Exam Room Fee | \$30 | 99999 | 99999 |
| ٠ | Medical Record Review (Max. 2Hrs) | \$65 (Per Hr.) | 99100 | 99100 |

Attachment 2

2014 Iowa DDS Onsite Reviews

2014 ONSITE REVIEW – (b) (6)

Date: 07/01/2014

Provider:



| Address: | (b) | (6) | |
|----------|------------|-----|--|
| | | | |

Other Locations: (b) (6

Examinations Conducted: Psychological Examinations

Number of CE's authorized (Since): (b) (6)

Phone Number: (b) (6)

Classification: (b) (6)

Review Type: Comprehensive

Facility:

Identifiably - Large Sign

Cleanliness - Well kept appearance

Handicap Accessibility - Yes

Public Transportation – Bus and cab service available

Parking Lot - Large, handicap accessible

Emergency Exit Signs - Yes

Rest Rooms - Large, handicap accessible

Waiting Room - 6 chairs, Very clean

Examining Rooms - One, large, clean, private and well maintained

Staff:

General Appearance - Very Professional

Doctor's specialty - *Psychology*

Psychologist license number - (b) (6)

Does the psychologist speak easy-to-understand English? - Yes

Does the psychologist speak another language of the claimant? - No

Scheduling:

What is the maximum number of CEs scheduled per day? 3-4

Procedures:

Are claimants greeted in a friendly, professional manner? - Yes

How long was it before they were greeted? Immediately

How and by whom is the claimant identified? The Doctor

Who obtains the claimant's medical/psychological history? The Doctor

Who obtains the claimant's physical/psychological examination? The Doctor

How much time does the psychologist spend face-to-face with the claimant? *This depends on test type. Mental Status alone – 1hr. and Mental Status with Testing 1.5 – 2hrs*

Do assistants to the psychologist meet appropriate licensing requirements of the State? - Yes

Is the claimant's physical description and claim number in the CE report? - Yes

(Signature of Reviewer) (b) (6) Date: 07/01/2014

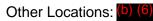
<u>2014 ONSITE REVIEW – (b) (6)</u>

Date: 09/03/2014

Provider:

Name: (b) (6)

Address: (b) (6)



Examinations Conducted: Psychological Examinations

Number of CE's performed (Since): (b) (6)

Phone Number: (b) (6)

Classification: (b) (6)

Review Type: Comprehensive

Facility:

Identifiably - Large Sign

Cleanliness - Well-kept appearance

Handicap Accessibility - Yes

Public Transportation – Bus service available – 1/2 a block away

Parking Lot – 10 Car parking lot in front of building

Emergency Exit Signs - Yes

Rest Rooms - Large, handicap accessible

Waiting Room - Very well kept - 6 chairs

Examining Rooms - Evaluation done in doctors personal office

Staff:

General Appearance - Very Professional

Doctor's specialty – *Psychology*

Psychologist license number - (b) (6)

Does the psychologist speak easy-to-understand English? - Yes

Does the psychologist speak another language of the claimant? - No

Scheduling:

What is the maximum number of CEs scheduled per day? 2

Procedures:

Are claimants greeted in a friendly, professional manner? - **Yes** How long was it before they were greeted? *Immediately* How and by whom is the claimant identified? *The Doctor or receptionist* Who obtains the claimant's medical/psychological history? *The Doctor*

Who obtains the claimant's physical/psychological examination? The Doctor

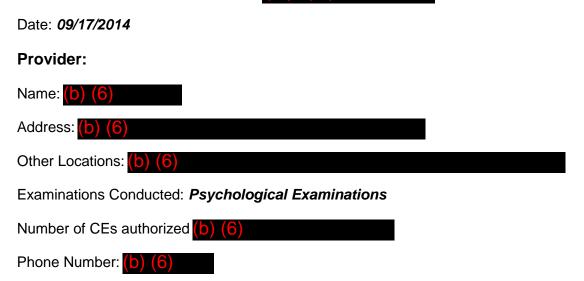
How much time does the psychologist spend face-to-face with the claimant? *Depends on test type, usually 1-2 hrs*

Do assistants to the psychologist meet appropriate licensing requirements of the State? – N/A

Is the claimant's physical description and claim number in the CE report? - Yes

(Signature of Reviewer) (b) (6) Date: 09/03/2014

2014 ONSITE REVIEW - (b) (6)



Classification: (b) (6)

Review Type: **Comprehensive**

Facility:

Identifiably – Large Sign Community College Cleanliness – Well-kept appearance – Brand New Building Handicap Accessibility - Yes Public Transportation – Bus and cab service available Parking Lot – Large, handicap accessible Emergency Exit Signs - Yes Rest Rooms - Large, handicap accessible Waiting Room – Very clean - Large Examining Rooms – Two, large, clean, private and well maintained

Staff:

General Appearance - Very Professional

Doctor's specialty – *Psychology*

Psychologist license number - (b) (6)

Does the psychologist speak easy-to-understand English? - Yes

Does the psychologist speak another language of the claimant? - Yes

Scheduling:

What is the maximum number of CEs scheduled per day? 3-4

Procedures:

Are claimants greeted in a friendly, professional manner? - Yes

How long was it before they were greeted? Immediately

How and by whom is the claimant identified? The Doctor

Who obtains the claimant's medical/psychological history? The Doctor

Who obtains the claimant's physical/psychological examination? The Doctor

How much time does the psychologist spend face-to-face with the claimant? *This depends on test type. Mental Status alone – 1hr. and Mental Status with Testing 1.5 – 2hrs.*

Do assistants to the psychologist meet appropriate licensing requirements of the State? - Yes

Is the claimant's physical description and claim number in the CE report? - Yes

(Signature of Reviewer) (b) (6)

Date: 09/17/2014

2014 ONSITE REVIEW – (b) (6)

Date: 09/25/2014

Provider:

Name: (b) (6)

Address: (b) (6)

Other Locations: (b) (6)

Examinations Conducted: Psychological Examinations

Number of CE's authorized: (b) (6)

Phone Number: (b) (6)

Classification: (b) (6)

Review Type: Comprehensive

Facility:

Identifiably - Building is easily identified by a large sign on the front

Cleanliness - Building has been renovated and is very clean

Handicap Accessibility – All offices are on the 4th floor with elevator access. Front door and bathrooms all large enough for a wheelchair

Public Transportation – Bus stop right outside the building

Parking Lot – Large with several handicap spots

Emergency Exit Signs - Yes

Rest Rooms - Large, very clean and handicap accessible

Waiting Room - Well maintained, new furniture

Examining Rooms - one

Staff:

General Appearance - Very professional

Doctor's specialty - *Psychology*

Psychologist license number –

Does the psychologist speak easy-to-understand English? - Yes

Does the psychologist speak another language? - **No** Scheduling:

What is the maximum number of CEs scheduled per day? 6

Procedures:

Are claimants greeted in a friendly, professional manner? - Yes

How long was it before they were greeted? *Immediately*

How and by whom is the claimant identified? (b) (6)

Who obtains the claimant's medical/psychological history? (b) (6)

Who obtains the claimant's physical/psychological examination? (b) (6)

How much time does the psychologist spend face-to-face with the claimant? 45 Minutes to 2 hours

Do assistants to the psychologist meet appropriate licensing requirements of the State? - NA

Is the claimant's physical description and claim number in the CE report? - Yes

(Signature of Reviewer) (b) (6) Date: 09/25/2014

2014 ONSITE REVIEW – (b) (6)

Date: 10/16/2014 Provider: Name: (b) (6) Address: (b) (6) Other locations: (b) (6) Examinations Conducted: General Physical Examinations Number of CE's performed: (b) (6) Phone Number: (b) (6) Phone Number: (b) (6) Review Type - Comprehensive Facility:

Identifiably - Easy to locate with the hospital physicians building

Cleanliness - Very Clean

Handicap Accessibility - Yes Public Transportation – Bus Stops at Hospital Parking Lot – Large – Handicap spaces directly outside of office Emergency Exit Signs - Yes Rest Rooms – Large – Handicap accessible Waiting Room – Very Clean – 12 Chairs Examining Rooms – 3, Modern and well maintained Gowns Provided? – Yes Equipment/Laboratory Tests – No Lab or X-rays in Office Eye Chart Location – Well marked out and adequately lit.

Page 2 - (b) (6)

Staff General Appearance – Very Professional Doctor's specialty – Family Practice Does the physician speak easy-to-understand English? - Yes Does the physician speak another language? – No Is someone trained in (CPR) on the premises at all times? - Yes Is an emergency/resuscitation cart easily accessible? – In building Scheduling: Maximum number of CEs scheduled per day? - 2-3 Procedures: Are claimants greeted in a friendly, professional manner? - Yes How long was it before they were greeted? – Immediately How and by whom is the claimant identified? – The doctor Who obtains the claimant's medical/psychological history? - The doctor

Who performs the examination? - The doctor

How much time does the physician spend face-to-face with the claimant? **45-60 minutes** Do assistants to the physician meet appropriate licensing requirements of the State? - **Yes** Is the claimant's physical description and claim number in the CE report? - **Yes** (Signature of Reviewer) – (b) (6) Date 10/16/2014

KANSAS DDS CE OVERSIGHT REPORT FISCAL YEAR 2014 Oct 10, 2014

This is the annual CE oversight report for the Kansas DDS for fiscal year 2014. The content follows the guidelines in POMS DI 39545.575 Exhibit 2.

CE Provider Visits Performed in FY 2014

DDS uses Exhibit 1 DI 39545.525 as the policy guideline for Onsite Reviews. Records are kept electronically in the PRO documents folder organized in files by individual CE provider. The onsite review is generally conducted by the M/PRO. The Medical Administrator, (b) (6) . , is able to participate when needed. Other DDS staff, including members of the CE scheduling unit, also participate as needed and/or as available.

Central Medical Consultants (CMC)-This organization is the largest providers of physical exams for the Kansas DDS. They provide physical examinations in Topeka, Kansas City and Wichita. A site visit was conducted on Sat 9/20/14 at the Topeka CMC location. The office location is shared with Tallgrass Immediate Care. The directions to the location are easy to follow. The building is clean, safe and fully (handicapped) accessible. Public transportation is available and there is adequate parking. Staff was friendly to the claimants. This date the physician performing the examinations was (b) (6) who is the CEO. We discussed concerns that the Add as well as a recent claimant complaint.

A visit was scheduled to a potential new CE provider in Topeka however this visit was cancelled as the facility does not meet standards. The physician who does immigration exams out of an old house told us it needed repairs and also the road in front of the house was undergoing construction. The location and building do not meet standards for facilities and KS DDS will not be accepting this physician as a CE provider until repairs are made and a visit can be completed.

Many site visits for FY2013 were conducted in August 2013 which negated the need for any in FY2014 Q1. Due to the resignation of the PRO during this year, most contact with vendors was via phone and email. In addition, our Medical Administrator has been involved with vendor contact by phone and email.

No complaints were received that necessitated an emergent onsite visit.

CE reports from new CE panelists are reviewed at the 100% level initially and then at random throughout the year once quality is established. The PRO and Medical Administrator share responsibility for the reviews and for providing feedback to the provider. CE reports from experienced providers are reviewed for quality at random intervals. The DDS consultants and examiners also report quality issues as they arise.

These are investigated and acted on as needed. If corrective action is requested of the CE provider, then review of their reports is implemented to ensure resolution of ongoing issues. If there are continued issues with quality, the CE provider is removed from the CE panel.

CE Complaint Resolution Process

All CE complaints are investigated by the M/PRO. If a complaint is received via phone by one of our DE's, the DE asks the claimant to report the complaint in writing and send to KS DDS. Depending on the nature of the complaint, there will be a review of the CE report and contact with the provider and/or other appropriate actions will be taken. If in the unlikely event that the complaint was of such severity that may involve harm to the claimant, potential news media, create program integrity issues or similar severe issues, the DDS Leadership Team and the RO would be informed immediately as per policy. A response to the claimant is made within 7-10 days of the CE report being available for review.

Key Providers for FY 2014

Central Medical Consultants CEO James Henderson, MD 334 Charroux Dr. Palm Beach Gardens, FL 33410

Melvin Berg, PhD (b) (6) Topeka, KS 66614

Michael Schwartz, PhD PO Box 12308 Overland Park, KS 66282

Stanley Mintz, PhD PO Box 822 Lawrence, KS 66044

<u>CE Panel</u>

The CE panel includes:

- 31 psychologists, (many have multiple offices and video MSE locations)
- -19 physical doctors plus the staff of Dr. Henderson's CMC clinics
- -17 optometrists/ophthalmologists (combined count)

-55 hospitals

-16 Speech Therapist not including individual therapists at Children's Mercy or KUMC

-1 free standing Physical Therapy Clinic, other PT depts. are located in hospitals

Each potential CE provider is required to submit a copy of their State license, resume or C.V. (if appropriate) and a signed copy of the Statement of Agreement. All this information is sent to potential providers via e-mail. Included in our "recruitment packet" is a letter with a hyper-link to SSA's website and the Green Book, an explanation of fees, a PowerPoint presentation, a W-9 form and a Statement of Agreement. The KS Board of Healing Arts, the Behavioral Sciences Regulatory Board, Bureau for Health Occupation Credentialing, Kansas Board of Examiners in Optometry are online databases utilized to determine whether a provider has the requisite qualifications to be considered. The HHS/OIG Exclusion Search is used to determine if there are federal exclusions. All searches are documented in the CE provider's electronic folder. DDS verifies current licensing and HHS/OIG Exclusions annually. The CE provider's signature on the required Statement of Agreement stipulates that all support personnel be properly licensed as required by law. This process is the same as last year.

Medical Fee Schedules

The KS DDS uses a Fee For Service Agreement. The specified fees follow the Kansas Medicaid schedule. The M/PRO updates the list quarterly or when we become aware of a change. When changes occur, the M/PRO sends a copy to the PRO regional coordinator.

Since becoming PRO in July of 2014, 2 changes were made to the fee schedule for Sept 2014.

1) Physical Therapy Evaluation CPT 97001 was changed to Physical Therapy testing and measurements CPT 97750. This better reflects the services we are requesting. The fee increased from \$59.63 to \$93.84 as per the Medicaid fee schedule.

2) Speech Therapy CPT code 92506 was deleted by AMA in Jan 2014 and broken out into 4 separate codes. CPT code 92523 is now being used. The fee also changed according to KS Medicaid. Prior fee was \$99.60 and the new fee is \$88.80.



Missed CE Appointments

We continue to utilize an in-house report, which is updated daily, to track the CE "no show/broken" rate by each CE provider and location. This has allowed us to target problems and address no shows/broken appointments in a more effective and efficient

manner. Prior to any exam being scheduled, the disability examiner is required to contact the claimant to gain cooperation and agreement to attend the exam. There are two letters mailed to the claimant with the appointment date and time and asking for confirmation they will attend the exam. The support unit is responsible for reminder calls to claimants prior to their appointments. An action note is left for the examiner if the claimant cannot be reached for any reason by telephone. DDS staff routinely contacts third parties when a claimant cannot be reach or a CE is missed. No exam is rescheduled without having talked with the claimant and establishing commitment to a future exam. This process is unchanged from last year.

MPRO Activities & Recruitment

All MPRO activities at the Kansas DDS are to accomplish at least one of three purposes: 1) To recruit qualified exam providers in the needed areas

2) To assess, evaluate and improve the reporting practices of the current providers

3) To foster community relations

In Sept 2014, DDS purchased the lists of medical and psychological providers from the Kansas State Board of Healing Arts. Mass emails were sent out for CE interest. That has led to adding a new vision provider and a new psychological provider and responding to a number of interested medical providers who were sent additional information regarding consultative examinations. In addition, a new speech pathologist was added to the CE panel. The PRO also contacts any providers referred from our DE's or other staff.

Prior to July 2014 when staff changes occurred, the PRO had tracked CE interest on a spreadsheet. Several new physical providers were brought on board for areas of Kansas that had minimal physical CE providers. One was Redlink, who is located just across the Kansas border in McCook, NE. Another is Eve Medical Services who provides physical CE's to southeastern KS but is located in OK.

Follow-up letters were sent to those providers who expressed an interest in performing exams for DDS through their comments on the MER form. This is also tracked on the recruitment spreadsheet.

When new CE providers are set up, they are encouraged to use EOR/ERE. When requests come from the ERE group to the PRO, they are acted on immediately.

Kansas DDS enjoys good relationships with members of the CE panel. Few complaints are received. Quality of reports is continually being addressed and approved upon. We are hopeful that travel money will be available and time will allow for more site visits, especially in Western Kansas as it has now been 14 months since the last major western state visit.

Please let us know what questions you have. Thank you for your continuing support.

Respectfully submitted,

(b) (6)

DDS CE Oversight Report

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | Atlanta |
|---|---|
| State DDS: | Kentucky |
| Report Period (Fiscal Year): | 2013/2014 |
| Current Date: | October 29, 2014 |
| Reporter's Name, Phone number, and title: | Name: (b) (6) Phone number: (b) (6) |
| | Title - Professional Relations Supervisor |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

- Complaints and clarification requests for non-DMA cases are hand carried to the Professional Relations Section along with a copy of the consultative exam (if the exam is in the office at that time; if not we await a copy of the exam for paper claims). An electronic IOC (interoffice contact), is generated and sent to the Professional Relations Officer for appropriate action. Initial action on all IOCs must occur within three (3) business days.
- Upon receipt of the complaint or clarification report via IOC, the PRO will send a
 letter to the claimant notifying them that we have received their complaint and that
 the complaint will be investigated (letter D3108). The PRO will also prepare a letter
 to the vendor in regards to the complaint and ask for an immediate written response
 (letter D3087). For inadequate CEs, or clarification requests, the PRO will send a
 letter to the vendor, which outlines the documentation that we need for assessment
 (letter D3105). Upon receipt of the stated responses from the vendor, the PRO will
 review the vendors' response and decide if the issue has been resolved, or if
 further contact with the vendor is necessary.

 All inadequate and complaint reports are submitted electronically in order to recognize a pattern of issues or concerns in regards to individual vendors. The PROs address all patterns of concern with the vendors, and take any/all corrective actions necessary.

The above procedures pertain to the routine type of complaint issues (rudeness, not enough time spent with the physician, etc.). Any issues that involve an allegation of any unethical (sexual, etc.) behavior are handled as follows:

 The assigned PRO prepares a letter to the claimant stating that their complaint has been received, and that it is being forwarded to our state EEO office for investigation. The KY DDS provides all available information to the EEO office. The EEO office investigates the claimant's allegations, and informs the KY DDS of findings and provides copies of documentation. In the past vendors have been terminated from performing exams based on the findings of the EEO office. Regional Office (ATL) is then be notified of all pertinent case information, actions, and resolutions.

| * | Oct. 2013 | Story Consulting Services | Franklin, KY |
|---|-----------|---------------------------------|--------------------|
| * | Oct. 2013 | Liberty Medical Assessment | Florence, KY |
| * | Nov. 2013 | Tri-State Occupational Medicine | Danville, KY |
| * | Nov. 2013 | Tri-State Occupational Medicine | Harlan, KY |
| * | Nov. 2013 | Skaggs Consulting, PLLC | Beattyville, KY |
| * | Nov. 2013 | Wellcare, Inc. | Campbellsville, KY |
| * | Nov. 2013 | Story Consulting Services | Elizabethtown, KY |
| * | Dec. 2013 | Christopher A. Catt Consulting | Louisville, KY |
| * | Dec. 2013 | Tri-State Occupational Medicine | Mayfield, KY |
| * | Jan. 2014 | Wellcare, Inc. | Hazard, KY |
| * | Jan. 2014 | Tri-State Occupational Medicine | Ashland, KY |
| * | Jan. 2014 | Tri-State Occupational Medicine | Lexington, KY |
| * | Feb. 2014 | Wellcare, Inc. | Madisonville, KY |
| * | Feb. 2014 | Tri-State Occupational Medicine | Paintsville, KY |
| * | Feb. 2014 | Christopher A. Catt Consulting | Elizabethtown, KY |
| * | Feb. 2014 | Gary Maryman PHD | Somerset, KY |
| * | Mar. 2014 | Story Consulting Services | Frankfort, KY |
| * | Mar. 2014 | Dennis Psychological Services | Bardstown, KY |

2. Attach a list of completed onsite reviews of CE providers.

| * | Mar. 2014 | Story Consulting Services | Somerset, KY |
|---|------------|---------------------------------|-------------------|
| * | Mar. 2014 | Skaggs Consulting, PLLC | Paducah, KY |
| * | Mar. 2014 | Liberty Medical Assessment | Maysville, KY |
| * | Apr. 2014 | Wellcare, Inc. | Princeton, KY |
| * | Apr. 2014 | Christopher A. Catt Consulting | Pikeville, KY |
| * | Apr. 2014 | Story Consulting Services | Louisa, KY |
| * | Apr. 2014 | Timothy Baggs PSYD | Middlesboro, KY |
| * | Apr. 2014 | Skaggs Consulting, PLLC | Ashland, KY |
| * | May 2014 | Wellcare, Inc. | Paris, KY |
| * | May 2014 | Southern Medical Group | Florence, KY |
| * | May 2014 | Dennis Psychological Services | Glasgow, KY |
| * | May 2014 | Liberty Medical Assessment | Paducah, KY |
| * | June 2014 | Skaggs Consulting, PLLC | Lexington, KY |
| * | June 2014 | Skaggs Consulting, PLLC | Bowling Green, KY |
| * | June 2014 | Wellcare, Inc. | Hopkinsville, KY |
| * | June 2014 | Skaggs Consulting, PLLC | Hopkinsville, KY |
| * | July 2014 | Wellcare, Inc | Middlesboro, KY |
| * | July 2014 | Story Consulting Services | Lebanon, KY |
| * | July 2014 | Story Consulting Services | Williamsburg, KY |
| * | July 2014 | Skaggs Consulting, PLLC | Carlisle, KY |
| * | July 2014 | Story Consulting Services | S. Williamson, KY |
| * | July 2014 | Liberty Medical Assessment | Owensboro, KY |
| * | July 2014 | Story Consulting Services | Prestonsburg, KY |
| * | Aug. 2014 | Wellcare, Inc. | Harlan, KY |
| * | Aug. 2014 | Skaggs Consulting, PLLC | Pikeville, KY |
| * | Aug. 2014 | Tri-State Occupational Medicine | Morehead, KY |
| * | Aug. 2014 | Skaggs Consulting, PLLC | Harlan, KY |
| * | Aug. 2014 | Liberty Medical Assessment | Leitchfield, KY |
| * | Aug. 2014 | Wellcare, Inc. | Bowling Green, KY |
| * | Aug. 2014 | Tri-State Occupational Medicine | London, KY |
| * | Aug. 2014 | Liberty Medical Assessment | Glasgow, KY |
| * | Aug. 2014 | Timothy Baggs, PSYD | London, KY |
| * | Aug. 2014 | Southern Medical Group | Jackson, KY |
| * | Aug. 2014 | Tri-State Occupational Medicine | Richmond, KY |
| * | Sept. 2014 | Dennis Psychological Services | Greensburg, KY |
| * | Sept. 2014 | Tri-State Occupational Medicine | Pikeville, KY |
| * | Sept. 2014 | Liberty Medical Assessment | Henderson, KY |
| * | Sept. 2014 | Liberty Medical Assessment | Henderson, KY |

3. Attach a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch.

Christopher A. Catt Consulting Services**** Medical Arts Building - Suite 2252 1169 Eastern Parkway

Louisville, KY 40217

*****This psychological consulting service ceased their business operations in June of 2014, and while many of the clinic sites were visited prior to the closing, locations in Hazard, Hopkinsville, Bowling Green, and Somerset did not have onsites completed prior to Christopher A. Catt Consulting Services going out of business.****

Wellcare, Inc. PO Box 305 Paris, KY 40361

Gary Maryman, Ph.D.*****

(b) (6) Louisville, KY 40241 ******The Kentucky DDS ceased utilizing this vendor in April of 2014.* **(b) (6)**

Southern Medical Group

3366 Commodore Dr. Lexington, KY 40502

Skaggs Consulting, PLLC

501 Darby Creek Road Suite 16 Lexington, KY 40509

Dennis Psychological Services

1010 Glenview Drive Suite C Glasgow, KY 42141

Tri-State Occupational Medicine PO Box 1180

Ashland, KY 41105

Liberty Medical Assessment LLC

3613-B Lexington Rd. Louisville, KY 40207

Story Consulting Services PO Box 1817 Frankfort, KY 40602

| (b) (| Othy Baggs, PSYD 6) on, KY 40741 |
|-------|--|
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| | |
| | |
| 1. P | rovide the total number of CE providers on the panel. |
| рμ | |
| ГП | YSICAL CE PROVIDERS FOR THE KY DDS |
| WEL | LCARE INC. (several locations throughout the state, Paris, KY home offic |
| | |
| | Mark Burns MD |
| | Scott Farner MD Aaron Bress DO |
| | Paul von Hermann MD |
| | Dennis Ray Williams Jr. MD |
| | Ronald Auer MD |
| | Dustin Johnson MD |
| | Nathan Hill MD |
| | Jennifer Wang MD |
| | Scott Berl MD |
| | Aaron Fain MD |
| | Nathan Polley MD Andrew Harston MD |
| | Gerald Broussard MD |
| | Surinder Kad MD |
| | Alicia Pearce MD |
| | Aurora Luna MD |
| | Christopher Sherman MD |
| | Joseph Childs MD |
| | Sara Kinsey MD Margan Fakard MD |
| | Morgan Eckerd MD Shane Kibbe MD |
| | Clinton Ellingson MD |
| | Cristina Lamar DO |
| | Zachary Yenna MD |
| | Thomas Falls MD |
| | Ikemefuma Onyekwelu Jr. MD |
| | Kari Rene Wilson MD |

Przemyslaw Ignaciuk MD

LIBERTY MEDICAL ASSESSMENT LLC (several locations throughout the state, Louisville, KY home office)

Wes Allison MD Abdul Baker MD Eric Von Bogaert MD **Gwendolyn Godfrey DO April Halleron MD** Snehal Patel MD Martin Huecker MD **Deandrea Perkins MD** Atul Chugh MD Aaron Pugh MD **Atul Chuch MD** Jeremy Clark MD Aaron Pugh DO Joel Warren MD Troy Masden MD Nicole Kershner MD **Emily Kenner MD** Thomas Johnston MD Kay Nguyen MD Sameh Sayfo MD John Brandenburg DO Edgar Lopez-Suescum MD **Roy Watson MD** Allison Tucker MD Clint Tucker MD Neetu Jose MD Michael McCall MD **David Gilbert MD** Michael McCall Jr. MD Augustus Key MD Abigail Stocker MD Tye Haeberle MD Jill Eickhoff MD Jamie Holt Key DO Meggan Walsh DO **Gregg Wendorf MD** Adam Robison MD

William James Tidwell MD Frederick Griffiths MD Josh Holmes MD

STORY CONSULTING SERVICES INC. (several locations throughout the state, Frankfort, KY home office)

Jules Barefoot MD Viorel Boborodea MD Timothy Gregg MD Mark Burns MD Roy Stauffer MD Takasha Stewart-Hubbard MD David Winkle MD Curtis Gale-Dyer DO Robert Nold MD Davanand Doodnauth MD Milton Nelson MD Scott Zibell MD Jerry Silver MD Jeffrey Uzzle MD Sarah K. Brown DO

SOUTHERN MEDICAL GROUP (several locations throughout the state, Nicholasville, KY home office)

Kent Taylor MD Jason Lee MD Radha Korupolu MD Lauren Hendrix MD Ryan Hall MD Tracy Courtney MD Mark Callow MD Joshua Chalkey DO Justin Hare DO Kelli Matthew DO Ryan Kennedy MD Morgan Eckerd MD Sarah Zalone DO Vinod Muniswamy MD

| Sally Mathias MD Lisa Bailey MD Ryan Patrick MD Muhammad Zafar MD Padmaja Sudhakar MD Gauhar Chaudhary MD | |
|--|------------------------------|
| TRI-STATE OCCUPATIONAL MEDICINE (several local Huntington WV home office) Kip Beard MD Barry Burchett MD Deidre Parsley MD Kathleen Monderewicz MD Stephen Nutter MD Naushad Haziq MD | ations throughout the state, |
| EYECARE SPECIALISTS | Murray, KY |
| ADVANCED EAR, NOSE, & THROAT | Louisville, KY |
| APPALACHIAN REGIONAL MEDICAL CENTER (Speech Pathology Dept.) | Middlesboro, KY |
| APPALACHIAN REGIONAL MEDICAL CENTER (Speech Pathology Dept.) | Hazard, KY |
| ASSOCIATES IN HEARING INC. | Pikeville, KY |
| ASSOCIATES IN EYE CARE | Albany, KY |
| BARBARA M. BAKER & ASSOCIATES | Louisville, KY |
| D'ARCY BELT MS CCC/SLP | Paducah, KY |
| PELEVER, BOWLING, & WOMACK, PSC | Louisville, KY |
| RITA LUKAT CCC/SLP | Somerset, KY |
| CALDWELL CO. HOSPITAL (x-rays) | Princeton, KY |
| MARK CARTER MD | Louisville, KY |
| CINCINNATI SPEECH & HEARING | Cincinnati, OH |

| COMMISSION FOR CHILDREN W/SPECIAL NEEDS | Louisville, KY |
|--|-------------------|
| TIM CONRAD MD | New Albany, KY |
| A DAHHAN MD | Harlan, KY |
| RANDALL DALTON MD | Somerset, KY |
| DANVILLE RADIOLOGY ASSOCIATES | Somerset, KY |
| DIAGNOSITC MEDICAL IMAGING | Louisville, KY |
| DIAGNOSTIC RADIOLOGY OF LONDON | London, KY |
| HEAD & NECK SURGERY ASSOCIATES Michael Domet MD Tim Keller MD Michelle Veazey MD Mark Gutowski MD Todd Kirchhoff MD James Kempiners MD Theodore Miller MD Joseph Haas MD Steven Woodruff MD | Ft. Thomas, KY |
| MCPEAK VISION PARTNERS | Bowling Green, KY |
| DOWNTOWN RADIOLOGY | Middlesboro, KY |
| JON BRINKMAN DO | Ashland, KY |
| KARA KOLENDA CCC/SLP | Lexington, KY |
| TULIO EMANUELE MD | Bowling Green, KY |
| JOHN O. FITTS MD | Bowling Green, KY |
| FLEMING CO. HOSPITAL (PFS) | Flemingsburg, KY |
| GRAVES GILBERT CLINIC (PFS & x-rays) | Bowling Green, KY |
| GREENVIEW HOSPITAL (Dopplers) | Bowling Green, KY |
| BLUEGRASS CARDIOLOGY | Frankfort, KY |
| JASON MCCLOUD MD | Grayson, KY |

| ELIZABETH SHEPER CCC/SLP | Erlanger, KY |
|--|------------------|
| PETER URDA MD | Louisville, KY |
| TRI STATE CENTERS FOR SIGHT | Cincinnati, OH |
| RICHARD SHERIDAN MD | Cincinnati, OH |
| BENJAMIN MACKEY MD | Corbin, KY |
| GAIL WALSH CCC/SLP | Cold Springs, KY |
| BRITTANY ONKST CCC/SLP | Pikeville, KY |
| CHARLENE SHEEHAN CCC/SLP | Cadiz, KY |
| DAVID KIELAR MD | Lexington, KY |
| ROCKCASTLE CO. HOSPITAL (x-rays/lab work) | Mt. Vernon, KY |
| Emily Laird CCC/SLP | Madisonville, KY |
| PINEVILLE COMMUNITY HOSPITAL (x-rays/lab work) | Pineville, KY |
| TROVER CLINIC (x-rays/lab work) | Madisonville, KY |
| JENNIE STUART EXPRESS (x-rays) | Hopkinsville, KY |
| COAL MINER'S RESPIRATORY CLINIC (PFS) | Pikeville, KY |
| KY HEART & VASCULAR PHYSICIANS | Prestonsburg, KY |
| OPTHAMOLOGY GROUP LLC | Paducah, KY |
| ROBERT WOODS MD | Lexington, KY |
| PHYSICIANS EYE CENTER OF OWENSBORO | Owensboro, KY |
| KOBY KARP EYE INSTITUTE | Louisville, KY |
| NORTON HOSPITAL (x-rays/lab work) | Louisville, KY |
| THEODORE WANDZILAK MD | Louisville, KY |
| MAURICE OAKLEY MD | Ashland, KY |
| | |

DAVID MUFFLY MD

HENRY GOODMAN MD

JAMES OWEN MD

SYAMALA REDDY MD

H. KHORRAM MD

ANTHONY MAYO OD

JAMES MATTHEWS MD

DENNIS O'KEEFE MD

JOSEPH BRIGHTWELL MD

EDMUND WILKINS MD

TROY ASHCRAFT MD

W. LAWRENCE LONG MD

Corbin, KY Ashland, KY Lexington, KY Hazard, KY Middlesboro, KY Morehead, KY Lexington, KY Bowling Green, KY Louisville, KY Bowling Green, KY Dry Ridge, KY

MENTAL CE PROVIDERS FOR THE KY DDS

| ROBERT W. ADAMS PSYD | Owensboro, KY |
|---|-----------------|
| JENNIFER ADAMS WRIGHT Ph.D. | Mayking, KY |
| ADKINS PSYCHOLOGICAL SERVICES Barry Adkins MA LPP | Paintsville, KY |
| MICHELE AMBURGEY MA LPP | Whitesburg, KY |
| DAVID ATCHER MD | Lexington, KY |
| TIMOTHY BAGGS PSYD | London, KY |
| DENNIS BUCCHOLZ Ph.D. | Louisville, KY |
| CRYSTAL SAHNER PSYD Warren Lambert PHD (associate) | London, KY |

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| EVANS & ASSOCIATES Andrea Evans PSYD | Ashland, KY |
|---|---------------------|
| LYLE CARLSON Ph.D. | Frankfort, KY |
| SUZANNE COLLINS PSYD | Florence, KY |
| GEOFFREY SCHWERZLER PSYD | Erlanger, KY |
| BRANDEN DENNIS PSYD | Glasgow, KY |
| OLLIE DENNIS Ed.D. | Glasgow, KY |
| WAYNE EDWARDS MD | Pikeville, KY |
| KEN EPPERSON MS LPP | Prestonsburg, KY |
| JENNIFER FISHKOFF PSYD | Louisville, KY |
| ROBERT FITZ Ph.D. | Lexington, KY |
| ANNETTE FREEL Ph.D. | Corbin, KY |
| ROBERT GENTHNER Ph.D. | Lexington, KY |
| MARY ALLEN GENTHNER MS LPP | Lexington, KY |
| MEGAN GREEN PSYD | Louisa, KY |
| KESHORE GUPTA MD | Louisville, KY |
| MARK PARZYCH MA LPP | Louisville, KY |
| MARK PLAVIN Ph.D. | Lexington, KY |
| STEVEN SIMON Ph.D. | Louisville, KY |
| GERALDO DE LA COSTA LIMA Ph.D. | Stanton, KY |
| ANDREA SHULTZ PSYD | Crestview Hills, KY |
| MAUDE O'NEILL Ph.D. | Georgetown, KY |
| ELLEN YASS-REED MA LPP | Erlanger, KY |
| DANIEL MILLER Ph.D. | Louisville, KY |

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| HARWELL SMITH Ph.D. | Dry Ridge, KY |
|---|---|
| MEGAN SHAPIRO MA | Dry Ridge, KY |
| BRENDA PARKER Ph.D. | Bardstown, KY |
| RICHARD KLEM Ph.D. | Louisville, KY |
| STEVEN FREE Ph.D. | Louisville, KY |
| GARY MARYMAN PSYD 2013/2014 fiscal year.** | Louisville, KY **Ceased utilizing vendor during |
| MARCY WALPERT MA LPP | Owensboro, KY |
| SUSAN LEAR PSYD | Owensboro, KY |
| WILLIAM RIGBY Ph.D. | Harlan, KY |
| G. STEPHEN PERRY Ed.D. | Louisville, KY |
| MARK KROGER MS LPP | Edgewood, KY |
| COURTNEY SPEAR MA LPP | Vanceburg, KY |
| WAYNE HERNER PSYD | Louisville, KY |
| CHRISTI HUNDLEY Ph.D. | Lexington, KY |
| MICHAEL WHITTEN Ph.D. | Shelbyville, KY |
| SKAGGS CONSULTING, PLLC Emily Skaggs PSYD Mackenzie Leachman Ph.D. Kathy Siegler PSYD Lorilea Conyer MA LPP Edd Easton-Hogg PSYD | Lexington, KY |
| KELLIE JONES MA LPP | Lexington, KY |
| DAVID WINSCH Ph.D. | Louisville, KY |
| ROBERT NOELKER Ph.D. | Lexington, KY |
| NORTHKEY COMMUNITY CARE | Covington, KY |
| DDS CE Oversight Report | Page |

James Rosenthal PSYD

DENNIS SPRAGUE Ph.D.Lexington, KYSUZANNE ROGERS Ph.D.Frankfort, KYFREDERICK GRIEVE Ph.D.Bowling Green, KYJODY BLACKBURN MA LPPPrestonsburg, KY

*****Vendor terminated business operations during 2013/2014 fiscal year.***** CHRISTOPHER A. CATT CONSULTING Louisville, KY (several locations throughout the state, Louisville, KY home office) Christopher A. Catt PSYD Jessica Huett PSYD Kathleen Powers MA **Gregory Lynch PSYD** Jeffrey Fadel MD **Crystal Gray PSYD Terry Pearson PSYD** Brittany Shaw MA LPP Brian McClean MA LPP Katherine Peterson PSYD James Brock PSYD Leigh Ann Ford Ph.D. Jeffrey Wayne Gray Ph.D.

INTERPRETER SERVICES

ACCIPIO LANGUAGE SERVICES

Louisville, KY

WESTERN KY REFUGEE MINISTRIES

BOAT PEOPLE SOS

Louisville, KY

Louisville, KY

Bowling Green, KY

LANGUAGES UNLIMITED INC.

CATHOLIC CHARITIES

Louisville, KY

5. Provide a brief description of the process used by the DDS to ensure that medical

credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs.

A report is generated from the Document Management OnBase System to alert DDS PRO staff of upcoming licensure expirations. From this report, the vendors are reminded of the imminent expiration of their licensure, and the need to provide proof of renewal prior to expiration date is explicitly explained. Further consultative examinations are not scheduled until proof of licensure renewal is provided to our agency. Proof of licensure is usually obtained through online verification via the KY Psychological Board of Examiners (psy.ky.gov) or the Kentucky Medical Directory. When recruiting new vendors, licensure status is verified to ensure current standing (as well as any disciplinary actions), prior to adding a vendor to the panel to perform examinations. The Kentucky DDS makes every effort to ensure that all consultative examinations are completed by state licensed/qualified physicians and psychologists.

6. Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation.

Credentials of x-ray technicians are to be displayed at the CE site, and are to be verified during onsite visits. CE vendors insure credential status requirements are met by their respective support staffs as state law and/or their governing boards mandate.

7. Provide a description of any CE/MER fee schedule changes (include a description of any volume medical provider discounts).

No changes were made to the KY DDS fee schedule during the current fiscal year. Kentucky does not give any type of volume provider discounts. Kentucky does provide a \$25 bonus for any CE submitted within 10 days of the examination. There are some 'special price file' fee schedules paid (with administrative permission), when there is a need within the state that cannot otherwise be met. An example of this would be ENT vendors Eastern Kentucky. Kentucky also issues a \$10 facility fee for any vendor who travels and is using/renting office space that is not their home office.

8. Upload fee schedules to the MPRO SharePoint site.

ALLOWABLE CPT# FEE

| 0100 | History and Physical Exam.(AS or FP) |) |
|------|---------------------------------------|---|
| 0200 | Ophthalmologic Examination | |
| 0201 | Visual Fields | |
| 0220 | Audiometry Comp60.00 |) |
| 0221 | Evoked Acoustic Emissions |) |
| 0230 | Speech and Language Exam 60.00 |) |
| 0260 | OTO exam100.00 | |
| 0300 | Respiratory Examination58.00 | |
| 0400 | Cardiovascular Examination70.00 | |
| 0500 | Vascular Examination58.00 | |
| 0600 | Gastroenterology Examination58.00 | |
| 0610 | Urology Examination | |
| 0700 | Psychiatric Examination90.00 | |
| 0800 | Dermatology Examination | |
| 1000 | Orthopedic Examination100.00 | |
| 1010 | Pediatric Examination90.00 | |
| 1100 | Neurological Examination100.00 | |
| 1200 | Psychiatric Examination90.00 | |
| 1220 | Neuropsychological Examination | |
| 1255 | Psychometric IQ Only75.00 | 1 |
| 1270 | Developmental Assessment Battery | 0 |
| 1250 | Psychometric Battery150.0 | 0 |
| 1280 | Child Psychometric Battery (age 6-15) | |

ANCILLARY PROCEDURES FEES

| DDS CPT | AMA CPT | |
|------------|-------------|---|
| | | |
| 12610 | 92585 | Auditory Brainstem\$113.00 |
| 12460 | 94720 | DLCO\$60.65 |
| 12450 | 93924 | Doppler, Before & After \$175.00 |
| 12400 | 93922 | Doppler, Before \$90.00 |
| 12705 | 93307 | Echocardiogram 2D\$207.00 |
| 12700 | 93307 | Echocardiogram M |
| 12100 | 95822 | EEG Asleep\$139.00 |
| 12110 | 95812 | EEG Awake\$135.00 |
| 12410 | 93000 | EKG (Include tracings) \$30.00 |
| 12500 | 95860 | EMG\$120.00 |
| 12600 | 92541 | ENG\$36.00 |
| 12650 | 92275 | ERG\$124.00 |
| 12430 | 93015 | Exercise EKG (Treadmill or bicycle)\$150.00 |
| 12750 | 93230 | Holter Monitor\$200.00 |
| 12800 | 95900 | NCV\$50.00 |
| 12425 | 94760 | Oximetry |
| 12310 | 94010 | PFTs Béfore (Include Tracings) |
| 12300 | 94010/94060 | PFTs Before & After (Include Tracings) |
| 00201 | 92082 | Visual Fields (Goldman)\$60.00 |
| 00210 | 92557 | Audiogram\$40.00 |

X-RAY STUDIES

DDS CPT AMA CPT

| 21040/21420 | 73600 | Ankle | \$35.00 |
|-------------|-------|----------------------------|---------|
| 13000 | 74270 | Barium Enema | |
| 13100 | 76040 | Bone Age Studies, Complete | \$75.00 |
| 13150 | 76020 | Bone Age Studies, Limited | \$45.00 |
| 21560 | 72040 | Cervical Spine | \$55.00 |
| 21010 | 71020 | Chest | \$55.00 |
| 21060/21430 | 73000 | Clavicle | \$35.00 |
| 21070/21440 | 73070 | Elbow | \$40.00 |
| 21080/21450 | 73550 | Femur | \$45.00 |
| 21090/21460 | 73620 | Foot | \$40.00 |
| 21100/21470 | 73120 | Hand | \$40.00 |
| 21110/21480 | 73510 | Нір | \$55.00 |
| 21120/21490 | 73060 | Humerus | \$40.00 |
| 21130/21500 | 73560 | Knee | \$40.00 |
| 21590 | 72100 | Lumbar Spine | |

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| 21410 21180/21550 21150/21520 21160/21530 21570 21600 21170/21540 13200 21190/21560 21654 | 72170 73090 73010 73030 70250 72070 73590 74240 73100 70210 | Pelvis Radius & Ulna Scapula Shoulder Skull Thoracic Spine Tibia & Fibula Upper GI Series Wrist Paranasal Sinus | \$40.00 \$45.00 \$45.00 \$45.00 \$55.00 \$55.00 \$40.00 \$120.00 \$40.00 |
|--|--|--|--|
|--|--|--|--|

LABORATORY STUDIES

| DDS CPT | AMA CPT | |
|--|---|--|
| 11660 11030 11035 11435 11000 | 87116 82040 82140 82150 86038 | AF Bacteria, Culture & S\$15.43Albumin, Serum\$8.75Ammonia Blood\$20.00Amylase Serum\$17.50ANA (Anti-nuclear Antibodies)\$28.75 |
| 11590 11050 12200 12420 11070 11040 | 36600 80072 80048 82247 82803 82803 82803 82270 84520 | Arterial Puncture, withdrawal of blood for diagnosis\$16.00Arthritis Profile\$33.00Basic Metabolic Panel.\$32.50Bilirubin\$15.00Blood Gases (after exercise)\$43.75Blood Gases (before exercise)\$43.75Blood Occult Stool\$7.00BUN (Blood Urea Nitrogen)\$8.75 |
| 11090 | 82310 | Calcium Serum\$10.00 |
| 11100 | 92543 | Caloric Testing\$30.00 |
| 11110 11080 11085 11120 | 82380 85031 85022 84295 | Carotene Serum |
| 11740 11130 11140 11150 11155 | 80053 82550 82575 82565 80164 80154 | Comprehensive Metabolic Panel\$32.50CPK\$15.00Creatinine Clearance\$23.75Creatinine Serum\$12.00Depakene (Valproic Acid)\$43.75Diazepan\$43.75 |

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| 11170 11175 11241 11180 11185 11510 | 80185 80166 80168 82710 82728 84439 | Dilantin (Diphenyldantoin) Doxepin (Sinequan) Ethosaximide Serum Fat in Stool Ferritin Serum. Free T-4 | \$43.75 \$35.00 \$50.00 \$35.00 |
|--|---|--|--|
| | 80050 | General Health Panel | \$60.00 |
| 11200 11210 11220 11230 11821 11670 11240 11620 11630 11640 11650 11250 11260 | 82948 85013 85018 83020 80076 83003 83491 82784 82784 82784 82784 82784 86320 86325 | Glucose, Blood Hematocrit Hemoglobin Hemoglobin Electrophoresis. Hepatic Function Panel Human Growth Hormone. Hydroxycorticosteriods IGD, Gammaglobulin, IgA,IgD,IgM IGE IGG IGM Immunoelectrophoresis Serum Immunoelectrophoresis Urine. | \$6.25 \$6.25 \$43.75 \$27.50 \$40.00 \$25.00 \$15.00 ea. \$32.00 \$15.00 \$15.00 \$46.00 |
| DDS CPT | AMA CPT | | |
| 11270 11285 11310 11800 11340 11350 11370 | 82330 82705 80188 88150 83970 80184 85590 | Ionized Serum Calcium Lipids Total Mysoline (Primidone) Pap Smear Parathyroid Hormone Phenobarbitol. Platelet Count | \$7.50 \$43.75 \$7.39 \$95.00 \$43.75 |
| 11710 11380 11390 11400 11410 11410 11037 11290 11730 11430 11430 11440 11440 11450 11500 11735 11275 11520 11515 11530 11540 11560 | 84132 84702 84165 84165 85610 80299 86430 80069 84244 85044 85651 84450 84460 80156 80198 84436 84430 84443 84550 81000 36415 | Potassium Pregnancy Test Protein Electrophoresis Serum Prothombin Time Quanitation of Drug (Not elsewhere specified) RA Latex Renal Function Panel Renin Act (Angiotensin) Reticulocyte Count Sedimentation Rate SGOT SGPT Tegretol Theophyline Thyroxine Serum Total Triodothyronine T3; Total TSH Thyroid Stimulating Hormone Uric Acid Serum Urinalysis Venipuncture | \$21.49 \$25.00 \$25.00 \$8.75 \$43.75 \$11.25 \$9.58 \$62.50 \$10.00 \$8.75 \$10.00 \$10.00 \$43.75 \$40.00 \$43.75 \$40.00 \$25.00 \$13.75 \$50.00 \$8.75 \$7.50 |

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11570 85007 White Blood Cell Count\$6.25

9. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc.

| Oct. 2013 | Morehead State University Career Fair | Exhibits |
|------------|---------------------------------------|---------------------|
| Nov. 2013 | Kentucky Psychological Association | Exhibits/Conference |
| Sept. 2014 | Kentucky Medical Association | Exhibits/Conference |

ERE INITIATIVE

The Kentucky Department of Disability continues to promote the submission of electronic records within our state. While the electronic records submission percentage for the Kentucky DDS has risen over the past 3 years, KY DDS administration and management have continued to create and implement many innovative & creative programs and procedures. The KY DDS Professional Relations Staff have continued to promote and encourage vendors to register/utilize the ERE website. As we have seen a large increase in disability claims, we are focusing on electronic records submissions as a requirement when we recruit new vendors for consultative examinations and ancillary studies.

National ODO website shows Kentucky's ERE/Electronic cumulative submission rates effective 10/30/2014 was:

| ** Consultative Examinations: | 99.55% |
|-------------------------------|--------|
| ** Medical Records Submission | 78.65% |

** MER PRO and PRO Supervisor began publishing a Kentucky DDS newsletter, *The Claim.* This monthly newsletter contains information from all branches of the KY DDS, as well as articles featuring our co-workers. As our agency has had many new hires during the past fiscal year, we have also published special 'get to know the rookies' editions.

** Continued recruitment of vendors when needs arise within certain areas of the Commonwealth.

** Two members of the Professional Relations Staff are members of the Kentucky PRIDE Council.

**Several members of the PRO Unit served on interview panels during the past fiscal

year.

** The Professional Relations Unit has two First Responders.

**MER PRO travelled to several hospitals throughout the state when there were issues with accepting the e-authorization 827 form.

**In July of 2014, the KY DDS ceased offering complimentary dictation services for our CE vendors. The PRO staff worked with our vendors to make certain that this change was as seamless as possible, and case processing time was not adversely affected.

** Professional Relations Staff set up exhibits at two professional medical associations, and also participated in MPRO, MEGA HIT, and ERE conference calls.

Please attach any additional information before submitting this form.

FY14 DDS CE Oversight Report

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | Dallas |
|---|--|
| State DDS: | Louisiana |
| Report Period (Fiscal Year): | FY14 |
| Current Date: | October 22, 2014 |
| Reporter's Name, Phone number, and title: | Name- <mark>(b) (6)</mark> Phone number <mark>(b) (6)</mark> Title –DDS Consultant |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

Upon receipt, all claimant complaints are forwarded to the Medical/Professional Relations Officer and are handled on an individual basis. If a written complaint is received, the claimant is provided with a letter of acknowledgement. For oral complaints, the claimant is asked to provide written documentation.

For complaints such as unprofessional behavior, copies are forwarded to the CE provider for review and to request a response. Upon receipt of more serious complaints/allegations, we immediately cease scheduling additional appointments and notify the appropriate individuals/agencies. The provider is contacted by phone to inform him/her of the allegation, our actions taken, and discuss procedures necessary for resolution.

Documentation is made a part of the provider's file.

Complaints received over FY14 dealt primarily with non-egregious issues including rudeness and/or unprofessional manner/attitude of the examining physician and/or staff as well as alleged insufficient examinations. We forwarded acknowledgements of complaints to all. Allegations of rudeness by physicians and/or staff are reviewed to determine if there is a pattern of behavior, and no providers were identified in this regard during FY14.

2. Attach a list of completed onsite reviews of CE providers. As required, onsite reviews were conducted during FY14 with the following key/volume providers and their staff: Baton Rouge Area Office: Sandra Durdin PhD, (b) (6) , Lafayette • Southern Medical Group, 4100 Louisiana Ave, Lake Charles James VanHook PhD, (D) (6) , Baton Rouge • Med Plus, 800 West Main St, New Iberia Med Plus, 204 N. Union St, Opelousas • Point of Care, 7354 Alberta Street, Baton Rouge • Adeboye Francis MD, (b) (6) , Baton Rouge Shreveport Area Office: Med Plus, 1212 Stubbs Ave, Monroe • Southern Medical Group, 1212 Stubbs Ave, Monroe David Hebert MD, (b) (6) , Monroe New Orleans Area Office: • Scuddy Fontenelle PhD, (b) (6) , Metairie William Fowler PhD, 3351 Severn Ave, Suite 101, Metairie Internal Medicine Associates, 1799 Stumpf Blvd, Suite 2, Terrytown • Internal Medicine Associates, 2001 42nd Street, Kenner • Internal Medicine Associates, 901 Gause Blvd, Slidell Med Plus, 309 Walnut, Amite

Additional Monitoring Activities

In addition to the above key provider visits, PROs also performed announced or unannounced office visits with many non-key/volume CE providers as well. Providers and office staff are appreciative of the face-to-face contact. This allows us the opportunity to observe the physical plant, staff functions, field questions, and discuss program changes.

We routinely depend on assistance from DDS Medical/Psychological Consultants for report monitoring. We have taken steps to encourage SAMC/PC assistance and input for provider training, monitoring, and reporting. We continue to use a statewide consolidated process for CE report quality reviews.

3. Attach a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch.

We have identified the following as FY15 key providers based on DDS earnings for FY14.

| -Med Plus | \$543,390 | -IMA | \$477,927 |
|----------------|-----------|-----------------|-----------|
| -SMG | \$399,107 | -Sandra Durdin | \$207,107 |
| -AA Francis | \$216,588 | -Point of Care | \$197,828 |
| -James VanHook | \$174,176 | -S F Fontenelle | \$170,002 |

The following are FY15 onsite visit assignments for the above key providers:

Baton Rouge Area Office:

New Orleans Area Office:

| ٠ | Point of Care | Comprehensive Physical | Houma |
|---|------------------------------|------------------------|--|
| ٠ | Scuddy Fontenelle PhD | Psychology | Metairie, New Orleans, Houma |
| ٠ | Med Plus | Comprehensive Physical | Amite |
| • | Internal Medicine Associates | Comprehensive Physical | New Orleans, Kenner, Slidell, Terrytown |
| | | | |

Shreveport Area Office:

| • | Southern Medical Group | Comprehensive Physical | Shreveport, Alexandria |
|---|------------------------|------------------------|--------------------------|
| ٠ | Med Plus | Comprehensive Physical | Shreveport, Bossier City |

The number of key providers for FY15 has decreased to eight (8) in comparison to a total of ten (10) for FY14. This number has decreased mainly due to encouraging our staff to continue to explore CE alternatives for securing necessary medical evidence and ordering only needed exams and tests, including a thorough review of CE providers' requests for additional testing. This resulted in a reduced CE rate of 45.4% in FY14 compared to that of 48.2% in FY13.

4. Provide the total number of CE providers on the panel.

Louisiana currently has two hundred sixty one (261) providers on the CE panel, some of which are providers with multiple locations. These numbers remain somewhat lower than FY 05 when we reported 463 providers. The reduction is mainly due to impact of Hurricanes Katrina and Rita in 2005.



DDS CE Oversight Report

Potential providers provide a copy of their state license and CV for DDS to perform qualification and credentials checks with appropriate State Licensing Boards and HHS OIG Sanctions/Exclusions data base. Once licensing status and OIG clearance are received, the PRO inspects the potential exam site, provides procedural training for the provider and his/her staff, and schedules formal training to be conducted with participation from a DDS Medical/Psychological Consultant. After receiving training, potential providers are then required to sign the LA DDS Statement of Agreement and complete tax reporting forms. Five (5) exams are scheduled and reports reviewed by PRO and Medical/Psychological consultant. PRO provides feedback to new provider. If necessary, DDS works with provider to correct insufficiencies, limit scheduling to a second set of five (5), and so on.

5. Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs.

License verifications and Office of Inspector General (OIG) checks are performed online on all active providers at least once per year upon license expiration by the MPRO Team. The MPRO team members are also encouraged to perform license verifications and OIG checks when there is any significant activity (complaint, inquiry, etc.) involving an active CE provider.

The official provider folder is electronic and accessible to all four (4) of our offices. Folders are annotated with date and results of most recent license/exclusions/credential check. Additionally, the web posted OIG Sanctions list is checked monthly for LA providers.

Language on the LA DDS Statement of Agreement provides assurance that members of the provider's staff meet all state licensing/certification requirements. Annually, CE providers are asked to sign and submit current/updated Statement of Agreement at which time complete license/exclusions/credentials checks are conducted.

In FY14, we had one incident where two unlicensed CE Providers performed exams for (b) (6). We received the following guidance from Dallas regional office and handled accordingly:

(b) (6)

The Louisiana DDS has completed their review of the 70 cases involving the unlicensed CE providers. Of the 70 cases, 60 of them have been screened out because the MDI was already established. The remaining 10 are as follows:

| Case Location | Number |
|---------------|-------------|
| Closed | 7 (1 allow) |
| DDS | 1 |
| ODAR | 2 |
| Total | 10 |

This situation is a little different in that there is no fraud or similar fault issues. The DDS made an error in allowing (b) (6) to have the two involved doctors perform CEs. (b) (6)

. The Louisiana license that

they have is a residency license for training purposes only. The license does not permit them to practice medicine. However the CEs that they did perform are not a total loss. While they cannot establish a MDI, the information in the CE can be used as evidence from an "other medical source."

Even though fraud or similar fault is not an issue in this situation, I suggest that we follow ODD's instructions dated January 2013. Based on these instructions the DDS would do the following:

- Recall and reopen the seven closed cases and obtain new CEs.
- Annotate the electronic files via RC with the following language:

 - In the yellow section of the electronic file note "MER cannot be used to establish MDI" next to the CE report.
- PDN's would include appropriate language regarding the evidence and appeals language.
- For the other 60 cases, the DDS would annotate the electronic files as described above for future CDRs.

This situation has been discussed with ODAR and I suggested that they informally remand the two impacted cases to the Louisiana DDS. (b) (6) will discuss with (b) (6) and get back to me. Update: ODAR remanded the two cases back to the DDS.

(6) has been alerted and if there is agreement with the suggested approach, I will share with the suggested approach.

Please let me know when you would like to discuss.

Thanks,

(b) (6)

DDS CE Oversight Report

6. Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation.

Annually, CE providers are asked to sign and submit current/updated Statement of Agreement in which they certify that their support staff meets state licensing/certification requirements.

7. Provide a description of any CE/MER fee schedule changes (include a description of any volume medical provider discounts).

We continue to monitor policy to ensure the LA fee schedule contains appropriate evaluations/tests as required by the program. In FY14, the HINT (92626) and Duplex Scan Doppler (93925) were added to our fee schedule and the Wechsler Memory Scale was deleted.

We routinely encourage staff to report on appropriateness of MER received and continue to work with sources on furnishing timely, adequate records in an effort to lower rate of necessity to purchase CEs. Additionally, our in-line QA process aids in monitoring appropriate purchasing of evaluations/tests

8. Upload fee schedules to the MPRO SharePoint site.

The LA CE Fee Schedule is reviewed annually. Rates for FY 14 were established at 85% of the annual LA Medicare charge fee or the lowest negotiated fee. A copy of the current LA DDS Fee Schedule is attached.



9. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc.

PROs have continued to exhibit at conventions for various associations of educators, physicians, and medical support groups. These events represent opportunities to recruit CE providers and promote ERE.

Events attended were:

- Louisiana Thoracic Society
- Louisiana Orthopedic Association
- LHIMA (Louisiana Health Information Management Association)
- LMGMA
- Louisiana Optometry Association
- Louisiana Osteopathic Medical Association
- Louisiana Association of Pediatricians

In addition to their routine duties which aid in expediting case processing for the adjudicative staff, PROs have helped to organize workshops with the Office of Disability Adjudication and Review (ODAR) discussing body systems in the listings blue book.

We continue efforts to increase ERE. With 100% of our CE providers using electronic transmissions, we continue to target MER and other sources of evidence.

The PROs have also collaborated with SSA public affairs specialists in outreach efforts including presentations on disability applications for the homeless, prerelease cases, and SSA E-services. Participation in SSI/SSDI Outreach, Access, and Recovery (SOAR) trainings has been beneficial to agencies dedicated to assisting the homeless. Ongoing discussions regarding prerelease procedures with administrators of the Louisiana Department of Corrections (DOC) have proven to be fruitful. PROs and PASs were also busy educating MER providers on SSA's new electronic authorization process.

We have participated in various workgroups throughout FY14 including DCPS Correspondence, DCPS CE Assignment, National CE Oversight Workgroup and ERE Usability Testing.

Additionally, we continue to monitor CE provider specialties across the state and actively recruit as appropriate.

Please attach any additional information before submitting this form.

CE Scheduling:

In FY14, Louisiana implemented a consolidated CE scheduling process handled by the Shreveport Area Office. This consolidated process has proven to be efficient for our decentralized DDS offices.



School Outreach:

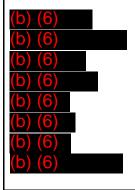
In September 2014, Louisiana began a school/school district outreach project in an effort to education these sources of the importance of submitting records to DDS. The PROs contacted the top 200 schools /school districts in Louisiana and discussed the talking points provided by SSA. This outreach has proven successful in that most schools were receptive to the information provided and are willing to provide the records that we need to adjudicate claims for their students.



Talking Points -School Records.doc

MPRO Team:

The current Louisiana MPRO Team is listed below:



MPRO Supervisor MPRO Coordinator Professional Relations Officer Administrative State Office-Baton Rouge Administrative State Office-Baton Rouge Baton Rouge New Orleans New Orleans Shreveport Shreveport

| VENDNAME J PAUL SWEARINGEN MD | ADDR1 PIERREMONT EYE |
|--|--|
| ROBERT T CASANOVA JR MD | SUITE 1 |
| LYNDE ULMER LCSW M HUNTER HANSEN | 7737 OLD HAMMO FAMILY PSYCHO-T |
| HARRIS PELLERIN LCSW-BACS | 8752 QUARTERS L |
| PHYSICAL THERAPY INC EMMETT B CHAPITAL JR M D | 2601 FERRAND ST |
| THOMAS E STAATS PH D | 3010 KNIGHT ST, S |
| NEW ORLEANS SPEECH AND HEARING CENTER NEW ORLEANS SPEECH AND HEARING EAST | 1636 TOLEDANO 5640 READ BLVD S |
| GAIL GILLESPIE PHD | (b) (6) STE 220 |
| GAIL GILLESPIE PH D ANTHONY S IOPPOLO MD | (b) (6) |
| MAC VELINGKER MD | (b) (6) |
| L WILBOURN PH D FAYE C THRASHER | (b) (6) (b) (6) |
| MED PLUS LA OPELOUSAS MED PLUS NEW IBERIA | ORTEGO CHIROPR |
| MED PLUS LA PINEVILLE | OFFICE OF DR FOR |
| MED PLUS LA AMITE MED PLUS LAKE CHARLES | STE A CENTER FOR CHIR |
| MED PLUS LA LAF | FOURNIER CHIROP |
| MED PLUS LA BROUSSARD MED PLUS LA SHREVEPORT | BRYANT CLINIC SOUTHSIDE CHIRO |
| MED PLUS LA MONROE | MICHAEL HARVEY |
| COVINGTON SPEECH AND LANGUAGE CENTER HUSSEIN ALAMMAR MD | 424 SOUTH TYLER |
| ACADIANA MEDICINE CLINIC | SUITE 4 |
| FELIX G RABITO SR MD SPEECH CONCEPTS LLC | SUITE C SUITE 210 |
| A A FRANCIS MD | OPTIMAL HEALTH |
| REBECCA F NOLAN PH D REBECCA F NOLAN | THERAPY ASSOCIA ROOM 502 |
| D L MOORE PH D | SUITE B |
| MOBILE DIAGNOSTICS OF LAFAYETTE MICHAEL D MANUEL MD | STE 201 MEDICAL TERRACE |
| CHARLES WERNER MD | NORTH LA INTERN |
| PEDIATRIC GROUP OF ACADIANA ACI HEARING AND BALANCE CENTER | SUITE 100 103 ST. THOMAS S |
| PAUL M FRIEDBERG PH D | STE 219 |
| PAUL M FRIEDBERG PH D VICTOR M OLIVER MD | (b) (6) (b) (6) |
| GIRISHKUMAR SHAH MD | SUITE A |
| SPEECH PATHOLOGY OF LOUISIANA DONNELL C ASHFORD | SUITE 401 SUITE 202 |
| AUDIOLOGY ASSOCIATES (DUCOMBS) | MEDICAL RECORD |
| CHRISTINE B. POWANDA, PH. D. NICOLE F LANCLOS | 1581 CAROL SUE A HOPE MEDICAL SE |
| NICOLE F. LANCLOS POINT OF CARE HEALTH HOUMA | 234 RUE BEAUREG 210 NEW ORLEAN |
| POINT OF CARE BATON ROUGE | SUITE A |
| ALLEN PARISH HOSPITAL SUNRISE PEDIATRIC ASSOCIATES | 108 6TH AVENUE 3116 SIXTH STREE |
| ASHLEY W SIPES M D | PIERREMONT EYE |
| CAROLYN FLEMING JAMES QUILLIN PHD | (b) (6) PSYCHOLOGICAL A |
| DAVID J WILLIAMS PH D | (b) (6) |
| PREMIER HEARING AND BALANCE LLC PREMIER HEARING AND BALANCE LLC | 16038 DOCTORS B VISION SOURCE/PI |
| R ASHTON HOLLOWAY MD | (b) (6) |
| SOUTHERN MEDICAL GR SHREVEPORT SOUTHERN MEDICAL GR PINEVILLE | 2924 KNIGHT ST, B HARVEY CHIROPRA |
| SOUTHERN MEDICAL GRP MONROE | 1212 STUBBS AVE. |
| SOUTHERN MEDICAL GRP LAKE CHARLES SOUTHERN MEDICAL GR LAFAYETTE | MASSE CHIROPRA |
| JOSEPH A GUILLORY PHD | (b) (6) |
| INTERNAL MEDICINE ASSOCIATES NEW ORLEANS INTERNAL MEDICINE ASSOCIATES KENNER | FIRST FLOOR STE A |
| INTERNAL MEDICINE ASSOCIATES SLIDELL | SECOND FLOOR |
| INTERNAL MEDICINE ASSOCIATES TERRYTOWN JOSEPH P RUMAGE M D | 1799 STUMPF BLV |
| MARY ELISE MCWILLIAMS MD | STE 250 |
| LA HEART CENTER COVINGTON | 39 STARBRUSH CIF |
| LA HEART CENTER LAPLACE | STE 208 |
| LA HEART CENTER HAMMOND | STE 208 STE C&D |
| | STE 208 |
| LA HEART CENTER HAMMOND LA HEART CENTER CHALMETTE LA HEART CENTER SLIDELL LAFAYETTE SURGICAL HOSPITAL, LLC | STE 208 STE C&D 8050 WEST JUDGE 2ND FLOOR 1025 KALISTE SALO |
| LA HEART CENTER HAMMOND LA HEART CENTER CHALMETTE LA HEART CENTER SLIDELL | STE 208 STE C&D 8050 WEST JUDGE 2ND FLOOR |
| LA HEART CENTER HAMMOND LA HEART CENTER CHALMETTE LA HEART CENTER SLIDELL LAFAYETTE SURGICAL HOSPITAL, LLC BATON ROUGE RADIOLOGY IMAGING CENTER BATON ROUGE RADIOLOGY IMAGING WENDI LABORDE PH D | STE 208 STE C&D 8050 WEST JUDGE 2ND FLOOR 1025 KALISTE SALO IMAGING CENTER IMAGING CENTER SUITE 410 |
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| LA HEART CENTER HAMMOND LA HEART CENTER CHALMETTE LA HEART CENTER SLIDELL LAFART CENTER SLIDELL LAFART CENTER SLIDELL BATON ROUGE RADIOLOGY IMAGING CENTER BATON ROUGE RADIOLOGY IMAGING WENDI LABORDE PH D DONALD R PEAVY M D DAVID HEBERT MD THOMAS C STUCKEY III DANIEL J TRAHANT M D ERIC CERWONKA PSYD TULANE UNIVERSITY HOSPITAL AND CLINIC JACK MILLER JR OD GERALD GERDES JR OD | STE 208 STE C&D 8050 WEST JUDGE 2ND FLOOR 1025 KAUISTE SALG IMAGING CENTER IMAGING CENTER SUITE 410 OLIVER EVE CLINIC DES SPECIALISTS O 3901 HOUMAB VCLIN MEDICAL RECORD PULMONARY CLIN DRS MILLER AND P MEDICAL RECORD |
| LA HEART CENTER HAMMOND LA HEART CENTER SLIDELL LA HEART CENTER SLIDELL LAFART CENTER SLIDELL LAFART CENTER SLIDELL BATON ROUGE RADIOLOGY IMAGING CENTER BATON ROUGE RADIOLOGY IMAGING WENDI LABORDE PH D DONALD R FEAVY M D DAVID HEBERT MD THOMAS C STUCKEY III DAVID HEBERT MD THOMAS C STUCKEY III DAVIE LI TAHANT M D ERIC CERWONKA PSYD TULARE UNIVERSITY HOSPITAL AND CLINIC JACK MILLER JR OD GERALD GENDES JR OD DR Y CHRISTIAN CLINICAL PATHOLOGY LABORATORIES CLINICAL PATHOLOGY LABORATORIES ADTON ROUGE | STE 208 STE C&D 8050 WEST JUDGE 2ND FLOOR 1025 KALISTE SALC IMAGING CENTER SUITE 410 OLIVER EYE CLINIC DI VIER OLIVER EYE CLINIC DI VIER OLIVER EYE CLINIC OLIVER EYE CLINIC DI VIER OLIVER EYE CLINIC DI VIER OLIVER EYE CLINIC OLIVER EYE EYE CLINIC OLIVER EYE EYE EYE EXE EXE EXE EXE EXE EXE EXE |
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| | ADDR2 | ADDR3 | CITY | STATE | ZIP |
|---|--|--------------------------|--|----------------------------------|---|
| YE INSTITUTE | 7607 YOUREE DR 1110 DR A C TERRANCE BLVD | | SHREVEPORT OPELOUSAS | LA | 71105 70570 |
| MOND HWY #A3 | | | BATON ROUGE | LA | 70809 |
| D-THERAPY CENTER | SUITE B1 | 5001 HWY 190 | COVINGTON | LA | 70433 |
| S LAKE RD ST | BLDG 9 | | BATON ROUGE MONROE | LA LA | 70809 71202-3212 |
| | | | NEW ORLEANS | LA | 70119 |
| T, SUITE 125 | | | SHREVEPORT | LA | 71105 |
| 0 | | | NEW ORLEANS | LA | 70115 |
| D SUITE 460 | | | NEW ORLEANS NEW ORLEANS | LA | 70127 70119 |
| | 2372 ST CLAUDE AVE | | NEW ORLEANS | LA | 70117 |
| | | | BATON ROUGE | LA | 70808 |
| | | | NATCHEZ | LA MS | 71446 39120 |
| | | | BALL | LA | 71405 |
| PRACTIC CLINIC | 204 N. UNION ST. | | OPELOUSAS | LA | 70570 |
| VESS CLINIC ORESTER | 800 WEST MAIN ST 2809 DONAHUE FERRY RD | | NEW IBERIA PINEVILLE | LA | 70560 71360 |
| UNESTER | MED PLUS AMITE (DR R.E. GOLDSBY OFFICE) | 309 WALNUT | AMITE | LA | 70422 |
| HROPRACTIC | 1210 EAST MCNEESE ST | | LAKE CHARLES | LA | 70607 |
| ROPRACTIC & REHABILITATION | 2201 KALISTE SALOOM SUITE 202 4027 EAST HWY 90 | | LAFAYETTE BROUSSARD | LA | 70508 70518 |
| IROPRATIC CLINIC | 672 W BERT KOUNS INDUSTRIAL LOOP | | SHREVEPORT | LA | 71118 |
| EY CHIROPRATIC CLINIC | 1212 STUBBS AVE | | MONROE | LA | 71201 |
| ER | | | COVINGTON | LA | 70433 71446 |
| | 1200 HOSPITAL DRIVE | | OPELOUSAS | LA | 70570 |
| | 19300 NORTH FOURTH STREET | | COVINGTON | LA | 70433 |
| TH OUTPATIENT CLIN | 8870 YOUREE DRIVE 7240 RENOIR AVENUE | | SHREVEPORT BATON ROUGE | LA LA | 71115 70806 |
| CIATES | 208 COLE AVE | | MONROE | LA | 71203 |
| | GOP BLDG III | 2285 BENTON RD | BOSSIER CITY | LA | 71111 |
| | 805 STUBBS AVE | | MONROE | LA | 71201 |
| ACE 301 4TH STREET | 155 HOSPITAL DR BOX 30128 | | LAFAYETTE ALEXANDRIA | LA LA | 70503 71301 |
| RNAL MEDICINE | SUITE 400 | 2508 BERT KOUNS IND LOOP | SHREVEPORT | LA | 71118 |
| | MEDICAL RECORDS | 401 YOUNGSVILLE HIGHWAY | LAFAYETTE | LA | 70508 |
| IS ST. | 412 TRAVIS STREET | | LAFAYETTE | LA | 70506 70503 |
| | | | FRANKLIN | LA | 70538 |
| | | | BATON ROUGE | LA | 70806 |
| | 409 MARINERS PLAZA 8676 GOODWOOD BLVD | | MANDEVILLE BATON ROUGE | LA | 70448 70806 |
| | 8676 GOODWOOD | | BATON ROUGE | LA | 70806 |
| RDS | 15706 PROFESSIONAL PLAZA | | HAMMOND | LA | 70403 |
| E AVE SUITE 211 . SERVICES | 5615-D JACKSON ST EXTENSION | 2ND BUILDING COURTYARD | GRETNA ALEXANDRIA | LA LA | 70056 71303 |
| REGARD STE 100 | SUS-DIACKSON STEATENSION | 2ND BOILDING COOKTIAND | LAFAYETTE | LA | 70508 |
| ANS BLVD | | | HOUMA | LA | 70364 |
| JE | 7354 ALBERTA ST | | BATON ROUGE KINDER | LA | 70808 70648 |
| EET | SUITE 101 | | METAIRIE | LA | 70002 |
| YE INSTITUTE | 7607 YOUREE DRIVE | | SHREVEPORT | LA | 71105 |
| L ASSOCIATES | 1016 CALAIS CIRCLE | | MONROE ALEXANDRIA | LA LA | 71201 71303 |
| ASSOCIATES | 1010 CALAIS CINCLE | | MONROE | LA | 71201 |
| S BLVD | | | HAMMOND | LA | 70403 |
| PREMIER HEARING & BALANCE | 3545 HIGHWAY 190 | | MANDEVILLE | LA | 70471 |
| T, BLDG 4, SUITE 440 | | | BATON ROUGE SHREVEPORT | LA LA | 70806 71105 |
| PRACTIC CLINIC | 1319 DONAHUE-FERRY | | PINEVILLE | LA | 71360 |
| VE. | | | MONROE | LA | 71201 |
| RACTIC CLINIC PRACTIC CLINIC | 4100 LOUISIANA AVENUE 345 DOUCET RD., SUITE 104B | | LAKE CHARLES LAFAYETTE | LA | 70607 70503 |
| | | | OPELOUSAS | LA | 70570 |
| | 618 N CARROLLTON AVE | | NEW ORLEANS | LA | 70119 |
| , | 2001 42ND ST 901 GAUSE BLVD | | KENNER SLIDELL | LA LA | 70065 70458 |
| BLVD. BLDG 5 SUITE 2 | 501 6/1052 5275 | | TERRYTOWN | LA | 70056 |
| | | | GRETNA | LA | 70053 |
| CIRCLE | 4300 YOUREE DR | | SHREVEPORT COVINGTON | LA LA | 71105 70433 |
| CINCLE | 502 RUE DE SANTE | | LAPLACE | LA | 70068 |
| | 42078 VETERANS AVE | | HAMMOND | LA | 70403 |
| OGE PEREZ SUITE 2500 | 901 GAUSE BLVD | | CHALMETTE | LA | 70043 70458 |
| ALOOM | SOI GAOSE BEVD | | LAFAYETTE | LA | 70508 |
| ER | 5422 DIJON DRIVE | | BATON ROUGE | | 70808 |
| ER | 2550 O'NEAL LANE | | BATON ROUGE SHREVEPORT | LA | 70816 |
| NIC | 910 PIERREMONT RD 4848 NORTH BOULEVARD | | BATON ROUGE | LA LA | 71106 70806 |
| | | | MONROE | LA | 71201 |
| S OF LA | 7777 HENNESSEY BLVD SUITE 3001 | | BATON ROUGE | | 70808 |
| RDS | PLAZA BUILDING STE 9 | 115 W MAIN ST | METAIRIE LAFAYETTE | LA | 70006 70501 |
| LINIC 7TH FLOOR | 1415 TULANE AVENUE | | NEW ORLEANS | | 70112 |
| D MILLER | 350 MOOSA BLVD | | EUNICE | LA | 70535 |
| RDS | 414 EAST 7TH AVE | | OAKDALE OPELOUSAS | LA LA | 71463 70570 |
| | 2535 BERT KOUNS INDUSTRIAL LOOP | | SHREVEPORT | LA | 71118 |
| | 3901 HOUMA BLVD | | METAIRIE | LA | 70006 |
| | 7423 PICARDY AVE 111 DR MICHAEL DEBAKEY DR | | BATON ROUGE | LA | 70808 70601 |
| N STREET EXTENSION | ALL DI WICHAEL DEDAKET DK | | ALEXANDRIA | LA | 71301 |
| RDS | STE 5 THOMAS MEDICAL BLDG | 415 BIENVILLE ST | NATCHITOCHES | | 71457 |
| | STE A | 221 MCMILLIAN RD | WEST MONROE JEFFERSON | LA LA | 71291-5321 70123 |
| RDS PARK BLVD, SUITE 130 | | | JEFFERSON | LA | 70123 |
| RDS PARK BLVD, SUITE 130 PARK BLVD. SUITE 130 | | | | | |
| PARK BLVD, SUITE 130 PARK BLVD. SUITE 130 DR., SUITE 102 | | | MARRERO | LA | 70072 |
| 9 PARK BLVD, SUITE 130 9 PARK BLVD. SUITE 130 DR., SUITE 102 NAD | | | MINDEN | LA | 71055 |
| PARK BLVD, SUITE 130 PARK BLVD. SUITE 130 DR., SUITE 102 | | | | | 71055 71105 |
| PARK BLVD, SUITE 130 PARK BLVD. SUITE 130 PARK BLVD. SUITE 130 DR., SUITE 102 AD SUITE 100 ST. N SOURCE | 4114 MARIGNY ST | | MINDEN SHREVEPORT HOUMA NEW ORLEANS | LA LA LA LA | 71055 71105 70360 70122 |
| PARK BLVD, SUITE 130 PARK BLVD, SUITE 130 DR., SUITE 102 AD , SUITE 100 ST. N SOURCE SUITE 2 | | | MINDEN SHREVEPORT HOUMA NEW ORLEANS LAKE CHARLES | LA LA LA LA LA | 71055 71105 70360 70122 70605 |
| PARK BLVD, SUITE 130 PARK BLVD. SUITE 130 PARK BLVD. SUITE 130 DR., SUITE 102 AD SUITE 100 ST. N SOURCE | 4114 MARIGNY ST 4001 BERHMAN HWY 946 KEYSER AVENUE | | MINDEN SHREVEPORT HOUMA NEW ORLEANS | LA LA LA LA LA LA | 71055 71105 70360 70122 |

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| ELENITA P SANTOS-MATA MD ANDREW THRASHER PHD | 4851 W. PARK SUITE A SUITE 1 | 2500 MAPLEWOOD DR | | ZACHARY SULPHUR | LA LA | 70791 70663 |
|---|--|---|---|---|---|--|
| MANUEL DE LA RUA, O.D. | STE 204 | 3701 WILLIAMS BLVD | | KENNER | LA | 70065 |
| BRIAN JEANFREAU OD | ALEXANDRIA EYE & LASER CENTER | 231 WINDERMERE BLVD | | ALEXANDRIA | LA | 71303 |
| CHRISTOPHER BELLEAU MD | MEDICAL RECORDS | STE 200 | 7301 HENNESSY BLVD | | LA | 70808 |
| JILL COOK | BLDG 12 618 N CARROLLTON AVE | 8762 QUARTER LAKES | | | LA LA | 70809 70119 |
| DR STEPHANIE HENSON | 1801 FAIRFIELD AVE | STE 201 | | SHREVEPORT | LA | 71101 |
| NATCHITOCHES FAMILY EYE CARE | 946 KEYSER AVE | | | NATCHITOCHES | | 71457 |
| DESOTO FAMILY EYE CARE | 405 POLK ST | | | MANSFIELD | LA | 71052 |
| SABINE FAMILY EYE CARE ROBERT L KRENEK JR PHD | 220B HIGHLAND DR SUITE 1100 1ST FLOOR | 3018 OLD MINDEN RD | | MANY BOSSIER CITY | LA LA | 71449 71112 |
| LITTLE WORKS IN PROGRESS | 2522 EAST 70TH ST | 3010 OLD WINDEN ND | | | LA | 71105 |
| LITTLE WORKS IN PROGRESS | 5224 RUE VERDUN | | | ALEXANDRIA | LA | 71303 |
| LINDSAY YORK MD | NORTH TOWER ROOM 803 | 1111 MEDICAL CENTER BOULEVARD | | MARRERO | LA | 70072 |
| ACL HEARING & BALANCE INC | 7952 GOODWOOD BLVD | | | BATON ROUGE | | 70806 |
| RAFAEL F SALCEDO PH D - GRETNA RAFAEL F SALCEDO PH D - COVINGTON | 1581 CAROL SUE AVE NORTHLAKE NEURO REHAB CENTER | SUITE 211 210 NORTH FLORIDA ST | | GRETNA COVINGTON | LA | 70056 70433 |
| ELIZABETH B WHITE MD | MEDICAL RECORDS | SUITE 205 | 205 HIGHLAND PARK PLAZA | COVINGTON | LA | 70433 |
| JAMES A. VAN HOOK III, PHD | SUITE 110B | 1200 S ACADIAN THRUWAY | | | LA | 70806 |
| JOHN WATERMEIER, M.D. | LA HEALTH SOLUTIONS | 2800 VETERANS BLVD | | METAIRIE | LA | 70002 |
| VICTORIA WITT, PHD | PSYCHQUEST LLC | 1186 FREMAUX AVENUE | | SLIDELL | LA | 70458-3538 |
| AMCE PHYSICIANS GROUP-BR AMCE PHYSICIANS GROUP-NEW ORLEANS | 7855 HOWELL BLVD STE 330 AMCE PHYSICIANS GROUP-NEW ORLEANS | 3140 GARDEN OAKS DR. | | BATON ROUGE NEW ORLEANS | LA | 70807 70114 |
| AMCE PHYSICIANS GROUP- SLIDELL | 436 OLD SPANISH TRAIL | SING GARDEN GARS DR. | | SLIDELL | LA | 70458-3904 |
| ALFRED E BUXTON PH D | STE 200 | 155 HOSPITAL DR | | LAFAYETTE | LA | 70503 |
| GERALD B. BROUSSARD MD | BROUSSARD CATARACT & EYE INSTITUTE | 1250 PECANLAND ROAD | | MONROE | LA | 71203 |
| W DONNER MIZELLE | ACADIAN VISION ASSOCIATES | 225 N COLLEGE RD | | LAFAYETTE | LA | 70506 |
| LACOMBE EYE CENTER LLC | 4400 D AMBASSADOR CAFFERY | | | LAFAYETTE | LA | 70508 |
| SOUTHERN LA DISABILITY | 101 S BAY ST | | | AMITE | LA | 70422 |
| LAHAYE CENTER FOR ADVANCED EYE CARE ASHLEY LAMMONS AUDIOLOGIST | 4313 I-49 S SERVICE RD AUDIOLOGY CONSULTANTS OF LA | 1408 METRO DRIVE | | OPELOUSAS ALEXANDRIA | LA LA | 70570 71301 |
| CATHERINE PIERCE-AUDIOLOGIST | AUDIOLOGY CONSULTANTS OF LA | 1408 METRO DRIVE | | ALEXANDRIA | LA | 71301 |
| JOY TERRELL PHD | 5618 SUPERIOR DR SUITE F | | | | LA | 70816 |
| DAVID WEIBEL | 7931 PICARDY AVE STE B | | | | LA | 70809 |
| MARGARET J HAUCK PHD | TULANE DEPT OF PSYCHIATRY | & BEHAVIORAL SCIENCES | 131 S ROBERTSON-14TH FLOOR | | LA | 70112 |
| MARK D FRUGE PH D | 923 NAPOLEON AVENUE | P O BOX 734 | | SUNSET | LA | 70584 |
| AMY CAVANAUGH PHD | MEDICAL ARTS COURTYARD BUILDING D | 4540 AMBASSADOR CAFFERY SUITE C200 | | | LA | 70508 |
| GULF SOUTH PHYSICIANS GROUP KRISTEN A LUSCHER PHD PLLC | STE 100 STE 420 | 3801 HOUMA BLVD 229 BELLMEADE BLVD | | GRETNA | LA | 70006 70056 |
| KRISTEN A LUSCHER PHD PLLC | STE 420 STE 100 | 601 RIVER HIGHLANDS BLVD | | COVINGTON | LA | 70056 |
| ANDRE LONG OD | WALMART VISION CENTER | 3636 MONROE HWY | | PINEVILLE | LA | 71360 |
| GARY GLEN FUTCH PHD | (b) (6) | | | BALL | LA | 71405 |
| CARLOS KRONBERGER PH D | STE 3024 3RD FLOOR | 3520 GENERAL DEGAULLE DR | | NEW ORLEANS | LA | 70131 |
| JAMES L. ZUMBRUNNEN | WK MID SOUTH ORTHOPEDICS | 7925 YOUREE DRIVE, SUITE 220 | | SHREVEPORT | LA | 71105 |
| JOSEPH A LAMANNA ED D | PSYCHOLOGICAL & BEHAVIORIAL HEALTH SERV | 100 GAYVEN DR | | BALL | LA | 71405 |
| JAMES C. SMITH, PHD HEART CLINIC OF COVINGTON | 500 S. 6TH ST, SUITE B | | | LEESVILLE | LA | 71446 70433 |
| ANDREW COMEAUX, LCSW | 101 FAIRWAY DRIVE, SUITE 506 SUITE 106 | 2625 LINE AVE | | | LA | 70433 |
| BATON ROUGE CLINIC | PULMONARY DEPT 1ST FLR | 7373 PERKINS RD | | | LA | 70808 |
| JEAN HOLLENSHEAD PHD | STE 510J | 820 JORDAN ST | | | LA | 71101 |
| THE EMERGE CENTER | 7784 INNOVATION PARK DR | | | | LA | 70820 |
| TORIN SANDERS LCSW | MEDICAL RECORDS | 934 FELICITY STREET | | | LA | 70130 |
| CASEY GUIDRY LCSW BACS | MEDICAL RECORDS | 102 WEST 2ND STREET | | THIBODAUX | LA | 70301 70806 |
| KEVIN TRIPEAUX LCSW WENDI RICHARDSON SLP-WR THERAPY INC. | LOUISIANA PSYCHIATRIC CLINIC GRACE AND GLORY THERAPY | 5225 CAPITAL HEIGHTS AVE, SUITE 101 1902 STUBBS AVE | | BATON ROUGE MONROE | LA LA | 71201 |
| LESTER C CULVER PH D - BOGALUSA | ADAPT INC. | 216 MEMPHIS STREET | | BOGALUSA | LA | 70427 |
| L CLAYTON CULVER PH D - NEW ORLEANS | MEDICAL RECORDS | 3RD FLOOR | 2930 CANAL ST | | LA | 70119 |
| LESTER C CULVER PH D - MARRERO | MEDICAL RECORDS | 4140 WESTBANK EXPY | | MARRERO | LA | 70072 |
| LESTER C CULVER PH D - METAIRIE | MEDICAL RECORDS | 1ST FLOOR | 3017 HARVARD ST | METAIRIE | LA | 70006 |
| LESTER CULVER PH D - COVINGTON | MEDICAL RECORDS | 19370 HWY 36 | | | LA | 70433 |
| M.B. THERAPY, INC. M.B. THERAPY, INC. | 1605 STUBBS AVE. 5401 C JACKSON ST. EXTENSION | | | MONROE ALEXANDRIA | LA LA | 71201 71303 |
| STEPHANY HILLMAN, PHD- LAPLACE | RIVER PARISHES TREATMENT CENTER-LAPLACE | 1809 WEST AIRLINE HIGHWAY | | LAPLACE | LA | 70068-3336 |
| STEPHANY HILLMAN, PHD - HOUMA | TERREBONNE BEHAVIORAL HEALTH-HOUMA | 5599 HWY 311 | | HOUMA | LA | 70360 |
| STEPHANY HILLMAN, PHD - MORGAN CITY | ST. MARY ASSESSMENT AND TREATMENT CENTER | (MORGAN CITY) | 500 RODERICK STREET SUITE B | MORGAN CITY | LA | 70380 |
| STEPHANY HILLMAN, PHD - RACELAND | LAFOURCHE TREATMENT CENTER-RACELAND | 157 TWIN OAKS DRIVE | | RACELAND | LA | 70394 |
| ADDISON SANDEL, PHD | LOUISIANA WORKFORCE COMMISSION | 303 BIENVILLE ST. | | NATCHITOCHES | | 71457 |
| ADDISON SANDEL, PHD HAMMOND HEART CLINIC | LOUISIANA WORKFORCE COMMISSION DR GHIATH MIKDADI | 142 LAKE RD 16033 DOCTORS BLVD | | MANSFIELD | LA | 71052 70403 |
| CHARLES C. UGOKWE MD | CENTRAL LA "CENLA" NEUROLOGY CLINIC | 2223 WORLEY DRIVE | | ALEXANDRIA | LA | 70403 |
| DR. ALEXANDRA CASALINO, LLC | MEDICAL RECORDS | SUITE 203 | 6221 S. CLAIBORNE AVE. | NEW ORLEANS | | 70125 |
| JULANA D. MONTI, MD- MINDEN | SWART CHIROPRACTIC CLINIC | 404 FINCHER ROAD | | MINDEN | LA | 71055 |
| JULANA D. MONTI, MD- LAFAYETTE | COMMUNITY CHIROPRACTIC CLINIC | 2801 KALISTE SALOOM RD | | LAFAYETTE | LA | 70508 |
| JULANA D. MONTI, MD- SHREVEPORT | NORTH MARKET CHIROPRACTIC CLINIC | 1850 NELSON ST., SUITE D | | | LA | 71107 |
| MAURA C MIZE | STE E | 803 BAYOU PINES W | | | LA | 70601 |
| SURGICAL EYE ASSOCIATES-COVI SURGICAL EYE ASSOCIATES FRANKLINTON | 1120 N US HWY 190 803 RIVERSIDE DR | | | COVINGTON | LA LA | 70433 70438 |
| DARREL B. TURNER PH D | (b) (6) | | | ALEXANDRIA | LA | 71301 |
| NILS REGE O.D. | (b) (6) | | | HOUMA | LA | 70360 |
| | | 880 N HWY 190 | | COVINGTON | LA | 70433 |
| MARK SIVERD O.D. COVI | WAL MART VISION CENTER | | | HAMMOND | LA | 70401 |
| MARK SIVERD O.D. HAMMOND | (b) (6) | | | | LA | 71446 |
| MARK SIVERD O.D. HAMMOND HANNAH L. EASTMAN, OD | (b) (6) ALEXANDRIA EYE & LASER | 1008 SOUTH 6TH ST | | LEESVILLE | 1.4 | |
| MARK SIVERD O.D. HAMMOND HANNAH L. EASTMAN, OD L LEE MONTGOMERY M.D. | b) (6) ALEXANDRIA EYE & LASER LOUISIANA FAMILY MEDICINE | 8342 PERKINS RD; SUITE M | | LEESVILLE BATON ROUGE | | 70810 |
| MARK SIVERD O.D. HAMMOND HANNAH L. EASTMAN, OD | (b) (G) ALEXANDRIA EYE & LASER LOUISIANA FAMILY MEDICINE FAIRCLOTH CHIROPRATIC CLINIC | | | LEESVILLE BATON ROUGE ALEXANDRIA | LA LA LA | 70810 71303 71111 |
| MARK SIVERD O.D. HAMMOND HANNAH L. EASTMAN, OD L LEE MONTGOMERY M.D. OLYMPUS HEALTH SERVICES | b) (6) ALEXANDRIA EYE & LASER LOUISIANA FAMILY MEDICINE | 8342 PERKINS RD; SUITE M | | LEESVILLE BATON ROUGE ALEXANDRIA | LA LA | 71303 |
| MARK SIVERD O.D. HAMMOND HANNAH L. EASTMAN, OD LILEE MONTGOMERY M.D. OLYMPUS HEALTH SERVICES GEORGE S. PARK, PH.D., MP CAHRONDA JOHNSON MCKNIGHT BEAU J. BAGLEY, MD | ALEXANDRIA EYE & LASER LOUISIANA FAMILY MEDICINE FAIRCLOTH CHIROPRATIC CLINIC 2285 BENTON RD, BLDG 3, SUITE 500 SPEECH THERAPY CONCEPTS GULF COAST BRAIN SPORT & SPINE, LLC | 8342 PERKINS RD; SUITE M 3620 BAYOU RAPIDES ROAD 1200 S ACADIAN THRUWAY STE 111 1331 OCHSNER BLVD. SUITE 100 | | LEESVILLE BATON ROUGE ALEXANDRIA BOSSIER CITY BATON ROUGE COVINGTON | LA LA LA LA | 71303 71111 70806 70433 |
| MARK SIVERD O.D. HAMMOND HANNAH L. EASTMAN, OD LI LEE MONTGOMERY M.D. OLYMPUS HEALTH SERVICES GEORGE S. PARK, PH.D., MP CAHRONDA JOHNSON MCKNIGHT BEAU J. BAGLEY, MD LEAH OLIVIER, M.D. | D) (6) ALEXANDRIA EYE & LASER LOUISIANA FAMILY MEDICINE FAIRCLOTH CHIROPRATIC CLINIC 2285 BEHTON RD, BLOG S, SUITE 500 SPECH THERAPY CONCEPTS GULF COAST BRAIN SPORT & SPINE, LLC ACADIANA MEDICINE CLINIC | 8342 PERKINS RD; SUITE M 3620 BAYOU RAPIDES ROAD 1200 S ACADIAN THRUWAY STE 111 1331 OCHSNER BLVD. SUITE 100 1200 HOSPITAL DR. STE. 4 | | LEESVILLE BATON ROUGE ALEXANDRIA BOSSIER CITY BATON ROUGE COVINGTON OPELOUSAS | LA LA LA LA LA | 71303 71111 70806 70433 70570 |
| MARK SIVERD O.D. HAMMOND HANNAH L. EASTMAN, OD LIEE MONTGOMERY M.D. OLYMPUS HEALTH SERVICES GEORGE S. PARK, PH.D., MP CAHRONDA JOHNSON MCKNIGHT BEAU J. BAGLEY, MD LEAH OLIVIER M.D. CAROLA OKOGBAA MD | C) (G) ALEXANDRIA EYE & LASER LOUISIANA FAMILY MEDICINE FAIRCLOTH CHIROPRATIC CLINIC 2285 BENTON RD, BLOG 3, SUITE 500 SPEECH THERAPY CONCEPTS GULF COAST BRAIN SPORT & SPINE, LLC ACADIANA MEDICINE CLINIC LA CENTER FOR EYES | 8342 PERKINS RD; SUITE M 3620 BAYOU RAPIDES ROAD 1200 S ACADIAN THRUWAY STE 111 1331 OCHSNER BLVD. SUITE 100 | | LEESVILLE BATON ROUGE ALEXANDRIA BOSSIER CITY BATON ROUGE COVINGTON OPELOUSAS BATON ROUGE | LA LA LA LA LA | 71303 71111 70806 70433 70570 70807 |
| MARK SIVERD O.D. HAMMOND HANNAH L. EASTMAN, OD LILEE MONTGOMERY M.D. OLYMPUS HEALTH SERVICES GEORGE S. PARK, PH.D., MP CAHRONDA JOHNSON MCKNIGHT BEAU J. BAGLEY, MD LEAH OLIVIER M.D. CAROLA OKOGBAA MD DAVID LANDRY PH.D | ALEXANDRIA EYE & LASER LOUISIANA FAMILY MEDICINE FAIRCLOTH CHIROPRATIC CLINIC 2285 BENTON RD, BLDG 3, SUITE 500 SPEECH THERAPY CONCEPTS GULF COAST BRAIN SPORT & SPINE, LLC ACADIANA MEDICINE CLINIC LA CENTER FOR EYES 203 W. MAIN ST. STE. 204 | 8342 PERKINS RD; SUITE M 3620 BAYOU RAPIDES ROAD 1200 S ACADIAN THRUWAY STE 111 1331 OCHSNER RLVD, SUITE 100 1200 HOSPITAL DR. STE. 4 7855 HOWELL BLVD SUITE 130-A | | LEESVILLE BATON ROUGE ALEXANDRIA BOSSIER CITY BATON ROUGE COVINGTON OPELOUSAS BATON ROUGE NEW IBERIA | LA LA LA LA LA LA LA | 71303 71111 70806 70433 70570 70807 70560 |
| MARK SIVERD O.D. HAMMOND HANNAH L. EASTMAN, OD LIEE MONTGOMERY M.D. OLYMPUS HEALTH SERVICES GEORGE S. PARK, PH.D., MP CAHRONDA JOHNSON MCKNIGHT BEAU J. BAGLEY, MD LEAH OLIVIER M.D. CAROLA OKOGBAA MD DAVID LANDRY PH.D USA D. SETLES, PSYD | DI (6) ALEXANDRIA EYE & LASER LOUISIANA FAMILY MEDICINE FAIRCLOTH CHIROPRATIC CLINIC 2285 BEVTON RD, BLOG S, SUITE 500 SPEECH THERAPY CONCEPTS GULF COAST BRAIN SPORT & SPINE, LLC ACADIANA MEDICINE CLINIC LA CENTER FOR EYES 203 W. MAIN ST. STE. 204 DEPT OF PSYCHIATRY & BEHAVIORAL SCIENCES | 8342 PERKINS RD; SUITE M 3620 BAYOU RAPIDES ROAD 1200 SACADIAN THRUWAY STE 111 1331 OCHSNER BLVD. SUITE 100 1200 HOSPITAL DR. STE. 4 7855 HOWELL BLVD SUITE 130-A 131 S ROBERTSON-14TH FLOOR | | LEESVILLE BATON ROUGE ALEXANDRIA BOSSIER CITY BATON ROUGE OPELOUSAS BATON ROUGE NEW IBERIA NEW ORLEANS | LA LA LA LA LA LA LA | 71303 71111 70806 70433 70570 70807 70560 70112 |
| MARK SIVERD O.D. HAMMOND HANNAH L. EASTMAN, OD LILEE MONTGOMERY M.D. OLYMPUS HEALTH SERVICES GEORGE S. PARK, PH.D., MP CAHRONDA JOHNSON MCKNIGHT BEAU J. BAGLEY, MD LEAH OLIVIER M.D. CAROLA OKOGBAA MD DAVID LANDRY PH.D | ALEXANDRIA EYE & LASER LOUISIANA FAMILY MEDICINE FAIRCLOTH CHIROPRATIC CLINIC 2285 BENTON RD, BLDG 3, SUITE 500 SPEECH THERAPY CONCEPTS GULF COAST BRAIN SPORT & SPINE, LLC ACADIANA MEDICINE CLINIC LA CENTER FOR EYES 203 W. MAIN ST. STE. 204 | 8342 PERKINS RD; SUITE M 3620 BAYOU RAPIDES ROAD 1200 S ACADIAN THRUWAY STE 111 1331 OCHSNER BLVD, SUITE 100 1200 HOSPITAL DR. STE. 4 7855 HOWELL BLVD SUITE 130-A | | LEESVILLE BATON ROUGE ALEXANDRIA BOSSIER CITY BATON ROUGE COVINGTON OPELOUSAS BATON ROUGE NEW IBERIA | LA LA LA LA LA LA LA LA | 71303 71111 70806 70433 70570 70807 70560 70112 |
| MARK SIVERD O.D. HAMMOND HANNAH L. EASTMAN, OD LILEE MONTGOMERY M.D. OLYMPUS HEALTH SERVICES GEORGE S. PARK, PH.D., MP CAHRONDA JOHNSON MCKNIGHT BEAU J. BAGLEY, MD LEAH OLIVIER M.D. CAROLA OKOGBAA MD DAVID LANDRY PH.D LISA D. SETTLES, PS/D SHANNAE HARNESS, PH/D- LAPL | CD1(G) ALEXANDRIA EYE & LASER LOUISIANA FAMILY MEDICINE FAIRCLOTH CHIROPRATIC CLINIC 2285 BENTON RD, BLOG 3, SUITE 500 SPEECH THERAPY CONCEPTS GULF COAST BRAIN SPORT & SPINE, LLC ACADIANA MEDICINE CLINIC LA CENTER FOR EYES 203 W. MAIN ST. STE. 204 DEPT OF PSYCHIATRY & BEHAVIORAL SCIENCES RIVER PARISHES TREATMENT CENTER-LAPLACE TERREBONNE BEHAVIORAL HEALTH-HOUMA LAFOURCHE TREATMENT CENTER-RACELAND | 8342 PERKINS RD; SUITE M 3620 BAYOU RAPIDES ROAD 1200 S ACADIAN THRUWAY STE 111 1331 OCHSNER BLVD. SUITE 100 1200 HOSPTKAL DR. STE. 4 7855 HOWELL BLVD SUITE 130-A 131 S ROBERTSON-14TH FLOOR 1809 WEST AIRLINE HIGHWAY 5599 HWY 311 157 TWIN OAKS DRIVE | | LEESVILLE BATON ROUGE ALEXANDRIA BOSSIER CITY BATON ROUGE COVINGTON OPELOUSAS BATON ROUGE NEW IBERIA NEW ORLEANS LAPLACE | LA LA LA LA LA LA LA LA | 71303 71111 70806 70433 70570 70570 70560 70112 70068-3335 70360 70394 |
| MARK SIVERD O. D. HAMMOND HANNAH L. EASTMAN, OD LILEE MONTGOMERY M. D. OLYMPUS HEALTH SERVICES GEORGE S. PARK, PH.D., MP CAHRONDA JOHNSON MCKNIGHT BEAU J. BAGLEY, MD LEAH OLIVIER M.D. CAROLA OKOGBAA MD DAVID LANDRY PH.D LISA D. SETTLES, PSYD SHANNAE HARNESS, PHD- LAPL SHANNAE HARNESS, PHD- HOU SHANNAE HARNESS, PHD- RAC SUSAN C. TUCKER, PHD | COTONICS CONTRAINTS OF CONTRAI | 8342 PERKINS RD; SUITE M 3620 BAYOU RAPIDES ROAD 1200 S ACADIAN THRUWAY STE 111 1331 OCHSNER BLVD. SUITE 100 1200 HOSPITAL DR. STE. 4 7855 HOWELL BLVD SUITE 130-A 131 S ROBERTSON-14TH FLOOR 1809 WEST AIRLINE HIGHWAY 5599 HWY 311 | | LEESVILLE BATON ROUGE ALEXANDRIA BOSSIER CITY BATON ROUGE COVINGTON OPELOUSAS BATON ROUGE NEW IBERIA NEW ORLEANS LAPLACE HOUMA RACELAND SHREVEPORT | LA LA LA LA LA LA LA LA LA LA LA LA | 71303 71111 70806 70433 70570 70807 70560 70112 70068-3335 70360 70394 71101 |
| MARK SIVERD O.D. HAMMOND HANNAH L. EASTMAN, OD LILEE MONTGOMERY M.D. OLYMPUS HEALTH SERVICES GEORGE S. PARK, PHLD., MP CAHRONDA JOHNSON MCKNIGHT BEAU J. BAGLEY, MD LEAH OLIVIER M.D. CAROLA OKOGBAA MD DAVID LANDRY PH D USA D. SETTLES, PSYD SHANNAE HARNESS, PHD- LAPL SHANNAE HARNESS, PHD- LAPL SHANNAE HARNESS, PHD- HOU SHANNAE HARNESS, PHD- RAC SUSAN C. TUCKER, PHD CLAUDE FALLIS OD | CD1(G) ALEXANDRIA EYE & LASER LOUISIANA FAMILY MEDICINE FAIRCLOTH CHIROPRATIC CLINIC 2285 BENTON RD, BLOG 3, SUITE 500 SPEECH THERAPY CONCEPTS GULF COAST BRAIN SPORT & SPINE, LLC ACADIANA MEDICINE CLINIC LA CENTER FOR EYES 203 W. MAIN ST. STE. 204 DEPT OF PSYCHIATRY & BEHAVIORAL SCIENCES RIVER PARISHES TREATMENT CENTER-LAPLACE TERREBONNE BEHAVIORAL HEALTH-HOUMA LAFOURCHE TREATMENT CENTER-RACELAND | 8342 PERKINS RD; SUITE M 3620 BAYOU RAPIDES ROAD 1200 S ACADIAN THRUWAY STE 111 1331 OCHSNER BLVD. SUITE 100 1200 HOSPTKAL DR. STE. 4 7855 HOWELL BLVD SUITE 130-A 131 S ROBERTSON-14TH FLOOR 1809 WEST AIRLINE HIGHWAY 5599 HWY 311 157 TWIN OAKS DRIVE | | LEESVILLE BATON ROUGE ALEXANDRIA BOSSIER CITY BATON ROUGE COVINCTON OPELOUSAS BATON ROUGE BATON ROUGE NEW IBERIA NEW ORLEANS LAPLACE HOUMA RACELAND SHREVEPORT ABBEVILLE | LA LA LA LA LA LA LA LA LA LA LA LA | 71303 71111 70806 70433 70570 70807 70560 70112 70068-3335 70360 70394 71101 70510 |
| MARK SIVERD O.D. HAMMOND HANNAH L. EASTMAN, OD LIEE MONTGOMERY M.D. OLYMPUS HEALTH SERVICES GEORGE S. PARK, PH.D., MP CARRONDA JOHNSON MCKNIGHT BEAU J. BAGLEY, MD LEAH OLIVIER M.D. CAROLA OKOGBAA MD DAVID LANDRY PH.D LISA D. SETTLES, PSYD SHANNAE HARNESS, PHD- LAPL SHANNAE HARNESS, PHD- HOU SHANNAE HARNESS, PHD- RAC SUSAN C. TUCKER, PHD CLAUDE FALLIS OD ANTHONY J PALAZZO M D | Constant and the second s | 8342 PERKINS RD; SUITE M 3620 BAYOU RAPIDES ROAD 1200 S ACADIAN THRUWAY STE 111 1311 OCHSNER BLVD. SUITE 100 1200 HOSPTALD R. STE. 4 7855 HOWELL BLVD SUITE 130-A 131 S ROBERTSON-14TH FLOOR 1809 WEST AIRLINE HIGHWAY 5599 HWY 311 151 TWIN OAKS DRIVE 1513 LINE AVE, STE 127 | | LEESVILLE BATON ROUGE ALEXANDRIA BOSSIER CITY BATON ROUGE COVINGTON OPELOUSAS BATON ROUGE NEW IBERIA NEW ORLEANS LAPLACE HOUMA RACELAND SHREVEPORT ABBEVILLE BOGALUSA | LA LA LA LA LA LA LA LA LA LA LA LA LA | 71303 71111 70806 70433 70570 70807 70560 70112 70068-3333 70360 70394 71101 70510 70427-0370 |
| MARK SIVERD O.D. HAMMOND HANNAH L. EASTMAN, OD LIEE MONTGOMERY M.D. OLYMPUS HEALTH SERVICES GEORGE S. PARK, PH.D., MP CAHRONDA JOHNSON MCKNIGHT BEAU J. BAGLEY, MD LEAH OLIVIER M.D. CAROLA OKOGBAA MD DAVID LANDRY PH.D LISA D. SETTLES, PSYD SHANNAE HARNESS, PHD- LAPL SHANNAE HARNESS, PHD- LAPL SHANNAE HARNESS, PHD- RAC SUSAN C. TUCKER, PHD CLAUDE FALLIS OD ANTHONY J PALAZZO M D GLEWWOOD REGIONAL MEDICAL CENTER | CD1(6) ALEXANDRIA EYE & LASER LOUISIANA FAMILY MEDICINE FAIRCLOTH CHIROPRATIC CLINIC 2285 BEHTON RD, BLOG S, SUITE 500 SPECH THERAPY CONCEPTS GULF COAST BRAIN SPORT & SPINE, LLC ACADIANA MEDICINE CLINIC LA CENTER FOR FYES 203W. MAIN ST. STE. 204 DEPT OF PSYCHIATRY & BEHAVIORAL SCIENCES RIVER PARISHES TREATMENT CENTER-LAPLACE TERREBONNE BEHAVIORAL HALTH-HOUMA LAFOURCHE TREATMENT CENTER-RACELAND OFFICE OF SAMUEL WEBS ENTELL, PHD 2650 VETERANS MEMORIAL DR DY MAIN LOBBY FIRST FLOOR ADMISSIONS DEPT | 8342 PERKINS RD; SUITE M 3620 BAYOU RAPIDES ROAD 1200 S ACADIAN THRUWAY STE 111 1310 CHNSPR BLVD. SUITE 100 1200 HOSPITAL DR. STE. 4 7855 HOWELL BLVD SUITE 130-A 131 S ROBERTSON-14TH FLOOR 1809 WEST AIRLINE HIGHWAY 5599 HWY 311 157 TWIN OAKS DRIVE 1513 LINE AVE, STE 127 503 MCMILLAN RD. | | LEESVILLE BATON ROUGE ALEXANDRIA BOSSIER CITY BATON ROUGE COVINCTON OPELOUSAS BATON ROUGE NEW IBERIA NEW ORLEANS LAPLACE HOUMA RACELAND SHREVEPORT ABBEVILLE BOGALUSA WEST MONROE | LA LA LA LA LA LA LA LA LA LA LA LA LA | 71303 71111 70806 70433 70570 70560 70112 70068-3333 70360 70394 71101 70510 70427-0370 71291 |
| MARK SIVERD O.D. HAMMOND HANNAH L. EASTMAN, OD LILEE MONTGOMERY M.D. OLYMPUS HEALTH SERVICES GEORGE S. PARK, PH.D., MP CAHRONDA JOHNSON MCKNIGHT BEAU J. BAGLEY, MD LEAH OLIVIER M.D. CAROLA OKOGBAA MD DAVID LANDRY PH.D UISA D. SETTLES, PSYD SHANNAE HARNESS, PHD- LAPL SHANNAE HARNESS, PHD- HOU SHANNAE HARNESS, PHD- HOU SHANNAE HARNESS, PHD- HOU SHANNAE HARNESS, PHD- RAC SUSAN C. TUCKER, PHD CLAUDE FALLIS OD ANTHONY J PALAZZO M D GLENWOOD REGIONAL MEDICAL CENTER CAROL REDILLAS | CONTRACT AND A C | 8342 PERKINS RD; SUITE M 3620 BAYOU RAPIDES ROAD 1200 S ACADIAN THRUWAY STE 111 1311 OCHSNER BLVD. SUITE 100 1200 HOSPTALD R. STE. 4 7855 HOWELL BLVD SUITE 130-A 131 S ROBERTSON-14TH FLOOR 1809 WEST AIRLINE HIGHWAY 5599 HWY 311 151 TWIN OAKS DRIVE 1513 LINE AVE, STE 127 | | LEESVILLE BATON ROUGE ALEXANDRIA BOSSIER CITY BATON ROUGE COVINGTON OPELOUSAS BATON ROUGE NEW IBERIA NEW ORLEANS LAPLACE HOUMA RACELAND SHREVEPORT ABBEVILLE BOGALUSA WEST MONROE CHALMETTE | LA LA LA LA LA LA LA LA LA LA LA LA LA L | 71303 71111 70806 70433 70570 70807 70560 70112 70068-3335 70360 70394 71101 70510 70427-0370 71291 70043 |
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| 6 | LAB CORP BIOMEDICAL | STE A | 211 W CAUSEWAY APPROACH | MANDEVILLE | LA | 70471 |
|---|--|--------------------------------------|------------------------------|--------------|----|---------|
| | TERRY L ROBERTS M A | ROBERTS HEARING CLINIC | 4007 PARLIMENT DRIVE | ALEXANDRIA | LA | 71303 |
| | TERRY L. ROBERTS, M.A. | ROBERTS HEARING CLINIC | 1503 GOODWIN RD., SUITE 205 | RUSTON | LA | 71270 |
| | DOUGLAS W DAVIDSON M D | STE 203 | 8676 GOODWOOD | BATON ROUGE | LA | 70806 |
| | WILLIS KNIGHTON MEDICAL CENTER | ATTN: RESPIRATORY THERAPY | 2600 GREENWOOD RD | SHREVEPORT | LA | 71103 |
| | KENNETH A RITTER JR MD | (b) (6) | | NEW IBERIA | LA | 70560 |
| | CARLOS B REINOSO PHD | MEDICAL RECORDS | 4517 LORINO STREET | METAIRIE | LA | 70006 |
| | S F FONTENELLE III PH D | (b) (6) | | METAIRIE | LA | 70001 |
| | S F FONTENELLE III PH D | N O SPEECH AND HEARING BLDG | 1636 TOLEDANO ST | NEW ORLEANS | LA | 70115 |
| | JANE V MCDOW OD | WAL-MART VISION CENTER STORE 542 | 1901 TCHOUPITOULAS ST | NEW ORLEANS | LA | 70130 |
| | JOHN P SANDIFER M D | (b) (6) | | NATCHITOCHES | LA | 71457 |
| | MARK BOWEN OD | (b) (6) | | JENA | LA | 71342 |
| | BARRY TILLMAN MD | RIVERPARK MEDICAL CENTER | 107 FRONT ST. | VIDALIA | LA | 71373 |
| | TIMOTHY BARRY OD | MEDICAL RECORDS | 418 N. MAIN STREET | JENNINGS | LA | 70546 |
| | RODERICK E ADAMS PH D | (b) (6) | | PINEVILLE | LA | 71360 |
| | EARL H BAKER PH D | MEDICAL RECORDS | 1502 STUBBS AVENUE | MONROE | LA | 71201 |
| | RIAZ M CHAUDHRY MD | (b) (6) | | JENA | LA | 71342 |
| | LINDA C STEWART M D | 604 CHEVELLE DRIVE | SUITE C | BATON ROUGE | LA | 70806 |
| | ROBBIE H WHITTAKER | SPEECH LANGUAGE COUNSULT SV IN | 300 NOLAN TRACE | LEESVILLE | LA | 71446 |
| | DAVID D CLARK ED D | 200 MARINERS PLAZA DR. SUITE 207 | | | LA | 70448 |
| | MOLLIE WEBB SPEECH HEARING C | 3735 BLAIR ST | | SHREVEPORT | IA | 71103-4 |
| | ROGELIO A CASAMA M D | 537 KENTUCKY AVE SUITE A | | BOGALUSA | LA | 70427 |
| | GEORGE J HAAG PH D | (5) (6) | | BALL | LA | 71405 |
| | GEORGE J HAAG PH D | PSYC AND BEHAVIORAL HEALTH SVC STE A | 2001 SOUTHWOOD DR | LAKE CHARLES | | 70605 |
| | GEORGE J HAAG PH D | WILLIAMS CONSULTING | 1410 ROYAL AVENUE | MONROE | IA | 71201 |
| | STEVE W MORRIS M A CCC A | ACADIAN HEARING SPEECH SER | 555 S RYAN COLONNADE PLACE | LAKE CHARLES | LA | 70601 |
| | SATYARTHI GUPTA M D | HIGHLAND PARK PLAZA | COMPLEX 1 STE 108 | COVINGTON | IA | 70433 |
| | SHELDON HERSH MD | (b) (6) | | NEW ORLEANS | IA | 70113 |
| | LABCORP OF AMERICA | STE 140 | 11441 INDUSTRIPLEX BLVD | BATON ROUGE | | 70809 |
| | CHRISTUS CARDIOLOGY | 1453 E. BERT KOUNS IND LP. SUITE 112 | | SHREVEPORT | | 71105 |
| | BETTER HEARING SYSTEMS | SUITE 103 | 1000 CHINABERRY DRIVE | BOSSIER CITY | LA | 71111 |
| | WILLIAM E FOWLER PH D | STE 301 | 3351 SEVERN AVE | METAIRIE | LA | 70002 |
| | WILLIAM E FOWLER PH D | (b) (6) | 555152721117772 | HOUMA | LA | 70360 |
| | THE THERAPY GROUP | 7843 PARK AVENUE SUITE A | | HOUMA | LA | 70364 |
| | THE THERAPY GROUP | 13343 MAIN STREET | | LAROSE | LA | 70373 |
| | ST FRANCES CABRINI HOSPITAL | ATTN(b) (6) | 3330 MASONIC DRIVE | ALEXANDRIA | LA | 71301 |
| | ST FRANCES CABRINI OP LAB | ATTN: ADMISSION DEPARTMENT | 2108 TEXAS AVENUE SUITE 1060 | ALEXANDRIA | IA | 71301 |
| | THE MEDICAL LAB OF SOUTHWEST LOUISIANA | 1910 OAK PARK BOULEVARD | 2108 TEXAS AVENUE SOTTE 1000 | LAKE CHARLES | | 70601 |
| | SANDRA B DURDIN PHD BR | STE 404 | 8676 GOODWOOD | BATON ROUGE | | 70806 |
| | SANDRA B DURDIN PHD BR SANDRA B DURDIN PH D LAFAYETTE | RAMADA INN | 2032 EVANGELINE THRU WAY | LAFAYETTE | LA | 70806 |
| | SANDRA B DORDIN PH D LAPATETTE SANDRA DURDIN PH D HAMMOND | HAMPTON INN CONFERENCE ROOM | 401 WESTIN OAKS DR | HAMMOND | IA | 70501 |
| | | | | | | 70403 |
| | LAUREN ARBOUR | BLDG 12 618 N CARROLLTON AVE | 8762 QUARTER LAKES | BATON ROUGE | | |
| | LAUREN ARBOUR | | | NEW ORLEANS | | 70119 |
| | HENRY J LAGARDE PH D | (b) (6) | | NEW IBERIA | LA | 70560 |

LOUISIANA DISABILITY DETERMINATIONS SERVICES



CONSOLIDATED CE SCHEDULING BUSINESS PROCESS

CE ORDERS

<u>Address Verification</u>: Residential and/or mailing address changes should be confirmed with the claimant and entered into AS400 appropriately. The CE Scheduling program recognizes the claimant's residential address, whereas the program for the letters recognizes the mailing address.

<u>Multiple CEs:</u> If two CEs are ordered on the same day, analyst should provide a CE scheduling note to the CE scheduler to avoid CE scheduling conflicts. Normally, if one CE is pending and another CE is subsequently scheduled, the system will alert the analyst and the CE scheduler, but not if the CE's are ordered concurrently on the same day.

<u>Background Material</u>: Analysts are required to send background evidence with all "exams" (CP, PS, PY, OR NE, PE, VE/VF, AD DP, etc.). If there is no relevant MER to send, analysts should at least categorize information from the 3368 (mainly the first two pages or so), function reports, and/or the Teacher Questionnaire. Background evidence is optional for "testing" (labs, x-rays, PFS, EKG, etc.). The CEU will send the invoice and any attached background evidence to CE Provider. Analyst contact is not needed.

NOTE: When there is no relevant MER to categorize for the CE, the analyst should change the "Bckgrd Material" field on the scheduling screen from "Y" to "N" and enter "M7" as a comment under "Vendor Instructions." If another CE is scheduled on the same case at a later point, and there IS relevant MER to categorize, the examiner will have to change the "Bckgrd Material" field back to "Y" for the relevant MER to print.

<u>Paper Case</u>: Paper MER to accompany the CE invoice should be faxed to the DDS CE Unit at O CE Unit should fax the CE invoice and documents to the appropriate area office to be associated with the paper case.

SCHEDULING INTERVALS (DI 39545.250) AND ROTATION

Unless otherwise instructed by a DDS PRO, SSA policy directs the following intervals between scheduled DDS appointments-

- Comprehensive general medical examination (at least 30 minutes).
- Comprehensive musculoskeletal or neurological examination (at least 20 minutes).
- Comprehensive psychiatric examination (at least 40 minutes).
- Psychological examination (at least 60 minutes) (additional time may be required depending on types of psychological tests administered).
- All others (at least 30 minutes or in accordance with accepted medical practice).

Templates:

- CE Schedulers should send template to CE vendors immediately upon completion. This will assist the vendor in ensuring that the CE dates and times are accurate and await the associated invoices/background medical.
- Templates should be requested prior to using all of the available dates.
- CE scheduler should wait no longer than 24 hrs. for the new template before moving to the next CE provider in the CE rotation.
- PROs should be contacted if there is difficulty is obtaining templates from CE providers.

Rotation:

Schedule appointments that are most convenient to the claimant considering:

- Quickest Date Available
- Closest to Residence
- Vendor's ability to perform all requested exams/tests

Rotate through the providers as follows:

Quickest date- if a provider has multiple open slots on any one day and it is the quickest date available, fill all slots before moving on to the next provider- Only if the location is convenient for the claimant.

Example:

- You have requests for 18 CP exams in Tigerland
- Dr. Joe in Tigerland is the first provider the system shows and he has two open slots for CP exams on 5/3/14.
- Med Plus in Tigerland has furnished their scheduling template and they have 10 open slots on 5/2/14 and 10 on 5/4/14.
- You should schedule the first 10 with Med Plus, next 2 with Dr. Joes. Then go to the next CP provider the system shows in Tigerland. You would schedule with any appropriate convenient provider that has appointments before 5/4/14. If none, begin scheduling the next 10 with Med Plus for 5/4/14.

SPECIAL SCHEDULING PROCEDURES (DI 22510.016)

<u>Quick CEs</u>- If a CE is scheduled for 10 days or less in the future, the CE Unit will make a phone call to the claimant to notify them of the CE. If they are successful in reaching the claimant, a "Quick CE Communications" entry will be made on the EWS, the contact will be documented, and a diary established for 30 days after the CE. If the CE Unit is unable to reach the claimant, the EWS "Quick CE Communications" entry will document their attempt. A next-day EA diary will be established, and email sent to the examiner and supervisor to make them aware additional follow up will be needed.

Incarcerated Claimants: Contact the area office local PRO for assistance

Language Assistance (Interpreters): Contact the area office local PRO for assistance

CLAIMANT TRAVEL

- CE Schedulers should use paper maps or Map Quest as aides.
- CEs more than 150 miles (roundtrip) from claimant's residential address require analyst approval prior to scheduling. This travel restriction is expected to reduce the costs of travel expenses as well as claimant inconvenience.
- The CE Scheduler should alert the analyst of the required travel and request approval before the CE is scheduled.
 - The CE scheduler should put the CE on HOLD, document the EWS with an Action item 1 day IA diary indicating the reason for not scheduling and defer to the analyst for approval. Examiner should also be notified by email of situation.

- The analyst should review the case and determine if CE should be scheduled with the required travel. Supervisory consult may be needed. The analyst will document the EWS and advise the CE scheduler via email as to whether the CE should be scheduled.
- Each office has an administrative coordinator and supervisor (and back-up) to make claimant travel arrangements for all the CEs in their "usual" service area (Note: New Orleans does not typically provide claimant travel). Staff from other area offices will contact the travel coordinator directly when travel is needed for a claimant in the coordinator's service area.

CE SCHEDULING ERRORS

- The claimant and CE source should be called when a scheduling error is discovered. In addition, the scheduler should make reasonable effort to retrieve CE notices with incorrect info prior to mailing. This will prevent the claimant from being confused by the multiple notices and making unnecessary trips to a CE that has been cancelled/rescheduled for which the office will need to reimburse travel.
- If scheduling errors are due to the CE sources giving the incorrect info, the PROs should be involved

CE VERIFICATION

- The CE unit will verify whether appointments were kept. If the appointment was kept, The CE Communication diary will be advanced to the Log section. No follow-up diary will be set. If the appointment was not kept, a same-day EA CE Communication diary will be established, so the examiner can implement failure procedures. In either case, the EWS will be annotated to show whether the appointment was kept or not.
- BROKEN APPT notification via ERE: Analyst should cancel CE. A new order is CE order should be entered, if needed, so that all appropriate diaries will default.
- PROs should remind the providers to submit their verifications within 24 hours of the appointment date, per the SOA. PROs should only contact the Shreveport CE Unit regarding verifications if there appears to be an issue.

CE RESCHEDULING

- If the examiner wants to reschedule a CE, the invoice should not be cancelled, with the
 exception of CEs reported BROKEN via ERE. Instead, the examiner should input a
 "Reschedule Request." If the CE Scheduler is able to reschedule with the same
 provider, the same invoice number will be used.
- If the CE has to be rescheduled with another provider, the CE scheduler will do a "Reschedule/New Vendor" request and then enter a new vendor code. If the reschedule provider cannot do all the tests requested (e.g., PFS, X-ray, etc.), the scheduler will ask the examiner to cancel the original reschedule and request separate CEs, so all the tests can be scheduled. The schedulers will do their best to schedule the two appointments as close to each other in time and place as possible.
- If CE reschedule request is within 2 days of scheduled CE date, analyst should enter request into system with a followup email to CE scheduler and local PRO. The CE scheduler will need to contact the CE provider immediately regarding the reschedule.

CE CANCELLATION

- If the analyst needs to cancel a CE and does not plan to reschedule (e.g., sufficient medical for a decision is received prior to the CE appointment), the analyst should input a "cancellation" request, and the CE Unit will cancel the order/invoice. The cancellation will generate a notice to both the claimant and CE provider.
- If CE cancellation request is within 4 days of scheduled CE date, analyst should enter request into system with a followup email to CE scheduler and local PRO. The CE scheduler will need to contact the CE provider immediately regarding the cancellation. The analyst is responsible for contacting the claimant.
- If a claimant has failed to keep a CE, the analyst should cancel the outstanding CE invoice prior to putting the case in the closure queue. The AS400 provides prompts to make analysts aware of the outstanding invoice. ARS also gets a prompt at closure that there is an outstanding invoice. When ARS sees that prompt, they should notify the analyst and the analyst's supervisor of the need for the CE to be cancelled. Should a case clear without the CE being cancelled, the supervisor will need to contact the CE unit to request cancellation. Once the case is cleared, the analyst cannot cancel the invoice.

CE PRINTING

- All CE related printing will be handled in Shreveport. The appropriate area office return envelope will be included when the claimant CE appointment notice and response form are mailed. Each office will continue to handle travel.
- When a CE appointment notice is returned to the CE Unit because it is undeliverable, the CE Unit will create a one-day IA F/U diary to make the analyst aware the appointment notice was not delivered

CE SCHEDULING/VERIFICATION ASSISTANCE

- There should be at least 2 trained personnel in New Orleans and Baton Rouge to assist with CE Scheduling when needed.
- Each office should have a supply of envelopes for each area office.
- CE Verifications
 - In the event assistance with verifications is needed, there is an option to reroute the CEVERFYSTA report to print in a different office. Shreveport will also forward (by fax and/or e-mail) all CE verification information to the assisting location each day.
- CE Scheduling
 - If assistance with scheduling CEs is needed, schedulers from the assisting office must follow the procedures below for scheduling examinations for providers using templates:
 - Generate a Vendor Appointment List for the CE provider to access information regarding future appointments scheduled.
 - Contact the CE provider's office to indicate you are assisting with CE scheduling and need to discuss the provider's availability.
 - Request a new clinic date different than any date already used based on the Vendor Appointment List. This will minimize the chances of doublebooking appointment times.
 - Update Shreveport on appointments scheduled for the CE provider.

QUESTIONS/CONCERNS

Analysts and schedulers are to contact each other directly to resolve CE scheduling issues. If a matter remains unresolved, the analyst or scheduler should contact his/her direct supervisor for further assistance. If any scheduling issue crosses over into a PRO area of responsibility, the PROs of the office handling the claim should be contacted.

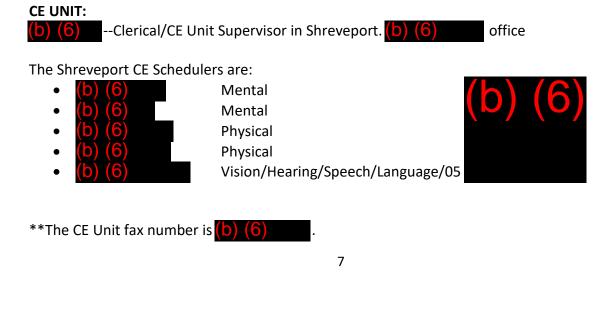
PRO INSTRUCTIONS

 PROs are the primary contact for the staff regarding CE Scheduling questions/concerns. BR and NO PROs should not contact the Shreveport PROs for CE Scheduling issues. PROs should contact the CE Schedulers directly for general inquiries. Specific scheduling issues should be emailed to the CE schedulers for resolution with a copy to (b) (6)

If the issues are not able to be resolved by the CE Unit, either (b) (6) will escalate it to higher management for assistance. We strongly encourage you to work to resolve issues as soon as possible and to have an open line of communication.

- CE Unit staff may contact the designated PROs if/when they have issues with scheduling. The schedulers have been provided PRO office, mobile and fax numbers as well as a general PRO email address (b) (2). PROs should check and respond to emails and voicemails in a timely manner (within 1 business day). However, some issues from the staff, CE Unit or CE Vendors may require immediate action.
- PROs should ensure that all scheduling Instructions, CPT codes, Specialty Codes are maintained accurately in AS400.

CONTACT INFORMATION



| PROs: <u>Baton Rouge</u> (b) (6) | | | |
|--|--------------------|---------|-------------------------------------|
| New Orleans (b) (6) Shreveport | | | |
| (b) (6) TRAVEL COORDIN | | | |
| Office | Coordinator | Back up | Supervisor |
| Baton Rouge Shreveport | (b) (6) (b) (6) | | |
| | | | Revised 8/14/14- ^{(b) (8)} |

Talking Points - Obtaining School Records for Children Alleging Disability

- As part of the disability determination process, SSA must obtain medical, vocational, and sometimes school records to help make a decision on whether one of our claimants is disabled or not.
- Your state Disability Determination Services (DDS) requests this information on SSA's behalf.
- It is important to note that we obtain a signed authorization from the student's parent or legal guardian so that organizations can disclose all medical and school records with us for the purpose of disability adjudication.
- When we receive a disability claim for a child, we request information from the school to help determine functional capacity.
- Your timely response to our request for the student's school records plays an important role in helping us make a disability decision.
- If we find that the student is disabled, the child's family may be eligible to receive disability benefits that could make a real difference for that family.
- It's important that you are familiar with the different types of questionnaires the state DDSs uses to obtain school records:
 - Teacher Questionnaire (SSA-5665)
 - Request for Administrative Information (SSA-5666)
- We use the Teacher Questionnaire to obtain observations and to collect critical information that represents how the child functions on a day-to-day basis.
- We also use the Request for Administrative Information form to obtain official school records. These records can include individualized education plans (IEP), evaluation/testing, and if any special education services are being received.
- While you can mail or fax these forms back to the DDS, we also offer a safe and secure Electronic Records Express (ERE) web application that is easily accessible via the Internet.
- Our state medical and professional relations officers (MPRO) can help register teachers to use the ERE website and answer any questions they might have.
- We greatly appreciate your cooperation, time and effort in helping us to obtain school records for your students.
- When you submit school records to your state DDS, you help us make an informed disability determination that could lead to valuable monetary benefits that make a difference in the life of your student and their family.
- Thank you

DS CE Oversight Report

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | Boston |
|---|---------------------------------------|
| State DDS: | Maine |
| Report Period (Fiscal Year): | 2014 |
| Current Date: | 10/23/14 |
| Reporter's Name, Phone number, and title: | Name (b) (6) Phone number (b) (6) |
| | Title Supervisor DDS/MPRO |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

All complaints regarding CE providers are investigated and handled on an individual basis. Complaints are reviewed by the Medical Professional Relations Officer (MPRO) to determine the most appropriate course of action. The CE provider's file is reviewed to determine if there is a history of such complaints. The MPRO will contact the claimant and obtain necessary information regarding the complaint. The CE provider is then contacted, either by phone or a personal visit, from the MPRO. Appropriate action and/or discipline will be taken depending on the outcome of the investigation. A copy of the complaint is placed in the CE provider's file. If warranted, the DDS Administrator is apprised of the situation, and referral is made to the RO if deemed necessary.

Complaints submitted from a representative are investigated and handled on an individual basis. Appropriate actions/discipline will be taken depending on the outcome of the investigation. A summary of the investigation is placed in the CE Providers file for future reference.

Complaints or allegations of an egregious nature (which could include illegal/criminal activity, sexual harassment, cultural insensitivity, allegations compromising the health and safety of claimants), require the MPRO to suspend any new appointments and referrals while the allegations are being investigated. The MPRO will notify the DDS Administrator of the nature and severity of the claimant's complaints, and notify State authorities or law enforcement as appropriate. A summary of the investigation and resolution will be placed in the CE provider's file.

We received no complaints that were of this nature or seriousness during the past year.

2. Attach a list of completed onsite reviews of CE providers.

Below is a list of the onsite visits that were done this year:
Associated Eye Care, Ophthalmology and Optometry Sanford, ME: (Edward Jaccoma, MD; Robert Rice, MD; David Lavoie, OD; Jess Lewis, OD; Dana Graichen, MD; Todd Kim, OD; Bill Sleight, OD; Nailia Aslam, OD; Lindsey Jendraski, OD; Sasha Kean, OD).
Atlee Gleaton Eye Care, Augusta, ME: (Maroulla Gleaton, MD; Jessica McNally, MD; Linda Schumacher-Feero MD: Faith Smith, OD).
Vision Care of Maine – Bangor (Luke Gamble OD; Tracy Clark DO; John Bowman MD)
Dr. Adrienne Butler Psychologist – multiple sites
John Hale, PhD- Bangor, ME
Kerry Drach, PsyD- Portland, ME
Donna Gates, PhD – multiple sites
Edward Quinn, PhD – multiple sites
Robert Phelps M.D.- multiple sites
James Whelan, PsyD – Portland, ME

Central Maine Partners in Health – Auburn (Alan Bean, MD; Staci Kunas FNP-C) Auburn

3. Attach a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch.

Donna Gates PhD PO Box 1363 Camden, ME 04843

Edward Quinn, PhD PO Box 1441 Camden, ME. 04843

Robert Phelps, MD Phelps Medical Assessments 89 Earls Rd South Berwick, ME 03908

Richard Stockwell, MD (b) (6)

Westbrook, ME 04092

Roger Ginn, PhD

(b) (6) Wells. ME 04090

Central Maine Partners in Health Alan Bean, M.D.

DDS CE Oversight Report

690 Minot Ave Ste 2 Auburn, ME. 04210-4086

James Whelan Jr. PsyD

(b) (6) Portland, ME, 04101

James Werrbach, PhD The COE Building Ste 22 15 Cross St Box 14 Bangor, ME. 04401

Patricia Kolosowski, PhD PO Box 261 W Kennebunk, ME 04094

Roger Zimmerman, PhD

(b) (6) Portland, ME. 04103

4. Provide the total number of CE providers on the panel.

91 (CE providers and specialties). Over the past year, we lost 12 CE providers who either moved away, or because of staffing issues, they no longer had the capacity to do exams. We did stop using one psychologist due to late reports and frequent cancellation of days on short notice.

5. Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs.

• We use the OIG LEIE exclusion database and search each physician quarterly. We also check licenses the month they are due to expire.

6. Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation.

• We ask those providers who hire medical assistants to chaperone during exams to provide a copy of the assistant's certification or license, whichever applies. We also have them sign a license verification form which includes specific language pertaining to support staff:

7. Provide a description of any CE/MER fee schedule changes (include a description of any volume medical provider discounts).

MEDPE code change from 99203 to 99204 (increase from \$120.00 to \$150.00) Cerumen debridement 69220 (increase from \$60.00 to \$140.00) Speech 92506 replaced with 92521 and 92523

8. Upload fee schedules to the MPRO SharePoint site.

This was completed on 10/23/2014.

9. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc.

We made 11 site visits. We have recruited 1 speech/language pathologist; 3 physical providers and 2 psych providers. AMCE Physicians Group (out of Utah) just started recruiting in northern Maine in September 2014. We have one location in Bangor, and currently they are trying to locate space in Houlton.

We visited the Medical Records Departments at Southern Maine Health Care, Maine Medical Center, Mercy, Miles Memorial, MaineGeneral Medical Center and Eastern Maine Medical Center. We are working with Maine Medical Center regarding ERE and HIT. We added York Hospital, Franklin Memorial Hospital and Oxford County Mental Health as ERE vendors.

Please attach any additional information before submitting this form.

There was a MRPO Regional Conference in Boston in April 2014. To be able to have all the PROs in one room and be able to communicate with each other, sharing procedures, ideas, and different points of view was not only productive, but very valuable. I would like to see this happen on at least a yearly basis.

DDS CE Oversight Report

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | Philadelphia |
|---|--|
| State DDS: | Maryland |
| Report Period (Fiscal Year): | FY14 |
| Current Date: | November 14, 2014 |
| Reporter's Name, Phone number, and title: | (b) (6) Medical Relations Director (b) (6) |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

All complaints from claimants are forwarded to the MRO. If sufficient information regarding the complaint is not provided, the MRO will contact the claimant for a detailed description of his/her experience/complaint. If the MRO does not have telephone contact with the claimant, a letter is sent to the claimant acknowledging the receipt of the complaint and assuring him/her that it will be investigated. Depending upon the nature of the complaint, a decision may be made to place the provider on "temporary do not use" status. The claimant's file may be reviewed to assess prior history of filing complaints. Complaints are submitted to the MRO staff electronically; this allows efficiency in handling complaints and allows MRO staff to identify trends with complaints toward specific providers.

The CE report is reviewed to determine if the complaint is addressed in the CE report. A decision is then made as to whether contact with the provider is indicated. The content of the CE report, the nature of the complaint, and any history of previous complaints against the provider are taken into consideration when deciding whether to contact the provider. In some instances, a decision is made to send claimant satisfaction surveys to other claimants being seen by the same provider to help determine if the complaint represents a trend or an isolated incident. When determined to be appropriate, the CE provider is contacted by letter, telephone, or office visit to apprise him/her of the complaint and ask for his/her response to the specific charges.

After evaluating all of the findings from the investigation of the complaint, the MRO determines how valid and/or serious the complaint is. The next step taken depends on the outcome of the investigation. If the complaint is considered to be valid and is serious enough, the decision may be made to remove the CE provider from the CE panel. In other situations where the complaint is determined to be valid but immediate removal is not indicated, the MRO meets with the provider to discuss the problem area and the means to correct it. If complaints continue to be received against the same provider, despite MRO intervention, no further appointments are scheduled with that provider and he/she is informed of the reasons for this termination.

If the complaint is found not to be valid or reflects a mild infraction, scheduling may resume however claimant satisfaction surveys are sent to every claimant scheduled with that provider and the provider's reports are monitored. The CE provider is advised as to the type of monitoring that will take place as a result of the complaint. Usually a couple of appointments are scheduled, the quality of the exam from everyone's view point is evaluated, and then more appointments are scheduled, if indicated.

In all instances, the provider's file is documented and the claims examiner and claimant are notified as to the outcome of the investigation. If advice was sought from Regional Office (RO) during the investigation, or if contact is indicated with the RO after the investigation, the appropriate staff in the RO is notified. If the nature of the complaint and outcome of the investigation warrant it, referral to the State Medical Board would be made.

2. Attach a list of completed onsite reviews of CE providers.

Kevin Budney, PsyD

1101 Calvert Street, Suite 201, Baltimore, MD 21202

Nicola Cascella, MD

(b) (6) , Baltimore, MD 21224

CEI Maryland, Inc

222 N Charles Street, Suite 101B, Baltimore, MD 21201

CE Provider Services

41680 Miss Bessie Drive, Suite 203, Leonardtown, MD 20650

201 Pine Bluff Road, Suite 28, Salisbury, MD 21801

200 N Philadelphia Blvd., Suite A, Aberdeen, MD 21001

1719 Fleet Street, Baltimore, MD 21231

1657 Whitehead Court, Baltimore, MD 21207

Shakuntala Dhir, MD

(b) (6) , Derwood, MD 20855

1400 Mercantile Lane, Suite 206, Largo, MD 20774

Lawrence Honick, MD

583 Frederick Road, Suite 3, Catonsville, MD 21228

1 East Chase Street, Suite 200, Baltimore, MD 21202

Michael Kaiser, PhD

1003 Spring Street, Suite 106, Silver Spring, MD 20910

(b) (6) , Hagerstown, MD 21740

(b) (6) , Prince Frederick, MD 20678

8 Reservoir Circle, Suite 103, Pikesville, MD 21208

(b) (6) , Bel Air, MD 21014

Alan Langlieb, MD

b (6) , Baltimore, MD 21202

, Towson, MD 21204

Nancy McDonald, PhD

6630 Baltimore National Pike, Suite 204B, Catonsville, MD 21228

1 E Chase Street, Suite 1105, Baltimore, MD 21202

(b) (6)

Med Plus Disability Evaluation

337 Hospital Drive, Building B, Glen Burnie, MD 21061

349 E Pulaski Highway, Suite A2, Elkton, MD 21921

203 Green Street, Cumberland, MD 21502

Sara Phillips, PhD

431 Eastern Blvd., Suite 103, Essex, MD 21221

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(b) (6) , Clinton, MD 20735

Ebenezer Quainoo, MD

3350 Wilkens Avenue, Suite 307, Baltimore, MD 21229

Olga Rossello, MD

920 St Paul Street, Suite 2, Baltimore, MD 21202

101 W Ridgely Road, Suite 7A, Lutherville, MD 21093

Reza Sajadi, MD

1005 North Point Blvd., Suite 706, Baltimore, MD 21224

301 Saint Paul Place, Suite 311, Baltimore, MD 21202

(b) (6)

., Baltimore, MD 21221

Saluja Medical Associates

821 N Eutaw Street, Suite 301, Baltimore, MD 21201

6821 Reisterstown Road, Suite 106, Baltimore, MD 21215

702 W 40th Street, Baltimore, MD 21211

Mikhael Taller, MD

6615 Reisterstown Road, Suite 109, Baltimore, MD 21215

10400 Connecticut Avenue, Suite 202, Kensington, MD 20895

186 Thomas Johnson Drive, Suite 200, Frederick, MD 21702

337 Hospital Drive, Building B, Glen Burnie, MD 21061

Varsha Vaidya, MD

723 S Charles Street, Suite 103, Baltimore, MD 21230

4405 East West Highway, Suite 601, Bethesda, MD 20814

Sheldon Weinstock, PhD

1800 N Charles Street, Suite 200, Baltimore, MD 21201

3. Attach a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch.

AMCE Physicians

400 E Pratt Street, 8th Floor, Baltimore, MD 21202

Kevin Budney, PsyD

1101 Calvert Street, Suite 201, Baltimore, MD 21202

Nicola Cascella, MD



, Baltimore, MD 21224

CEI Maryland, Inc

222 N Charles Street, Suite 101B, Baltimore, MD 21201

CE Provider Services

41680 Miss Bessie Drive, Suite 203, Leonardtown, MD 20650

201 Pine Bluff Road, Suite 28, Salisbury, MD 21801

200 N Philadelphia Blvd., Suite A, Aberdeen, MD 21001

1719 Fleet Street, Baltimore, MD 21231

1657 Whitehead Court, Baltimore, MD 21207

Lawrence Honick, MD

583 Frederick Road, Suite 3, Catonsville, MD 21228

1 East Chase Street, Suite 200, Baltimore, MD 21202

Michael Kaiser, PhD

1003 Spring Street, Suite 106, Silver Spring, MD 20910

| (b |) (6 | , Hagerstown, MD 21740 |
|-----------|------|------------------------|
|-----------|------|------------------------|

, Prince Frederick, MD 20678

8 Reservoir Circle, Suite 103, Pikesville, MD 21208

(b) (6) , Bel Air, MD 21014

Alan Langlieb, MD

, Baltimore, MD 21202

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Nancy McDonald, PhD

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1 E Chase Street, Suite 1105, Baltimore, MD 21202

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337 Hospital Drive, Building B, Glen Burnie, MD 21061

349 E Pulaski Highway, Suite A2, Elkton, MD 21921

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Redlink, Inc

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101 W Ridgely Road, Suite 7A, Lutherville, MD 21093

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1005 North Point Blvd., Suite 706, Baltimore, MD 21224

301 Saint Paul Place, Suite 311, Baltimore, MD 21202

(b) (6) ., Baltimore, MD 21221

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6615 Reisterstown Road, Suite 109, Baltimore, MD 21215

10400 Connecticut Avenue, Suite 202, Kensington, MD 20895

186 Thomas Johnson Drive, Suite 200, Frederick, MD 21702

337 Hospital Drive, Building B, Glen Burnie, MD 21061

Mary Taylor-Ennis, PhD

5445 Loch Raven Blvd., Smyth Building 301-A, Baltimore, MD 21239

7131 Liberty Road, Suite 202, Baltimore, MD 21207

Sheldon Weinstock, PhD

1800 N Charles Street, Suite 200, Baltimore, MD 21201

4. Provide the total number of CE providers on the panel.

392

5. Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs.

Maryland's Department of Health and Mental Hygiene has created online access for verification of all licenses. This allows us to verify licensure for all types of providers that are currently on our CE panel. The licensure of physicians is currently verified online at the Maryland Board of Physicians' website, <u>www.mbp.state.md.us</u>. The licensure of psychologists is currently verified online at <u>http://dhmh.maryland.gov/psych/SitePages/licenseverification.aspx</u>. The licensure of speech language pathologists and audiologists is currently verified online at <u>https://mdbnc.dhmh.md.gov/AUDVerification/Default.aspx</u>. The licensure for optometrists is currently verified online at <u>https://mdbnc.dhmh.md.gov/optverification/default.aspx</u>. Maryland does not currently have an optometrist that performs CE's. All CE providers' licenses are verified prior to performing CE's for the Maryland DDS. In addition to running this check with new providers annual licensure reviews are completed for CE providers whose licenses are scheduled to expire.

For physicians, they are licensed for two years and renewal dates are broken down alphabetically - A through L are renewed on even years, M through Z on odd years.

For psychologists, they are licensed for two years. There does not appear to be any logical order for how it is determined who must renew on odd years vs. even years. Therefore the entire panel, of psychologists, is checked annually.

For speech language pathologists and audiologists the licensing board is contacted to verify licensure when adding providers to the panel. We recently transitioned to the online licensure verification for speech language pathologists and audiologists. All speech language pathologists and audiologists' licenses are verified on an annual basis, similar to our verification for

psychologists.

For optometrists, they are licensed for two years and Maryland currently does not have an optometrist on our CE panel.

Each link for varying licensed providers provides details about disciplinary actions. For physicians, there is a section on Board Sanctions which is updated by the Board monthly. This is routinely checked on a monthly basis along with the HHS national list of provider sanctions. For psychologists, speech language pathologists and audiologists, there are lists of disciplinary actions that can be referenced on Maryland's Department of Health and Mental Hygiene website.

In addition, the Office of Inspector General's website is checked for all new providers to ensure there are no exclusions. The following website is the link for OIG exclusions: <u>http://exclusions.oig.hhs.gov/</u>.

6. Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation.

On the application that CE providers submit, to perform CE's for the Maryland DDS, there is a section above their signature that is preceded by the statement "In signing this application, I certify that:" One of the bullets under this statement reads "All support staff used in the performance of consultative exams meet the appropriate licensing or certification requirements of the State." In addition to requiring their signature to verify this, this topic is also discussed at the time of onsite orientations with new CE providers if services that would require such licensure or certification are going to be purchased from that provider.

7. Provide a description of any CE/MER fee schedule changes (include a description of any volume medical provider discounts).

Effective September 1, 2004, our parent agency, the Division of Rehabilitation Services, adopted a fee schedule for CEs that is 109% of the Medicare fee schedule. Annual adjustments are made in accordance with this guidance. There were no changes in our fee for MER. Maryland DDS does not provide any type of discounts for any providers.

8. Upload fee schedules to the MPRO SharePoint site.



9. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc.

The MRO worked in conjunction with SSA liaisons doing outreach to advocates that work closely with disability claimants on the SSI/SSDI Outreach Access and Recovery (SOAR) Initiative. Several trainings were conducted with SSA liaisons and other community partners (Mental Hygiene Administration, Health Care for the Homeless and county Core Service Agencies) for advocates in several Maryland counties. The SOAR initiative provides comprehensive training to advocates and case managers working with homeless population to assist claimants applying for benefits. The goal is to increase the number of homeless and at-risk claimants who qualify for SSI/SSDI, and to provide an accurate and timely decision as quickly in the process as possible, by working closely with the DDS. The MRO has participated in monthly implementation meetings with core SOAR staff as well as presenting on DDS needs in training sessions. The Maryland DDS continues to host county SOAR quarterly provider meetings, as well as, some SOAR two day training sessions for the Baltimore metro area and cross county trainings. These meetings provide an opportunity for SOAR trained community providers to discuss SOAR and the SSI/SSDI application process. These meetings not only provide educational benefit to the advocates, it demonstrates the partnership that has been created with several components, including SSA, DDS and multiple homeless advocacy groups in Maryland. The Washington Metro PAS and AWIC, with the Montgomery County FO Managers met with the SOAR Lead for the State of Maryland and Montgomery County to build a partnership in making SOAR a success in this area. MRO staff was present at this meeting to provide discussion about how the SOAR process works at the MD DDS. In addition the MD DDS and MRO staff participated in the first SOAR Conference, in which the MD DDS received a Community Partnership Award.

Outreach was made to several facilities to present information about our electronic initiatives, the options for receiving MER requests and submitting MER electronically. We have ongoing contacts with major copy services to encourage and support their transition to electronic submission of records. In addition to our continued push to submit records electronically, we promoted our receipt of requests via electronic outbound requests (eOR). We have participated on conference calls and presented PowerPoint's about exchange of medical evidence via ERE with the medical community. MRO continues to work closely with State Correctional Facilities to ensure all sites are utilizing ERE, and providing continued education and ERE support.

The MRO team worked on a special project to educate all CE providers about eOR. All but a few CE providers, excluding facilities that perform labs and special studies, are utilizing eOR. We continue to promote the use of the ERE website. Our ERE guide with step by step instructions, FAQ's and several other tips and fact sheets is shared with providers. We continue to provide outreach and education, onsite (if needed), for providers that may struggle with startup of ERE. Our next push is to educate our school professionals about eOR in hopes to add as many school

systems or individual schools to eOR. This includes upcoming conventions and outreach with county school systems.

variety of individuals from clinical staff, nursing staff, social workers, case managers and claimants. All these presentations were well attended and showed fabulous collaborative efforts between DDS and SSA staff as well as our community partners.

The MRO presented at Catholic Charities Kinship and Family Navigator Group, Maryland Rehabilitation Training Forum. The MRO was an exhibitor at the John Hopkins Hospital Pediatric Social Work Fair and the School Health Interdisciplinary Program. In addition, we had the opportunity to participate at the Institute of Medicine's Committee to Evaluate the SSI Disability Program for Children with Mental Disorders.

In house the MRO conducted Electronics Initiatives Training for all Operations and Support staff at the MD DDS. In conjunction with our school liaison group an internal training was provided on the DSM-5.

Please attach any additional information before submitting this form.

DDS CE Oversight Report

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | Boston |
|---|--|
| State DDS: | MA |
| Report Period (Fiscal Year): | 2014 |
| Current Date: | November 4, 2014 |
| Reporter's Name, Phone number, and title: | Name (b) (6) Phone number (b) (6) |
| | Title Director of Medical Contract Mgmt. & Professional Relations |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

The Boston & Worcester Medical Relations Department investigates all complaints in accordance with the state procedures. All complaints are reviewed by the medical relations officer. A complaint can be received directly from the claimant or through the examiner responsible for the case. If not received directly from the claimant, a contact is made to the claimant to obtain a clear description of the problem.

- a. The doctor is asked to respond in writing within 30 days. Copies of complaints involving rude and/or unprofessional behavior are sent in writing to the doctor along with a copy of the CE report. The DDS responds to claimant complaint by sending the claimant a letter of acknowledgement. The doctor's written response is evaluated along with any other complaints, if any, against the consultant. The claimant's case is also reviewed if it is available. Depending on the seriousness of the offense, the Assistant Commissioner and the Director of Medical Relations in the Boston office might be involved in the final resolution.
- b. Allegations of an egregious nature (which could include illegal/criminal activity, sexual harassment, cultural insensitivity, allegations compromising the health and safety of claimants) are discussed with management immediately. The agency's General Counsel is involved in these situations. Depending upon the severity of the complaint,

appointments are cancelled or suspended pending the investigation. Investigation of serious complaints would involve a telephone call to the claimant or a personal meeting with the claimants to clarify the details. The claimant would also receive an acknowledgement letter

c. Complaints of an environmental nature (cleanliness and/or poor accessibility and/or lack of proper facilities) are initially investigated with an unannounced site visit to assess the situation.

2. Attach a list of completed onsite reviews of CE providers.

Sol Pittenger, Psy.D.

(b) (6) New Bedford, MA 02532 11/14/14

Timothy Horton, Ph.D.

(b) (6)

North Dartmouth, MA 02747 11/14/14

Mark Sokol, Ed.D.

(b) (6)

Fall River, MA 02721 11/14/14

Byron Garcia, M.D.

(b) (6)

Westwood, MA 02090 To be seen on 11/18/14

James Todd, M.D.

(b) (6)

Newton, MA To be seen on 11/18/14

Jasper Lawson, Ph.D.

(b) (6)

Brookline, MA To be seen on 11/18/14

Yakov Kogan, M.D. 100 Cummings Center Entrance 135Q. Suite 332G Beverly, MA 02301

Visited 11/6/14

David Husson, Ph.D. 144 Merrimack Street, Suite 441 Lowell, MA 01852 Visited 11/6/14

Robert Mullaly, Ph.D.

(b) (6)

Chelsea, MA 02150 To be seen on 11/19/14

Theodore Stronach, Ph.D. 6 Pleasant Street, Office 314 Malden, MA 02148 To be seen on 11/19/14

Daniel Morocco, Ed.D.

(b) (6)

Andover, MA 01810 To be seen on 11/19/14

LEON HUTT, PH.D. 130 MAPLE STREET, ROOM 201 SPRINGFIELD MA 01103 10/30/2014

TEENA GUENTHER, PHD RITE-AID PLAZA 1500 NORTHAMPTON STREET SUITE 8 HOLYOKE MA 01040 11/03/2014

DOUGLAS N. WILLIAMS, PSY.D 425 UNION STREET SUITE D-19 WEST SPRINGFIELD MA 01089 10/30/2014

Sean Markey PH.D

(b) (6)

WORCESTER MA 01605

11/21/201

MILTON TAYLOR, PH.D.

(b) (6)

WORCESTER MA 01609

11/21/2014

3. Attach a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch.

Boston DDS Key Providers

David Cahan, M.D. (c)

(b) (6)

Jamaica Plain, MA 02130

Michael Bohnert, M.D. (b & c) 565 Turnpike Street, Third Floor Suite 84 North Andover, MA 01845

Jasper Lawson, Ph.D. (c) 1415 Beacon St Second Floor, Room 222 Brookline, MA 02446

Daniel Morocco, Ed.D (c) 10 Main St, Suite L 13 Andover, MA 01810

Marc Sokol, Ed.D (c)

(D) (D)

Fall River, MA 021721

William Krueger, Ph.D. (c) 599 Canal St, 5th Floor East Lawrence, MA 01840

David Husson, Psy.D. (a, b & c) 144 Merrimack St, Suite 441, 4th Floor Lowell, MA 01852

Yakov Kogan, M.D. (a, b,& c)

(b) (6)

Brockton, MA 02301

Theodore Stronach (b&c)

6 Pleasant St, Third Floor, Office 314 Malden, MA 02148

Robert Mullaly (b & c) 68 Market St, 2nd Floor Lynn, MA 01901

Worcester DDS Key Providers

Sean Markey PH.D

(b) (6) WORCESTER, MA 01605 (a,b,c)

LINDSAY K. OLDEN

(b) (6)

AMHERST, MA 01002 (b,c)

RAFAEL MORA DE JESUS, PH.D HARTFORD PSYCHOLOGICAL ASSOCIATES 210 WETHERSFIELD AVENUE HARTFORD, CT 06114 (c)

DOUGLAS N. WILLIAMS, PSY.D 425 UNION STREET SUITE D-19 WEST SPRINGFIELD, MA 01089 (b,c)

TEENA GUENTHER, PHD RITE-AID PLAZA 1500 NORTHAMPTON STREET SUITE 8 HOLYOKE, MA 01040 (b,c)

MIRIAM KISSIN, PSY.D

(b) (6)

WORCESTER, MA 01609 (b,c)

WORCESTER MEDICAL CENTER RADIOLOGY DEPARTMENT 123 SUMMER STREET 1 NORTH WORCESTER, MA 01608 (c)

MILTON TAYLOR, PH.D.

(b) (6)

WORCESTER, MA 01609 (b,c)

MARGARET STEPHENSON PHD 120 SOUTH STREET SECOND FLOOR PITTSFIELD, MA 01201 (b,c)

PAUL L. MEDREK, MD MASSACHUSETTS MEDICAL SERVICES 425 UNION STREET WEST SPRINGFIELD, MA 01089 (c)

ROBERT DEAN, PH.D.

(b) (6)

LONGMEADOW, MA 01105 (c)

BAY STATE MEDICAL CENTER OUTPATIENT RADIOLOGY DEPARTMENT 3300 MAIN STREET SPRINGFIELD, MA 01119 (c)

ROBERT HOLLOWAY, ED.D.

(b) (6) WORCESTER ,

MA 01605 (c)

LEON HUTT, PH.D. 130 MAPLE STREET, ROOM 201 SPRINGFIELD MA 01103 (b,c)

VICTOR J CARBONE, PHD 1188 PARKER STREET SUITE 1 SPRINGFIELD MA 01129 (b,c)

BAYSTATE INTERPRETERS 32 PLEASANT STREET GARDNER MA 01440 (c)

LANGUAGE BRIDGE LLC PO BOX 60503 LONGMEADOW STATION LONGMEADOW MA 01116 (c)

SHAWN CHANNELL

(b) (6)

FITCHBURG MA 01420 (b,c)

MARK BROOKS, PH.D

(b) (6

GARDNER MA 01440 (b,c)

4. Provide the total number of CE providers on the panel.

190

- 5. Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs.
 - a. There are approximately one hundred and eighty (190) current CE Providers in the Boston and Worcester offices combined.
 - b. In Massachusetts, both Boston and Worcester offices have online access to the most updated license and credential information on both physicians and psychologists provided by the licensing boards. Verification of MD licenses is provided by the Board of Medicine (www.massmedboard.org). Prior to hiring any consultant, the website is checked and any Board or hospital disciplinary incidents are addressed prior to consideration of a contract; however, getting details regarding infractions is difficult. Verification of a psychologist's license is provided by the State Licensing Board (www.state.ma.us/reg) and requires no password. In addition, the HHS OIG List of Excluded Individuals is also cross referenced. The contract requires doctors to furnish DDS with a copy of each license renewal as it occurs during the period of the contract. The PRO/MRO routinely reviews State Licensure Board. Sanction lists and the HHS Inspector General's List of Excluded individuals and Entities to ensure no unlicensed or excluded CE provider is a vendor.

c. When recruiting medical consultants, we require not only confirmation that the physician/psychologist is in good standing but also that any associates or assistants provide us with proof of their own credentials, which are subsequently verified with the appropriate Licensing Board. All consultants who have staff assistance sign a form regarding their staff's credentials, but most do not have support staff. This procedure is followed by both the Boston and Worcester.

6. Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation.

As part of the contract all CE panelists are required to sign a License/Credential Certification that the support staff who participate in the conduct of the CE meet all appropriate licensing and certification requirements for the state and are not currently suspended or barred from participation in the Medicare or Medicaid programs or any other Federal program.

7. Provide a description of any CE/MER fee schedule changes (include a description of any volume medical provider discounts).

We have not made any changes to the CE/MER fee schedule. We do not have any volume medical provider discounts as we use many different vendor.

8. Upload fee schedules to the MPRO SharePoint site.



MER Fee Schedule

We pay fifteen dollars for a doctor's report and ten dollars for hospital records. We pay an additional ten dollars if the report is received in less than 15 days.

9. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc.

Medical Relations major initiative for 2014 was automation. The Vertical Wave System (Robo Call) is a software add-on that allows the state of Massachusetts to automate the Failure to Cooperate (FTC) and pre CE calls. The Robo Call system notifies claimants of their upcoming Consultative Exams. Between the Federal hiring freeze and the reduction of contract clerical, positions were lost. The Robo Calls system serves to streamline the task while continuing to providing service delivery to the public.

Medical Relations and CE Placement worked closely with the Information Technology Manager to conduct weekly conference calls with the Robo Call Company. The first step involved exchanging data with the Robo Call Company with the needed information to schedule a CE, such as the date, time, name and address of the doctor.

The second step was to design the outgoing script, which would replace the information provided by the CE Placement clerk during FTC and pre CE calls. The script required the claimant to identify themselves, respond if they would attend, and answer if they received the letter as well as an opportunity to repeat the message in order to write down the CE information. In addition, the script notified the claimant to call his or her Examiner if they were not able to attend the CE. We strived to design a script that replaced a human being, which provided enough information to get the claimant to the CE without overwhelming the populations we serve. It was a difficult balance.

An interim third step required the CE Placement clerks to manually type in Robo Call results into the Electronic Worksheet. The final step involved the Iron Data designing a program to post the

Robo Call results into the Electronic Worksheet. Each step along the way required extensive testing and retesting.

The Vertical Wave System hit serious road blocks along the way; we were finally able to overcome them. Currently, we are in the process of changing from analog to digital phone lines.

Another process that was automated was the CE Appraisal process. One of the PRO's, (b) (6) as part of a required project in an aspiring supervisory course offered by the state, automated the CE Appraisal process. The automation of the CE Appraisal process decreased the amount of time it took for clerks to enter the data from the Consultative Evaluation Appraisal cards. The automation CE appraisal process also decreased the amount of time it takes to gather and summarize information. This will improve communication with CE doctors. This year, during Volume Provider visits the PRO's were able to report the doctors overall turnaround time, which includes the time it takes to receive the signed CE and any associated ancillaries.

We have hired three In House medical Consultants and two In house Spanish Speaking Interpreters. One of those interpreters resigned. The Professional Relations Department hired twenty seven new CE doctors, seventeen were lost through attrition. We have aggressively recruited for the HINT Test and have been unable to secure a CE provider. Currently, Treating Source CE's are being set up at Massachusetts Eye and Ear in Boston and Children's Hospital in Waltham. However, there is no solution for claimants who are not Massachusetts Eye and Ear or Children's Hospital patients.

Other activities included (b) (6) and (b) (6) gave an ERE presentation for 35 of Partners Health Care satellite offices. Two MER providers were converted to ERE in the Boston region. (b) (6) converted 49 satellite offices affiliated with Bay State Medical Centers to eOR vendors.

The Professional Relations staff attended the Boston PRO Conference in Boston. (b) (6) gave a presentation on the Vertical Wave System at the conference. The department participated in the Monthly MPRO conference calls.

Please attach any additional information before submitting this form.

DDS CE Oversight Report

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | Chicago |
|---|--------------------------------------|
| State DDS: | Michigan |
| Report Period (Fiscal Year): | 14 |
| Current Date: | 11/12/14 |
| Reporter's Name, Phone number, and title: | Name (b) (6) Phone number (b) (6) |
| | Title MRPH Manager |
| | |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

Complaint Procedures

All complaints about CE providers are referred to the PRO for resolution and inclusion in the vendor's file. All complaints are acknowledged by letter or by phone. Sensitive complaints (e.g., sexual improprieties, discriminatory treatment, etc.) are referred to the MRPH Manager. After reviewing the evidence gathered, the MRPH Manager will decide the course of action which could include suspension or deletion of the provider from the CE panel, referral to an outside agency (e.g. state Bureau of Health Professions Complaint and Allegations Division), and/or referral to Department Legal Affairs/Attorney General. The MRPH Manager is responsible for notification to Regional Office.

2. Attach a list of completed onsite reviews of CE providers.



3. Attach a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch.



4. Provide the total number of CE providers on the panel. 200 5. Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs. <u>Credential check procedures</u>—All new CE providers complete a qualification sheet as well as a signed "license/credentials certification" statement that the provider and all support staff to be used in CEs meet appropriate state licensing/certification requirements and are not under any sanctions. DDS verifies status with the State Licensing Board and also checks the Cumulative

Sanctions Report (CSR) on the HHS/OIG website. CE facilities are contacted annually for a list of active consultant staff. For independent CE providers, DDS tracks each license renewal date and checks the List of Excluded Individuals and Entities (LEIE) annually. Checks are done with the State Licensing Board, CSR,

Community Health Disciplinary Action Report and press releases. All CE providers include their license number and expiration date with each CE report.

6. Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation.

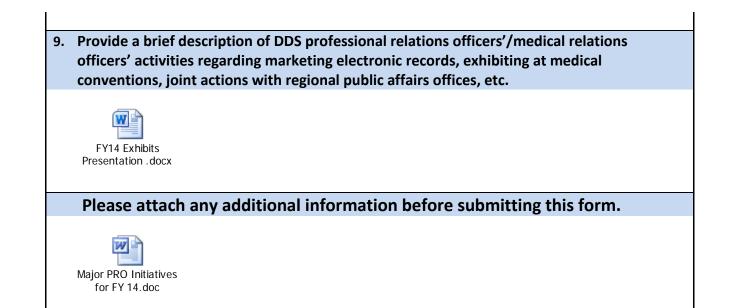
See number 5 above—annual checks on all CE providers

7. Provide a description of any CE/MER fee schedule changes (include a description of any volume medical provider discounts).

No fee changes for FY 14 and no volume medical provider discounts

8. Upload fee schedules to the MPRO SharePoint site.





EXHIBITS/PRESENTATIONS (DDS and/or in Collaboration with SSA)

(B)=Booth (P)= Presentation (W)=Webinar

- 10/13 MACMHB Annual Fall Conference with SSA (B) (P)
- 10/13 National Assoc of Social Workers State Conference (B)
- 11/13 MI Assoc of Reimbursement Officers/MARO with SSA (P)
- 4/14 Disability Workshop- Sparrow Hospital (P)
- 5/14 MHIMA State Conference (B)
- 5/14 MACMHB Spring Conference (B)
- 9/14 15th Annual Substance Use Disorder/Co-Occurring Disorder Conference with SSA (B)(P)

ONSITE REVIEW OF CE PROVIDERS (OTHER THAN KEY PROVIDERS)

- 10/13 Bright Horizons
- 10/13 David Cashbaugh Jr. MA
- 10/13 Comprehensive Psychological
- 10/13 Mercy Health Partners
- 10/13 Battle Creek Counseling
- 10/13 Comprehensive Psych Svc
- 10/13 Human Resources
- 10/13 Ronan Psychological Services
- 10/13 Partners in Change
- 12/13 Steven Reppuhn PhD
- 2/14 Medical Care Plus
- 5/14 Old Town Psychological
- 7/14 Diagnostic Evaluation Services
- 9/14 Bell Occupational Medicine
- 9/14 Sean Rooney PhD and John Kublin MD
- 9/14 Webers and Devers Psychological Services

MICHIGAN KEY PROVIDERS – ON SITE VISITS -FY 14

| Key Provider | Address | Date of Visit |
|---------------------------------|---|-----------------------|
| Sierra Medical Clinic | 715 Brookwood Walk Bloomfield, MI 48304 | 8/8/14 (Sterling Hts) |
| Great Lakes Medical Evaluations | 330 E. Maple Ste. 285 Birmingham, MI 48009 | 3/1/14 (Big Rapids) |
| Jefferson Medical Clinic | 2141 East Jefferson Ave. Detroit, MI 48207 | 7/3/14 |
| Human Capability Corporation | 25775 W 10 Mile Rd Ste. B Southfield MI 48202-6036 | 7/3/14 |
| Michigan Medical Consultants | P. O. Box 81060 Rochester, MI 48308 | 10/30/13 (Lansing) |
| Monarch Medical Services | 24474 Goddard Rd. Taylor, MI 48180 | 8/14/14 |
| AAA Evaluations | 8179 Halcyon Ct Grosse Ile, MI 48138 | 12/6/13 (Gaylord) |
| Tri-State Occupational Medicine | 612 Sixth Ave Huntington, WV 25701 | 8/9/14 (Eastpointe) |
| Seasons Counseling Center | 8311 Office Park Dr. Grand Blanc, MI 48349 | 10/22/13 (Burton) |
| Sermic Psychological Counseling | 3444 Davenport Saginaw, MI 48602 | 5/13/14 (Big Rapids) |
| Saginaw Psychological Services | 2100 Hemmeter Saginaw, MI 48603 | 10/23/13 |

Major PRO Initiatives for FY 14

- Improve MER response time among top 300 providers with >100 MER requests annually- RESULTS:
 - MER received within less 10 days= 50% (+9% from FY 13)
 - MER received within less 21 days= 92% (+2% from FY 13)
- **Improve ERE MER-** 80.3% for FY 14 YTD (+2% from FY 13)
- Improve CE Report Receipt- RESULTS
 - CE Reports received within 14 days or less= 89% (+5% from FY 13)
- CE recruitment
 - o 20 Internist; 9 Psychologists; 2 clinical psych; 7 SLPs; 4 ENT; 1 ophth;

DDS CE Oversight Report

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | Chicago |
|---|-----------------------------------|
| State DDS: | Minnesota |
| Report Period (Fiscal Year): | 2014 |
| Current Date: | 10/01/2014 |
| Reporter's Name, Phone number, and title: | Name (b) (6) Phone number (b) (6) |
| | Title Medical Relations Officer |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

General procedure per the Consultant Examination Oversight Plan, Section D:

All complaints referred to Medical Services are investigated. A complete description of the complaint is obtained. The CE Panelist is contacted to discuss the complaint. The claimant or other party is contacted regarding action taken and resolution of the problem. Documentation concerning the complaint is kept in the CE provider's folder. If the complaint is of a serious nature, a visit may be made to the consultant's office for further investigation of the problem. Chicago Regional Office is notified in the event of serious complaints, i.e., physical or sexual abuse by a provider.

2. Attach a list of completed onsite reviews of CE providers.



3. Attach a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch.



4. Provide the total number of CE providers on the panel.

220

 Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs.

When recruiting new panelists, licenses, credentials and certifications are verified with the appropriate State Medical, Psychological and other appropriate boards. The attached policy describes the MN DDS procedure for quarterly licensure and sanction verifications. Records of these verifications are maintained by the MROS via spreadsheet.



6. Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation.

Signed statements are obtained from each provider certifying that all support staff that will be used in consultative examinations meet the appropriate licensing/certification requirements of the State and are not sanctioned. An example of this statement is included in the attached policy document.

| Þ | 7 | | |
|------|-----|---|------|
| Supp | ort | S | taff |

Certification Letter.doc

7. Provide a description of any CE/MER fee schedule changes (include a description of any volume medical provider discounts).

The MN DDS did not have any level of discounts to volume providers during the Federal Fiscal 2014. The CE fee schedule was not changed. The following special arrangements were revised:

*Bridge World Language Pay per federal agreement \$50 per hour

Ctr. Inc. 2 hour minimum. Pay full 2 hour fee if cancellation

- less than 24 hours. Will bill mileage if over 30 miles one way. ASL ONLY-\$65 an hour.
- *Gerhardt, Jennifer Speech & Language evaluations: \$200

(Fee includes \$50 home visit compensation)

* MW Interpreting: \$80 an hour, minimum 2 hours, if clmt is No Show or canceled within 48 hours, will bill 2 hour minimum

* Johnson, A. Neil, M.D. \$165 per exam; \$175 for Superior and La Crosse ONLY. \$400 per clinic (expenses); \$200 per day (maximum) clinic fee. WI pays expenses for La Crosse Location, Superior location is alternated between MN and WI.

The following panelists have been added to the list for payment of records review. These are panelists are in remote regions of Minnesota with a high failure rate and transportation issues.

• Brian Stenlund, LP

| | 2014 Special Arrangements.doc | Paid for Records Review.doc | |
|----|------------------------------------|--|------------------------|
| 8. | Upload fee sche | dules to the MPRO SharePoint site. | |
| | 2014 MN DDS FEE I SCHEDULE.xlsx | eeSchedule_2014.do c | |
| | | | |
| Э. | Provide a brief | lescription of DDS professional relations office | ers'/medical relations |
| €. | | lescription of DDS professional relations office es regarding marketing electronic records, exh | |
| 9. | officers' activiti | | ibiting at medical |

Robert Lopno II, LP 1. (b) (6) Burnsville, MN 55337 *Onsite 5/21/14 John F Cooper, PSYD LP 1. 200 E Travelers Trail #225, Burnsville, MN 55337 *Onsite 5/21/14 Roger Olsen PSYD LP 1. 4660 Slater RD #210, Eagan, MN 55122 *Onsite 5/21/14 Cheryl Van Noord, LP 1. Riverview Office Tower #1490, 8009 34th Ave S, Bloomington, MN 55425 *Onsite 5/22/14 Christa Suerken, PSYD LP 1. 600 Twelve Oaks Center Dr. #216, Wayzata, MN 55391 *Onsite 5/28/14 Carolyn Mckay, MD 1. Fairview Children's Clinic, 2535 University Ave SE, MPLS, MN 55414 *Onsite 5/28/14 Robert Zajac, MD 1. (b) (6) , Eden Prairie, MN 55344 *Onsite 5/28/14 Carol Follingstad, PSYD LP 1. 1132 28th Ave S #102, Moorhead, MN 56560 *Onsite 7/9/14 2. 1424 Central Ave NW #104, East Grand Forks, MN 56721 3. **(b) (6)** , Windom, MN 56101 4. (b) (6) Roseau, MN 56751 Owatonna Speech/Language-Speech Therapy 1. 2250 NW 26th St. Owatonna, MN 55060. *Onsite 6/17/14 Mark Anderson, LP 1. Fairmont Clinic Mayo Health, 800 Medical Center Drive, Fairmont, MN 56031 *Onsite 6/18/14 Mark Ludes, MD 1. Worthington Specialty Clinic, 1216 Ryans Rd, Worthington, MN 56187 *Onsite 6/18/14 Rebecca Watson-Miller, PHD LP 1. 2500 W 49th St. #209, Sioux Falls, SD 57105 *Onsite 6/18/14 Larry Green, LP 1. Southwestern Mental Health, 216 E Luverne St. Luverne, MN 56156 2. Southwestern Mental Health, 41385 N HWY 71, Windom, MN 56101 *Onsite 6/19/14 **Cross Culture Interpreting** 1. Family Healthcare Center Fargo, ND 58102 *Onsite 7/8/14 Jay Phillippi, LP 1. 1330 Page Dr. #202A Fargo, ND 58103 *Onsite 7/9/14 Von King, LP 1. Moorhead Public Library, Moorhead, MN 56560 *Onsite 7/9/14 Essentia Health Speech and Language 1. 1702 S University Dr. Fargo, ND 55810 *Onsite 7/9/14

Mark Lysne, PHD LP

1. (b) (6) Fergus Falls, MN 55367 *Onsite 7/10/14 Melissa Winter, PSYD LP

1. 504 3rd Ave E #4, Alexandria, MN 56308 *Onsite 7/10/14 David Slattery, LP

1. (b) (6) Alexandria, MN 56308 *Onsite 7/10/14

Ed Modahl, LP and Sheri Mohoney, LP

1. 324 Broadway St. #206, Alexandria, MN 56308 *Onsite 7/10/14 Lorraine Hoffman, PHD LP

1. Conroy Law Building, 261 E Broadway, Monticello, MN 55362 *Onsite 7/15/14 Rita Wallce-Reed, MD

 Crown Medical Center, 7001 78th Ave N, Brooklyn Park, MN 55445 *Onsite 7/22/14

Ed Yerka, PHD LP

1. 1104 E College Dr. Suite B, Marshall, MN 56258 *Onsite 8/14/14

2. 408 N 1st St. #2, Montevideo, MN 56265

Patrick Carroll, MS LP

 Crossroads Counseling Center, 201 28th Ave SW, Willmar, MN 56201 *Onsite 8/14/14

Louise Ferry, PHD LP, and Patrick Gunter, MS LP

1. Swift County Benson Hosp, 1815 Wisconsin Ave, Benson, MN 56215

2. Willmar office-not use for SSA Evals. *Onsite 8/14/14

June Meyerhoff, PSYD LP

1. (b) (6) Montevideo, MN 56265 *Onsite 8/15/14 David Parker, MD

1. HealthPartners, 2220 Riverside Ave, MPLS, MN 55454 *Onsite 7/21/14 Nancy Peterson, SLP

1. Speech Place, 677 Ann St. NW Suite G, Bemidji, MN 56601 *Onsite 9/10/14 Sanford Bemidji-X Ray and PFT Department

1. Sanford Bemidji Med Center 1300 Anne St. NW, Bemidji, MN 56601 *Onsite 9/10/14

Brian Stenlund, MS LP

1. 21 NE 5th St. #100, Grand Rapids, MN 55744 *Onsite 9/11/14

Current Names and Address of Key Providers

Alford Karayusuf, MD

- 1. Metro Square Bldg., 7th & Robert Sts., St. Paul, MN 55101
- 2. 3100 Lake Pt. Corporate Bldg., #210, MPLS, MN 55404 *onsite: 05/14/14

Donald Wiger, LP & Associates

- 1. 229 Jackson St. #136, Anoka, MN 55303 *onsite visit 7/22/14
- 2. 155 S Wabasha #122, St. Paul, MN 55107
- 3. 155 Commerce St., Wabasha, MN 55981
- 4. 811 Plaza St., Albert Lea, MN 56007

Craig Barron, PsyD., LP

- 1. Our Savior's Housing, 2219 Chicago Ave. S., MPLS., MN 55404 *onsite 7/31/14
- 2. Spruce Tree Center, 1600 University Ave. W. #303, St. Paul, MN
- 3. St. Francis Ctr., 116 8th Ave. SE, Little Falls, MN 56345

Ward Jankus, MD

- University Park Med Bldg, MN Surgical Assoc #270, St. Paul MN 55104 *onsite 7/29/14
- 2. Professional Building 280 N Smith Ave #311, St. Paul, MN 55102

A. Neil Johnson, MD

- 1. District One Hospital 200 State Ave., Faribault, MN 55021
- 2. Now Urgent Care Clinic 1955 W County Rd B2, Roseville, MN
- 3. Brookdale Integrative Health 5740 Brooklyn Blvd , Brooklyn Ctr, MN *onsite 8/26/14
- 4. Cambridge Chiropractic Clinic 137 SW 2nd Ave., Cambridge, MN
- 5. Mariner Medical Clinic, 109 N 28th St. E., Superior, WI 54880
- 6. Bentz Chiropractic Clinic, 1022 S 19th St., LaCrosse, WI 54601

Dustin Warner, PsyD., LP

1. 325 Cedar St. #312, St. Paul, MN 55101 *onsite: 7/29/14 Marlin Trulsen, LP

- 1. 102 S 29th Ave. W #106, Duluth, MN 55806
- 2. Lakeview Psychological Clinic 600 Union St. So., Mora, MN 55051* *onsite: 7/15/14

Lyle Wagner, PhD., LP

1.

- (**b**) (**6**) ., Brainerd, MN 56401
- 2. (b) (6) ., Litchfield, MN 55355
 - *onsite: 7/31/14

Robert Barron, PhD., LP

- 1. (b) (6) ., MPLS, MN 55408
- 2. 3800 American Blvd W. #1500, Bloomington, MN 55431 *Onsite 8/26/14
- 3. Lao Family Community Ctr., St. Paul, MN 55103

James Huber, PHD., LP

- 1. Great River Psych Services 403 4th St. #245. Bemidji, MN 56601
- 2. Red Lake Hospital/BH Clinic, Red Lake, MN 56671 * onsite 9/10/14
- 3. Howard Court 302 E Howard St. Hibbing, MN 55746

Policy: Verifying CE Panelist Licenses and Identifying Sanctions and Exclusions

The Minnesota DDS will verify each quarter that all active and potential consultative exam panelists maintain current licenses in the state of Minnesota and have no federal sanctions or exclusions against them.

PROCEDURE

| <u>WHO</u> | <u>STEP</u> | WHAT ACTION |
|------------|-------------|--|
| MRO | 1 | Ensure licensure verification table is updated with new panelists and accurately reflects current panel. |
| | 2 | When a new panelist is added, contact the appropriate licensing board to determine the date the panelist's license expires. |
| | 3 | Verify that the panelist has no exclusions by accessing the HHS-OIG, LEIE (<u>http://exclusions.oig.hhs.gov/</u>) and entering their first and last name. If a panelist has an exclusion listed, they must be removed from the panel. |
| | 4 | If the panelist has a current license and is without exclusions or sanctions record the name of the consultant, license number, license expiration date, and whether there are pending disciplinary actions. |
| | 5 | Enter the new panelist and license expiration date in the license verification table. |
| | 6 | Each quarter, verify that a new license has been issued for panelists with licenses expiring soon by contacting the appropriate licensing board: Minnesota Board of Medical Practice <u>http://mn.gov/health-licensing-boards/medical-practice/</u> Minnesota Board of Optometry <u>http://mn.gov/health-licensing-boards/optometry/</u> Minnesota Department of Education <u>http://education.state.mn.us/MDE/EdExc/Licen/TeachLicLook/index.html</u> Minnesota Department of Health <u>https://pqc.health.state.mn.us/hopVerify/loginAction.do</u> Minnesota Board of Psychology (requests by mail) <u>http://www.psychologyboard.state.mn.us/</u>2829 University Avenue SE, Suite 320 Minneapolis, MN 55414-3237 |

| | | Phone (612) 617-2230; Fax (612) 617-2240 |
|----------------------|-------------|---|
| | 7 | If the panelist has renewed his/her license, enter the new expiration date in the license verification table. |
| | 8 | If a new license has not been issued, call the panelist to determine if the consultant intends to renew the license. If the answer is yes, verify the license renewal by contacting the appropriate licensing board. Enter updated license expiration date into licensure verification table. |
| | 9 | If unable to verify license renewal prior to the expiration date, the MRO should inform the Assistant Director of Operations and the Assistant Director of Medical Services. |
| ADO & ADMS | 10 | If license renewal has not been verified, suspend use of the consultant immediately. Inform ACE Supervisor to cancel pending exams. |
| MRO | 11 | Follow up with the panelist until verification that the panelist is currently licensed, or until MRO determines the license will not be renewed. |
| | 12 | If renewal is verified, reinstate the consultant on the CE panel, or remove the consultant permanently if the license has not been removed. Inform the AD's of the decision. |
| ASSURING VALID LICEN | ISURE OF ST | AFF ASSISTING VOLUME PROVIDERS. |

| PRO | 1 | Send form VPI (Attached) to all volume providers each year. |
|-----|---|---|
| | 2 | Returned positive responses will be kept on file. |
| | 3 | If the volume provider does not verify valid licensure of staff assisting with the exam, we will contact the volume provider and take steps to assure licenses are valid, or discontinue use of the volume provider/assistant. |

Date:

To:

From: (b) (6) Disability Determination Services

Federal policy requires that our agency verify that any support staff who assist with examinations for Minnesota Disability Determination Services meet the appropriate licensing or certification requirements of the State. This includes medical staff such as x-ray and laboratory technicians, nurses, optometrists, or speech and language pathologists.

For psychological evaluations, a licensed psychologist (license issued by the Board of Psychology in the state where the exam is held) must administer the mental status interview/clinical interview for adults and children. A psychometrist whose competence is verified by the licensed psychologist may administer psychological testing. The licensed psychologist is required to review all psychological test materials for validity and diagnostic purposes, and sign off on the entire report.

The statement below should be reviewed and signed by the office manager, or the medical consultant (physician or psychologist) who performs examinations for our agency. A return envelope is enclosed, or the form can be faxed to my attention. This will be kept on file and renewed each year.

Statement of Agreement

I certify that all support staff used in the performance of examinations for Minnesota Disability Determination Services will meet the appropriate licensing or certification requirements of the State of Minnesota for the year beginning January 1, 2014 and ending December 31, 2014.

Signature: _____

Title: _____

Date: _____

Return to:



(b) (6)

GB/ja/jcd

Date: To: From: **(b) (6)** and **(b) (6)**

Disability Determination Services

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Signature: ______

Title: _____

Date: _____

Return to:

(b) (b)
Medical Relations Coordinators
MN Disability Determination Services
P.O. Box 64709
St. Paul, MN 55164
(b) (6)

Rev. 10/01/2014

CE - SPECIAL ARRANGEMENTS

PROVIDER'S NAME SPECIAL ARRANGEMENT

| Allen, Brian, MD | Mileage, and paid for records (Windom Only) |
|---|--|
| Associated S/L Specialists | \$200 per exam |
| Bridge World Language Ctr. Inc. | Pay per federal agreement \$50 per hour 2 hour minimum. Pay full 2 hour fee if cancellation less than 24 hours. Will bill mileage if over 30 miles one way. ASL ONLY-\$65 an hour. |
| Clarity Interpreting Service | Pay per federal agreement \$50 per hour 2 hour minimum. Pay full 2 hour fee if cancellation less than 24 hours. |
| Follingstad, Carol, LP | Windom, East Grand Forks, Roseau, Red Lake (mileage up to 90 miles) up to \$50 clinic fee. |
| Garden & Associates | 2 hour minimum fee (\$80) for failed appointments |
| Grosser, Steven, M.D. | Pay standard fee of \$225 per exam (Neuro-Opthalmology) |
| HCMC S/L Pathology | \$200 per exam |
| Patricia Hunter, LP | 18 day processing time (no late fee) |
| Von King, LP | Travel time & mileage; \$200 (max.) clinic rent fee: East Grand Forks |
| Gerhardt, Jennifer | Speech & Language evaluations: \$200 (Fee includes \$50 home visit compensation) |
| MN Eye Consultants | New patient exam: \$110 |
| MW Interpreting | \$80 an hour, minimum 2 hours, if clmt is No Show or canceled within 48 hours, will bill 2 hour minimum |
| Orthopaedic & Fracture Clinic, Mankato | \$165 per exam |
| Polinsky Medical Rehab Center (Duluth) | Speech & language consultation - \$260 Occupational / Physical Therapy consultation - \$330 (165/\$165) |
| Project Fine (Winona) | \$55/hour for both travel & interpreting time |
| Sebastian Mangiamele,MD | \$50 records review per exam; Do not reduce (late fee) |

09/12 - SPECIAL.ARR

| Relf Eye Associates (Duluth) | Visual Fields: \$155.64 |
|--|---|
| Rice Memorial Hospita Rice Rehabilitation Cer Wilmar | |
| Wagner, Lyle, Ph.D. | \$200 clinic fee reimbursement; mileage: (Brainerd only) |
| Huber, James, LP | Mileage (Bemidji only and Red Lake); \$75 room rental |
| Wiger, Donald LP | \$50 per hour travel fee plus mileage, \$60/month clinic fee (Albert Lea only, Owatonna, Wabasha) For Glencoe locations \$70 clinic fee. Northfield \$25 room rental, North Branch-\$60 room rental. |

OUT - OF - STATE FEE ARRANGEMENTS

South Dakota

| Children's Care Hospital | For Speech & PT/OT CEs pay S.D. DDS fees (90% of |
|--------------------------|--|
| and School | usual and customary) |

North Dakota

| Internal Med Associates of | Paid ND DDS fee of \$187.89 for CE |
|----------------------------|------------------------------------|
| Fargo | MN fees for lab/ x-ray |

Wisconsin (Do not reduce/apply late fees)

| Jankus, Ward, M.D. (WI & MN) | \$175 per exam, \$50 per hour travel fee plus mileage \$200 per day (maximum) clinic rental fee; no charge for transcription |
|---------------------------------|---|
| Johnson, A. Neil, M.D. | \$165 per exam; \$175 for Superior and La Crosse ONLY. \$400 per clinic (expenses); \$200 per day (maximum) clinic fee. WI pays expenses for La Crosse Location, Superior location is alternated between MN and WI. |
| (WI & MN) | wi pays expenses for La Crosse Location, Superior location is alternated between with and wit. |
| Allen, Brian, DO | \$165/exam;\$200/day clinic fee (max) |
| Hinze, Travis, LP | WI (psych) rates |
| Angle, Rebecca, LP | WI (psych) fee rates |

CE SPECIAL ARRANGEMENTS: PANELISTS PAID FOR MEDICAL RECORDS REVIEW October 2014

| Azam Ansari, MD |
|--|
| Brian Allen |
| Carolyn McKay, MD |
| HealthPartner's Riverside Clinic (all physicians) |
| Brian Bonte, MD |
| Ward Jankus, MD* |
| Von King, LP (Thief River Falls) |
| Sebastian Mangiamele, MD |
| Noran Neurological Clinic (all physicians) |
| Leonard Shelhamer, MD |
| Neil Johnson, MD* |
| Travis Hinze, LP* |
| Rebecca Angle, LP* |
| Nancy Peterson, SLP |
| Lyle Wagner, LP (all locations) |
| Brain Allen, DO* |
| Joni Troup-S/L in home visits |
| Joel Kirchner, LP |
| David Benson, MD |
| Charles Chmielewski |
| Brian Stenlund, MS LP |
| Carol Follingstad-Windom, East Grand Forks, Roseau and Red Lake ONLY |
| Lorraine Hoffman |

Per WI DDS Fee Schedule/Shared Special Arrangement

- Medical Relations staff have been involved in the following activities (FY14):
- Review of Military Casualty cases and follow-up with Veterans medical facilities to expedite receipt of MER.
- Monitored ERE Helpline for questions concerning electronic MER & CE submissions from statewide vendors.
- CE Oversight visits to more than top 10 providers. Visited over 25 additional panelists throughout the state of Minnesota.
- 10/13:Staffing a booth at the 2014 MEA Conference to provide outreach to schools, teachers, and social workers throughout Minnesota.
- 10/13: MN Bar Association regarding SSI disability evaluation criteria and process.
- 4/14: Staffing a booth at the MN Health Information Medical Association annual conference to promote ERE.
- 4/14: Staffing a booth at the MN Psychological Association 2014 Annual Conference to recruit potential psych panelists.
- Provided eCat training & support for State Agency Medical Consultants & staff.
- 6/14: Staffing a booth at the 2014 MN e-Health Conference to promote ERE. This annual conference provides an opportunity for the MN DDS MRO staff to network with ERE providers including the Community Health Information Collaborative (CHIC), the University of MN Hospitals & Clinics, HealthPartners (HMO), and the MN Department of Health.
- 6/14: attended MN Rural BH Conference. This annual conference provides an opportunity for the MN DDS MRO staff to network with ERE providers including the Community Health Information Collaborative (CHIC), the University of MN Hospitals & Clinics, HealthPartners (HMO), and the MN Department of Health.
- 8/14: MRO presentation at Shriner's Hospital regarding DC disability evaluation criteria and process.
- 9/14: Staffing a booth at the 2014 Community Mental Health Conference to recruit potential panelists throughout Minnesota.
- 9/14: Staffing a booth at the 2014 MN Medical Association Conference to recruit potential panelists throughout Minnesota.

- 10/14: MRO presentation at Autism Society regarding SSI disability evaluation criteria and process.
- Interface with statewide MER vendors to coordinate eAuthorization rollout.
- Presenting information re: the MN DDS and the SSA Disability Programs to the Dept. of Employment & Economic Development's Communications Team & Area One Director's Office.
- MRO presentation at MN Dept. of Human Services to SOAR Initiative outreach workers, advocates, and attorneys regarding SSI Disability evaluation criteria & process.
- Participant in homeless initiative stakeholder's meeting involving attorneys, advocates, and program administrators sponsored by the MN Dept. of Employment & Economic Development (DEED).
- Coordinated & scheduled all consultative exams in the MN prison system for the DDS.
- Presented to statewide components of SSA (e.g., ADO, FOs) regarding DDS staffing, workflow, quality, systems, and delivery of services.
- Provided eCat and e827 training & support to in-house medical & examiner staff.
- Created databases to gather, analyze, and evaluate vendor information. Contributed recommendations for improvements to legacy and ERE systems.
- Conducted training session regarding outbound & incoming MER document workflow, troubleshooting, and error queues.
- Organized training presentation to DDS staff by CE Panelist regarding the components of the mental status examination and challenges to the CE provider.
- Provided technical support & training to ERE website medical vendors and consultative exam panelists.

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | Atlanta |
|---|--|
| State DDS: | Mississippi |
| Report Period (Fiscal Year): | 10/01/2013-09/30/2014 |
| Current Date: | November 6, 2014 |
| Reporter's Name, Phone number, and title: | Name <mark>(b) (6)</mark> Phone number <mark>(b) (6)</mark> |
| | Title Medical Relations Office |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

Complaints are handled by the PRO staff. We try to get all complaints in writing. Complaints are reviewed to determine if they are of a serious nature. We investigate by sending a copy of the complaint to the CE provider for his or her written response to the complaint. After the response is received from the CE provider, the PRO will review and decide if further action is needed. If the complaint needs further investigation, a visit will be made to the provider to talk with him or her about the specific complaint. If the complaint has to do with the office appearance or other problems with the office, the PRO will make an onsite visit to inspect the office. If problems are found, recommendations will be made to the CE provider regarding what needs to be done to resolve the problems found. A specific timeframe to correct the problem will be discussed. A follow up onsite visit will be made to verify that the problem was corrected. When complaints of rudeness to the claimant by the doctor or his office staff are received, CE questionnaire comments sheets will be mailed to other claimants that were recently seen by the CE provider or will be seen in the future. In some cases the PRO will call to obtain this information over the phone. We have made unannounced onsite visits to the CE provider's office to observe how the claimants are greeted and to interview claimants after their consultative examination with the CE provider.

2. Attach a list of completed onsite reviews of CE providers.

On site Visits 2014

| Marris Alaxandar, Bhd | Tupolo | MS |
|---|-------------------------|----|
| Morris Alexander, Phd Michael Atkins, MD | Tupelo, Hattiesburg, | MS |
| Seema Badve, MD | Escatawpa, | MS |
| Rainna Bahadur, MD | Biloxi, | MS |
| Biloxi Regional Hospital | Biloxi, | MS |
| Jan Boggs, PhD | Meridian, | MS |
| Robert Cobb, MD | Ocean Springs | MS |
| Todd Coulter, MD | Ocean Springs | MS |
| Martha Dillio, PhD | Hattiesburg, | MS |
| Victor Dillio, PhD | Hattiesburg, | MS |
| F. J. Eicke, PhD | Ocean Springs, | MS |
| Forest General Hospital | Hattiesburg, | MS |
| Charles Gammel, PhD | Jackson, | MS |
| Carolyn Gerald, MD | Hattiesburg, | MS |
| Joel Knight, MD | Biloxi, | MS |
| Phillip Marler | Carthage, | MS |
| Stephen Massey, MD | Hattiesburg, | MS |
| Donald Matherne, PhD | Biloxi, | MS |
| Kathryn Mccullough, OD | Tupelo, | MS |
| Terry Millette, PhD | Pascagoula, | MS |
| Joe Edward Morris, PhD | Tupelo, | MS |
| John Petro, MD | Hattiesburg, | MS |
| Robert Shearin, MD | Corinth, | MS |
| Robert Shearin, MD | Carthage, | MS |
| Obiad Siddiqui, MD | Biloxi, | MS |
| Singing River Hosptial | Pascagoula, | MS |
| Kenneth Starkey, PhD | Hattiesburg, | MS |
| Brian Thomas, PhD | Tupelo | MS |
| Jim Adams, MD | Grenada | MS |
| Express Care West | Belden | MS |
| Greenwood-Leflore Hospital | Greenwood | MS |
| Byron Jeffcoat, MD | McComb | MS |
| MDSI Physicians Group, Inc. | Belden | MS |
| Med Plus Disability Evaluations | Charleston | MS |
| Theodore Okechuku, MD | Jackson | MS |
| Michael Whelan, PhD | Eupora | MS |
| | | |

The total number onsite reviews completed on CE providers: 36

| 3. | Attach a current list of names and addresses of key providers. For decentralized DDS |
|-----|---|
| | locations, the list should be prepared and submitted for each branch. |
| Co | lumbia Psychological Services – Victor D'ilio, Ph.D. and Martha D'ilio, Ph.D., P.O. Box 1084, Petal, MS 39465 (OVER \$150,000). |
| 2. | Med Plus Disability Evaluation – Comprehensive Medical Exams – 14 doctors – P.O. Box 200399, Cartersville, GA 30120 (OVER \$150,000) – 5 locations. |
| 3. | Michael Whelan, Ph.D. – Psychological Provider – (b) (6), Greenwood, MS 38930 – (OVER \$150,000) – 3 locations. |
| 4. | Criss W. Lott, Ph.D – Psychological Privider – (b) (6), Ridgeland, MS 39157 – (OVER \$150,000) |
| 5. | Tri-State Occupational Med Inc. – Comprehensive Medical Exams - 1 doctor – 612 6th Avenue, Huntington, WV 25701 (OVER \$150,000) 3 locations. |
| 6. | Jan Boggs, Ph.D. – Psychological Provider - P.O. Box 4093, Meridian, MS 39304 (OVER \$150,000). |
| 7. | Theodore E. Okechuku, MD – Comprehensive Medical Exams – (b) (6), Jackson, MS 39216 (OVER \$150,000) |
| 8. | Cleveland Johnson, MD – Orthopedic Exams – Laurel Bone and Joint Clinic – 424 S. 13 th Avenue, Laurel, MS 39442 (primarily does CE's). |
| 9. | Byron Jeffcoat, MD – Orthopedic Exams – Orthopedic Clinics of SW Mississippi - P.O. 2074, McComb, MS 39649 (primarily does CE's). |
| 10. | James Adams, MD – Comprehensive Medical Exams – (b) (6), Cleveland, MS 38732 – 5 locations (primarily does CE's) |
| | |
| | |
| 4. | Provide the total number of CE providers on the panel. |
| | 301 |

•

5. Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs.

The PRO requests a copy of the physician's and psychologist's license at initial contracting. Each year prior to June expiration, the PRO sends a letter to each CE source requesting that a copy of their current license be faxed or mailed to the PRO by a certain deadline. After the deadline, the PRO checks to assure that all licenses have been received. If not, a phone contact is made. Afterwards if we do not get the updated license, the PRO initiates a search of the website of the appropriate licensing board (i.e. the MS Board of Medical Licensure at http://www.msbml.state.ms.us/ or the MS Board of Psychology at http://www.psychologyboard.state.ms.us/msbp/web.nsf); or the MS Department of Health at https://apps.msdh.ms.gov/licreviews/index.aspx for speech language pathologists). In addition, the PRO verifies with the MS Department of Health that hospitals and health care facilities where ancillary tests and studies are performed are currently licensed by monitoring the directory at http://msdh.ms.gov/msdhsite/ static/resources/4662.pdf. If the professional's name is not listed, the CE source is removed from the panel. The PRO receives monthly notification from the Mississippi State Board of Medical Licensure when physicians are sanctioned. When these are received, the PRO checks them. If it's a CE source, the person would be removed from the CE panel. We also check and use the OIG Exclusions site - <u>http://exclusions.oig.hhs.gov</u> to verify that a physician has no exclusions or restrictions on their licenses.

6. Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation.

The physicians/psychologists have standards set by the State of Mississippi that have to be followed. A signed License/Credentials Certification letter was obtained and is in the files of already established CE sources. Any new CE sources recruited are asked to review and sign the License/Credentials Certification letter. Attached is a copy of the certification letter that was to be signed by the doctor or person who oversees licensing/credentialing in the doctor's office or facility:

DATE:

DDS CE Oversight Report

Name: Address:

Consultative Examination (CE) Provider Certification of Support Staff

I hereby certify that:

- I am not currently excluded, suspended, or otherwise barred from participation in the Medicare or Medicaid programs or any other Federal or federally assisted programs.
- I certify that the support staff I use who participate in the conduct of consultative examinations, and any third parties who conduct other studies purchased by the Disability Determination Services (DDS) meet all appropriate licensing or certification requirements of the State, as required by the Social Security Administration's (SSA) regulations (20 C.F.R. 404.1519g, 416.919g) and are not currently excluded, suspended, or otherwise barred from participation in the Medicare or Medicaid programs, or any other Federal or federally assisted programs, as required by SSA's regulations (20 C.F.R. 404.1503a, 416.903a).
- My license is current and active and has not been revoked or suspended by any State licensing authority for reasons bearing on professional competence, professional conduct, or financial integrity.
- I have not surrendered my license while awaiting final determination on formal disciplinary proceedings involving professional conduct.
- I understand that a credentials check will be made upon my initial agreement to perform services and periodically thereafter by the DDS.
- I will immediately notify the DDS if there is any pending disciplinary action against my license. Failure to do so could result in termination of an agreement to perform services and/or legal action.

I certify that, to the best of my knowledge and belief, all of the information on this form is correct. I understand that I will not be considered for an agreement to provide services if I am unable to certify to the above and that false certification will be grounds for termination of any resulting agreement to provide services.

Signature _____ Date _____

7. Provide a description of any CE/MER fee schedule changes (include a description of any volume medical provider discounts).

DDS CE Oversight Report

Mississippi DDS added the SP02 Pulse Oximetry (CPT 94760) and the SP02 Pulse Oximetry (resting and exercise) (CPT 94761)

There were no MER fee schedule changes.

8. Upload fee schedules to the MPRO SharePoint site.

9. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc.

Activities Regarding Electronic Medical Evidence:

The Mississippi DDS continues to promote the Electronic Transfer of Records by making personal contacts/phone calls to large hospitals, clinics, doctor's offices and key providers showing interest in transferring records electronically. The state is divided into two sections and the PRO's concentrated on ERE in their areas when making onsite visits or phone contacts. We provided each vendor with information on the electronic transfer of records at these visits. We feel these personal contacts are responsible for the consistent increase in our ERE volume. We are currently at 96% MER and 99% CE's.

We continued to focus on the ERE Outbound Website in 2014. We currently have 71 CE/MER vendors utilizing the ERE outbound process. The ERE Outbound process decreased the vendor's paper costs and mailing costs while significantly decreasing the DDS processing time for this vendor.

We have 115 ERE website individual users (106 users are medical providers and 9 are DDS employees). The doctors prefer this method of transfer due to the efficiency of the website. We have set up all ERE Website vendors with secure messaging which makes communication easier and more efficient.

Our Mississippi PRO MIS Liaison assists the doctors and medical facilities with understanding the electronic transfer of records through the SSA ERE Website. He provides training, addresses any questions that arise and answers any systems related questions they may have regarding the website before, during and after training. In order to retain providers using ERE, our PRO MIS Liaison takes their concerns/suggestions and refers the concerns and suggestions to the Atlanta Regional Office for further consideration. Ideas are exchanged in team meetings via the Regional PRO/MRO Workgroup. Action items and changes are posted on SharePoint.

We receive MER via CD from various MER providers across the state and the PRO MIS Liaison uploads this to MER to Eview via the ERE website. As MER providers transition to an electronic environment, this process is becoming much more prevalent.

We continue to add vendors to our Outbound Fax Initiative. There is too much volume for larger

providers and schools, but this is an excellent option for small providers.

We attended several medical and health conferences where we had exhibits over the past year. Our main focus at these conferences was to promote the ERE process and to recruit doctors to perform examinations for the SSA Disability Program. We were able to meet face to face with many medical sources to promote ERE but also to address any concerns or questions. We distributed ERE brochures, recruitment information and other materials providing information about programs we offer.

We have promoted ERE with telephone calls to many medical sources/facilities throughout the state. We continue to have contact with the Social Security Field Offices throughout the state about ERE activities that we need to pursue in their areas.

The PRO's and our MIS Liaison have been involved with systems problems by fielding questions from internal DDS employees as well as providers about various systems issues. Through trouble shooting assistance, they have worked to solve these issues.

As we work with schedules of consultative examinations, we identify geographic areas deficient in the number of CE providers. When we see that CE dates are one to two months in the future and we receive feedback from the CE Scheduling Supervisor and the DDS Staff that additional CE sources are needed in specific areas of the state, we enlist the help of current providers who are willing to travel to different towns to set up sites where needed.

We began Video Comprehensive Mental Status Exams on February 16, 2012 through Video Service Delivery (VSD) from the Mississippi DDS. Our CE doctor conducted the first video CEs with claimants at the Gulfport Social Security Office. We continue to try and recruit other CE doctors in the Jackson area to conduct video exams, and we now have two. In addition, our two psychologists are conducting CEs from not only the DDS office, but also from the Jackson Field Office. The sites at which the claimants present for the exams are Gulfport, Tupelo and Greenwood field offices. With the assistance of our PRO MIS Liaison, we were able to set up a process where field offices can access in-office appointments electronically through Outlook calendars. We scheduled 57 appointments for video exams the past fiscal year, 33 of which were kept.

MRO spoke with the third-year Family Medicine residents at the University of Mississippi Medical Center regarding the SSA Disability program. This presentation helps the University Medical Center Residents understand our program and also helps with ongoing communications with the University of MS Medical Center.

The PRO Unit works closely with the Mississippi Cooperative Disability Investigations (CDI) Unit in efforts to prevent fraud in the Social Security Disability programs. The CE providers are encouraged to report any observations/issues in a consultative examination that may warrant an investigation by our CDI Unit.

| | MRO CONFERENCES/EXHIBITS (2014) |
|---|---|
| | <u>MS Nurses Association</u> October 22 – October 25, 2013 MS Coast Coliseum Biloxi MS <u>MS Dept of Rehab Service / MS Dept of Education OSE</u> October 23, 2013- October |
| | 25, 2013 Pearl, MS <u>MS Dept of Ed</u> February 13 – February 14, 2014- Jackson Convention Complex- |
| | Jackson, MS |
| | <u>Children with Disabilities in the Oxford School District Presentation</u> – February 18, 2014 – Oxford, MS |
| • | <u>Transition Fair Presentation</u> – Madison Shannon Palmer High School – March 25, 2014 - Marks, MS |
| • | <u>MS HIMA Conference</u> June 18 – June 20 2014 IP Resort Biloxi MS |
| • | <u>Medical Group Management</u> Association June 25 – June 27 th 2014 Biloxi MS |
| • | <u>RAM Conference</u> August 27 th – August 29 th , 2014 Jackson MS |
| • | <u>MPHA Health Conference and Annual Meeting</u> September 3 rd – 4 th 2014 Hilton Hote Jackson MS |
| • | <u>MS Psychological Association</u> September 10 – September 12 2014 Marriot Courtyard Hotel Gulfport MS |
| | |
| | |
| | |

Please attach any additional information before submitting this form.

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | Kansas City |
|---|---|
| State DDS: | Missouri |
| Report Period (Fiscal Year): | 2014 |
| Current Date: | October 31, 2014 |
| Reporter's Name, Phone number, and title: | (b) (6) Director of Medical Services |
| | |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

The Missouri DDS has five Professional Relations Officers. There is one PRO in each of the district offices. Each office's PRO handles complaints for providers in their territory. The claimant usually discusses the complaint with DDS staff to clarify the nature and extent of the complaint. Claimants may be asked to submit the complaint in writing. Depending on the complaint and the CE vendor's history, the doctor is often contacted and given a chance to respond to the complaint through phone contact or in writing. Depending on the nature of the complaint, survey letters may be sent to claimants who have appointments with the provider. Results from surveys may be provided to the doctor. Discussion and education with the doctor may be necessary. Depending on the nature of the complaint and the results of the surveys, additional actions may be taken: reviews of exams, additional monitoring of exams or even dismissal from the CE panel. Documentation of the complaint, actions and resolution is placed in the CE vendor's file.

| | Attach a list of completed onsite reviews of CE providers. | | | | |
|----------|---|--|--|--|--|
| | This is an inclusive list for all of our onsite reviews, which also indicates our Key Providers: | | | | |
| | | | | | |
| | 2004 Omsile | | | | |
| | Rezürma Negy Pl | | | | |
| 3. | Attach a current list of names and addresses of key providers. For decentralized DDS | | | | |
| | locations, the list should be prepared and submitted for each branch. | | | | |
| | This is an inclusive list for all of our onsite reviews, which also indicates our Key Providers: | | | | |
| | | | | | |
| | 2014 Chrysting | | | | |
| | Remārana Negy Pil | | | | |
| . | Provide the total number of CE providers on the panel. | | | | |
| | We utilized 349 CE vendors located in Missouri. | | | | |
| 5. | Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs. | | | | |
| | When recruiting a new CE provider, the PROs check the national OIG HHS website | | | | |
| | (http://exclusions.oig.hhs.gov/) and the Missouri Division of Professional Registration's website (http://pr.mo.gov/) to ensure the provider's license is current and not sanctioned nationally or in the state. If currently licensed and not sanctioned, the provider signs a statement indicating he/she is properly licensed and not sanctioned. In addition, the | | | | |
| | statement states that any technical medical staff participating in an exam for him/her is properly licensed, certified and trained for the position and is not sanctioned. This statement is kept on file in a central electronic file. | | | | |
| | statement states that any technical medical staff participating in an exam for him/her is properly licensed, certified and trained for the position and is not sanctioned. This | | | | |
| | statement states that any technical medical staff participating in an exam for him/her is properly licensed, certified and trained for the position and is not sanctioned. This statement is kept on file in a central electronic file. All CE providers place their license number and expiration date on each CE report submitted | | | | |

The PROs verify all CE vendors' status (this includes SLP's, nurse practitioners, psychologists, etc.) with the state web site once a year starting in February. Nurse practitioners are verified in April, and optometrists are verified in October. License verification date and expiration date are monitored and recorded for each license verification. When verifying the licenses, the PROs obtain an updated signature on the source agreements if the one on file is five years old or older.

Although not CE providers, the PROs check the license status of their office MCs once a year on the Missouri Division of Professional Registration's website (http://pr.mo.gov/).

A status verification is also conducted with the Kansas PRO for Kansas CE vendors that the Missouri DDS schedules on our Kansas cases.

6. Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation.

When recruiting a new CE provider, the PROs check the national OIG HHS website (http://exclusions.oig.hhs.gov/) and the Missouri Division of Professional Registration's website (http://pr.mo.gov/) to ensure the provider's license is current and not sanctioned nationally or in the state. If currently licensed and not sanctioned, the provider signs a statement indicating he/she is properly licensed and not sanctioned. In addition, the statement states that any technical medical staff participating in an exam for him/her is properly licensed, certified and trained for the position and is not sanctioned. This statement is kept on file in a central electronic file.

When PRO's are performing annual license verifications, they obtain an updated signature on the source agreements if the one on file is five years old or older.

7. Provide a description of any CE/MER fee schedule changes (include a description of any volume medical provider discounts).

For CEs, Missouri uses the Relative Value Units for Physicians with a geographical index adjustment. Lab fees are set based on the "Physicians Coding Guide" units with a conversion amount. Psychological fees are based on time unit studies/surveys and recommendations.

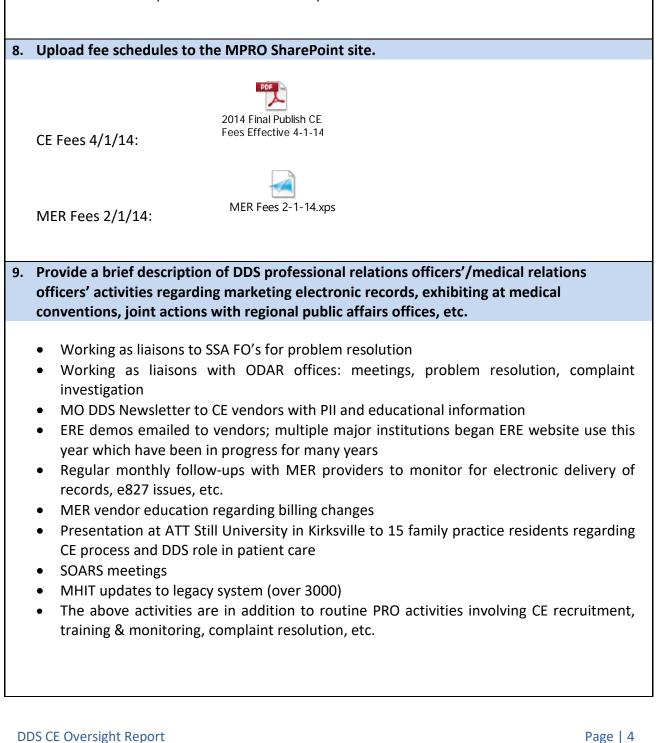
We use the CE fees established by our parent agency (Vocational Rehabilitation) or establish fees based on their policies.

On 4/1/14, our CE fee schedule was updated according to the Medicare fee schedule that was in effect as of January 1, 2014.

MER fees are set by state law and are increased or decreased based on the annual percentage change in unadjusted, U.S. city average, annual average inflation rate of the medical care component of the Consumer Price Index for all urban consumers.

On 2/1/14, the paper MER fee increased to \$23.38 copy fee and \$0.54 per page. Electronic records MER fees also increased to \$23.38 copy fee and \$0.54 per page with a \$102.46 maximum.

Missouri does not provide volume medical provider discounts.



Please attach any additional information before submitting this form.

With Missouri being a DCPS beta site, we have had ongoing involvement with set-up and implementation for DCPS. This has entailed extensive time participating in training and meetings as well as initiating database improvements to MIDAS so full functionality can be obtained with DCPS.

| BILLED AMT | ORGANIZATION NAME | LAST NAME | ADDRESS | DDS OFFIC | Œ | DATE | *KEY PROVIDER |
|--------------|--|---------------------------------------|--|------------------------|-----------|-----------|---------------|
| \$215,169.38 | TRI-STATE OCCUPATIONAL MEDICIN | BURCHETT | 160 West Mount Drive, Farmington, MO 63640 | Cape Girardeau | S83 | 7/17/2014 | YES |
| \$97,132.32 | WESTWOOD MEDICAL CLINIC INC | KIM | 1919 Big Bend Road, Poplar Bluff, MO 63901 | Cape Girardeau | S83 | 7/16/2014 | |
| \$65,667.02 | MINERAL AREA REGIONAL MED CTR | | 1212 Weber Road, Farmington, MO 63640 | Cape Girardeau | S83 | 7/17/2014 | |
| \$51,970.47 | | WALKER | 400 N Washington Suite 130E, Liberty Office Park, Farmington, MO 63640 | Cape Girardeau | S83 | 7/17/2014 | YES |
| \$50,588.18 | SOUTHEAST MO HOSPITAL PHYS LLC | KARSNER | 2126 Independence, Cape Girardeau, MO 63701 | Cape Girardeau | S83 | 7/17/2014 | |
| \$29,189.27 | | ROSENBOOM | 1901 North Westwood, Suite 6, Poplar Bluff, MO 63901 | Cape Girardeau | S83 | 7/18/2014 | YES |
| \$23,795.51 | | CROSS | 400 North Washington, Suite 130G, Farmington, MO 63640 | Cape Girardeau | S83 | 7/16/2014 | YES |
| | | | | | | | |
| | | | | | | | |
| \$160,506.83 | | VELEZ | (b) (6) Columbia, MO 65203 | Jefferson City | S80 | 5/1/2014 | YES |
| \$153,668.22 | ASSOCIATED BEHAVIORAL CONSULT | SPENCER | 2625 Fairway Drive, Ste E, Fulton, MO 65251 | Jefferson City | S80 | 6/11/2014 | YES |
| \$28,747.07 | | SCHULTZ | (b) (6) , JeffersonCity, MO 65109 | Jefferson City | S80 | 5/14/2014 | YES |
| \$21,445.09 | | LUCIO | (b) (6) , Jefferson City, MO 65109 | Jefferson City | S80 | 5/12/2014 | |
| \$21,318.50 | | SCHMITZ | (b) (6) ., Columbia, MO 65201 | Jefferson City | S80 | 7/29/2014 | |
| | | | | | | | |
| | | | | | | | |
| \$414,628.48 | MIDWEST CES | | 6301 Rockhill Rd, Suite 106, Kansas City, MO 64131 | Kansas City | S82 | 6/27/2014 | YES |
| \$92,278.93 | | ISRAEL | 751 E 63rd St, Suite 108, Kansas City, MO 64110 | Kansas City | S82 | 6/6/2014 | YES |
| \$72,430.05 | | KEOUGH | 4011 Blue Ridge Cutoff, Four Points Sheraton, Home Plate Conf Room, Kansas City, MO 64133 | Kansas City | S82 | 6/16/2014 | YES |
| \$51,470.66 | CENTRAL MO PSYCHOLOGICAL CTR | MCDANIEL | 4010 Washington St, Suite 525, Kansas City, MO 64111 | Kansas City | S82 | 6/16/2014 | |
| \$47,801.24 | | SCHWARTZ | 4240 Blue Ridge Towers, Suite 217, Kansas City MO 64133 | Kansas City | S82 | 6/2/2014 | YES |
| \$35,479.40 | | EPPERSON | 105 Stewart Ct, 2nd Floor Conf Room, BMO Bank, Liberty, MO 64068 | Kansas City | S82 | 6/12/2014 | YES |
| \$19,598.35 | | LIEBERMAN | 2300 Main Street, 2 Pershing Square, Suite 900, Kansas City, MO 64108 | Kansas City | S82 | 6/13/2014 | YES |
| | | | | | | | |
| | | | | | | | |
| \$155,913.28 | EXAM PRO LLC | | PO Box 14196, Springfield, MO 65814 | Springfield | S84 | 5/14/2014 | YES |
| \$60,048.52 | | LUTZ | 1320 E Kingsley Suite A, Springfield, MO 65804 | Springfield | S84 | 8/15/2014 | |
| \$42,064.61 | | FREDERICK | (b) (6) Lebanon, MO 65536 | Springfield | S84 | 8/8/2014 | YES |
| \$37,357.71 | | ANDERSON | 2200 E Sunshine St, Suite 338, Springfield, MO 65804 | Springfield | S84 | 1/21/2014 | YES |
| \$29,724.25 | | KAN | (b) (6) , Springfield, MO 65807 | Springfield | S84 | 9/2/2014 | YES |
| \$27,891.77 | | ASH | (b) (6) I, Springfield, MO 65807 | Springfield | S84 | 5/12/2014 | YES |
| | | | | | | | |
| | | | | - | | | |
| \$925,682.45 | MEDEX - FOREST PARK MEDICAL CLINIC | | 1034 S Brentwood Blvd, Suite 1250, St Louis, MO 63117 | St Louis | S81 | 8/12/2014 | YES |
| \$148,642.66 | | REXROAT | (b) (6) St Louis, MO 63103 | St Louis | S81 | 8/5/2014 | YES |
| \$55,376.01 | ST LOUIS PSYCHOLOGICAL SERV | BURNER | 950 Francis Place, Suite 213, Clayton, MO 63105 | St Louis | S81 | 8/25/2014 | |
| \$37,835.75 | WEST PARK MEDICAL CLINIC | LEUNG | 950 Francis Place, Suite 213, Clayton, MO 63105 | St Louis | S81 | 8/25/2014 | |
| \$36,862.08 | MICHAEL T ARMOUR PHD LLC | ARMOR | Parkway Tower Building, 225 S Meramec, Suite 432T, Clayton, MO 63105 | St Louis | S81 | 8/12/2014 | YES |
| \$28,246.04 | CITY SPEECH INC | LINDER | 141 N Meramec Ave, Suite 110A, Clayton, MO 63105 | St Louis | S81 | 8/6/2014 | 1/50 |
| \$23,340.47 | | LIPSITZ | Family Medical Group, 201 First Executive Ave, St Peters, MO 63376 | St Louis | S81 | 2/7/2014 | YES |
| \$17,519.35 | PSYCHOLOGICAL CONSULTANTS | MONOLO | 11132 South Towne Square, Suite 100, St Louis, MO 63123 | St Louis | S81 | 2/19/2014 | YES |
| \$16,242.60 | PROCE LLC | | Vo Medical Clinic, 486 N Church St, Sullivan, MO 63080 | St Louis | S81 | 2/21/2014 | YES |
| | | *DI 20545 100 Koursel | Valuena Duavidana | | | | |
| | *DI 39545.100 Key and Volume Providers http://policynet.ba.ssa.gov/poms.nsf/lnx/0439545100 | | | | - | | |
| | | | | + | | | |
| | | R 1 Kou providor | | | | | |
| | | B.1. Key provider | te at least one of the following conditions: | | | | |
| | A CE provider who meets at least one of the following conditions: • an estimated annual (fiscal year) billing to the Social Security disability programs of at least \$150,000; or | | | | | | |
| | end estimated annual (iscar year) bining to the social security disability programs of at least \$10,000, of end estimated annual (iscar year) bining to the social security disability programs of at least \$10,000, of end estimated annual (iscar year) bining to the social security disability programs of at least \$10,000, of | | | | | | |
| | | | ne, osteopatny or psychology is primarily directed towards evaluation examinations rather than the trea e criteria in bullets 1 and 2 of this list, but is one of the top five CE providers in the State by dollar volume | | | + | |
| | | ubes not meet the | e criteria in bullets 1 and 2 of this list, but is one of the top live CE providers in the state by dollar volume | , as evidenced by pric | л уеаг ба | ια. | |

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | Denver |
|---|---|
| State DDS: | Montana |
| Report Period (Fiscal Year): | 2014 |
| Current Date: | 21/26/2014 |
| Reporter's Name, Phone number, and title: | Name <mark>(b) (6)</mark> Phone number <mark>(b) (6)</mark> |
| | Title Professional Relations Officer/CE Supervisor |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

All complaints, verbal or in writing, are brought to the attention of the MPRO, Adjudication Operations Section Chief, or DDS Bureau Chief as appropriate. There are no State-mandated protocols for dealing with complaints. All complaints are monitored and filed by the MPRO.

Less serious complaints are most common. Most of our complaints come from claimant phone calls, which are documented on the case and placed in a complaint file. We request the caller submit his/her complaint in writing if s/he would like us to further investigate. If the caller does not submit a written response, we take no further action. If we receive a frequent number of verbal complaints pertaining to one specific CE provider or facility, we will bring this to the provider's attention and request a response. We may put this provider on a probationary status and review the next five (5) CEs they perform. If we see improvement the probation will end, otherwise we may terminate the provider from our list.

More serious complaints are less common, which include those involving allegations of questionable conduct. We ask for any complaint of more serious nature to be put in writing. We place the written complaint in the DDS complaint file and the disability applicant's case file. We send the claimant a letter of acknowledgement that we received their complaint. We discuss the complaint with the CE provider over the phone or in person. We also request a formal response from the CE provider in writing. We place the provider responses in the DDS complaint file.

Depending on the severity of the complaint, we may send a formal reply to the claimant and include a copy of the CE provider's response describing the action(s) taken.

Depending on the frequency or severity of complaints, we may send a survey to the next five (5) claimants receiving a CE from that particular provider or facility. We may also perform an additional on-site review. If the complaints are valid, repetitious, or cumulative we may discontinue using the provider for consultative exams.

For FY2014, we received a total of 7 verbal complaints and 2 written complaints out of over 3327 scheduled consultative examinations. There were no serious complaints.

2. Attach a list of completed onsite reviews of CE providers.

MRPO performed 23 onsite evaluations in FY2014. Providers are listed in order they were visited. Several providers do CEs in multiple locations across the state:

David Mahoney PhD-(b) (6) , Whitefish Occupational Health & Wellness- 205 Sunnyview Way, Kalispell Glacier Eye Clinic- 175 Timberwolf Pkwy, Kalispell James Crichton MD- (b) (6) Dr, Helena Tristan Sophia PsyD- PO Box 736, Absarokee William Dee Woolston PhD- 1211 Grand Ave #5, Billings The Eye Clinic- 2475 Village Ln, Billings Kautz Optometry- 805 24th St West Ste 9, Billings Missouri River Health Care (CE location)- 601 1st Avenue North, Great Falls Treasure State Eye Care- 523 9th St South, Great Falls Northern MT Vision Center- 120 13th St, Havre Bullhook Health Center- 521 4th Street, Havre Northern MT Medical Group- 20 West 13th Street, Havre Northern MT Behavioral Health- 20 West 13th Street, Havre Paul Donaldson MD- (b) (6), Helena CPG Mtn View Family Medicine- 2835 Ft Missoula Rd Ste 101, Missoula Patricia Webber PhD- 1001 SW Higgins Ste 207, Missoula Clancy Cone MD- 2825 Ft Missoula Rd Ste 234, Missoula Child Development Ctr- T-214 Fort Missoula, Missoula Heather Maddox MD, c/o Redlink- 2409 Dearborn Ave Ste 1, Missoula Theresa Reed PhD- 119 W Front St #309, Missoula Susan Day PhD- 119 W Front St #309, Missoula Mark Mozer PhD-(b) (6) , Helena 3. Attach a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch. Key providers by volume are listed in order of highest to lowest number of exams:

Mark Mozer PhD 111 N Last Chance Gulch

DDS CE Oversight Report

Arcade Bldg Suite 4G Helena, MT 59601

James Crichton MD

(b) (6)

Helena, MT 59601

Occupational Health and Wellness 205 Sunnyview Lane Kalispell, MT 59901

Patricia Webber PhD 1001 SW Higgins Ste 207 Missoula, MT 59801

David Healow MD c/o Redlink Inc PO Box 901694 Sandy, UT 84090

David Mahoney PhD Riverside Plaza 100 East Second St Whitefish, MT 59937

Tristan Sophia PsyD PO Box 736 Absarokee, MT 59001

4. Provide the total number of CE providers on the panel.

The MT DDS has 243 active CE providers and exam locations on our CE panel.

5. Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs.

The MPRO verifies any new provider's licenses through the State of Montana online database. Providers and facilities are also checked on the OIG exclusion site to ensure there are no sanctions in place. Mid-levels such as nurse practitioners and physician assistants are also included in the credentialing process. All new CE providers must sign an agreement form acknowledging their license is free of sanctions. We recheck licenses and OIG status for all CE providers and facilities at least annually. When licenses are not renewed by the expiration date, we notify the provider and allow a 30-day grace period for renewal. During the grace period the provider is kept on the CE panel, but no CEs scheduled until licensure is current. We also recheck credentials and OIG status when we receive any written complaint.

6. Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation.

All new CE providers must sign an agreement form acknowledging their support personnel are properly licensed and are free of sanctions. The MPRO verifies CE providers are in compliance during periodic and annual onsite evaluations.

7. Provide a description of any CE/MER fee schedule changes (include a description of any volume medical provider discounts).

Rates of payment are in accordance with the CFR regulations. The Montana DDS adopted the State of Montana, Department of Labor, workers' compensation fee schedule. Rates for FY2014 slightly increased for Speech and Language exams in an effort to remain competitive and retain current providers. Most ancillary study fees saw a slight decrease. While overall number of CEs decreased, the average cost per case rose very slightly.

8. Upload fee schedules to the MPRO SharePoint site.

See the FY2014 fee schedule on the MPRO SharePoint site.

9. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc.

The MPRO made over 35 visits to hospitals, clinics, mental health centers, Native American tribes, and CE providers during FY2014. Visits were made for onsite reviews, recruitment, and promotion of Electronic Records Express (ERE). DDS Medical Consultants also networked with providers in their specialties to assist with CE recruitment. We recruited 20 new providers or exam locations during FY2014. We lost 21 providers due to retention or those performing only one-time exams for their own patients. The MRPO works with CE providers to reduce their report processing time, with FY2014 seeing a continued decrease down to an average of 9.42 days.

The MPRO and DDS continue to promote the use of outbound fax, eOR, and ERE. This translates into savings on employee work time, machine operating costs, office supplies, and postage.

Please attach any additional information before submitting this form.

We continue to have difficulty recruiting and retaining cardiology and neurology specialists. There are an insufficient number of ENT/OTO providers in the state to provide adequate CE services, which we accommodate by using general practitioners when necessary.

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | Kansas City | |
|---|---|--|
| State DDS: | Nebraska | |
| Report Period (Fiscal Year): | October 1, 2013 – September 30, 2014 | |
| Current Date: | October 6, 2014 | |
| Reporter's Name, Phone number, and title: | Name (b) (6) Phone number (b) (6) | |
| | Title Previously Medical Services Officer/Currently Disability Hearings Officer | |
| | | |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

When there is a complaint we ask the complainant put it in writing so that it may be addressed by the provider exactly in the wording of the complainant. The situation is looked at by the medical services officer and dealt with accordingly.

There were several complaints that are kept with the permanent files of 2014 if anyone wishes to review.

2. Attach a list of completed onsite reviews of CE providers.

There was a change in MRO's this year visits occurred 9/16/14 and 9/30/14—Visits occurred at Midtown Medical, Consultants in Disability, Community Action Program, Clarkson Family Medicine, and Lincoln Family Practice.

3. Attach a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch.



See attached.

4. Provide the total number of CE providers on the panel. 654-----Some vendors have counted several times since they travel, and hospitals are counted as well. 5. Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs. 6. Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or

We send a form providers must sign that says they ensure their support staff are properly licensed and credentialed.

7. Provide a description of any CE/MER fee schedule changes (include a description of any volume medical provider discounts).

Only change within the last year was to the fee for the speech language fee. It used to be 110.00 for evaluation and then each separate expressive or receptive language test was charged separately. Now a flat fee of 175.00 it paid. Approved by RO (Eric Ryan) and CO (Rusty ?).

Copy of Fee

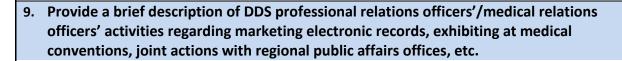
exceptions 2014.xls

8. Upload fee schedules to the MPRO SharePoint site.



See attached.

regulation.



Ongoing relations with Children's Hospital, Great Plains Regional Medical Center, and Regional West Medical Center were maintain so that Nebraska was able to have a cap of

DDS CE Oversight Report

100.00 for its MER to sustain a reasonable rate of payment for MER. Due to funding issues there was no national PRO meetings or funding for conventions or travel.

Please attach any additional information before submitting this form.

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | Kansas City | |
|---|---|--|
| State DDS: | Nebraska | |
| Report Period (Fiscal Year): | October 1, 2013 – September 30, 2014 | |
| Current Date: | October 6, 2014 | |
| Reporter's Name, Phone number, and title: | Name (b) (6) Phone number (b) (6) | |
| | Title Previously Medical Services Officer/Currently Disability Hearings Officer | |
| | | |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

When there is a complaint we ask the complainant put it in writing so that it may be addressed by the provider exactly in the wording of the complainant. The situation is looked at by the medical services officer and dealt with accordingly.

There were several complaints that are kept with the permanent files of 2014. Please see the attached addendum for a list of complaints and resolutions.



2. Attach a list of completed onsite reviews of CE providers.

There was a change in MRO's this year visits occurred 9/16/14 and 9/30/14—Visits occurred at Midtown Medical, Consultants in Disability, Community Action Program, Clarkson Family Medicine, and Lincoln Family Practice.

3. Attach a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch.



See attached.

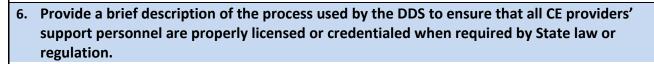
4. Provide the total number of CE providers on the panel.

654----Some vendors have counted several times since they travel, and hospitals are counted as well.

5. Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs.

CE Provider Verification Process20

See attached.



We send a form providers must sign that says they ensure their support staff are properly licensed and credentialed.

7. Provide a description of any CE/MER fee schedule changes (include a description of any volume medical provider discounts).

Only change within the last year was to the fee for the speech language fee. It used to be 110.00 for evaluation and then each separate expressive or receptive language test was charged separately. Now a flat fee of 175.00 it paid. Approved by RO (Eric Ryan) and CO (Rusty ?).

8. Upload fee schedules to the MPRO SharePoint site.



Copy of Fee exceptions 2014.xls

See attached.

9. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc.

Ongoing relations with Children's Hospital, Great Plains Regional Medical Center, and

DDS CE Oversight Report

Regional West Medical Center were maintain so that Nebraska was able to have a cap of 100.00 for its MER to sustain a reasonable rate of payment for MER. Due to funding issues there was no national PRO meetings or funding for conventions or travel.

Please attach any additional information before submitting this form.

DDS CE Oversight Report

Addendum 2014 Report

State: Nebraska

Report Period: October 1, 2013 - September 30, 2014

Reporter's Information: (b) (6)

Provide a brief description of the DDS's procedures use to resolve the various categories of complaints received throughout the year.

- 1. On January 23, 2014 a letter from the parents and (b) (6) was faxed into the DDS. The letter states that The first concern (D) (6) A letter from dated 2/10/14, states reviewed both the report of (b) (6) as well as the (b) (6) done a year and half later by letter states, (b) (6 In (6) from both providers were The (D) almost the same, and both reports gave a final diagnosis (b) (6) . A letter to (b) (6) stating the complaints (b) (6) and the justification of (b) (6) in performing what SSA asks. An exam solely for the purpose of helping determine whether or not the complainant had (b) (6) of such severity that would qualify for disability benefits under SSA.
 - The second issue pertained to (b) (6) being denied twice and wishing to have an "on the record review" by the ALJ. Records indicate (b) (6) was initially denied but there were no appeals filed. Therefore, it was unable to be considered by the Judge until there had been an initial and reconsideration denial. In the initial denial, instructions were given as to the timelines and process of filing an appeal. In a letter dated 2/18/14, from former MSO (b) (6) , this is addressed that "our agency has no authority to transfer a case for an 'on the record decision' by an ALJ" and goes on to explain the process.

• Also in the letter dated 2/18/14 it is addressed that no 'rights violations' were found. Again, it is stated that our agency is not able to provide treatment for individuals, our job is to evaluate only to determine if they meet the requirements for disability benefits.

| 2. | On 7/1/14, a letter from (b) (6) was received complaining about the CE exam (b) (6) |
|----|--|
| | cited there was an (b) (6) that was called into a room that was (b) (6) thinks the ^{(b) (6)} was trying to get up on the exam table. During the (b) (6) |
| | said the (b) (6) didn't want ^{b(6)} help. (b) (6) also stated in ^{b(6)} letter that "the doctor didn't act like was smart enough to even take care of a cold. I tried to explain all the problems I'm having, but didn't seem to care about anything (b) (6) |
| | On $7/10/14$ a copy of the letter from claimant was sent to (b) (6) asking (b) (6) to review the remarks and to respond with a written response with any comments. On $7/15/14$, (b) (6) faxed a response indicating the patient prior to (b) (6) |
| | refused the doctors assistance so (b) (6) left the room. The waiting room is nearby and the (b) (6) . As for response to inability to pay attention to several issues, the transcription proves (b) (6) is incorrect and defends ability to diagnose and treat a cold (b) (6) |
| | A letter was sent out on 7/16/14 to (b) (6) thanking (b) (6) for b) letter of concerns related to his disability exam. The letter explains that we have contacted the clinic with concerns and that we are sorry felt his exam was not handled correctly and apologized for any inconveniences. |
| 3. | The last was a claimant called to report an issue with the CE ^{(b)(6)} attended. ^{(b)(6)} would not put the complaint in writing but a "Report of Contact" was made and put in file. Claimant feels that since ^{(b)(6)} applied for benefits there have been 'injustices done'. ^{(b)(6)} talked of the person at the SSA office laughing at ^{(b)(6)} and that a supervisor had to be notified. ^{(b)(6)} says ^{(b)(6)} lost ^{(b)(6)} benefits due to inability to understand reporting of income and resources. ^{(b)(6)} went on to mention the Examiner on the case is the only person who has shown her kindness and respect. ^{(b)(6)} said ^{(b)(6)} did not tell the psychologist all of the issues in ^{(b)(6)} life, as ^{(b)(6)} was afraid that ^{(b)(6)} would be institutionalized. In the physical exam ^{(b)(6)} was upset with provider saying that when |
| | asked (b) (6) then asked (b) (6) stated that ^{(b) (6)} would inquire with the provider if ^{(b) (6)} made such a statement and respond to this allegation. Claimant said the provider apologized saying ^(b) assumed that by the way ^{(b) (6)} stated it and that it is a 'pattern of the people ^(b) sees'. (b) (6) said ^{(b) (6)} would follow up with ^{(b) (6)} as to this |

and asked ^{(b)(6)} to put it in writing. Claimant stated ^{(b)(6)} was not comfortable doing so. On 5/14/14, (b) (6) emailed the providers employer and asked if they could verify with provider if the accounts actually happened. The employer was shocked and stated that they would ask the provider and that it did not sound like anything this provider would do. A copy of the response email on 5/14/14 regarding this issue "^{(b)(6)} (the provider) said that during ^{(b)(6)} interviews ^{(b)(6)} always asks this

| and 99% will say that (b) (6) | When he |
|---|--------------------------------|
| asked ^{(b) (6)} "why" ^{(b) (6)} immediately got defensive and said, (b) (6) | |
| told that didn't mean to offend | |
| something that asks all claimants. | attern ^{(b) (6)} seen |
| before." This is all the documentation on this case. | |

TEN LARGEST PROVIDERS OF CONSULTATIVE EXAMINATIONS FOR THE NEBRASKA DISABILITY DETERMINATIONS SECTION October 1, 2013- September 30, 2014

| 1. Midtown Medical Group | \$ 826,897.60 |
|---|-----------------------------------|
| 101 North 38th Avenue | |
| Omaha, NE 68131 2. A. James Fix PhD & Samuel Moessner M.D. | \$ 70 128 00 |
| (b) (6) | \$ 70,438.00 |
| Omaha, NE 68105 | |
| 3. Consultants In Disability | \$ 63,660.00 |
| PO Box 639 | \$ 05,000.00 |
| Bellevue, NE 68005 | |
| 4. Community Action Partnership | \$ 30,968.00 |
| 975 Crescent Drive | \$ 30,908.00 |
| Gering, NE 69341 | |
| 5. Arias Neuro and Behavioral Med PC | \$ 28,897.00 |
| 6940 Van Dorn Ste. 201 | \$ 20,077.00 |
| Lincoln, NE 68506 | |
| 6. Mental Health Associates | \$ 27,999.00 |
| 650 J St. Ste. 403 | φ 21,999.00 |
| Lincoln, NE 68508 | |
| 7. Rebecca A. Schroeder, Ph.D. | \$ 27,400.00 |
| Box 4 | ¢ _/, |
| Curtis, NE 69025 | |
| 8. Assoc. Psych. Couns. LLC | \$ 25,321.00 |
| PO Box 53 | |
| Norfolk, NE 68702 | |
| 9. Caroline Sedlacek | \$ 25,119.00 |
| (b) (6) | |
| Omaha, NE 68114 | |
| 10. Matthew Hutt | \$ 20,700.00 |
| (b) (6) | |
| Scottsbluff, NE | |
| Total paid out to top 10 | \$ 1 1/7 300 60 |
| | φ 1,147,377.00 |
| Total amount in this period paid to all CE providers | \$1,644,383.63 |
| Total paid out to top 10 | \$ 1,147,399.60 \$1,644,383.63 |

Midtown Medical Group and Consultants in Disability have multiple providers over that last year that have done CE's for us, both physical and psychological. Dr. Fix and Dr. Moessner are in the same office. Arias has Chris Rathburn, PhD to his staff. Community Action Partnership has multiple providers doing physical exams. Assoc. Psych. Couns. LLC have 6 providers doing psych exams.

CE Provider Verification Process Nebraska DDS (Updated October 6, 2014)

The Nebraska DDS has developed the following process to provide periodic checks to insure that all CE providers are currently licensed in the state of Nebraska.

1. We have developed a process for automating the license checks. We have added a field in the CE vendor files to input license number and expiration date which on our computer system (aka~ The Husker System) can bring up all of the licenses so that we may check to see if they are renewed and then input the current information. Generally physicians licenses expire in October and psychologists in January so the checks will take place prior to those months. (We have put all of this information into the system)

APRNs- Expiration end of October Physician Assistants- October

Iowa Physicians – Varies

Occ. Therapists- August

Optometrists-August

Iowa Psychologists – June

Speech Pathologists- December

Physical Therapists- November

Physicians- July or October

American Sign Language – June

Audiologists- December

The Medical Services Officer Sorts to see the upcoming ones that need renewal dates.

- Nebraska HHS has a website in which we can check licenses and/or disciplinary actions on anyone that is required to be licensed within the state. <u>http://www.nebraska.gov/LISSearch/search.cgi</u> This site is updated on a daily basis and is very helpful in monitoring all vendors.
- Once a month the Medical Services officer also checks the Federal list of individuals sanctioned in the state of Nebraska. http://exclusions.oig.hhs.gov/ is reviewed monthly to do this check.
- 4. It is also recommended that the Medical Services Officer adds a recurring appointment on her outlook calendar a month prior to start checking the physical and psychological doctors so that they are up to date by the time they would be expiring.

LIST OF NE DDS FEE EXCEPTIONS:

| Chadron Johnson, David (MD) | 99455 \$200 | NS 99455 \$75 |
|---------------------------------------|-----------------------|-------------------------|
| Lincoln* | 99455 | NS 99455 |
| Saathoff, Steven (MD) | \$180 | \$75 |
| Scottsbluff/Gering MD's | 99455 | NS 99455 |
| Anjum, Hima | \$200 | \$75 |
| Daro, Robert | 200 | 75 |
| Jones, Emily | 200 | 75 |
| Mosel, Lindsey | 200 | 75 |
| Kader, Abdel | 200 | 75 |
| Moore, Svetlana | 200 | 75 |
| Scottsbluff/Gering PA's and APRN | 99455e | NS 99455e |
| Carrington, John (PA) | \$180 | \$75 |
| Herman, Carol (PA) | 180 | 75 |
| Valentine* | 99455 | NS 99455 |
| Cresman, Michael, PA | \$140 | \$75 |
| Mulligan-Witt, Michelle | 180 | 75 |

*Fee Exception for NS only





October 30, 2014



Center for Disability Social Security Administration P.O. Box 4207 Richmond, CA 94804

RE: FY 2014 CE Management Oversight Report

In FY14, the Nevada DDS received 18 written complaints, down from 31 complaints received in FY 13. The highest number of complaints for a vendor was 4, (b) (6)

In all cases, evaluations that produced complaints comprised less than 1% of the total performed by the consultative examiner.

Complaints fell in to the following categories:

- Consultant's manner was rude/demeaning; had poor "bedside manner"
- Examination caused discomfort
- Consultant seemed disinterested/uncaring; wouldn't listen; would not allow large "service" dog in examination room
- Objection to questions posed during mental status evaluation
- Examination was brief/hurried
- Doctor appeared to be hard of hearing
- Miscommunication and/or misinterpretation of questions/statements
- Doctor was unprofessional
- Doctor did not have appropriate equipment

In each case, the consultative examination report was obtained and reviewed, and a letter was sent to the vendor requesting a response to the complaint. Written responses were received on all complaints handled in FY14.

No complaints required Regional Office intervention.

In FY14, all key providers received PRO onsite visits. Additionally, PRO visits are made to all new providers and to established providers that move to new offices or add additional staff that requires training. All site visits are documented with onsite review report forms (POMS 39545.525) for the vendors' files.





CE spending for FY14 totaled \$2,601,664.20, a decrease of \$615,156.83 from FY13.

Key providers for FY14 were:

Medical Support Los Angeles, Multi-Specialty Clinic

2110 E. Flamingo Rd., Suite 216 Las Vegas, NV 89119 and 4045 Spencer St., Suite 318 Las Vegas, NV 89119 and 100 N. Arlington Ave., Suite 240 Reno, NV 89501 Home Office: 1294 E. Colorado Blvd. Pasadena, CA 91109 Encumbrance: \$336,905.50

Jerrold Sherman, M.D., Orthopedics

(b) (6) Las Vegas, NV 89146

Encumbrance: \$193,848.50

RBM Clinic, Internal Medicine/Comprehensive Evaluations 2675 S. Jones Blvd., Suite 112 Las Vegas, NV 89146 Encumbrance: \$162,808

Zev Lagstein, M.D., Cardiology/Internal Medicine

3017 W. Charleston Blvd., Suite 80 Las Vegas, NV 89102 Encumbrance: \$134,372

Steven Gerson, D.O., Internal Medicine

1699 S. Virginia St., Suite 100 Reno, NV 89502 and 2213 N. 5th St., Suite B





Elko, NV 89801 Encumbrance: \$109,315

Nevada DDS has 117 active CE panelists. There are 72 active physical examination or ancillary studies vendors, 36 active psychological vendors, and 9 speech-language pathologists on the CE panel.

In FY14, NV DDS lost CE panelists due to relocation and/or retirement; however, several volume providers and a number of independent consultants were added to the NV DDS CE panel.

The CE scheduling unit continues to conduct annual license checks of all active CE vendors via the Internet. The State Board of Medical Examiners and the State Board of Osteopathic Medicine have websites where licensure can be verified, as does the state Board of Optometry. An OIG Exclusion List search is performed on all new vendors when they are added to the CE panel. In FY 15, NV DDS will conduct *annual* OIG searches on CE panelists.

The State Board of Psychological Examiners has added a licensure verification link on their website, and our vendor file is checked against this site annually to insure that all psychology vendors are currently licensed.

Support personnel's credentials are checked as appropriate during site visits to verify that they are current and prominently posted.

An increase in the fee for x-rays was implemented by NV DDS in FY14 based on a Medicare fee schedule comparison.

The current NV fee schedule is attached.

NV DDS does not pay a fee for no-shows or cancellations. Disability adjudicators are responsible for reminding the claimants of their appointments via telephone if the claimant has not confirmed the appointment. Additionally, a reminder letter is automatically generated for each appointment and is mailed to the claimant, and any designated third party/representative, one week prior to the CE date.

The CE scheduling unit is responsible for verifying attendance at CE appointments, and the CE screen of the worksheet is annotated accordingly.

NV DDS saw a decrease in the CE workload in FY14, reflected in the decrease in CE spending. In FY14, CE staff scheduled 23,813 units, compared to 30,917 in FY13.

Assistance continues to be provided to NV DDS by MAMPSC. All CE's requested by MAMPSC are processed as assistance requests through this office.

September 2014 cumulative ERE document count for **MER is 81%** and **CE 91.4%** Although all of our CE vendors submit their reports electronically, either via fax or upload to ERE, the almost 9% of CE



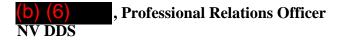


documents that are casually scanned in-house are comprised of claimant response forms and returned CE notices to claimants and representatives.

The most significant change to the NV DDS CE process this fiscal year was the initiation of the Provider Agreements.

Historically, NV DDS conducted business with our CE panel on a fee-for-service basis. This fiscal year our parent agency, following legislative action from the 2013 session, instituted contractual agreements which are now required of all CE panelists. These include insurance requirements that include covering the State of NV for any liability. Several panelists were lost due to this process, but the majority of our vendors are now on board.

If you have questions regarding this report, please contact me at (b) (6)







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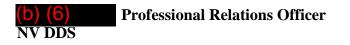
documents that are casually scanned in-house are comprised of claimant response forms and returned CE notices to claimants and representatives.

NV DDS does not currently have the resources to market electronic records on an ongoing basis. We continue to register MER vendors on the website as they contact Central Office or us for this purpose and as we add new CE providers.

The most significant change to the NV DDS CE process this fiscal year was the initiation of the Provider Agreements.

Historically, NV DDS conducted business with our CE panel on a fee-for-service basis. This fiscal year our parent agency, following legislative action from the 2013 session, instituted contractual agreements which are now required of all CE panelists. These include insurance requirements that include covering the State of NV for any liability. Several panelists were lost due to this process, but the majority of our vendors are now on board.

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NV DDS - CE PROVIDER TRACKING SHEET FY 2014

| # | DDS Site Code | CE Provider Name | Provider's Specialty | Provider Start Date | FY Complaints | License # | Expiration Date | Verification Date | Status | Who |
|----|------------------|------------------------------------|-----------------------------------|------------------------|------------------|-----------|--------------------|----------------------|--------|---------|
| # | | | | | Complaints | License # | | | | Checked |
| 1 | S31 | Adams, Rex MD | Rheumatology | 2008 | (h) | | 6/30/2015 | 9/22/2014 | Active | (b) (6) |
| | S31 | Advanced Medical Consultants, Inc. | | 2013 | | | | | | |
| 2 | S31 | Natavan Karimova MD | Internal Medicine | 2013 | | | 6/30/2015 | 10/30/2014 | Active | |
| | S31 | Advanced Speech & Language Assoc | | 2003 | | | | | | |
| 3 | S31 | Bemus, Julie | Speech/Language | | | | 12/31/2014 | 9/11/2014 | Active | |
| 4 | S31 | Westin, Meghan | Speech/Language | | | | 12/31/2014 | 9/11/2014 | Active | |
| | S31 | AMCE Physicians Group | | 2011 | | | | | | |
| 5 | S31 | Corson, Pamela MD | Internal Medicine | | | | 6/30/2015 | 4/2/2014 | Active | |
| 6 | S31 | Newbold, Richard MD | Internal Medicine | | | | 6/30/2015 | 4/2/2014 | Active | |
| 7 | S31 | Min, Alex MD | Internal Medicine | | | | 6/30/2015 | 6/16/2014 | Active | |
| 8 | S31 | Howard, David J MD | Internal Medicine | | | | 6/30/2015 | 6/18/2014 | Active | |
| | S31 | Anderson Audiology | | 1990 | | | | | | |
| 9 | S31 | Anderson, David AuD | Audiology | | | | 12/31/2014 | 4/14/2014 | Active | |
| 10 | S31 | Belmont, Teri PhD | Psychological | 2010 | | | 12/31/2014 | 4/4/2014 | Active | |
| | S31 | Browning Psychological Services | | 2013 | | | | | | |
| 11 | S31 | Browning, Jessica PhD | Psychological | | | | 12/31/2014 | 4/4/2014 | Active | |
| | S31 | CE Provider Services, LLC | | 2013 | | | | | | 1 |
| 12 | S31 | Leaks, Joan W. MD | Internal Medicine | | | | 6/30/2015 | 4/4/2014 | Active | , |
| | S31 | Carson Tahoe Cardiology | | 2012 | | | | | | ļ |
| 13 | S31 | Di Paolo, Christopher John MD | Cardiology | | | | 6/30/2015 | 4/4/2014 | Active | |
| 14 | S31 | Cestkowski, Richard A. DO | Orthopedic | 2001 | | | 12/31/2014 | 4/2/2014 | Active | |
| | S31 | Children's Lung Specialists | | 2001 | | | | | | |
| 15 | S31 | Nakamura, Craig MD | Pediatric Pulmonary | | | | 6/30/2015 | 4/2/2014 | Active | |
| | S31 | Complete Family Care Ltd | | 2012 | | | | | | |
| 16 | S31 | Yco, Newton DO | General Medical/Pediatric | | | | 12/31/2014 | 4/2/2014 | Active | |
| 17 | S31 | Concentra Medical Center | Pulmonary Function Testing | 2001 | | | | | | |
| 18 | S31 | Cook, Dosheen PhD | Psychological | 2013 | | | 12/31/2014 | 4/4/2014 | Active | |
| 19 | S31 | Devera, Aisha PsyD | Psychological | 2011 | | | 12/31/2014 | 4/4/2014 | Active | |
| 20 | S31 | Diaz, Dorsey, Psy | Psychological | 2014 | | | 12/31/2014 | 8/13/2014 | Active | |
| 21 | S31 | Donaldson, Robyn PhD | Psychological | 2011 | | | 12/31/2014 | 4/4/2014 | Active | |
| 22 | S31 | Doncaster, Maria PhD | Psychological | 2008 | | | 12/31/2014 | 4/4/2014 | Active | |
| 23 | S31 | Eden Medical Service | Cardiovascular Diagnostic Testing | 2010 | | | | | | |
| | S31 | E & A Behavioral Health | | 2005 | | | | | | |
| 24 | S31 | Edwards, K. Anthony PhD | Psychological | | | | 12/31/2014 | 4/4/2014 | Active | |
| 25 | S31 | Elko Diagnostic Imaging | Radiology | 2001 | | | | | | |
| | S31 | Eye Clinic of Las Vegas | | 2002 | | | | | | |

| 2 231 Finite Holds, Vena HuD Operationscal 2007 CO CO <thco< th=""> <thco< th=""> <thco< th=""></thco<></thco<></thco<> | 26 | S31 | Nelson, Marietta MD | Ophthalmology | 1 | | 6/30/2015 | 4/3/2014 | Active | (b) (6) |
|---|------|-----|---------------------------------------|---------------------|------|--|------------|-----------|---------|-------------------------------|
| 28 S31 Farrow, Simon MD Neurological 1995 6/30/2015 4/2/2014 Active 29 S31 Fetcher, Deible PhD Psychological 2010 12/31/2014 Active 30 S31 Global Health Evaluations Paychological 2013 12/31/2014 Active 31 Global Health Evaluations Psychological 12/31/2014 Active 32 S31 Colocity, Street, Fet DD Psychological 1994 12/31/2014 Active 33 Global Health Evaluations Psychological 2013 9/26/2014 Active 34 Global Medical Consultants Regeommunication & Freeding Spec 2009 12/31/2014 Active 351 Global Medical Consultants 1 2013 12/31/2014 Active 36 S31 Global Medical Consultants 2013 12/31/2014 Active 37 S31 Linenenacho, Derk J. MO General Practice 6/30/2015 4/2/2014 Active 38 S31 Parack, Johnathan | | | | | 2007 | | | | | (\mathbf{D}) (\mathbf{D}) |
| 29 331 Fletcher, Debbie PhD Psychological 2010 12/31/2014 4/4/2014 Active 30 531 Gerson, Seven DO*** Internal Medicine/Pulse Oximetry 2006 12/31/2014 4/4/2014 Active 31 S31 Gerson, Seven DO*** Internal Medicine/Pulse Oximetry 2006 12/31/2014 4/4/2014 Active 32 S31 Valette, Brett PhD Psychological 1994 12/31/2014 4/4/2014 Active 33 S31 Kobdetsin, Steven E. EdD Psychological 2009 12/31/2014 4/4/2014 Active 33 Indeprotent Medical Consultants 2011 12/31/2014 4/4/2014 Active 33 Indeprotent Medical Consultants 2013 12/31/2014 4/4/2014 Active 34 S31 Lainenbach, Derck J. MO General Practice 12/31/2014 4/4/2014 Active 353 Israel, Joan MSW Psychological 1999 12/31/2014 4/4/2014 Active 42 S31 Jones | | | | | | | | | | |
| 30 S31 Forster, Liss PyO Psychological 2024 31 S31 Global Health Evaluations 2033 32 S31 Global Health Evaluations 2033 33 S31 Global Health Evaluations 2033 34 S31 Global Health Evaluations 2033 35 Global Health Evaluations Psychological 2031 36 S31 Global Health Evaluations Psychological 2011 36 S31 Cole, Julie Active 2032 37 S31 Lindependent Medical Consultants Speech/Language 2013 36 S31 Greenberg, Alexandra DO Internal Medicince 6302/0215 4/4/2014 Active 39 S31 Janesk, Johanthan DO Internal Medicince 6302/0215 4/4/2014 Active 40 S31 Janesk, Elizabeth M. PhD Psychological 2009 12/31/2014 4/4/2014 Active 41 S31 Janesk, Filzabeth M. PhD Psychological 2009 | | | | 0 | | | | | | |
| 31 Gerson, Steven, DO*** Internal Medicine/Pulse Oximetry 2003 32 S31 Colodal Health Kolutations 2013 32 S31 Colodal Health Kolutations 2013 33 S31 Goldstein, Steven E. EdD Psychological 1994 34 S31 Hone Communication & Freeding Spec 2001 12/31/2014 4/4/2014 Active 35 Cole, Julie Sone Reeding Spec 2000 12/31/2014 4/4/2014 Active 35 Cole, Julie Greenberg, Alexandra DO Internal Medicine 12/31/2014 4/4/2014 Active 36 S31 Independent Medical Consultants 2013 12/31/2014 4/4/2014 Active 37 S11 Leinenbach, Derek J. MD General Practice 12/31/2014 4/4/2014 Active 38 S31 James, Elizabeth M. PhD Psychological 1999 12/31/2014 Active 43 S31 James, Milami MD Resumatology 2000 6/30/2015 4/2/2014 Active | | | | | | | | | | |
| S31 Global Health Evaluations psychological 22 S31 Valete, Brett PhD Psychological 9/36/2014 4/4/2014 Active 33 S31 Globatein, Steven E. EdD Psychological 2011 1/3/1/2014 4/8/2014 Active 34 S31 Hinon-Brenenstall, Sterin PhD Psychological 2000 1/3/1/2014 4/8/2014 Active 351 Globa, Julie Speech/Language 2003 1/3/1/2014 4/8/2014 Active 351 Independent Medical Consultants 2013 1/3/1/2014 4/4/2014 Active 36 S31 Ferenberg, Alexandra DO Internal Medicine 1/3/1/2014 4/4/2014 Active 37 S31 Isranes, Elizabeth M. PhD Psychological 1990 1/2/3/2014 Active 38 S31 Parreck, Johnathan DO Internal Medicine 1/2/3/2014 Active 41 S31 Ianes, Elizabeth M. PhD Psychological 1990 1/2/3/2014 Active 42 S31 | | | | | | | | | | |
| 22 S31 Valete, Brett PhD Psychological 9/26/2014 4/4/2014 Temp 33 S31 Kincoh-Erenenstall, Sheri PhD Psychological 2011 1/2/31/2014 4/8/2014 Active S31 Hison-Brenenstall, Sheri PhD Psychological 2009 1/2/31/2014 4/8/2014 Active S31 Kole, Julie Spech/Language 1/2/31/2014 4/8/2014 Active 35 S31 Cole, Julie Alexandra Do Internal Medicine 1/2/31/2014 Active 36 S31 Alexandra DO Internal Medicine 1/2/31/2014 Active 37 S31 Leinenbach, Derek J. MD General Practice 6/30/2015 4/4/2014 Active 38 S31 Israel, Joan MSW Psychological 2000 1/2/31/2014 Active 39 S31 Israel, Joan KSW Psychological 2092 1/2/31/2014 Active 41 S31 Inder, Krino F. OD Optometry 2012 2/2/31/2014 Active | - 51 | | | | | | 12/31/2014 | 4/2/2014 | Active | |
| 33 S31 Goldstein, Steven E. EdD Psychological 1994 12/31/2014 4//2014 Active 34 S31 Hixon-Brenentall, Sheir PhD Psychological 2011 12/31/2014 4/8/2014 Active 351 Lobe Communication & Feeding Spec 2000 12/31/2014 4/8/2014 Active 351 Independent Medical Consultants 2013 2011 12/31/2014 4/4/2014 Active 36 S31 Independent Medical Consultants 2013 12/31/2014 4/4/2014 Active 37 S31 Leinenbach, Derek J. MD General Practice 12/31/2014 4/4/2014 Active 38 S31 Branck, Johnsthan DO Internal Medicine 12/31/2014 4/4/2014 Active 40 S31 James, Elizabeth M. PhD Psychological 1999 12/31/2014 4/4/2014 Active 42 S31 Juell, Brain & MD Vascular 1994 6/30/2015 4/2/2014 Active 44 S31 Kim William MD | | | | Psychological | 2015 | | 0/26/2014 | 4/4/2014 | Tomn | |
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| 48 S31 Luh, Eddy MD Vascular 6/30/2015 4/3/2014 Active 49 S31 Lewis, Richard PhD Psychological 1994 12/31/2014 4/8/2014 Active 50 S31 Loring, Richard D. MD Orthopedic 2005 6/30/2015 4/2/2014 Active 51 S31 Loring, Susan PhD Psychological 2005 12/31/2014 4/8/2014 Active 52 S31 Lubritz & Nasri 1992 12/31/2014 4/8/2014 Active 53 S31 Lubritz & Nasri 1992 12/31/2014 4/8/2014 Active 53 S31 Lubritz & Nasri 1992 12/31/2014 4/8/2014 Active 53 S31 Lubritz & Nasri 1992 12/31/2014 4/8/2014 Active 54 S31 Lublow, Paul C MD Ear, Nose & Throat 2008 6/30/2015 4/2/2014 Active 55 S31 Lung Institute 2003 12/31/2014 4/8/2014 Active 55 S31 Mahaffey, Martha B. PhD Psychological | 47 | | | Psychological | | | 12/31/2014 | 4/8/2014 | Active | |
| 49S31Lewis, Richard PhDPsychological199450S31Long, Richard D. MDOrthopedic200551S31Loring Psychological200551S31Loring, Susan PhDPsychological12/31/201452S31Lubritz, Nasid J. MDEar, Nose & Throat6/30/201553Lubritz, Joel MDEar, Nose & Throat6/30/20154/2/201454S31Ludlow, Paul C MDEar, Nose & Throat6/30/20154/2/201455S31Lubritz, Joel MDEar, Nose & Throat6/30/20154/2/201454S31Ludlow, Paul C MDEar, Nose & Throat20086/30/20154/2/201455S31Lubritz, Joel MDPsychological200712/31/20144/8/2014Active55S31Lubritz, Joel MDPsychological200712/31/20144/8/2014Active55S31Lubritz, Joel MDPsychological200712/31/20144/8/2014Active55S31Lubritz, Joel MDPsychological20036/30/20154/3/2014Active56S31Prabhu, R. D. MDPulmonary6/30/20154/3/2014Active58S31Mahaffey, Martha B. PhDPsychological199712/31/20144/8/2014Active59S31Mathis, David L. MDEar, Nose & Throat19936/30/20158/18/2014Active60S31Meier, Joshua C. MDEar, Nose & Throat1993 <t< td=""><td></td><td></td><td>Las Vegas Surgical Associates</td><td></td><td>2003</td><td></td><td></td><td></td><td></td><td></td></t<> | | | Las Vegas Surgical Associates | | 2003 | | | | | |
| 50S31Long, Richard D. MDOrthopedic20056/30/20154/2/2014ActiveS31Loring Psychological200512/31/20144/8/2014ActiveS31Loring, Susan PhDPsychological199212/31/20144/8/2014ActiveS31Lubritz, Navi J. MDEar, Nose & Throat6/30/20154/4/2014ActiveS31Lubritz, Joel MDEar, Nose & Throat6/30/20154/4/2014ActiveS4S31Ludlow, Paul C MDEar, Nose & Throat6/30/20154/2/2014ActiveS5S31Luke, Dudley G. PhDPsychological200712/31/20144/8/2014ActiveS5S31Lung Institute20036/30/20154/3/2014ActiveS6S31Prabhu, R. D. MDPulmonary6/30/20154/3/2014ActiveS7S31Mahafest, Daniel EdDPsychological200212/31/20144/8/2014ActiveS8S31Mahafest, Daniel EdDPsychological200212/31/20144/8/2014ActiveS8S31Mathis, David L. MDEar, Nose & Throat19936/30/20154/3/2014ActiveS9S31Mathis, David L. MDEar, Nose & Throat19936/30/20158/18/2014ActiveS8S31Mathest, David L. MDEar, Nose & Throat19936/30/20158/18/2014ActiveS9S31Mathis, David L. MDEar, Nose & Throat19936/30/20158/18/2014 <td>48</td> <td></td> <td>Luh, Eddy MD</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td>Active</td> <td></td> | 48 | | Luh, Eddy MD | | | | | | Active | |
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| S31Lubritz & Nasri199252S31Harbrecht, David J. MDEar, Nose & Throat53S31Lubritz, Joel MDEar, Nose & Throat54S31Ludlow, Paul C MDEar, Nose & Throat55S31Ludley G. PhDPsychological56S31Prabhu, R. D. MDPulmonary56S31Mahaffey, Martha B. PhDPsychological57S31Mahaffey, Martha B. PhDPsychological58S31Malatesta, Daniel EdDPsychological59S31Metirs, Joshua C. MDEar, Nose & Throat60S31Meier, Joshua C. MDEar, Nose & Throat61S31Mayers, Kathleen S. PhDPsychological61S31Mayers, Kathleen S. PhDPsychological | | S31 | Loring Psychological | | 2005 | | | | | |
| 52S31Harbrecht, David J. MDEar, Nose & Throat6/30/20154/4/2014Active53S31Lubritz, Joel MDEar, Nose & Throat6/30/20154/2/2014Active54S31Ludlow, Paul C MDEar, Nose & Throat20086/30/20154/2/2014Active55S31Luke, Dudley G. PhDPsychological200712/31/20144/8/2014Active56S31Prabhu, R. D. MDPulmonary6/30/20154/3/2014Active57S31Mahaffey, Martha B. PhDPsychological199712/31/20144/8/2014Active58S31Malatesta, Daniel EdDPsychological200212/31/20144/8/2014Active59S31Mathis, David L. MDEar, Nose & Throat19936/30/20154/3/2014Active60S31Meier, Joshua C. MDEar, Nose & Throat20146/30/20158/18/2014Active61S31Mayers, Kathleen S. PhDPsychological199912/31/20144/8/2014Active | 51 | S31 | Loring, Susan PhD | Psychological | | | 12/31/2014 | 4/8/2014 | Active | |
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| 54S31Ludlow, Paul C MDEar, Nose & Throat20086/30/20154/2/2014Active55S31Luke, Dudley G. PhDPsychological200712/31/20144/8/2014Active56S31Ung Institute20036/30/20154/3/2014Active57S31Mahaffey, Martha B. PhDPsychological19976/30/20154/3/2014Active58S31Malatesta, Daniel EdDPsychological200212/31/20144/8/2014Active59S31Mathis, David L. MDEar, Nose & Throat19936/30/20154/3/2014Active60S31Meier, Joshua C. MDEar, Nose & Throat20146/30/20158/18/2014Active61S31Mayers, Kathleen S. PhDPsychological199912/31/20144/8/2014Active | | S31 | Harbrecht, David J. MD | Ear, Nose & Throat | | | 6/30/2015 | 4/4/2014 | Active | |
| 55S31Luke, Dudley G. PhDPsychological2007S31Lung Institute200312/31/20144/8/2014Active56S31Prabhu, R. D. MDPulmonary6/30/20154/3/2014Active57S31Mahaffey, Martha B. PhDPsychological199712/31/20144/8/2014Active58S31Malatesta, Daniel EdDPsychological200212/31/20144/8/2014Active59S31Mathis, David L. MDEar, Nose & Throat19936/30/20154/3/2014Active60S31Meier, Joshua C. MDEar, Nose & Throat20146/30/20158/18/2014Active61S31Mayers, Kathleen S. PhDPsychological199912/31/20144/8/2014Active | | S31 | Lubritz, Joel MD | Ear, Nose & Throat | | | 6/30/2015 | 4/2/2014 | Active | |
| S31Lung Institute200356S31Prabhu, R. D. MDPulmonary57S31Mahaffey, Martha B. PhDPsychological199758S31Malatesta, Daniel EdDPsychological200259S31Mathis, David L. MDEar, Nose & Throat199360S31Meier, Joshua C. MDEar, Nose & Throat201461S31Mayers, Kathleen S. PhDPsychological201461S31Mayers, Kathleen S. PhDPsychological1999 | | | Ludlow, Paul C MD | Ear, Nose & Throat | 2008 | | | | Active | |
| 56S31Prabhu, R. D. MDPulmonary6/30/20154/3/2014Active57S31Mahaffey, Martha B. PhDPsychological199712/31/20144/8/2014Active58S31Malatesta, Daniel EdDPsychological200212/31/20144/8/2014Active59S31Mathis, David L. MDEar, Nose & Throat19936/30/20154/3/2014Active60S31Meier, Joshua C. MDEar, Nose & Throat20146/30/20158/18/2014Active61S31Mayers, Kathleen S. PhDPsychological199912/31/20144/8/2014Active | 55 | S31 | Luke, Dudley G. PhD | Psychological | 2007 | | 12/31/2014 | 4/8/2014 | Active | |
| 57S31Mahaffey, Martha B. PhDPsychological199712/31/20144/8/2014Active58S31Malatesta, Daniel EdDPsychological200212/31/20144/8/2014Active59S31Mathis, David L. MDEar, Nose & Throat19936/30/20154/3/2014Active60S31Meier, Joshua C. MDEar, Nose & Throat20146/30/20158/18/2014Active61S31Mayers, Kathleen S. PhDPsychological199912/31/20144/8/2014Active | | S31 | Lung Institute | | 2003 | | | | | |
| 58 S31 Malatesta, Daniel EdD Psychological 2002 12/31/2014 4/8/2014 Active 59 S31 Mathis, David L. MD Ear, Nose & Throat 1993 6/30/2015 4/3/2014 Active 60 S31 Meier, Joshua C. MD Ear, Nose & Throat 2014 6/30/2015 8/18/2014 Active 61 S31 Mayers, Kathleen S. PhD Psychological 1999 12/31/2014 4/8/2014 Active | 56 | S31 | Prabhu, R. D. MD | Pulmonary | | | 6/30/2015 | 4/3/2014 | Active | |
| 59 S31 Mathis, David L. MD Ear, Nose & Throat 1993 6/30/2015 4/3/2014 Active 60 S31 Meier, Joshua C. MD Ear, Nose & Throat 2014 6/30/2015 8/18/2014 Active 61 S31 Mayers, Kathleen S. PhD Psychological 1999 12/31/2014 4/8/2014 Active | 57 | S31 | Mahaffey, Martha B. PhD | Psychological | 1997 | | 12/31/2014 | 4/8/2014 | Active | |
| 60 S31 Meier, Joshua C. MD Ear, Nose & Throat 2014 6/30/2015 8/18/2014 Active 61 S31 Mayers, Kathleen S. PhD Psychological 1999 12/31/2014 4/8/2014 Active | 58 | S31 | Malatesta, Daniel EdD | Psychological | 2002 | | 12/31/2014 | 4/8/2014 | Active | |
| 61 S31 Mayers, Kathleen S. PhD Psychological 1999 12/31/2014 4/8/2014 Active | 59 | S31 | Mathis, David L. MD | Ear, Nose & Throat | 1993 | | 6/30/2015 | 4/3/2014 | Active | |
| | 60 | S31 | Meier, Joshua C. MD | Ear, Nose & Throat | 2014 | | 6/30/2015 | 8/18/2014 | Active | |
| 62 S31 McHugh, William B. MD Neurological 1999 6/30/2015 4/3/2014 Active | 61 | S31 | Mayers, Kathleen S. PhD | Psychological | 1999 | | 12/31/2014 | 4/8/2014 | Active | |
| | 62 | S31 | McHugh, William B. MD | Neurological | 1999 | | 6/30/2015 | 4/3/2014 | Active | |

| 63 | S31 | McKay, Ken PhD | Psychological | 2007 | (\mathbf{b}) | 12/31/2014 | 4/8/2014 | Active (b) (6) |
|----|-----|--------------------------------|---------------------------------|------|----------------|------------|-----------|----------------|
| | S31 | Medical Support Los Angeles*** | | 2006 | | | | |
| 64 | | Baumann, Janet PhD | Psychological | | | 12/31/2014 | 6/4/2014 | Active |
| 65 | S31 | Bannen, William E MD | Internal Medicine | | | 6/30/2015 | 4/4/2014 | Active |
| 66 | S31 | Kamal, Khalid Abdullah MD | Physiatry | | | 6/30/2015 | 4/4/2014 | Active |
| 67 | S31 | Mumford, David MD | Internal Medicine | | | 6/30/2015 | 4/4/2014 | Active |
| 68 | S31 | Wildman II, Robert W. PhD | Psychological | | | 12/31/2014 | 4/8/2014 | Active |
| 69 | S31 | Network Interpreting Service | Sign Language Interpreting | 2002 | | | | |
| | S31 | Neurology Center of Las Vegas | | 2014 | | | | |
| 70 | S31 | Shanker Dixit MD | Neurological | | | 6/30/2015 | 3/6/2014 | Active |
| | S31 | Nevada Heart Consultants | | 1986 | | | | |
| 71 | S31 | Lagstein, Zev MD*** | Internal Medicine/Cardiology | | | 6/30/2015 | 4/2/2014 | Active |
| | S31 | Nevada Vision Group | | 2008 | | | | |
| 72 | S31 | Ward, Deborah J. OD | Optometry | | | 2/28/2015 | 4/4/2014 | Active |
| 73 | S31 | Nogueira, Trevor MD | Physiatry | 1997 | | 6/30/2015 | 4/3/2014 | Active |
| | S31 | Olympus Health Services, LLC | | 2010 | | | | |
| 74 | S31 | Gordon, Dennis P. MD | Orthopedic | | | 6/30/2015 | 4/4/2014 | Active |
| 75 | S31 | Paglini, John PsyD | Psychological | 2009 | | 12/31/2014 | 4/8/2014 | Active |
| 76 | S31 | Laurel Stinar, PhD | Psychological | 2014 | | | | |
| 77 | S31 | Perlotto, Carla PhD | Psychological | 2011 | | 12/31/2014 | 4/7/2014 | Active |
| 78 | S31 | Pinion Road Clinic | PFT's only | 2001 | | | | |
| | S31 | Pulmonary Medicine Associates | | 1995 | | | | |
| 79 | S31 | Andrews, John D. MD | Pulmonary | | | 6/30/2015 | 4/4/2014 | Active |
| 80 | S31 | De Los Santos, David P. MD | Pulmonary | | | 6/30/2015 | 4/4/2014 | Active |
| 81 | S31 | Floreani, Anthony A. MD | Pulmonary | | | 6/30/2015 | 4/4/2014 | Active |
| 82 | S31 | Handke, Darrel D. MD | Pulmonary | | | 6/30/2015 | 4/4/2014 | Active |
| 83 | S31 | Held, Charles N. MD | Pulmonary | | | 6/30/2015 | 4/4/2014 | Active |
| 84 | S31 | Jackson, Michael V. MD | Pulmonary | | | 6/30/2015 | 4/4/2014 | Active |
| 85 | S31 | Richeson, III, Robert B. MD | Pulmonary | | | 6/30/2015 | 4/4/2014 | Active |
| 86 | S31 | Skaria, Shibu D. MD | Pulmonary | | | 6/30/2015 | 4/4/2014 | Active |
| 87 | S31 | Smith, Leslie S. MD | Pulmonary | | | 6/30/2015 | 4/4/2014 | Active |
| 88 | S31 | Szot, Christina A. MD | Pulmonary | | | 6/30/2015 | 4/4/2014 | Active |
| 89 | S31 | Quest Diagnostics | Lab | 2001 | | | | |
| 90 | S31 | Quinton, Spencer OD | Optometry | 2008 | | 2/28/2015 | 4/3/2014 | Active |
| 91 | S31 | Racoma, Estela MD | Pediatric | 2010 | | 6/30/2015 | 4/3/2014 | Active |
| | S31 | RBM Clinic*** | | 1999 | | | | |
| 92 | S31 | Cabaluna, Wenceslao MD | Internal Medicine/Comprehensive | | | 6/30/2015 | 4/3/2014 | Active |
| 93 | S31 | Fabito, Daniel C. MD | Internal Medicine/Comprehensive | | | 6/30/2015 | 4/3/2014 | Active |
| 94 | S31 | Reed, R. Kirby MD | Neurological | 2012 | | 6/30/2015 | 4/4/2014 | Active |
| 95 | S31 | Reno Diagnostic Imaging | Radiology | 2012 | | | | |
| | S31 | Renown Occupational Health | | 2008 | | | | |
| 96 | S31 | Gasparre, Richard MD | Orthopedic | | | 6/30/2015 | 4/3/2014 | Active |
| 97 | S31 | Riback, Wendy CCC-SLP | Speech/Language | 2009 | | 12/31/2014 | 9/11/2014 | Active |
| 98 | S31 | Rogina, Julius M. PhD | Psychological | 1991 | | 12/31/2014 | 4/8/2014 | Active |

| 99 S | S31 | Scoccia, Vincent DO | Internal Medicine | 2003 | | 12/31/2014 | 4/3/2014 | Active | (b) (6) |
|-------|-----|--|---|------|--|------------|-----------|--------|---------|
| S | S31 | SFS Therapies | | 2011 | | | | | (-/(-/ |
| 100 S | S31 | Borsellino, Chris | Speech/Language | | | 12/31/2014 | 9/11/2014 | Active | |
| 101 S | S31 | Shaffer, Lisa B. PsyD | Psychological | 2010 | | 12/31/2014 | 4/8/2014 | Active | |
| S | S31 | Shepherd Eye Center | | 2002 | | | | | |
| 102 S | S31 | Eisenberg, Dan L. MD | Ophthalmology | | | 6/30/2015 | 4/3/2014 | Active | |
| 103 S | S31 | Sherman, Jerrold MD*** | Orthopedic | 1995 | | 6/30/2015 | 4/3/2014 | Active | |
| S | S31 | Sierra Eye Associates | | 2001 | | | | | |
| 104 S | S31 | Durant, William J. MD | Ophthalmology | | | 6/30/2015 | 4/3/2014 | Active | |
| 105 S | S31 | Leonard A. Johnson, MD | Ophthalmology | | | 6/30/2015 | 8/21/2014 | Active | |
| S | S31 | Sierra Speech & Language Associates | | 2006 | | | | | |
| 106 S | S31 | Ross, Shawna | Speech/Language | | | 12/31/2014 | 9/11/2014 | Active | |
| 107 S | S31 | Duckett, Molly | Speech/Language | | | 12/31/2014 | 5/14/2014 | Active | |
| 108 S | S31 | Silver State Hearing & Balance | Audiometric testing/H.I.N.T. | 2010 | | 12/31/2014 | 4/14/2014 | Active | |
| 109 S | S31 | Smith, Brian Med, CCC-SLP | Speech/Language | 2002 | | 12/31/2014 | 9/11/2014 | Active | |
| S | S31 | Sparks Pediatric & Adolescent Medicine | | 2001 | | | | | |
| 110 S | S31 | Windisch, Kevin MD | Pediatric | | | 6/30/2015 | 4/3/2014 | Active | |
| 111 S | S31 | Steinberg Diagnostic Medical Imaging | Radiology | 1991 | | | | | |
| 112 S | S31 | University of Nevada-Reno | Speech/Language | 2001 | | 12/31/2014 | 4/14/2014 | Active | |
| S | S31 | Valley Pediatrics & Specialty Center | | 2003 | | | | | |
| 113 S | S31 | Melocoton, Teresita MD | Pediatric | | | 6/30/2015 | 4/3/2014 | Active | |
| 114 S | S31 | Vance, Cathy AudD | Audiometric testing with & without aids | 2002 | | 12/31/2014 | 4/14/2014 | Active | |
| 115 S | S31 | Veerappan, Venkatachalam MD | Neurological | 2011 | | 6/30/2015 | 4/14/2014 | Active | |
| S | S31 | Westfield Eye Institute | | 1999 | | | | | |
| 116 S | S31 | Houchin, Kenneth W. MD | Opthalmology/Neuro-opthalmology | | | 6/30/2015 | 4/2/2014 | Active | |
| 117 S | S31 | Wilson, Warner PhD | Psychological | 1990 | | 12/31/2014 | 4/8/2014 | Active | |
| 118 S | S31 | Winkleman, Bonnie M. PsyD | Psychological | 2001 | | 12/31/2014 | 4/8/2014 | Active | |
| | S31 | Yao, Richard PhD | Psychological | 2011 | | 12/31/2014 | 4/8/2014 | Active | |
| 120 S | S31 | Zucker, Irene PsyD | Psychological | 2009 | | 12/31/2014 | 4/8/2014 | Active | |
| 121 S | S31 | Zucker, Marc PsyD | Psychological | 2009 | | 12/31/2014 | 4/8/2014 | Active | |
| | | | | | | | | | |
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***Key Provider

FY Complaints

18

NJ DDS-CE Oversight Plan FFY'14

(Per POMS DI 39545.550/575, 20 CFR 404.1624/416.1024)

Complaint Resolution Procedures

For the complaints, the DDS receives where the Consultative Exam Provider is rude, insensitive, careless, or acted in an unprofessional manner, including unacceptable facility issues, the appropriate PRO responds to the claimant by sending an acknowledgement letter. The CE provider is presented with copies of the complaint where appropriate.

At that point, based on factors such as a history of previous allegations or complaints, it is determined whether further investigation is needed and whether written responses are required to the claimant or the CE provider.

If the DDS received complaints or allegations of an egregious nature, (eg. Illegal/criminal activity, sexual harassment, cultural insensitivity, or allegations compromising the health and safety of the claimant) the DDS will suspend referrals and reschedule pending appointments while the allegations are being investigated. The DDS administrator will be notified of the nature and severity of the claimant's complaints. Notification will be sent to state authorities or law enforcement agencies. The claimant will be responded to by phone or personal visits if required. The PRO will schedule an appointment to meet with the provider and discuss the claimant's allegations. Copies of the claimant's complaints will be given to the provider if the nature of the complaint did not require referral to an investigating agency. The PRO will then document the appropriateness of the CE provider's responses and determine if further action is needed. The Regional Office is notified of the complaint/allegations and course of action taken such as retraining, by the DDS/State authorities.

NJ DDS does not issue contracts for CE Provider services. All CE providers initially hired are advised that they are in essence "at will" employees and their services may terminate at any time. Additionally, they are advised that they are not guaranteed any particular level of CE volume. In this respect, NJ DDS maintains an objective balance of interests of all stakeholders in the disabled community. (b) (6)

For PII issues, POMS DI 39566.115/POMS DI 39567.245/POMS DI 39567.250/DDSAL 716 are utilized as guides in dealing with actual incidents. In fiscal year 2014, there were no incidents reported. (b) (6)

Onsite Reviews

The DDS made 76 visits to C/E providers over the last fiscal year with one Chief and two PROs participating. One PRO (b) (6) . However, another individual was transferred

to PRO position in August. The Key Providers were seen at least once quarterly for oversight and ERE issues. The bulk or key providers were all seen at least four times over the last 12 months. A new directive came out effective July 12, 2010 whereby the key provider oversightbilling threshold was increased to \$150K from \$100K per 20 CFR 404.1519s(f)(11) and 20 CFR416.919s(f)(11). This served to free up some additional time for Professional Relations staff during the fiscal year. There are currently 220 CE providers in the state. While every provider was not seen this year due to the ERE initiatives, (which include outbound fax, ERE Hospital recruitment, outreach to advocacy groups, and 100% CEMD registration into the process) the PRO's did manage to visit all major and issues-oriented CE providers. However, all CE providers were contacted on a regular basis telephonically and via e-mail. All complaints were handled expeditiously utilizing the appropriate protocol. Typical complaints from claimants involved: use of offensive language, eliciting pain on examination in orthopedic exams, ADArelated issue, and unkempt offices. PRO responded promptly with appropriate field visit and investigation, which resulted in positive resolution for all parties. Additionally for the third year in a row, PRO Chief and officer administered refresher training in the field and NJ DDS medical director offered training in-house to various CE providers especially in the Orthopedics specialty. Five deficient CE reports necessitated repeat CEs to be performed. Additionally, attorneys requested to witness a CE on two occasions. The particular CE provider, (b) (6) ., per POMS DI 22510.016E.5 guidance, declined the requests.

List of Key/High Volume Providers

Advanced Family/Occ Health

Psychometric Services

Lewis Lazarus, P.H.D.

Rhambai Patel, M.D.

Telecare LLC, Dr. Brown Ph.D.

Ernesto Perdomo P.H.D.

Best Medical Consultants

Somerset Medical Services

508 Gatewood Rd Cherry Hill, N.J.

100 Hamilton Ave Paterson, N.J.

(b) (6) Voorhees, N.J.

(b) (6) Elizabeth, N.J.

285 Passaic Street Hackensack, N.J.

(b) (6) West New York, N.J.

55 E. Rte.70 Marlton, N.J.

201 Union Ave.

Bridgewater, N.J.

Essex Diagnostic Group

280 South Harrison Street, Suite 405 East Orange, N.J., ten sites

Medical Credentials

All credentials for the CE panelists are updated every 2 years per POMS DI 39567.300. A credentials check in 2015 is being readied. Credentials for CE panelist support staff such as lab techs are being updated on an annual basis. This began last fiscal year per POMS DI 39567.400. The process is being completed. A current copy of their NJ license was obtained and retained by DDS Administrative Services. Credentials are checked over the internet for violations and exclusions. Sources of credential information include: U.S. Dept. of HHS OIG, N.J. Dept. of Consumer Affairs, N.J. Dept. of Treasury, N.J. Dept. of Banking and Insurance, and SSA OIG. Central files of our CE staff are maintained at NJ DDS, 550 Jersey Avenue, New Brunswick, N.J. 08903.

Upon hiring Medical Consultants, a professional qualifications form is completed and a copy of the NJ license is obtained. Again, these credentials are checked as above over the internet .Any negative information is investigated and if found to be valid, the vendor is not permitted to perform consultative examinations. Reference bases are the NJ Board of Medical/Psychological Examiners; HHS OIG list of excluded vendors and the N.J. Department of Treasury list of debarred vendors. Our key CE providers conduct all testing on site. We consolidated four different professional qualification forms into one form allowing a streamlined registration process for medical doctors, psychologists, audiologists, and speech-language pathologists.

Medical Fee Schedule

A copy of the CE Fee Schedule for FFY'15 is attached for your convenience. In FFY'14, there were 68,931 consultative exams approved and processed and 44,280 consultative examinations paid. NJ does not grant high volume medical provider discounts. In order to create cost savings, we added the C-6 ROM chart to each Internal CE package. This will limit some ordering of independent Orthopedic exams. Additionally, SSA National Policy Questions 09-25 directed limited use of the Weschler Memory Scale testing. NJ DDS has taken immediate steps to fully propagate this directive and achieve additional program cost-savings. In fact, NJ DDS cut down ordering of this testing by 75% per month since this national policy question was introduced on May 6, 2009 . The CE Fee Schedule process is guided by POMS DI 38545.600, POMS DI 39506.001, and OMB Circular No. A-87. NJ DDS utilized HIGHMARK Medicare Services, CMS website, PsychCentral CPT Codes for Psychological Services, and 2013 CPT Codes manual information as resources. New Jersey State Temporary Disability Insurance is sent our fee schedule annually as they utilize some of our consultative medical professionals to conduct independent medical exams for their program purposes.

Professional Relations Activities

The PRO's have been aggressive in their approach toward recruiting physician, hospitals and schools into the ERE process. All 112 hospitals/hospital systems are supplying medical documentation to the DDS through electronic means – WEB or FAX SERVER. 363/590 school districts or 54% of all public school buildings have embraced the electronic process; while over 7,000 treating sources are doing the same by either

faxing or uploading to the Social Security secure website. New Jersey's permanent vendor file hosts approximately 61,701 providers. Outbound fax is automatically utilized by the DDS staff to all 220 CE providers. The remainder of the vendors receive their requests for consultative examinations through the website. There was a myriad of meetings over the last year with hospital administrators and systems information officers in order to transition over into the electronic process. Intense efforts by External Contacts Region helped in securing increased ERE participation and it paved the way for increased hospital provider participation. ERE rates reached 74.36% - MER (February 2014), 99.50% - CE (April 2014).

PRO staff recruited four CEMD statewide in specialties including: psychiatric, pediatric, and orthopedic. Two CEMD retired. One was removed from the panel (b) (6)

One was terminated because of (b) (6)

. Five CEMD staff physicians were added to existing

bulk CE providers' staff in all areas of specialization.

During 2009, we established a quicker means of communicating with all CE providers by creating an e-mail contact list for approximately 90% CEMD. During FFY'2014, we continued to send e-mail messages. If an important message is to be sent out, then PRO staff constructs a tailored message Messages included such topics as PII issues, adverse medical diagnosis noted at time of CE, rescheduling of CE, and incorporating X-rays and laboratory tests interpretation within body of CE report. Additionally, we have engaged our largest CE provider, Essex Diagnostic Group, in establishing additional telephone lines for both CE schedulers and DDS adjudicators. This is fostering a quicker turnaround time in resolving CE scheduling issues.

In addition to these concerted efforts, the PRO staff have attended, exhibited and networked in a multitude of conferences, conventions and training sessions. Included is the NJEA Convention in Atlantic City in 2008, 2009, 2011, 2012, 2013. NJ School Board Convention in Atlantic City in 2008, 2009, 2011, and 2012. NJHIMA Convention in June 2010 and 2011, 2012, 2013, 2014. School Social Workers' Conference in 2008 – 2014.

Other activities included the NJ Business and Industry Association Conference, Women and AIDS Conference, the Chronic Fatigue conference and the North East Multiple Sclerosis Society Conference. Meetings attended include NJ Social Security Alliance meetings, and FO/DDS/ODAR Teaming Committee meeting, School Social Workers Conference, National Caregivers Conference, County Welfare Managers, DYFS/Trenton SSA-F.O. project, NJ Department of Corrections, SOAR homeless project, Ticket to Work- SSA, Trinitas Children's

Services, Kessler institution, Leukemia and Lymphoma Society of New Jersey, UCHC(Prisons medical service), and various veterans groups in association with Military Casualty case outreach.

In early June 2009, PRO began outreach to several hospitals and other medical providers in promoting SSA's national "HIT" initiative. Six medical provider entities expressed interest in submitting a "Request for Information" and "Request for Proposal". NJ DDS continued to maintain open communication throughout FFY'10, FFY'11, FFY'12, FFY'13, and FFY'14 with those six entities and the Camden County HIE on future prospects for "HIT" program participation. We are also tracking Healthcare IT News for latest news regarding electronic medical records capabilities of New Jersey hospitals. Atlantic Health Care, CentraState Healthcare System, Hunterdon Healthcare System, and Meridian Health were deemed "most wired". These entities provide additional opportunity for ("HIT" – Health Information Technology) in the near future. PRO Chief is also working with Chief of IT at Saint Barnabas Health Care System in continuing development of ERE processes, which could lead to "HIT" development in the future. In 2012 and 2013, relevant information has been referred to SSA in Baltimore to start a partnership with St. Barnabas Health, Cooper University Hospital, and Hackensack University Medical Center alike SSA's "HIT" partnership with Kaiser Permanente.

SSA-directed projects dominated the fiscal year 2012, 2013, and 2014 as well inclusive of eAuthorization, which necessitated the Chief of Professional Relations to make presentations on this subject in major MER provider medical records departments as well as to track progress of acceptance of all MER providers. A companion mailer went out on all MER requests as well which generated much telephone activity and increased acceptance. New Jersey DDS took the lead in asking SSA to work with VA Health systems for the VA's acceptance of eAuthorization as well. Overall, SSA's eAuthorization process involved getting all major MER providers to buy into this concept. DCPS issues involved multiple electronic meeting events as well. SSA made a brief pause with DCPS at present time.

Overall. New Jersey DDS professional relations': outreach/communications to internal and external stakeholders, CE process oversight, CEMD recruitment, support role with NJ DDS claims operations, and ERE management/expansion activities highlighted a year of intense and persistent effort in a goal-directed team approach.

NJ DDS List of Key/High Volume Providers For FY 14

Advanced Family/Occ Health

Psychometric Services

Lewis Lazarus, P.H.D.

Rhambai Patel, M.D.

Telecare LLC, Dr. Brown Ph.D.

Ernesto Perdomo P.H.D.

Best Medical Consultants

Somerset Medical Services

Essex Diagnostic Group

508 Gatewood Rd Cherry Hill, N.J.

100 Hamilton Ave Paterson, N.J.

(b) (6) Voorhees, N.J.

(b) (6) Elizabeth, N.J.

285 Passaic Street Hackensack, N.J.

(b) (6) West New York, N.J.

55 E. Rte.70 Marlton, N.J.

201 Union Ave. Bridgewater, N.J.

280 South Harrison Street, Suite 405 East Orange, N.J., ten sites

DDS CE Oversight Report

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | Dallas |
|---|---------------------------------------|
| State DDS: | New Mexico |
| Report Period (Fiscal Year): | 2014 |
| Current Date: | 11/10/2014 |
| Reporter's Name, Phone number, and title: | Name (b) (6) Phone number (b) (6) |
| | Title Director of Special Programs |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

In order to begin investigation on complaints, we ask that the complaints be submitted in writing. Once the complaint is received, a Professional Relations Officer (PRO) writes a letter of acknowledgement to the claimant and their representative, if they have one. The letter thanks them for alerting us to their treatment and informs them that the charges will be investigated. The PRO reviews a copy of the Consultative Exam (CE) report, the CE provider's file, and disability examination questionnaires for additional feedback. A copy of the complaint is sent to the CE provider and a written response is requested. If the complaint appears to be credible, an unannounced comprehensive onsite visit is conducted. If findings from the visit corroborate the complaint, the claimant is thoroughly interviewed and the New Mexico Regulations and Licensing Department (NM RLD) is contacted to determine whether similar complaints or actions have been filed against the provider, as complaints are registered with the Boards and Commission Division or NM RLD. If there is proof of the alleged complaint and investigative findings, the CE provider may be removed from the panel and CE scheduling ceased, depending on the nature and severity of the complaint.

2. Attach a list of completed onsite reviews of CE providers.

Adams, Carl PhD (Alamogordo) Advanced Medical Consultants (Albuquerque) AMCE Physicians Group (Albuquerque) Audio Acoustics Hearing Center (Roswell) Center Through the Looking Glass – (Las Cruces) Diaz, Carmen PhD (Las Cruces)

Eastern New Mexico Medical Center (Roswell) Fillmore Eye Clinic (Alamogordo) Gerald Champion Regional Medical Center (Alamogordo) Imaging Center of Las Cruces Lovelace Scientific Resources (Albuquerque) Med-Plus New Mexico (Albuquerque) Memorial Medical Center (Las Cruces) New Mexico Heart Institute (Roswell) Owen, John PhD (Albuquerque) Pitts, Michael PhD (Las Cruces) Premier Hearing Center (Albuquerque) Sidd, Richard MD (Roswell) Sun View Imaging Services (Las Cruces)

3. Attach a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch.

Carl Adams, PhD

(b) (6)

Alamogordo, New Mexico 88310

Advanced Medical Consultants 9500 Montgomery Blvd NE, Suite 114 Albuquerque, New Mexico

AMCE Physicians Group 1820 Juan Tabo NE Albuquerque, New Mexico 87112

Med Plus New Mexico 11811 Menaul Blvd. NE, Ste. 2 Albuquerque, New Mexico 87107

John Owen, PhD

(b) (6) Albuquerque, New Mexico 87106

4. Provide the total number of CE providers on the panel.

137

5. Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs.

We are diligent in our efforts to assure that all our CE providers and their support personnel are licensed and eligible to perform CEs and support services. When we receive the initial paperwork from a new vendor or treating physician, the PROs check the exclusion site provided by OIG (LEIE), the

appropriate State licensing board, and the State verification site (Regulation & Licensing Department website), when appropriate. We obtain a hard copy of the provider's current license, a copy of their photo I.D., as well as signed licensure and confidentiality statements.

We maintain a CE vendor license spreadsheet to ensure all CE vendors currently on our panel are confirmed as licensed and eligible to perform/conduct CEs via the New Mexico Regulations and Licensing Department and the New Mexico Licensing Board.

6. Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation.

We obtain licensing information on CE provider's support personnel. We obtain a signed statement from the CE provider assuring that the support personnel have the appropriate licenses/credentials and we obtain confidentiality and licensure statements. We check the information provided by the support personnel to assure it corresponds with requirements of the appropriate New Mexico licensing board.

A new filing system for our CE Vendor Files has been implemented in order to improve and simplify the upkeep of vendor information.

7. Provide a description of any CE/MER fee schedule changes (include a description of any volume medical provider discounts).

The current fee schedule is based on the 2014 Medicare Fee Schedule. Prior to completion, we compared our fees with the current fees for the surrounding states of Arizona, Texas and Colorado. Our fee schedule changed this year to include the following:

- Add MC CPT 69210, for Cerumen Removal at Audiology Exams, for a fee of \$25.00; \$50 for bilateral
- Add MC CPT 92601, Hearing in Noise Test (HINT) for diagnostic analysis of cochlear implant, <7 years of age, with programming, for a fee of \$80.00</p>
- Add MC CPT 92603, (HINT) for diagnostic analysis of cochlear implant, > 7 years of age, with programming, for a fee of \$80.00
- > Change MC CPT for 90801 to 90791, for Mental Status Exams
- > Change MC CPT for 92506 to 92523, for Speech/Language Evaluations
- Change MC CPT for 94720 to 94729, for Diffusion Capacity (DLCO)
- Decreased fees: Multiple fees for X-rays, mental status exams, and psychological testing were decreased due to decreased Medicare Limiting Fees
- > Deleted MC CPT 92002 for Subsequent Ophthalmological Exam
- Decrease in various physical exams in order to accommodate the addition of a "records review" fee of \$25, which will be paid when a signed statement of records review is received from the CE vendor, whether or not the exam was completed. This records review fee is proposed for mental and speech/language evaluations as well.
- Increase in MC CPT 92523 Speech/Language Evaluation fee, from \$140 to \$150

We do not use any volume provider discounts.

8. Upload fee schedules to the MPRO SharePoint site.



9. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc.

We occasionally conduct joint meetings between the CE Unit, the PRO Unit and a representative from the Operations staff. At these meetings, we discuss areas of need regarding a sufficient pool of providers in specific geographic areas, challenges with scheduling and rescheduling procedures, and ways to improve processes. The PRO Unit relies on the advice of the CE schedulers, Medical Consultants and adjudicators to determine areas of greatest need, and we recruit according to the needed specialties in specific geographic areas. We also seek assistance in assuring we have sufficient providers by making certain Med-Plus and AMCE Physicians Group is always aware of our areas of need. They have proven to be very valuable resources in this regard.

Professional Relations also continues to work closely with the Fiscal Unit, to ensure our CE Providers are paid timely and appropriately. Our area acts as liaison between Fiscal and the providers as providers often have questions regarding payments, status of payments, etc. Our unit also reminds/trains staff regarding proper authorization verification processes. The PRO Unit is also in charge of paying vendor travel for the CE Providers who travel in order to conduct exams in the more rural areas of New Mexico.

The VA continues to use the SSA website and is our highest volume MER provider.

The Professional Relations Unit has been working with vendors across New Mexico to gain their acceptance of the electronically signed 827 (e-827), implemented by SSA in April 2012. We have FINALLY come to an agreement with UNM Hospital and Southwest Medical Associates, to accept the e-827. They were the two larger volume vendors most resistant to this process. UNM also became an ERE vendor in September. They have been attempting to provide electronic records since approximately 2006. The feedback regarding this change, from adjudicative staff, has been extremely positive, thus far.

The New Mexico DDS continues to support the Social Security Outreach, Access and Recovery (SOAR) Initiative. Professional Relations continues to be involved with and to represent DDS during trainings. The PROs and Director of Special Programs continue to represent DDS on the New Mexico SOAR Steering Committee. In September, (b) (6) from the National SOAR Technical Assistance Center travelled to New Mexico to hold SOAR Community Forums across the State. DDS PRO was present for the Santa Fe Forum and NM DDS was the site of the Albuquerque SOAR Forum, which was attended by the Albuquerque SOAR Steering Committee (DDS, SSA, NM Coalition to End Homelessness, UNM, Albuquerque Heading Home) and stakeholders across the community.

The PRO Unit assisted with the agency CDR goal by working 199 CDRs. 16 Initial claims were also completed.

The PROs also responded to approximately 90 Congressional Inquiries during FY2014. We met with the Congressional Offices hosted by the Albuquerque FO. Congressional Offices were contacted/visited during PRO trips to Roswell and Las Cruces.

The PRO Unit attended New Mexico Highlands University's job fair, along with (b) (6) Team Specialist from Operations, in April 2014. The purpose of this was to help Operations recruit new adjudicative staff and was successful in its efforts.

University of New Mexico Hospital, one of our largest MER providers, hosts Social Worker meetings regularly. DDS was invited to speak at one of these meetings, recently to provide their social workers with a better idea of the disability process. This meeting coincided with the hiring of a new TERI Specialist. The PROs included in this meeting to introduce to the social workers. In addition, a trip was made to the UNM Cancer Center, for introduction as well.

Onsite visits were also conducted at the following MER facilities:

- ABQ Health Partners (Albuquerque)
- Behavioral Medicine Associates (Roswell)
- Eastern New Mexico Medical Center (Roswell)
- Gerald Champion Regional Medical Center (Alamogordo)
- Kymera Independent Physicians (Roswell)
- Kymera Independent Physician Cancer Treatment Center (Roswell)
- Lovelace Regional Hospital (Roswell)
- Memorial Medical Center Cancer Center (Las Cruces)
- New Hope Cancer Center (Las Cruces)
- Roswell Independent School District
- SCOR Orthopedics (Roswell)
- Southwest Medical Associates (Albuquerque)
- UNM Cancer Center (Albuquerque)
- UNM Hospital (Albuquerque)
- Veteran's Administration (Albuquerque)

CE Recruitment was performed in Roswell, Alamogordo, Las Cruces, Clovis, Silver City, El Paso, and Farmington, New Mexico. In addition, visits were made to the Roswell and Las Cruces Field Offices, as courtesy calls while a PRO was in the area and to assist with communication and relations between DDS and SSA FO components.

Please attach any additional information before submitting this form.

The PRO Unit presented to all 5 adjudicative teams, in their individual team meetings, in order to provide additional training to adjudicators regarding CE vendor authorizations, attorney objections, congressional inquiries, PRO Unit services, etc.

The PROs have been working a joint effort with the Arkansas DDS and Dallas DPU involving a high volume of ARs. In FY2014, the NM DDS worked 4406 ARs. The ARs include: ordering CEs and performing any and all types of case actions involved (inputting the CE order as requested via 883, claimant telephone calls, follow-up of CE statuses, communication to requesting office re: broken/kept CEs, verifying CE reports, rescheduling CEs, address/telephone number changes, attorney/representative telephone calls, scanning

in evidence provided, travel reimbursement, CE report status calls, contacting CE vendors for clarification of CE reports as requested by originating DDS, AR closures, etc.). The PRO Unit was assigned and cleared 981 ARs from both Arkansas and Dallas DPU combined. They also cleared an additional 238 ARs from the ALJs (ODAR). The PRO Unit was responsible for providing training to additional areas regarding ARs. This included AR training to staff members in order to have assistance managing the AR workload. The overall responsibility and coordination of ARs is handled by the PRO Unit. We continue to be the main point of contact for Arkansas and the Dallas DPU, in regards to ARs, and we continue to assist with the ordering of CEs and the processing of AR closures.

The PROs were also asked to assist the agency in meeting the CDR goal and were assigned 199 CDRs and cleared 159 CDRs.

Outbound Fax Error training was also provided to the support staff, for assistance in handling fax errors.

A LOT of work was put into the archiving of claims, in order to consolidate, purge and clean-up the NM DDS Vendor File, in preparation for the DCPS National Vendor File. Prior to the instruction to proceed with these efforts for DCPS, existing New Mexico Behavioral/Mental Health vendors went through a major disruptive change, when contracts were cancelled. This produced a major effort on the part of the PRO Unit, which involved contacting each vendor and their replacements, in order to validate correct MER sources, etc. The Vendor File clean up is an ongoing project. In addition, many person-hours went into the preparation for DCPS.

DDS CE Oversight Report

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | 02 |
|---|-----------------------------------|
| State DDS: | New York |
| | FY 2013/2014 |
| Current Date: | 10/28/14 |
| Reporter's Name, Phone number, and title: | Name (b) (6) Phone number (b) (6) |
| | Title |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.



to Resolve Co.....

2. Attach a list of completed onsite reviews of CE providers.



3. Attach a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch.

| BATH BEACH MEDICAL PC | 2071 CLOVE ROAD STE A | STATEN ISLAND | NY | 10304 |
|---|----------------------------|---------------|----|-------|
| BUFFALO HEARING & SPEECH CTR | 6941 ELAINE DRIVE SUITE 4 | NIAGARA FALLS | NY | 14304 |
| GLENS FALLS HOSPITAL | 102 PARK ST., SUITE B-2 | GLENS FALLS | NY | 12801 |
| INDUSTRIAL MEDICAL ASSOC PC | 301 MANCHESTER MILL CENTER | POUGHKEEPSIE | NY | 12603 |
| INDUSTRIAL MEDICINE ASSOC PC | 1690 WASHINGTON AVENUE | BOHEMIA | NY | 11716 |
| INDUSTRIAL MEDICINE ASSOC PC | 1762 CENTRAL AVE | ALBANY | NY | 12205 |
| INDUSTRIAL MEDICINE ASSOC PC | 186 JORALEMON ST 5 FLR | BROOKLYN | NY | 11201 |

| | DUSTRIAL MEDICINE ASSOC PC | 250 FULTON AVENUE | HEMPSTEAD | NY | 11550 |
|-----------------------|--|--|--|--|--|
| | DUSTRIAL MEDICINE ASSOC PC | 280 N. CENTRAL AVE, STE 115 | HARTSDALE | NY | 1053 |
| | DUSTRIAL MEDICINE ASSOC PC | 3250 WESTCHESTER AVE STE 201 | BRONX | NY | 1046 |
| | DUSTRIAL MEDICINE ASSOC PC | 42 BROADWAY SUITE 1900 | NEW YORK | NY | 1000 |
| | DUSTRIAL MEDICINE ASSOC PC | 42 BROADWAY 19TH FLOOR | NEW YORK | NY | 1000 |
| | DUSTRIAL MEDICINE ASSOC PC | 518 JAMES STREET | SYRACUSE | NY | 1320 |
| | DUSTRIAL MEDICINE ASSOC PC | 679 MAIN STREET | WESTOVER | NY | 1379 |
| | DUSTRIAL MEDICINE ASSOC PC | 80-02 KEW GARDENS ROAD | KEW GARDENS | NY | 1141 |
| IN | DUSTRIAL MEDICINE ASSOC, PC | 214 ALEXANDER ST STE 200 | ROCHESTER | NY | 1460 |
| N | DUSTRIAL MEDICINE ASSOCS PC | 31 SHERMAN ST STE 1300 | JAMESTOWN | NY | 1470 |
| N | DUSTRIAL MEDICINE ASSOCS, PC | 430 COURT STREET | UTICA | NY | 1350 |
| IN | DUSTRIAL MEDICINE ASSOCS, PC | 699 HERTEL AVE STE 355 | BUFFALO | NY | 1420 |
| M | D-VERN MEDICAL SERVICES PC | 660 WHITE PLAINS RD STE 630 | TARRYTOWN | NY | 1059 |
| 05 | SIKA & SCARANO PSYCH SVC PC | 5 PINE STREET | GLENS FALLS | NY | 1280 |
| | ensure that no unlicensed or o | ecks are made at initial agreemen excluded CE providers perform CE | Es. | | |
| Vh ur no | ensure that no unlicensed or other a CE provider is first credential rent licensure and any sanctions. nthly basis, DDS staff checks license | - | nt and periodically Es. current license. DDS at licensure and any s t CE provider leaves a | staff check anctions. C | ks for On a roll |
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| Wh cur no nu | ensure that no unlicensed or of en a CE provider is first credential rent licensure and any sanctions. nthly basis, DDS staff checks licens st go through the initial complete Provide a brief description of personnel are properly license The contracted CE provider main this is a pass through requirement Provide a description of any medical provider discounts). | excluded CE providers perform CE ed, they must submit a copy of their Annually, DDS staff checks for current sure and sanction status. If a contract credentialing process before they ca the process used by the DDS to e ed or credentialed when required intains the licenses and credentials of at from the signed CE and Ancillary Te CE/MER fee schedule changes (i | nt and periodically Es. current license. DDS at licensure and any s t CE provider leaves a n perform CEs. nsure that all CE pr by State law or re f the employees in t esting Contract. | S staff check sanctions. C after 90 day roviders' s gulation. heir medica | ss for On a roll os, they upport al offices |

9. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc.

- Exhibiting at Conferences
 - NYS Academy of Family Physicians (January 2014)
 - NYS Speech-Language-Hearing Association (April 2014 and June 2014)
- Ongoing Statewide participation with SSI/SSD Outreach, Access and Recovery (SOAR) trainings
- Work in conjunction with the NYC Human Resources Administration WeCare Program
- On a continuous Statewide basis work with local Departments of Social Services

Please attach any additional information before submitting this form.

| CE Source | Date of Visit(s) | Reason(s) |
|--|--|-----------|
| MANHATTAN PROCESSING CENTER | | |
| IMA (Manhattan) 42 Broadway-19 th Floor NY, NY 10004 | 10/30/13; 11/26/13; 12/23/13; 01/30/14; 02/25/14; 03/19/14; 04/10/14; 5/29/14; 06/16/14; 07/24/13; 08/23/13; 09/30/13 | |
| IMA (Brooklyn) 186 Joralemon Street-4 th Floor Brooklyn, NY 11201 | 10/25/13; 11/22/13; 12/27/13; 01/31/14; 02/27/14; 03/27/14; 04/25/14; 05/30/14; 06/27/14; 07/30/13; 08/30/13; 09/20/13 | (b) (6) |
| Bath Beach Medical 1975 Hylan Boulevard Staten Island, NY 10310 | 10/22/13; 11/20/13; 12/18/13; 01/13/14; 02/26/14; 03/28/14; 04/28/14; 05/27/14; 06/24/14; 07/22/13; 08/14/13; 09/25/13; | |
| IMA (Kew Gardens) 80-02 Kew Gardens Road Kew Gardens, NY 11415 | 10/24/13; 11/21/13; 12/26/13; 01/29/14; 02/18/14; 03/07/14; 04/15/14; 05/22/14; 06/27/14; 07/15/13; 08/15/13; 09/06/13 | (b) (6) |
| IMA (Hempstead) 250 Fulton Avenue Hempstead, NY 11550 | 10/24/13; 11/12/13; 12/27/13; 01/16/14; 02/19/14; 03/20/14; 04/07/14; 05/14/14; 06/20/14; 07/26/13; 08/12/13; 09/27/13 | (b) (6) |
| IMA (Bohemia) 1690 Washington Avenue Bohemia, NY 11716 | 10/31/13; 11/26/13; 12/27/13; 01/24/14; 02/28/14; 03/11/14; 04/25/14; 05/16/14; 06/30/14; 07/31/13; 08/26/13; 09/27/13 | |
| IMA (Bronx) 3250 Westchester Avenue Bronx, NY 10461 | 10/29/13; 11/26/13; 12/18/13; 01/28/14; 02/20/14; 03/25/14; 04/29/14; 05/27/14; 06/24/14; 07/23/13; 08/16/13; 09/24/13 | (b) (6) |
| TOTAL VISITS: | 82 | |

| CE Source | Date of Visit(s) | Reason(s) |
|------------------------------------|-------------------------------|-----------|
| ALBANY PROCESSING CENTER | | |
| IMA Disability Services, PC | 10/30/13; 11/22/13; 12/30/13; | (b) (6) |
| 1762 Central Avenue, Ste 202 | 01/22/14; 02/13/14; 03/26/14; | |
| Albany, NY 12208 | 04/16/14; 05/8/14; 06/26/14; | |
| (Plattsburgh Satellite) | 07/23/14; 08/21/14; 09/25/14 | |
| | 11/21/13; 02/18/14; 09/11/14 | |
| | (Plattsburgh) | |
| IMA, Inc. | 10/24/13; 11/12/13; 12/16/13; | (b) (6) |
| 229 Manchester Mill Center | 01/24/14; 02/28/14; 03/13/14; | |
| Poughkeepsie, NY 12603 | 04/17/14; 05/22/14; 06/12/14; | |
| (Middletown Satellite) | 07/17/14; 08/26/14; 09/16/14 | |
| | 12/30/13; 03/03/14; 07/29/14 | |
| | (Middletown) | |
| North Disability Services | 10/24/13; 11/04/13; 12/05/13; | (b) (6) |
| 280 N. Central Avenue | 01/28/14; 02/20/14; 03/27/14; | |
| Hartsdale, NY 10530 | 04/15/14; 05/01/14; 06/24/14; | |
| | 07/15/14; 08/05/14; 09/25/14 | |
| Industrial Medicine Associates, PC | 10/23/13; 11/08/13; 12/03/13; | (b) (6) |
| 430 Court St | 01/16/14; 02/11/14; 03/20/14; | |
| Utica, NY 13502 | 04/08/14; 05/21/14; 06/17/14; | |
| | 07/28/14; 08/06/14; 09/11/13 | |
| TOTAL VISITS: | 54 | |

| CE Source | Date of Visit(s) | Reason(s) |
|---|---|-----------|
| BINGHAMTON PROCESSING CENTER | | |
| IMA Binghamton (AKA:Westover) 679 Main Street Westover, NY 13790 | 10/30/13; 11/26/13; 12/31/13; 01/28/14; 02/26/14; 03/20/14; 04/24/14; 05/29/14; 06/19/14; 07/31/14; 08/28/14; 09/23/14 | (b) (6) |
| IMA Syracuse 518 James Street Syracuse, NY 13203 | 10/22/13; 11/13/13; 12/12/13; 01/13/14; 02/20/14; 03/11/14; 04/16/14; 05/21/14; 06/12/14; 07/24/14; 08/20/14; 09/29/14 | (b) (6) |
| IMA Elmira (satellite of Westover) 1300 College Avenue Elmira, NY 14901 | 11/19/13; 02/12/14; 06/05/14; 08/06/14 | (b) (6) |
| IMA Watertown 218 Stone Street Watertown, NY 13601 | 10/22/13; 11/29/13; 12/20/13; 03/31/14; 06/26/14; 08/14/14 | (b) (6) |
| TOTAL VISITS: | 34 | |

| CE Source | Date of Visit(s) | Reason(s) |
|--------------------|------------------|-----------|
| BUFFALO PROCESSING | | |
| CENTER | | |

| IMA Rochester 1650 Elmwood Ave Rochester, NY 14205 | 10/01/13; 11/06/13; 11/15/13; 01/23/14; 02/26/14; 03/26/14; 04/09/14; 05/07/14; 06/25/14; 07/31/14; 08/01/14; 09/15/14 | (b) (6) |
|---|---|---------|
| IMA Buffalo 900 Hertel Street Buffalo, NY 14205 | 10/28/13; 11/13/13; 12/30/13; 01/09/14; 02/13/14; 03/05/14; 04/03/14; 05/14/14; 06/02/14; 07/10/14; 08/20/14; 09/15/14 | (b) (6) |
| IMA Jamestown 31 Sherman Street Jamestown, NY 14701 | 11/21/13; 03/26/14; 05/19/14; 09/04/14 | (b) (6) |
| TOTAL VISITS: | 28 | |

Description of the NYDDS procedures used to resolve the various categories of complaints received throughout the year.

The Division of Disability Determinations (DDD) relies on POMS DI 39545.375 (oversight reporting of claimant complaints) and DI 39545.350 (claimant evaluation of CE providers) for guidance concerning complaint resolution process/procedure. The MROs in each of our Processing Centers are required to maintain a claimant complaint log documenting complaints received and actions taken. Tasks involving the handling of complaints (most are routine/do not involve criminal acts) include, but are not limited to:

- > Complaint is entered into the 'Claimant Complaint Log'.
- > Obtain claimant information, e.g., address, phone, etc.
- > Send a letter to the claimant acknowledging receipt of the complaint.
- > Review CEMD file for prior complaints.
- > Telephone the claimant to obtain additional information if necessary.
- > Obtain a copy of the4 CE report.
- > Send a letter to the CE provider describing the complaint and requesting a response in writing within fourteen days. Follow-up if needed.
- Review response and complete the claimant complaint register report identifying the action taken. The resolution may be no action taken against the consultant; referrals monitored more closely; consultant removed from panel; or other.
- > File resolution in the CEMD file, copy in complaint binder and close out the claimant complaint log.

Complaints that are more serious may also be, and are usually, referred to the appropriate DDD Central Office staff for review and comment and to SSA NY Regional Office. The CE provider is put on 'hold' for referrals if a complaint has been forwarded to a sanctioning agency such as the NYS Department of Health's Office of Professional Medical Conduct. DDD may also suspend referrals to a provider whose alleged misconduct has been brought to the public's attention (through the media). This is based on SSA's own policy concerning all health service providers' professional conduct.

| BATH BEACH MEDICAL PC | 2071 CLOVE ROAD STE A | STATEN ISLAND | NY | 10304 |
|--------------------------------|------------------------------|---------------|----|-------------------|
| BUFFALO HEARING & SPEECH CTR | 6941 ELAINE DRIVE SUITE 4 | NIAGARA FALLS | NY | 14304 |
| GLENS FALLS HOSPITAL | 102 PARK ST., SUITE B-2 | GLENS FALLS | NY | 12801 |
| INDUSTRIAL MEDICAL ASSOC PC | 301 MANCHESTER MILL CENTER | POUGHKEEPSIE | NY | 12603 |
| INDUSTRIAL MEDICINE ASSOC PC | 1690 WASHINGTON AVENUE | BOHEMIA | NY | 11716 |
| INDUSTRIAL MEDICINE ASSOC PC | 1762 CENTRAL AVE | ALBANY | NY | 12205 |
| INDUSTRIAL MEDICINE ASSOC PC | 186 JORALEMON ST 5 FLR | BROOKLYN | NY | 1120 |
| INDUSTRIAL MEDICINE ASSOC PC | 250 FULTON AVENUE | HEMPSTEAD | NY | 1155 |
| INDUSTRIAL MEDICINE ASSOC PC | 280 N. CENTRAL AVE, STE 115 | HARTSDALE | NY | 1053 |
| INDUSTRIAL MEDICINE ASSOC PC | 3250 WESTCHESTER AVE STE 201 | BRONX | NY | 1046 |
| INDUSTRIAL MEDICINE ASSOC PC | 42 BROADWAY SUITE 1900 | NEW YORK | NY | 10004 |
| INDUSTRIAL MEDICINE ASSOC PC | 42 BROADWAY 19TH FLOOR | NEW YORK | NY | 10004 |
| INDUSTRIAL MEDICINE ASSOC PC | 518 JAMES STREET | SYRACUSE | NY | 13203 |
| INDUSTRIAL MEDICINE ASSOC PC | 679 MAIN STREET | WESTOVER | NY | 13790 |
| INDUSTRIAL MEDICINE ASSOC PC | 80-02 KEW GARDENS ROAD | KEW GARDENS | NY | 1141 |
| INDUSTRIAL MEDICINE ASSOC, PC | 214 ALEXANDER ST STE 200 | ROCHESTER | NY | 14607 |
| INDUSTRIAL MEDICINE ASSOCS PC | 31 SHERMAN ST STE 1300 | JAMESTOWN | NY | 1470 ² |
| INDUSTRIAL MEDICINE ASSOCS, PC | 430 COURT STREET | UTICA | NY | 13502 |
| INDUSTRIAL MEDICINE ASSOCS, PC | 699 HERTEL AVE STE 355 | BUFFALO | NY | 14207 |
| MO-VERN MEDICAL SERVICES PC | 660 WHITE PLAINS RD STE 630 | TARRYTOWN | NY | 1059 |
| OSIKA & SCARANO PSYCH SVC PC | 5 PINE STREET | GLENS FALLS | NY | 1280 |

DDS CE Oversight Report

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | Boston |
|---|---|
| State DDS: | New Hampshire |
| Report Period (Fiscal Year): | 2014 |
| Current Date: | 11/14/14 |
| Reporter's Name, Phone number, and title: | Name I <mark>(b) (6)</mark> Phone number <mark>(b) (6)</mark> |
| | Title PRO |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

The NH DDS PRO handles all complaints made by claimants or other interested partied by investigating each situation. Each party is contacted by phone or email to obtain their side of the story. Depending on the nature of the complaint, appropriate action is then taken. A copy of the complaint is kept in each vendor's file. If warranted, a CE provider will be removed from the CE panel and CE s will no longer be scheduled with that provider. NH DDS removed one panelist from the panel (b) (6) was removed from the CE panel in 4/2014.

2. Attach a list of completed onsite reviews of CE providers.

The following providers/sites were visited in FY 2014. Lorene M. Sipes, PhD, Merrimack Valley Counseling, 39 Simon Street, Nashua, NH (b) (6) Sandra K. Vallery, PhD, (b) (6) (6) (6)

3. Attach a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch.

1. Peter C. Loeser, MD, (b) (6)

, Concord, NH 03301 , Manchester, NH 03101

Darlene Gustavson, PsyD, (b) (6) , Manchester, NH 03101
 HealthStop Of Nashua, Ralph Wolf, MD et al, 228 DW Highway, Nashua, NH 03060

- 4. The Doctors Office, 102 Bay Street, Manchester, NH 03104
- 5. Sandra K. Vallery PhD, (b) (6) , Exeter, NH

4. Provide the total number of CE providers on the panel.

65

5. Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs.

A license verification and LEIE check is done for each proposed panelist or staff consultant prior to their interview. License verifications and LEIE checks are then done twice per year; once in the spring and again at the end of the federal fiscal year.

6. Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation.

The DDS sends each provider a letter per DI 39569.400 Exhibit 1, in the spring, which requires them to attest, date and sign that each of their staff members who participate in DDS CEs meet all licensing and/or certification criteria.

7. Provide a description of any CE/MER fee schedule changes (include a description of any volume medical provider discounts).

The DDS CE/MER fee schedule did not changed in FY 2014

8. Upload fee schedules to the MPRO SharePoint site.

9. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc.

NH DDS PRO continually monitors eAuthorization acceptance throughout the state and contacts providers who refuse to accept it, providing a detailed explanation of the SSA eAuthorization process, in an attempt to change their minds.

NH DDS PRO encourages ERE account use for all CE providers, except hospitals and monitors MER providers for ERE readiness. ERE accounts are then established and maintained as needed.

Please attach any additional information before submitting this form.

| PNL STATUS | VNDR 1ST NAME | VNDR 2ND NAME | VNDR SURNAME | VNDR_PROF_QUAL | VNDR LOC ADDR LINE 1 | VNDR_LOC_ADDR_LINE_2 | VNDR LOC ADDR LINE 3 | VNDR LOC ADDR CITY | VNDR_LOC_ADDR_STATE | VNDR LOC ADDR |
|--|---|---------------|---|---------------------|--|----------------------------|----------------------|---------------------------------------|---------------------|------------------|
| 0 | CHRISTOPHER | | LYNCH | MD | ELLIOT RHEUMATOLOGY ASSOCIATES | 185 QUEEN CITY AVE FL 2 | | MANCHESTER | NH | 03101- |
| | | A | GRAF | PC | 152 COURT ST STE 2 | | | | NH | 03801- |
| | MICHAEL PAUL | A | SCHNEIDER DOWNEY | PHD | (b) (6) | | | | NH | 03743- |
| | | A | EVANS | PC | PO BOX 7235 | | | | NH | 03820- |
| } | HUGH | F | FAIRLEY | MD | (b) (6) | | | DUNBARTON | NH | 03046- |
| | AMY | | FEITELSON | MD | 30 MAPLEWOOD AVE | VOC REHAB HHS BLDG STE 206 | | | NH | 03801- |
| | | D | FOORD | MD | | | | | NH | 03570- |
| | | C G | GOLD HINDY | MD PHD | 835 HANOVER ST STE 304 120 MAIN ST STE 103 | | | | NH | 03104- 03060- |
| | LAWRENCE | 0 | JASPER | PHD | PO BOX 583 | | | | NH | 03055- |
| | | D | JORGENSEN | 1110 | 44 BIRCH ST STE 304 | | | | NH | 03038- |
| | NICHOLAS | | KALFAS | | CHURCH ST | PO BOX 391 | | | NH | 03809- |
| | MARTIN | | KAUFMAN | MD | PO BOX 953 | | | | ME | 04217- |
| | RICHARD | L | LEVY | MD | PO BOX 1074 | | | | NH | 03843- |
| | | L | MALLEK | MD | (b) (6) | | | | NH | 03060- |
| | | G M | MARTIN SHEARMAN | PHD MD | PO BOX 68 278 LAFAYETTE RD BLDG E | | | | NH | 03561- 03801- |
| | | K | LARSON | MD | 280 PLEASANT ST STE 12 | | | | NH | 03301- |
| | | R | THOMPSON | MD | PO BOX 577 | | | | NH | 03841- |
| | JOAN | | SCANLON | PHD | 154 BROAD ST STE 1512 | | | | NH | 03063- |
| 2 | SCOTT | J | DIEHL | MD | (b) (6) | | | BEDFORD | NH | 03110- |
| ſ | DIANA | | COLLINS | PSYD | BEDFORD PLACE | 40 S RIVER RD UNIT 63 | | BEDFORD | NH | 03110- |
| | ERNEST | | DESJARDINS | PHD | (b) (6) | | | | NH | 03870- |
| | RICHARD | | ROOT | EDD | RIDGEWOOD BLDG SPRINGFLD HOSP | 20 RIDGEWOOD RD | | | VT | 05156- |
| | | G | CARR | MD ARNP | 45 LYME RD STE 102 750 CENTRAL AVE STE G | | | | NH | 03755- |
| | SCOTT | В | HOWARD | PHD | 750 CENTRAL AVE STE G | | | | NH | 03820- 03801- |
| | TRACEY | | ALYSSON | PHD | (b) (b) | | | | NH | 03446- |
| | | G | HLASNY | PHD | 401 GILFORD AVE STE 105 | | | | NH | 03249- |
| 1 | WILLIAM | | SWINBURNE | | (b) (6) | | | KEENE | NH | 03431- |
| | WILLIAM | J | JAMIESON | | (b) (6) | | | | NH | 03104- |
| | | N | LYNCH | PHD | CORPORATE BUSINESS SERVICES | LONDONDERRY SQUARE BLDG 2 | 75 GILCREAST RD | LONDONDERRY | NH | 03053- |
| | THOMAS | | LYNCH | PHD | (b) (6) | | | | NH | 03303- |
| | | J | JAUCH DOWNS | MD PHD | 714 BREEZY HILL RD STE A | | | | VT NH | 05819-03823- |
| | | R M | SAVITEER | MD | O (O) CONCORD HOSP/INFECTIOUS CONT | 250 PLEASANT ST G100 | | | NH | 03823- |
| | | A | DINAN | PHD | (b) (6) | | | | NH | 03301- |
| | | 1 | ROONEY | PSYD | 6 | | | | MA | 01519- |
| | | E | WALKER | PSYD | 197 WATER ST STE 224 | | | | NH | 03431- |
| C | CAREY | | BLUHM | PHD | (b) (6) | | | KEENE | NH | 03431- |
| | | A | ROCKHILL | PHD | 1 TUPPERWARE DR UNIT 314 | | | | RI | 02896- |
| | | н | LAMBRUKOS | MD | PO BOX 10191 | | | | NH | 03301- |
| | ANITA | | REMIG | EDD | ORCHARD PARK A-10 | 278 LAFAYETTE STE 10 | | | NH | 03801- |
| | DAVID | L | CORRISS | PHD | | TANNERY MARKETS: ACC | | | NH | 03820- |
| | JEFFREY FRANCIS | A | KAY WARMAN | PSYD PHD | PO BOX 506 | TANNERY MARKETPLACE | | | NH | 03561- 03229- |
| | | в | SPIELMAN | PHD | (b) (6) | | | | NH | 03823- |
| | ROMULO | 0 | VALDEZ | PHD | (b) (b) | | | | NH | 03103- |
| | SCOTT | A | GUSTAFSON | PHD | PO BOX 456 | | | | NH | 03813- |
| | PATRICIA | | SALT | | 32 DANIEL WEBSTER HWY STE 17 | | | | NH | 03054- |
| E | ELIZABETH | P | HESS | PHD | (b) (6) | | | BERLIN | NH | 03570- |
| | JONATHAN | | JAFFE | MD | (b) (6) | | | | NH | 03045- |
| | | L | SCHNEIDER | | (b) (6) | | | | NH | 03743 |
| | | B | NEAL | MD | 18 CONSTITUTION DR UNIT 6 | | | | NH | 03110 |
| | | BRAD | LEBO | PHD | 404 THE HILL | PHOEBE HART HOUSE | | | NH | 03801 |
| | MICHAEL RICHARD | w | MILLS | PHD OD | WEST CENTRAL SERVICES | 20 WEST PARK SUITE 416 | | | NH | 03766 |
| | | м | ROY | PT | PO BOX 272 | | | | NH | 03276 |
| | CHERYL | | BILDNER | PHD | PO BOX 2726 | | | | NH | 03818 |
| | | G | KAMEN | PHD | 25 GREENVIEW DR APT 18 | | | | NH | 03102 |
| 2 | SANDRA | к | VALLERY | PHD | (b) (6) | | | EXETER | NH | 03833- |
| 1 | AUSTIN | L | ERRICO | PHD | C/O TRI COUNTY CAP. BLDG | 448 WHITE MOUNTAIN HWY | | TAMWORTH | NH | 03886 |
| | PATRICIA | | LOCURATOLO | | 875 GREENLAND RD UNIT B4 | | | | NH | 03801 |
| | | В | SAVAGE | | 88 MCGREGOR ST STE 203 | | | | NH | 03102 |
| | TIMOTHY ASHOK | | KINGSBURY | | PO BOX 189 | | | | ME | 03904 |
| | | A T | SHAH KEENAN | PLLC | D) (6) PO BOX 269 | 97 MAIN ST | | | NH | 03867 |
| | | M | RUDOLF | PLIC | UPPER VALLEY ORTHOPEDIC SURG | 129C MASCOMA ST | | | NH | 03766 |
| | | в | GOOZE | MD | (b) (6) | | | | NH | 03824 |
| F | PAUL | F | GUSTAVSON | | (b) (6) | | | EPPING | NH | 03042 |
| ł | KAREN | P | WAYMENT | | PO BOX 7098 | | | GILFORD | NH | 03249 |
| E | EMILIANOS | | KARAGIANNIS | | (b) (6) | | | | NH | 03104 |
| | | D | WHITE | | NORTHEAST ENT & ALLERGY | 113 NEW ROCHESTER RD STE 2 | | | NH | 03820 |
| | | м | MARSH | | PO BOX 2027 | | | | NH | 03894 |
| | RONALD | | WITKIN | | 85 SPRING ST STE 404 | | | | NH | 03246 |
| | KAREN PAUL | 1 | LAUZE HARPER | | 875 GREENLAND RD STE B4 1A COMMONS DR STE 5 | | | | NH | 03801 |
| | | L | CRESPI | PC | (a) (a) | | | BEDFORD | NH | 03053 |
| | THOMAS | | BRAHMS | | 6 | | | NASHUA | NH | 03064 |
| | ANNA | | HUTTON | | PO BOX 250 | | | | NH | 03786 |
| | JANET | | SAMUELS | | (b) (6) | | | | NH | 03301 |
| P | KATHRYN | 1 | MCNALLY | | (b) (6) | | | KEENE | NH | 03431 |
| | RICHARD | - | SCHUETZ | | PO BOX 1236 | 353 88048 57 | | | MA | 01302 |
| | JOSE L | E MARK | PERAZA REINER | | PERAZA DERMATOLOGY GROUP 19 HAMPTON RD STE 4 | 252 BROAD ST | | | NH | 03743 |
| | STEFANIE | | GRIFFIN | | NE EVALUATION SPECIALISTS | 1 WASHINGTON ST STE 443 | | | NH | 03833 |
| | SANDRA | | YARNE | | 20 LADD ST STE 405 | | | | NH | 03801 |
| | ROGER | | BELSON | | PO BOX 526 | | | | NH | 03242 |
| | CLAUDIA | | GIBSON | | (b) (6) | | | WEST LEBANON | NH | 03784 |
| P | MARC | s | RUBENSON | | 4 ELLIOT WAY STE 201 | | | MANCHESTER | NH | 03103 |
| | | c | DAI | | 168 KINSLEY ST STE 20 | | | | NH | 03060 |
| | | F | ZAPF | | (b) (6) | | | | MA | 01833 |
| | | N | SHULIK | | PU BUX 3067 | | | | MA | 01810 |
| | | F | BURNETTE | PHD | 5 PLEASANT ST STE 1 1 TUPPERWARE DR UNIT 314 | | | | NH RI | 03222 |
| | VIRGINIA NANCY | ^ | COOPER | r du | (a) (a) | | | | RI NH | 02896 |
| | PETER | | ISQUITH | | D (6) 367 ROUTE 120 UNIT B1 | | | | NH | 0388 |
| | SHIRLEY | | OXIDINE | | 5 PARK ST STE 1F | | | | VT | 05753 |
| | SHIRLEY | | OXIDINE | [| 86 LAKE ST STE 3 | | | | VT | 0540 |
| | FREDERICK | 1 | WOODARD | | PO BOX 874 | | | | NH | 0305 |
| A | AUSTIN | L | ERRICO | PHD | C/O TRI COUNTY CAP. BLDG | 448 WHITE MOUNTAIN HWY | | | NH | 03886 |
| | MARK | | HIATT | | (b) (6) | | | | NH | 03755 |
| | DARLENE | | GUSTAVSON | | 814 ELM ST STE 90A | | | | NH | 0310 |
| | | CONVERSE | AVERY | DUD | (D) (6) | | | | NH | 03824 |
| 7 | THOMAS | r D | FRYE | PHD | PO BOX 3101 | | | | NH | 03818 |
| | | R | DOWNS DOWNS | PHD PHD | 13 JENKINS CT STE 244 103 FIRST NH TURNPIKE ROUTE 4 | | | | NH | 03824 |
| E | | A | EVANS | PE | VILLAGE WEST ROUTE 11A | | | | NH | 0326 |
| E | | M | ROY | PC | HEALTH STOP | 228 DANIEL WEBSTER HWY | | | NH | 03249 |
| E | | M | ROY | PT | PINES COMMUNITY CENTER | 61 SUMMER ST | | | NH | 0300 |
| E P P | | | LAVIOLA | | 87 STILES RD STE 106 | | | | NH | 0307 |
| E E N E | MARISA | | HUTTON | PSYD | 45 LYME RD STE 200 | | | | NH | 0375 |
| E E N E E | | | WOODARD | PHD | (b) (6) | | | MILFORD | NH | 0305 |
| E F E E E F F | MARISA ANNA FREDERICK | 1 | | | AC NUMBER OF BO | PO BOX 218 | | WOLFEBORO | NH | 03894 |
| E F F F F F | MARISA ANNA FREDERICK MAURA | D | SULLIVAN | PSYD | 15 N MAIN ST # 9 | | | | | |
| E F F F F F F F R F R F R F R F R F R F | MARISA ANNA FREDERICK MAURA KRISTI | L | SULLIVAN ZEMANEK | PSYD PSYD | ELLIOT PROFESSIONAL SERVICES | 445 CYPRESS ST STE 8 | | | NH | 03103 |
| E E E E E E E E E E E E E E E E E E E | MARISA ANNA FREDERICK MAURA KRISTI DENNIS | | SULLIVAN ZEMANEK BECOTTE | PSYD | ELLIOT PROFESSIONAL SERVICES 142 MAIN ST STE 220 | | | NASHUA | NH | 03060 |
| E E E E E E E E E E E E E E E E E E E | MARISA ANNA FREDERICK MAURA KRISTI DENNIS ANNE | L P | SULLIVAN ZEMANEK BECOTTE PARSONS | PSYD PHD | ELLIOT PROFESSIONAL SERVICES | | | NASHUA SALEM | NH NH | 03060 |
| E E E F F F F F F F F F F F F F F F F F | MARISA ANNA FREDERICK MAURA KRISTI DENNIS ANNE EDOUARD | L | SULLIVAN ZEMANEK BECOTTE PARSONS CARIGNAN | PSYD PHD PSYD | ELLIOT PROFESSIONAL SERVICES 142 MAIN ST STE 220 87 STILES RD STE 106 (b) (6) | | | NASHUA SALEM BOW | NH NH NH | |
| E | MARISA ANNA FREDERICK MAURA KRISTI DENNIS ANNE | L P | SULLIVAN ZEMANEK BECOTTE PARSONS | PSYD PHD | ELLIOT PROFESSIONAL SERVICES 142 MAIN ST STE 220 | | | NASHUA SALEM BOW SAN ANTONIO | NH NH | 0 0 |

| A | RITAMARIE | | MOSCOLA | MPH | (b) (6) | | | MANCHESTER | NH | 03104-3008 |
|---|-----------|----------|-------------|------|--------------------------------|---------------------------------|-----------------|---------------|----|--------------------------|
| | RITAMARIE | | MOSCOLA | MPH | (b) (6) | | | MANCHESTER | NH | 03104-3008 |
| | STEPHANIE | N | LYNCH | | 76 NORTHEASTERN BLVD STE 32A | | | NASHUA | NH | 03062-3196 |
| | STEPHANIE | N | LYNCH | PHD | CORPORATE BUSINESS SERVICES | LONDONDERRY SQUARE BLDG 2 | 75 GILCREAST RD | LONDONDERRY | NH | 03053-3564 |
| | STEPHANIE | N | LYNCH | | 76 NORTHEASTERN BLVD STE 32A | | | NASHUA | NH | 03062-3196 |
| | RITAMARIE | | MOSCOLA | MPH | (b) (6) | | | MANCHESTER | NH | 03104-3008 |
| | WILLIAM | A | DINAN | PHD | (b) (6) | | | CONCORD | NH | 03301-4803 |
| 1 | GEORGE | | RUPPEL | PHD | MERRIMACK VALLEY COUNSELING | N CREST EX OFFICE PARK 2A | 39 SIMON ST | NASHUA | NH | 03060-3046 |
| | FUAD | | IDRIZOVIC | | (b) (6) | | | NASHUA | NH | 03064-1565 |
| | MARIA | ISABEL | HENNESSY | | (b) (6) | | | NASHUA | NH | 03064-8101 |
| | MAURA | D | SULLIVAN | | 448 WHITE MOUNTAIN HWY | LAKEVIEW COMMUNITY SERVICES | | TAMWORTH | NH | 03886-4626 |
| | DEWI | | BROWN | MD | MEDICAL ARTS BLDG | 166 KINSLEY ST STE 203A | | NASHUA | NH | 03060-3676 |
| | EDOUARD | AJ | CARIGNAN | PSYD | (b) (6) | | | BOW | NH | 03304-3419 |
| 1 | ROBERT | | SU PRESCOTT | PHD | 20 LADD ST FL 4 | | | PORTSMOUTH | NH | 03801-4087 |
| 1 | MAURA | D | SULLIVAN | PSYD | 15 N MAIN ST # 9 | | | WOLFEBORO | NH | 03894-4485 |
| | JULIA | - | EMLEY | | (b) (6) | | | CONCORD | NH | 03301-3051 |
| | JOHN | н | LAMBRUKOS | | 246 PLEASANT ST STE 106 | MEMORIAL BLDG | | CONCORD | NH | 03301-2548 |
| | JOHN | н | LAMBRUKOS | | 246 PLEASANT ST STE 106 | MEMORIAL BLDG | | CONCORD | NH | 03301-2548 |
| | JULIANA | | READ | | 25 LOWELL ST STE 502 | | | MANCHESTER | NH | 03101-1647 |
| | ROBERT | | SU PRESCOTT | PHD | 25 COUNTRY CLUB RD UNIT 405 | | | GILFORD | NH | 03249-6976 |
| | STEPHEN | | NOYES | | (b) (6) | | | LITTLETON | NH | 03561-5738 |
| | GREGORY | | KORGESKI | PHD | PARK PLACE | 44 SCHOOL ST EXT | | BELLOWS FALLS | VT | 05101-1478 |
| | BENJAMIN | D | GARBER | PHD | 400 AMHERST ST STE 407 | HEALTHYPARENT.COM | | NASHUA | NH | 03063-4225 |
| | MATTHEW | JAMES | MASEWIC | MD | 194 PLEASANT ST STE 7 | nexe management of the second | | CONCORD | NH | 03301-2952 |
| | LAWRENCE | 2741123 | JASPER | PHD | 154 BROAD ST STE 1512 | | | NASHUA | NH | 03063-3205 |
| | EVELYN | | HARRIOTT | EDD | THE DEPOT BUILDING | 9 BLAKE ST STE 4 | | JAFFREY | NH | 03452-6577 |
| | KAREN | | METEYER | PHD | MERRIMACK VALLEY COUNSELING | N CREST EX OFFICE PARK 2A | 39 SIMON ST | NASHUA | NH | 03060-3046 |
| | DEBORAH | | GLAZER | 1115 | | in cites i ex office i vitil ex | 55 5111011 51 | LEBANON | NH | 03766-2661 |
| | DAVID | G | KAMEN | PHD | 25 LOWELL ST STE 502 | | | MANCHESTER | NH | 03101-1647 |
| ` | EDOUARD | AJ | CARIGNAN | PSYD | 25 EOWEEE 31 STE 302 | | | BOW | NH | 03304-3419 |
| | ROGER | c | CAWLEY | PHD | MERRIMACK VALLEY COUNSELING | N CREST EX OFFICE PARK 2A | 39 SIMON ST | NASHUA | NH | 03060-3046 |
| | TRINA | C | JACKSON | PSYD | 2ND FL ROOM 107 | 97 MAIN ST | 55 51101014 51 | LANCASTER | NH | 03584-3063 |
| | CHERYL | | BILDNER | PHD | MT WASHINGTON VALLEY PSYCH SVC | 81 WASHINGTON ST | | CONWAY | NH | 03818-6044 |
| | LORENE | м | SIPES | PHD | MERRIMACK VALLEY COUNSELING | N CREST EX OFFICE PARK 3A | 39 SIMON ST | NASHUA | NH | 03060-3043 |
| | JACOB | THEODORE | BROWN | PHD | TELECARE LLC | 10 FERRY ST | 29 21WION 21 | CONCORD | NH | 03301-0000 |
| | EDWARD | H | DRUMMOND | MD | BLDG E LOWER LEVEL W ENTRY | 278 LAFAYETTE RD | | PORTSMOUTH | NH | 03301-0000 |
| | SHERIE | п I | FRIEDRICH | PSYD | MERRIMACK VALLEY COUNSELING | N CREST EX OFFICE PARK 2A | 39 SIMON ST | NASHUA | NH | 03801-5455 03060-3046 |
| | TRINA | L | JACKSON | PSYD | 25 COUNTRY CLUB RD UNIT 405 | IN CITEST EA OFFICE PARK ZA | 33 2IIVIOI 21 | GILFORD | NH | 03060-3046 |
| | | | | | | 1315 5134 57 | | | | |
| - | ANNA | - | HUTTON | PSYD | CHILD HEALTH BLDG | 1245 ELM ST | | MANCHESTER | NH | 03101-1308 |
| A | DAVID | G | KAMEN | PHD | 27 LOWELL ST STE 201 | | | MANCHESTER | NH | 03101-1646 |

| VNDR_SURNAME | _ST | VNDR_1ST_NAME | 2ND | VNDR_SURNAME | PROF | VNDR_LOC_ADDR_LINE_1 | VNDR_LOC_ADDR_LINE_2 | NDR_LOC_ADDR_LINE | DR_LOC_ADDR_0 | | R_LOC_ADDR_Z |
|--------------|-----|---------------|-----|--------------|------|---------------------------------|---------------------------|-------------------|---------------|----|--------------|
| NUG | Α | JANET | | AUG | OD | HITCHCOCK CLINIC OPHTHALMOLOGY | 149 EMERALD STREET | | KEENE | NH | 03110-3611 |
| BECOTTE | Α | DENNIS | Р | BECOTTE | PHD | 142 MAIN ST STE 220 | | | NASHUA | NH | 03060-2721 |
| BILDNER | Α | CHERYL | | BILDNER | PHD | MT WASHINGTON VALLEY PSYCH SVC | 81 WASHINGTON ST | | CONWAY | NH | 03818-6044 |
| BLAKE | А | TIMOTHY | D | BLAKE | OD | NASHUA EYE ASSOCIATES | 5 COLISEUM AVE | | NASHUA | NH | 03063-3206 |
| BURNETTE | Α | REXFORD | F | BURNETTE | PHD | 5 PLEASANT ST STE 1 | | | BRISTOL | NH | 03222-3002 |
| BURNS | Α | THOMAS | F | BURNS | PHD | (b) (6) | | | DOVER | NH | 03820-0000 |
| BYER | Α | JEFFREY | В | BYER | MD | ENT PHYSICIANS & SURGEONS PA | 130 TARRYTOWN RD | | MANCHESTER | NH | 03103-2713 |
| CARWELL | Α | MARK | А | CARWELL | MD | CONCORD OTOLARYNGOLOGY PA | 194 PLEASANT ST STE 2 | | CONCORD | NH | 03301-2952 |
| DANIELL | Α | CHRISTOPHER | н | DANIELL | MD | CONCORD OTOLARYNGOLOGY PA | 194 PLEASANT ST STE 2 | | CONCORD | NH | 03301-2952 |
| DARLING | Α | LAURA | | DARLING | SLP | 124 HALL ST STE H | | | CONCORD | NH | 03301-0000 |
| DINAN | Α | WILLIAM | А | DINAN | PHD | 6D HILLS AVE | | | CONCORD | NH | 03301-4803 |
| DOWNEY | Α | PAUL | | DOWNEY | PHD | (b) (6) | | | DURHAM | NH | 03824-0000 |
| DRUMMOND | Α | EDWARD | н | DRUMMOND | MD | (b) (6) | | | RYE | NH | 03870-0000 |
| OORD | Α | WILLIAM | D | FOORD | OD | (b) (6) | | | BERLIN | NH | 03570-1996 |
| OTHERGILL | Α | JOHN | | FOTHERGILL | MD | INDIAN STREAM HEALTH CENTER | 141 CORLISS LANE | | COLEBROOK | NH | 03576-3245 |
| | | | | | | | | | | | |
| FRIEDRICH | A | SHERIE | L | FRIEDRICH | PSYD | MERRIMACK VALLEY COUNSELING | N CREST EX OFFICE PARK 2A | 39 SIMON ST | NASHUA | NH | 03060-3046 |
| GARBER | | BENJAMIN | D | GARBER | | 400 AMHERST ST STE 407 | HEALTHYPARENT.COM | | NASHUA | NH | 03063-4225 |
| GARFINKLE | Α | ANDREW | | GARFINKLE | OD | LACONIA EYE ASSOCIATES | | 368 HOUNSELL AVE | GILFORD | NH | 03249-6922 |
| GRAF | Α | FRANK | Α | GRAF | MD | 152 COURT ST STE 2 | | | PORTSMOUTH | NH | 03801-4453 |
| GRIFFIN | _ | STEFANIE | | GRIFFIN | PHD | NE EVALUATION SPECIALISTS | 1 WASHINGTON ST STE 443 | | DOVER | NH | 03820-3831 |
| | | | | | | | | | | | |
| GUSTAVSON | A | DARLENE | | GUSTAVSON | PSYD | 814 ELM ST STE 90A | | | MANCHESTER | NH | 03101-2130 |
| HALL | _ | BRADFORD | S | HALL | OD | CONCORD EYE CARE PC | 248 PLEASANT ST STE 1600 | | CONCORD | NH | 03301-2588 |
| HESS | _ | ELIZABETH | Ρ | HESS | PHD | (b) (6) | | | BERLIN | NH | 03570-1017 |
| .200 | | | · | | | | | | | | |
| HUTTON | Δ | ANNA | | HUTTON | PSYD | PO BOX 250 | | | GRANTHAM | NH | 03786-0250 |
| | | | | | | | | | | | |
| ACKSON | Δ | TRINA | | JACKSON | PSYD | 2ND FL ROOM 107 | 97 MAIN ST | | LANCASTER | NH | 03584-3063 |
| ASPER | | LAWRENCE | | JASPER | | PO BOX 583 | | | MILFORD | NH | 03055-0583 |
| AMEN | | DAVID | G | KAMEN | | 25 GREENVIEW DR APT 18 | | | MANCHESTER | NH | 03102-8933 |
| AVANAGH | _ | MARSHA | C | KAVANAGH | OD | EYESIGHT OPHTHALMIC SERVICES PA | 19 WEBB PLACE | | DOVER | NH | 03820-2403 |
| | | | | | | | | | DOVER | | 03020 2403 |
| (AY | Δ | JEFFREY | A | КАҮ | PSYD | PO BOX 506 | TANNERY MARKETPLACE | 111 SARANAC | LITTLETON | NH | 03561-0506 |
| KORGESKI | _ | GREGORY | | KORGESKI | - | PARK PLACE | 44 SCHOOL ST EXT | 111 57 110 110 10 | BELLOWS FALLS | VT | 05101-1478 |
| .EE | _ | RICHARD | н | LEE | MD | ENT PHYSICIANS & SURGEONS PA | 130 TARRYTOWN RD | | MANCHESTER | NH | 03103-2713 |
| .OESER | _ | PETER | с | LOESER | MD | CROSSROADS FAMILY MEDICINE | 194 PLEASANT ST STE 7 | | CONCORD | NH | 03301-2952 |
| IO LOLIN | | | | LOLDEN | | | | 207 MEETINGHOUSE | | | 03301 2332 |
| MACKAY | Δ | DAVID | | ΜΑCΚΑΥ | OD | MACKAY VISION CENTER | | RD | BEDFORD | NH | 03110-6090 |
| MASEWIC | | MATTHEW | 1 | MASEWIC | MD | 194 PLEASANT ST STE 7 | | | CONCORD | NH | 03301-2952 |
| ACLAUGHLIN | _ | LAUREN | K | MCLAUGHLIN | OD | EYESIGHT OPHTHALMIC SERVICES PA | 267 ROUTE 108 STE A | | SOMERSWORTH | NH | 03878-6512 |
| MCLAUGHLIN | | MICHELLE | I I | MCLAUGHLIN | OD | EYESIGHT OPHTHALMIC SERVICES PA | 192 WATER ST | | EXETER | NH | 03833-2416 |
| | ^ | | - | | MD | | | | | | 03033-2410 |
| MOSCOLA | | RITAMARIE | | MOSCOLA | | THE DOCTORS OFFICE | 102 BAY STREET | | MANCHESTER | NH | 03104-3008 |
| NEAL | _ | GEORGE | В | NEAL | MD | 18 CONSTITUTION DR UNIT 6 | 102 DAT SINLET | | BEDFORD | NH | 03104-3008 |
| | | | D | | | | | | | | |
| | | WILLIAM | | NUMA | MD | CONCORD OTOLARYNGOLOGY PA | 194 PLEASANT ST STE 2 | | CONCORD | NH | 03301-2952 |
| POLLACK | _ | DALE | | POLLACK | OD | HITCHCOCK CLINIC OPHTHALMOLOGY | 149 EMERALD STREET | | KEENE | NH | 03110-3611 |
| READ | | JULIANA | N | READ | PHD | 25 LOWELL ST STE 502 | | | MANCHESTER | NH | 03101-1647 |
| REDDY | _ | ASHOK | N | REDDY | MD | CONCORD OTOLARYNGOLOGY PA | 194 PLEASANT ST STE 2 | | CONCORD | NH | 03301-2952 |
| RIDDLE | A | PATRICK | J | RIDDLE | OD | (d) (d) | | | NASHUA | NH | 03063-3206 |

| ROBBINS | Α | PHILLIP | | ROBBINS | PHD | 87 STILES RD STE 106 | | | SALEM | NH | 03079-0000 |
|-----------------|---|-----------|---|----------------|------|----------------------------------|---------------------------|--------------------|-------------|----|------------|
| | | | | | | | | | NORTH | | |
| ROCKHILL | A | VIRGINIA | A | ROCKHILL | PHD | 1 TUPPERWARE DR UNIT 314 | | | SMITHFIELD | RI | 02896-6877 |
| ROOT | Α | RICHARD | | ROOT | EDD | RIDGEWOOD BLDG SPRINGFLD HOSP | 20 RIDGEWOOD RD | PO BOX 2003 | SPRINGFIELD | VT | 05156-2003 |
| RUPPEL | Α | GEORGE | | RUPPEL | PHD | MERRIMACK VALLEY COUNSELING | N CREST EX OFFICE PARK 2A | 39 SIMON ST | NASHUA | NH | 03060-3046 |
| SAMSON | Α | JAMES | | SAMSON | OT | NORTHEAST EVALUATION SPECIALISTS | 1 WASHINGTON ST STE 443 | | DOVER | NH | 03820-3831 |
| SCANLON | А | JOAN | | SCANLON | PHD | 154 BROAD ST STE 1512 | | | NASHUA | NH | 03063-3205 |
| SCOTT | A | DOUGLAS | | SCOTT | OD | LACONIA EYE ASSOCIATES | 368 HOUNSELL AVE | | GILFORD | NH | 03249-6922 |
| SIRONICH-KALKAN | A | SILVIA | | SIRONCH-KALKAN | MD | THE DOCTORS OFFICE | 102 BAY STREET | | MANCHESTER | NH | 03104-3008 |
| SIPES | T | LORENE | Μ | SIPES | PHD | MERRIMACK VALLEY COUNSELING | N CREST EX OFFICE PARK 2A | 39 SIMON ST | NASHUA | NH | 03060-3046 |
| | | | | | | | | 6 TSIENNETO RD STE | | | |
| SPINDEL | A | GERALD | Ρ | SPINDEL | OD | SPINDEL EYE ASSOCIATES | | 101 | DERRY | NH | 03038-1584 |
| STERN | А | JESSICA | | STERN | PHD | 169 PORTSMOUTH ST UNIT 192 | | | CONCORD | NH | 03301-5844 |
| SU PRESCOTT | A | ROBERT | | SU PRESCOTT | PHD | 20 LADD ST FL 4 | | | PORTSMOUTH | NH | 03801-4087 |
| SULLIVAN | A | MAURA | D | SULLIVAN | PSYD | 15 N MAIN ST # 9 | | | WOLFEBORO | NH | 03894-4485 |
| SWINBURNE | А | WILLIAM | | SWINBURNE | PHD | (b) (6) | | | KEENE | NH | 03431-3409 |
| SZAL | Α | MARK | Α | SZAL | MD | CONCORD EYE CARE PC | 248 PLEASANT ST STE 1600 | | CONCORD | NH | 03301-2586 |
| | | | | | | | 155 BORTHWICK AVE STE | | | | |
| SZYMD | A | LUCIAN | | SZMYD JR | OD | EYESIGHT OPHTHALMIC SERVICES PA | 200E | | PORTSMOUTH | NH | 03801-4184 |
| VALLERY | А | SANDRA | К | VALLERY | PHD | (b) (6) | | | EXETER | NH | 03833-2779 |
| | | | | | | | | 248 PLEASANT ST | | | |
| WASSERMAN | A | PETER | | WASSERMAN | MD | CONCORD EYE CARE PC | | STE 1600 | CONCORD | NH | 03301-2586 |
| WILSON | А | DONALD | V | WILSON | MD | HITCHCOCK CLINIC E.N.T. | 580 COURT ST | | KEENE | NH | 03110-1718 |
| WINDLER | А | WILLIAM | Ν | WINDLER | MD | THE DOCTORS OFFICE | 102 BAY STREET | | MANCHESER | NH | 03104-3008 |
| WINGATE | Α | CHARLES | E | WINGATE JR | OD | NASHUA EYE ASSOCIATES | 5 COLISEUM AVE | | NASHUA | NH | 03063-3206 |
| WOLF | А | RALPH | R | WOLF III | MD | HEALTH STOP OF SO NASHUA | 228 DANIEL WEBSTER HWY | | NASHUA | NH | 03060-5537 |
| WOODARD | Α | FREDERICK | J | WOODARD | PHD | 15B LINCOLN ST | | | MILFORD | NH | 03055-0000 |
| YAGER | А | ROBERT | D | YAGER | MD | HEALTH STOP OF SO NASHUA | 228 DANIEL WEBSTER HWY | | NASHUA | NH | 03060-5537 |
| ZIEJA | A | ANTHONY | | ZIEJA | OD | LACONIA EYE ASSOCIATES | | | GILFORD | NH | 03249-6922 |

DDS CE Oversight Report

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | Atlanta | | | |
|---|--|--|--|--|
| State DDS: | North Carolina | | | |
| Report Period (Fiscal Year): | FY2014 | | | |
| Current Date: | 11/4/2014 | | | |
| Reporter's Name, Phone number, and title: | Name (b) (6) Phone number (b) (6) | | | |
| | Title Professional Relations Supervisor | | | |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

North Carolina DDS investigates each unique complaint submitted to the Professional Relations Office. A claimant complaint is defined as a written or verbal complaint regarding a CE provider that may require remedial action. Claimant complaints are received in various ways; however, they are most often received on the Client Survey Form, which is completed and returned by the claimant after their examination. If a verbal complaint is received, the claimant is requested to submit the complaint in writing. We utilize the Client Survey Form to obtain the necessary information in writing. In addition to completing the form, claimants are encouraged to submit any additional information, which is relevant to the complaint. Complaints that are submitted on behalf of the claimant by a family member, attorney, claimant representative, etc. are also investigated. All complaints are responded to in a timely manner by telephone or letter. Corrective action is taken when necessary. Complaints that concern the examination itself, the professionalism of the physician/psychologist, and/or office staff may be considered as major complaints. An unannounced office visit, telephone call, or letter to the CE panel member's office may be necessary for resolution of the complaint. A written summary is prepared for major complaints detailing the nature of the complaint and any actions taken for resolution. A copy of the complaint and subsequent actions are maintained in the Client Survey File and the CE panel member's individual file. Any complaint deemed significant is placed in the Major Complaint File and a list of major complaint summaries is maintained.

Complaints concerning rudeness and/or unprofessional manner or attitude of the CE provider and/or their staff members may also be deemed a major complaint. In these instances, the CE report completed by the provider is normally reviewed prior to taking action. The complaint(s) are shared with the CE provider and a verbal or written response is obtained to address the claimant's allegations. After the response is received, the relevant party is contacted by phone and/or letter.

Complaints involving environmental factors or conditions usually require unannounced onsite visits for investigation. Providers are requested to make appropriate changes when indicated. Continued client surveys are used to monitor the situation.

Various other complaints such as pain during the examination, incomplete examinations, lack of ancillary studies, inconsistent findings with medical history, lack of provider qualifications, office accessibility issues, difficulty locating the office, extended waiting times, and privacy issues are investigated on a case by case basis. Copies of reports are obtained and reviewed for adequacy of assessment of the claimant's impairments. CE providers are contacted so concerns can be addressed. Necessary actions are taken for resolution when appropriate and the complainant may be advised of the actions. Documentation of complaints is retained on file for future reference as needed.

2. Attach a list of completed onsite reviews of CE providers.



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3. Attach a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch.



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4. Provide the total number of CE providers on the panel.

Current Number of CE panel members----- 638 active CE providers 151 hospitals and related facilities

5. Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs.

The PRO staff conduct a complete credentials check on all potential CE panel members at the initial application. This includes requiring the potential CE provider to sign a Memorandum of Understanding and Agreement, which specifically states they must not be excluded, suspended, or otherwise barred from participation in the Medicare or Medicaid programs, or other federally assisted programs. We verify that the source is currently licensed and in good standing in the state of North Carolina through their

respective licensing board including the North Carolina Medical Board, North Carolina Psychology Board, North Carolina Board of Examiners for Speech & Language Pathologists and Audiologists, and HHS Office of Inspector General Website. Potential CE panel members in Border States are credentialed through their respective licensing board in that state. If credential verification reveals any type of board action, NC DDS requests a copy of the action(s) when they are unavailable on the respective board's website. Public file information on physicians licensed with the North Carolina Medical Board can be accessed via the North Carolina Medical Board website. In addition, the PRO Supervisor and one designated PRO, receive immediate notification of disciplinary actions from the North Carolina Medical Board via e-mail. To ensure CE sources renew and maintain their licenses appropriately, NC DDS verifies licensure on a yearly basis through the NC Medical Board website and HHS OIG website for each provider. A database was established in an effort to complete this task. The North Carolina Medical Board requires yearly license renewal based on the physician's date of birth. NC DDS verifies annual license renewal and checks for possible board actions on a monthly basis corresponding with the physician's date of birth. Physician assistants and nurse practitioners who participate in consultative examinations are also verified through the North Carolina Medical Board and the HHS Office of Inspector General Website on a yearly basis. The North Carolina Psychology Board requires license renewal in October of every even numbered calendar year for psychologists. NC DDS PRO staff performs licensure verification on a yearly basis and throughout the year as needed based on client surveys, complaints, onsite visits or other significant situations. The North Carolina Psychology Board annotates any board actions on their website. In addition, they also send us a copy of board actions after each board meeting.

6. Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation.

Licensure for support personnel such as nurses and medical assistants is addressed in our Memorandum of Understanding and Agreement that is signed at the initial application period. This memorandum clearly states all support staff used in the performance of consultative examinations must meet the appropriate licensing or certification requirements of the State. It is the responsibility of the CE provider to ensure they utilize appropriately licensed staff on a regular basis. In addition, a letter is sent to each group and provider yearly asking them to certify they and their staff are not excluded, suspended or otherwise barred from participation in the Medicare/Medicaid programs or any other federally assisted program and that their licenses are in good standing with their respective licensing board. Providers failing to respond will be contacted and scheduling terminated if they do not respond after several attempts.

7. Provide a description of any CE/MER fee schedule changes (include a description of any volume medical provider discounts).

During fiscal year 2013-2014, there were no changes made to the NC DDS fee schedule used for consultative examinations. Currently North Carolina DDS does not provide any volume medical provider discounts. The reimbursement rate for MER remains at a maximum of \$15.00.

| officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc. The Professional Relations Staff participated in various types of outreach activities throughout FFY 2013-2014 in an effort to recruit CE panel members, recruit ERE sources, and educate the public about Social Security Disability. The PRO staff exhibited at major medical and professional meetings throughout the state. Presentations were made to various health care related and other professional groups. PRO | 8. Upload fee schedules to the MPRO SharePoint site. |
|---|---|
| Copy also uploaded to MPRO SharePoint site in Atlanta Region DDS Fee Schedules folder. 9. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc. The Professional Relations Staff participated in various types of outreach activities throughout FFY 2013-2014 in an effort to recruit CE panel members, recruit ERE sources, and educate the public about Social Security Disability. The PRO staff exhibited at major medical and professional meetings throughout the state. Presentations were made to various health care related and other professional groups. PRO participated in 17 Outreach Activities during the fiscal year. | |
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| 9. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc. The Professional Relations Staff participated in various types of outreach activities throughout FFY 2013-2014 in an effort to recruit CE panel members, recruit ERE sources, and educate the public about Social Security Disability. The PRO staff exhibited at major medical and professional meetings throughout the state. Presentations were made to various health care related and other professional groups. PRO participated in 17 Outreach Activities during the fiscal year. | |
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| state. Presentations were made to various health care related and other professional groups. PRO participated in 17 Outreach Activities during the fiscal year. | |
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| | participated in 17 Outreach Activities during the fiscal year. |
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| | Please attach any additional information before submitting this form. |
| | |

ONSITES

FISCAL YEAR - 2014

| SOURCE | <u>CITY</u> | DATE | KEY/N-KEY | <u>PRO</u> | REASON |
|---|----------------|----------|-----------|------------|---|
| Lifeworks | Morganton | 10/25/13 | Кеу | (b) (6) | Onsite, <mark>(b) (6)</mark> |
| Maqsood Ahmed, M.D. | Goldsboro | 11/19/13 | Non-key | (b) (6) | Onsite, ^{(b) (6)} |
| James Frazier, Ph.D. | Roanoke Rapids | 11/26/13 | Key | (b) (6) | Onsite, <mark>(b) (6)</mark> |
| Robert Radson, M.S. | Rocky Mount | 11/26/13 | Non-key | (b) (6) | Onsite, ^{(b) (6)} |
| Gary Bachara, Ph.D. | Wilson | 11/26/13 | Кеу | (b) (6) | Onsite, <mark>(b) (6)</mark> |
| Muhammad Bhatti, M.D. | Goldsboro | 11/26/13 | Non-key | (b) (6) | Onsite, ^{(b) (6)} |
| Tin T. Le, M.D. | Goldsboro | 11/26/13 | Non-key | (b) (6) | Onsite, ^{(b) (6)} |
| Satish Kumar, M.D. | Rocky Mount | 1/9/14 | Кеу | (b) (6) | Onsite, (b) (6) |
| Eurgia C. Land, M.D. | Greenville | 1/9/14 | Non-key | (b) (6) | Onsite, <mark>(b) (6)</mark> |
| Donald Ribeiro, M.D. | Greenville | 1/9/14 | Non-key | (b) (6) | Onsite, <mark>(b) (6)</mark> |
| Ferriss Locklear, M.D. | Lumberton | 1/16/14 | Key | (b) (6) | Onsite, <mark>(b) (6)</mark> |
| David Johnson, M.A. | Fayetteville | 1/16/14 | Кеу | (b) (6) | Onsite, <mark>(b) (6)</mark> |
| Gonzalo Fernandez, M.D. | Garner | 2/3/14 | Кеу | (b) (6) | Onsite, <mark>(b) (6)</mark> |
| Christopher Ricci, Ph.D. | Henderson | 2/6/14 | Non-key | (b) (6) | Onsite, <mark>(b) (6)</mark> |
| Advanced Medical Consultants | Henderson | 2/15/14 | Кеу | (b) (6) | Onsite, <mark>(b) (6)</mark> (b) (6) |
| Alexander Lopez, M.S. | Concord | 3/3/14 | Кеу | (6) (6) | Onsite, <mark>(b) (6)</mark> |
| Doctors Plus, PLLC | Concord | 3/3/14 | Non-key | (b) (6) | Onsite, <mark>(b) (6)</mark> |
| Charlotte Medical Center Tuan A. Huynh, M.D. | Charlotte | 3/3/14 | Кеу | (b) (6) | Onsite, (b) (6) |
| Olympus Health NC | Morganton | 3/22/14 | Key | (b) (6) | Onsite, <mark>(b) (6)</mark> |

| Mark Fields, M.D. | Greensboro | 4/22/14 | Non-key | (b) (6) | Onsite, ^{(b) (6)} |
|--|-----------------|---------|---------|---------|---|
| J. Craig Hunt, Psy.D. | Winston-Salem | 4/22/14 | Non-key | (b) (6) | Onsite, ^{(b) (6)} |
| Kimberly Kirkland, Psy.D | . Winston-Salem | 4/22/14 | Non-key | (b) (6) | Onsite, ^{(b) (6)} |
| Dennis Egnatz, M.D. | Winston-Salem | 4/22/14 | Non-key | (b) (6) | Onsite, ^{(b) (6)} |
| Earl Epps, M.D. | Charlotte | 4/24/14 | Кеу | (b) (6) | Onsite, <mark>(b) (6)</mark> |
| John Warnken, M.S. | Charlotte | 4/24/14 | Кеу | (b) (6) | Onsite, <mark>(b) (6)</mark> |
| Vincent Hillman, M.D. | Charlotte | 4/26/14 | Non-key | (b) (6) | Onsite, ^{(b) (6)} |
| Med First, Inc. Mark A. Samia, M.D. | Raleigh | 4/29/14 | Кеу | (b) (6) | Onsite, (b) (6) |
| Jerry Miller, M.A. | Fayetteville | 5/1/14 | Кеу | (b) (6) | Onsite, <mark>(b) (6)</mark> |
| Ernest Akpaka, Ph.D. | Fayetteville | 5/1/14 | Кеу | (b) (6) | Onsite, <mark>(b) (6)</mark> |
| SXR Medical Evaluations | Fayetteville | 5/1/14 | Кеу | (b) (6) | Onsite, (b) (6) |
| Coastal Internal Medicine Ayman Gebrail, M.D. Feras Tanta, M.D. | Wilmington | 5/6/14 | Кеу | (b) (6) | Onsite, <mark>(b) (6)</mark> (b) (6) |
| Michael Palanza, M.A. | Wilmington | 5/6/14 | Non-key | (b) (6) | Onsite, ^{(b) (6)} |
| The Neuropsychology Consultants | Goldsboro | 5/15/14 | Кеу | (b) (6) | Onsite, <mark>(b) (6)</mark> (b) (6) |
| Terry Warner, M.S. | Carthage | 6/17/14 | Non-key | (b) (6) | Onsite, ^{(b) (6)} |
| Romeo Atienza, M.D. | West End | 6/17/14 | Кеу | (b) (6) | Onsite, <mark>(b) (6)</mark> |
| Scott Schell, M.D. | Southern Pines | 6/17/14 | Non-key | (b) (6) | Onsite, ^{(b) (6)} |
| C. E. Provider Services | Chapel Hill | 6/21/14 | Key | (b) (6) | Onsite, <mark>(b) (6)</mark> |
| Olympus Health, NC | Durham | 6/28/14 | Key | (b) (6) | Onsite, <mark>(b) (6)</mark> |
| Jerome Albert, Ph.D. | Kinston | 7/9/14 | Кеу | (b) (6) | Onsite, <mark>(b) (6)</mark> |
| Tri-State Occupational Medicine | Greenville | 7/9/14 | Кеу | (b) (6) | Onsite, (b) (6) |
| Med Plus, NC | Jacksonville | 7/26/14 | Кеу | (b) (6) | Onsite, <mark>(b) (6)</mark> |

| Medical Support Associates | Kings Mountain | 8/1/14 | Key | (b) (6) | Onsite, (b) (6) |
|-------------------------------|----------------|---------|---------|---------|---|
| Therapeas, Inc. | Concord | 8/1/14 | Non-key | (b) (6) | Onsite, <mark>(b) (6)</mark> (b) (6) |
| Mark Fields, M.D. | Greensboro | 8/27/14 | Non-key | (b) (6) | Onsite, <mark>(b) (6)</mark> (b) (6) |
| MDSI Physician Group | Fayetteville | 8/29/14 | Кеу | (b) (6) | Onsite, (b) (6) |
| Therapy Playground | Fayetteville | 8/29/14 | Non-key | (b) (6) | Onsite, <mark>(b) (6)</mark> |

OUTREACH

FISCAL YEAR - 2014

| NC Medical Society Annual Meeting | Raleigh | 10/25-26/13 | (b) (6) | Exhibit ERE |
|--|--------------------|--------------|---------|---------------------|
| National Multiple Sclerosis Annual Meeting | Cary | 11/2/13 | (b) (6) | Exhibit ERE |
| SOAR Caseworker 2 nd Annual Meeting | Winston-Salem | 11/19/13 | (b) (6) | Meeting ERE |
| NC Academy of Family Physicians Winter Weekend | Asheville | 12/4-7/13 | (b) (6) | Exhibit ERE |
| 25 th Annual Leo M. Croghan Conference | Durham | 12/9/13 | (b) (6) | Exhibit ERE |
| NC Academy of Physicians Assistants Conference | Durham | 2/24-28/14 | (b) (6) | Exhibit ERE |
| American College of Physicians Annual Meeting | Greensboro | 2/28-3/1/14 | (b) (6) | Exhibit ERE |
| NC National Association of Social Workers Conference | Raleigh e | 3/7/14 | (b) (6) | Exhibit ERE |
| Campbell University Law School | Raleigh | 4/8/14 | (b) (6) | Presentation ERE |
| NC Psychological Association Spring Conference | Charlotte | 4/25-26/14 | (b) (6) | Exhibit ERE |
| National Association of Social Workers Clinical Work Institute | Wrightsville Beach | 5/5-6/14 | (b) (6) | Exhibit ERE |
| NCHIMA Behavioral Health 33 rd Annual Conference | Raleigh | 6/11-6/12/14 | (b) (6) | Exhibit ERE |
| ODAR Office DDS Psychological Training | Raleigh | 7/16/14 | (b) (6) | Presentation ERE |
| NC Society of Eye Physicians Annual Meeting | Charlotte | 9/12-9/13/14 | (b) (6) | Exhibit ERE |
| NC Pediatric Society Annual Meeting | Myrtle Beach, SC | 9/12-9/13/14 | (b) (6) | Exhibit ERE |

NC Psychological Ch Association Fall Conference

Chapel Hill

9/19-9/20/14

Pamphlet only table

KEY CE PROVIDERS (>\$150,000)

KEY PROVIDERS (>\$150,000)

1. \$528,971.18

Southeast X-ray Inc. 609 N. 14th Street Ozark, AR 72949 42618 AA/C/D Fayetteville 42618 AC Durham

2. \$469,875.00

The Neuropsychology Consultants 5838 Six Forks Road, Suite 200 Raleigh, NC 27609 7786 AJ Keanansville 7786 AK Goldsboro 7786 AN Raleigh Greenville 7786 AQ Greenville 7786 AR Kenansville 7786 AT 7786 AU Greenville 7786 AW Raleigh Kenansville 7786 BD 7786 BF Fayetteville 7786 BG Raleigh

3. \$468,255.00

MDSI Physician Group P.O. Box 9039 Ogden, UT 84409 5127 AB Charlotte 5127 AC/AM Wilmington 5127 AD/AN Winston Salem 5127 AF Goldsboro 5127 AH/BB Statesville 5127 AI/AS Durham

4. \$397,032.74

Occumed Walk-In and Urgent Care 1910 N. Church Street, Suite 4 Greensboro, NC 27405 80155 AA Greensboro

5. \$326,149.49

Tri-State Occupational Medicine

612 6th Avenue Huntington, WV 25701 60347 AB Greenville 60347 AC Ahoskie Franklin 60347 AE 60347 AF Asheville 60347 AI New Bern 60347 AO Elkin 60347 AP Boone 60347 AQ Salisbury 60347 AR Reidsville

6. \$325,991.45

Charlotte Medical Center Tuan Anh Huynh M.D. 7940 Williams Pond Lane Suite 250 Charlotte, NC 28277 72121 AB Charlotte

7. \$269.290.00

Medical Support Associates 2349 Hearthstone Drive Gastonia, NC 28054 5257 AB Hickory 5257 AC Hickory 5257 AN Kings Mountain 5257 AO Kings Mountain

8. \$246,685.00

| CE Provider Services LLC | | | | | | | |
|--------------------------|--|--|--|--|--|--|--|
| 2365 E Edgemoor Drive | | | | | | | |
| Salt Lake City, UT 84109 | | | | | | | |
| Chapel Hill | | | | | | | |
| Roanoke Rapids | | | | | | | |
| Roanoke Rapids | | | | | | | |
| Rocky Mount | | | | | | | |
| Jacksonville | | | | | | | |
| Burlington | | | | | | | |
| Elizabeth City | | | | | | | |
| New Bern | | | | | | | |
| Greenville | | | | | | | |
| Ahoskie | | | | | | | |
| | | | | | | | |

9. \$227,115.00

Advanced Medical Consultants (formerly Virginia Medical Exams)

11357 Nuckols Road Suite 163 Glenn Allen, Virginia 23059

| 77734 AA | Wilson |
|-------------|------------|
| 77734 AH | Smithfield |
| 77734 AI | Raleigh |
| 77734 AJ | Henderson |
| 77734 AK/AO | Greenville |
| 77734 AL | Morehead |
| 77734 AM | Asheboro |
| 77734 AN | Washington |
| | |

10. \$212,403.24

Coastal Internal Medicine, PA 2032 S 17th St Ste 101 Wilmington, NC 28401 2749 AA Wilmington

11. \$209,760.00

Pineview Psychological Services David Johnson, M.A. P. O. Box 159 Candor, NC 27229 6403 AB Southern Pines 6403 AC Lumberton 6403 AN Wadesboro 6403 AO Burlington Fayetteville 6403 AP Reidsville 6403 AQ

12. \$200,447.16

Olympus Health PO Box 900292 Sandy, UT 84090 92479 AA Asheville 92479 AB Morganton 92479 AC Southern Pines 92479 AD Charlotte 92479 AF Durham

13. \$194,790.00

HealthCo Ferris Locklear MD 522 Peterson Drive Lumberton, NC 28358

4057 AC Lumberton

14. \$185,296.22

Med Plus Disability Evaluation PO Box 1590 Southaven, MS 38671 38385 AB North Wilkesboro 38385 AE Boone 38385 AW Morganton 38385 BD Sanford 38385 BN Raleigh 38385 BO Jacksonville

15. \$178,610.75

Lifeworks Professional Corporation 205 E. Union Street Morganton, NC 28655 41910 AB Hickory 41910 AC Morganton 43262 AJ Morganton 43262 AL Hickory 43262 AQ Morganton

16. \$176,175.00

Rehabilitation & Pain Management Family Medicine & Rehabilitation Center 2902 Central Heights Road Suite A-C Goldsboro, NC 27534 6827 AC Kinston 6827 AE Roanoke Rapids 6827 AS Elizabeth City 3361 AB Goldsboro 3361 AD Durham

17. \$176,100.00

Earnest Kalu Akpaka PhD PO Box 41364 Raleigh, NC 27629 8881 AB Fayetteville 8881 AC Raleigh

18. \$156,300.00

Jerome B. Albert, Ph.D (b) (6) Goldsboro, NC 27534

| 8891 AA | Goldsboro |
|---------|----------------|
| 8891 AB | Edenton |
| 8891 AC | Kinston |
| 8891 AD | Roanoke Rapids |

KEY PROVIDERS (<\$150,000)

1. \$94,020.00

Gary Bachara, Ph.D. Brentwood Psychological and Company 101 Brentwood Center Lane P.O. Box 278 Wilson, NC 27894 1222 AB Wilson 1222 AC Clinton

2. \$89,655.00

Alexander Lopez MS 170 Davidson Hwy Ste 107 Concord, NC 28027 83920 AA Concord

3. \$76,570.05

John Warnken MS 4425 Randolph Road Ste 208 Charlotte, NC 28211 16681 AA Charlotte

4. \$53,760.00

George T. Mills M.D. (b) (6) Monroe, NC 28112 61238 AA Monroe

5. \$35,660.00

James R. Frazier, Ph.D. 1100 Navaho Drive Woodoak Building Suite 102 Raleigh, NC 27609 11322 AA Raleigh 11322 AB Jacksonville 11322 AC Laurinburg 11322 AD Wilson 11322 AE Roanoke Rapids

6. \$34,155.00

Romeo Atienza, M.D. (b) (6) West End, NC 27376 66555 AA West End

DDS CE Oversight Report

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | DENVER |
|---|---------------------------------------|
| State DDS: | ND |
| Report Period (Fiscal Year): | 2014 |
| Current Date: | 11/14/2014 |
| Reporter's Name, Phone number, and title: | Name (b) (6) Phone number (b) (6) |
| | Title HSPA IV |
| | |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

The ND DDS PRO generally receives complaints on referral from the analysts who have been contacted by the claimants. The PRO then contacts the claimant to investigate the complaint. The claimant is asked to submit their complaint in writing to the DDS for follow-up.

Follow-up action depends on the nature and severity of the complaint.

• Most Serious Complaints/Egregious Behavior:

The CE provider is immediately contacted for clarification and input related to the specific complaint after receiving the verbal complaint. The DDS Director is apprised of claimant complaints and determines if the RO or DCO-ODD should be notified, which would likely be the case for this category of complaint. The PRO would contact other claimant's recently seen by the provider. Pending appointments may be cancelled or rescheduled while the complaint is investigated. The complaints and the vendor responses are reviewed to determine if additional actions are required. If additional actions are required State Risk Management protocols would be followed. Established egregious complaints would result in dismissal from the CE panel. • Less Serious Complaints:

Less serious complaints related to the provider's manner or his staff's manner are followed up on with the provider. The PRO would contact other claimant's recently seen by the provider to determine if others had similar complaints. Complaints should be submitted to the DDS in writing. The DDS contacts the vendor for clarification and input related to the specific complaint. The complaints and vendor responses are reviewed to determine if additional actions are required. Due to the limited number of CE providers in North Dakota, extraordinary efforts would be undertaken to maintain the provider relationship by working with the provider to correct this type of complaint.

Complaints related to the quality of the report are referred by analysts and/or medical consultants and are handled by the PRO. The PRO contacts the CE provider for clarification and/or corrective action. A request for an addendum to the report may be made if appropriate. Examples of acceptable reports and a copy of SSA Publication No. 64-025 (The Green Book) will again be forwarded to the CE provided for reference if necessary. Once again, due to the limited number of CE providers in North Dakota, extraordinary efforts would be undertaken to maintain the provider relationship.

Complaints related to a CE provider's facility would be investigated by contacting the provider. The PRO would contact other claimant's recently seen by the provider to determine if others had similar complaints. An onsite visit would occur if necessary. Again, due to the limited number of CE providers in North Dakota, extraordinary efforts would be undertaken to maintain the provider relationship.

During Fiscal year 2014 the ND DDS received no written or verbal complaints in the DDS.

All complaints are kept on file in the PRO's office. The ND DDS continues working to create an internal SharePoint site for this purpose.

Potential Egregious Complaint

The ND DDS received no potential egregious complaint during FY14.

2. Attach a list of completed onsite reviews of CE providers.

TRINITY ENT 831 S BROADWAY MINOT ND

RAJNIKANT MEHTA, MD 315 MAIN ST STE 102 MINOT ND

INDEPENDENT FAMILY DOCTORS 1711 GOLD DR SUITE 1 FARGO ND

SANFORD HEALTH PULMONARY LAB 801 N BROADWAY FARGO ND

CENTER FOR FAMILY MEDICINE 1201 11TH AVE SW MINOT ND

EATON, TIMOTHY

(b) (6) MINOT ND

ODDEN, RON 810 4th AVE S STE 206, MOORHEAD, MN

3. Attach a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch.

CENTER FOR FAMILY MEDICINE 1201 11TH AVE SW MINOT ND

EATON, TIMOTHY

(b) (6) MINOT ND

ODDEN, RON 810 4th AVE S STE 206, MOORHEAD, MN

VOLK HUMAN SERVICE CENTER 501 3RD ST NE SUITE 1 **DEVILS LAKE ND 58301**

FAMILY INSTITUTE 2100 S COLUMBIA RD **GRAND FORKS ND**

4. Provide the total number of CE providers on the panel.

ND has 195 current CE providers listed in VERSA. Please be aware that that this includes facilities that perform testing only; such as PFT's, lab work, X-rays, ect. This number also includes CE providers that will only do CEs for claimants who are current patients at the clinic where the provider practices and CE providers who will only do a limited number of CE's per year.

5. Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs.

Initially physical medicine licenses' are check at the North Dakota State Board of Medical Examiners' website http://www.ndbomex.com/Default.htm This website allows the user to search any licensed physician or physician assistant in the State of North Dakota for license status and any disciplinary or license action that may have occurred. The JCAHO (Joint Commission on the Accreditation of Healthcare Organizations) and the NCQA (National Committee for Quality Assurance) permit the use of a state professional board's website for primary source verification of licensure if the following conditions are met: (1) The website is the official state professional board website; (2) the website receives its information directly from the state professional board's database through encrypted transmission, and; (3) the data is updated and is current. This website meets each of those criteria. Psychologists' licenses' are checked by contacting the North Dakota State Board of Psychologist Examiners. North Dakota Medicaid is informed anytime a provider is under review for any disciplinary or license actions. Medicaid informs the DDS of any disciplinary or license actions that have occurred.

The ND DDS also checks the HHS-OIG LEIE database to ensure the provider is not excluded from participating in Federal or federally assisted programs prior to using the provider and once annually thereafter.

The ND DDS also obtains the signed License/Credentials Certification form located in POMS DI 39569.400.

6. Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation.

Support personnel's licenses/credentials are on file with each CE provider and are available for review upon request. When requested license/credentials are faxed to the DDS for review. The provider also certifies that any support staff meet licensing or certification requirements when signing the License/Credentials Certification.

7. Provide a description of any CE/MER fee schedule changes (include a description of any volume medical provider discounts).

CE/ MER fee schedule changes are determined by North Dakota Medicaid. ND does not have any volume providers who provide discounts. Current fee schedule is determined by North Dakota Medicaid rates.

Basic Medicaid Fee Schedule can be located on the internet at <u>http://www.nd.gov/dhs/services/medicalserv/medicaid/provider-fee-schedules.html</u> or <u>http://denet/cfd/resources/consultants/ce%20fees.</u>

8. Upload fee schedules to the MPRO SharePoint site.

Basic Medicaid Fee Schedule can be located on the internet at <u>http://www.nd.gov/dhs/services/medicalserv/medicaid/provider-fee-schedules.html</u> or <u>http://denet/cfd/resources/consultants/ce%20fees.</u>

9. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc.

The PRO has been actively pursuing medical providers in underserved areas by contacting medical providers in those areas. We obtained 1 new psychological provider that will travel to Grand Forks and Wahpeton, ND. Efforts to obtain CE providers in the oil-impacted areas of the state continue to be futile.

Marketing of ERE has not occurred due to time constraints of the PRO. A continued effort will be made to work with CE providers, MER providers, copy services, and school districts to provide their records in an electronic format.

One of the biggest medical providers in North Dakota, Sanford Health, recently became a HIT provider.

Please attach any additional information before submitting this form.

DDS CE Oversight Report

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | Chicago |
|---|--|
| State DDS: | Ohio |
| Report Period (Fiscal Year): | 2014 |
| Current Date: | 11/14/2014 |
| Reporter's Name, Phone number, and title: | Name (b) (6) Phone number (b) (6) |
| | Title Manager, Medical Administration |
| | |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

Complaints received from claimants or their authorized representatives via any media regarding consultative examinations are directed to the DDS Professional Relations Officers and/or the Professional Relations Officers' electronic mailbox, if received electronically. For complaints regarding the actual medical examination or consultative examination report, the Disability Claims Adjudicator and/or in house Medical Consultant sends an interoffice communication documenting the complaint to the appropriate Chief Medical or Psychological consultant for review. The appropriate Chief issues a letter, outlining the complaint or quality issue, to the consultative examination source. The letter advises the source of the situation and solicits clarification of the situation/issue as needed. Complaints outside of the actual medical/psychological findings in the report (i.e., discourteous treatment, long wait times, condition of the waiting area, etc.) are also handled by the Professional Relations Officer. Simultaneously, a letter of acknowledgment is sent to the claimant and/or the authorized representative at the discretion of the Medical Administration Department.

The consultant is given three business days to respond. A reminder is created for each request to ensure timely follow-up is completed. If no response is received within that timeframe, a Professional Relations Officer will follow-up with the vendor and pursue the needed information

until the issue is resolved.

Once the response is received in the Medical Administration Department, the correction is reviewed by either the appropriate Chief or a Professional Relations Officer. Any addenda or correction to the report is placed in the paper/electronic case as appropriate. In addition, the vendor's electronic file is updated and noted. All complaints are documented and available for review the Chief Consultants, the Medical Administration Manager, and the Professional Relations Officers.

Repeated complaints against an individual vendor and/or more egregious complaints may require a phone call or face-to-face visit with that examiner. These types of problems are handled by the Professional Relations Officers, one of the Chief consultants, or the Medical Administration Manager. This level of complaint can result in the immediate cessation of referrals to that consultative examiner. All complaints are handled on a case-by-case basis depending on the nature and severity of the complaint. Every effort is made to maintain the safety of our claimants and the integrity of the program.

Random quality review samples of all providers' consultative examinations are done on a weekly basis by the Chief Medical and Psychological Consultants and the in-house psychological and medical consultants. The in-house consultants participate in the random review on an ongoing basis. Each in-house consultant is assigned a month period during the year in which they are required to perform reviews on ten (10) exams per week. The Chief also reviews ten (10) exams per week along with reviews of new consultative examiners and random requests from the in-house consultants and adjudicators. This results in approximately sixty (60) reports being reviewed from all specialties. Report deficits or needed corrections are handled via addendum requests and/or inquiries from a Professional Relations Officer or the appropriate Chief. Data collected from these reviews is compiled and maintained in two databases in the Medical Administration Department. This information is utilized by the Professional Relations Officers to help provide individual feedback to the providers when appropriate and to track any areas where performance improvement may be needed across the board. Trends or significant issues that arise are handled by phone, email, or an on-site visit by the Professional Relations Officer. All feedback given is documented and placed in the provider's electronic folder.

2. Attach a list of completed onsite reviews of CE providers.



3. Attach a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch.



4. Provide the total number of CE providers on the panel.

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5. Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs.

All credentials and licensure verification checks are tracked quarterly through the Medical Administration Department. In addition, each vendor is checked quarterly through the OIG (Office of the Inspector General) for exclusions. Copies of all verifications are maintained in each consultative examination provider's electronic file through the duration of their business relationship with the Ohio DDS. These records are maintained in accordance with the State of Ohio records retention policy/schedule.

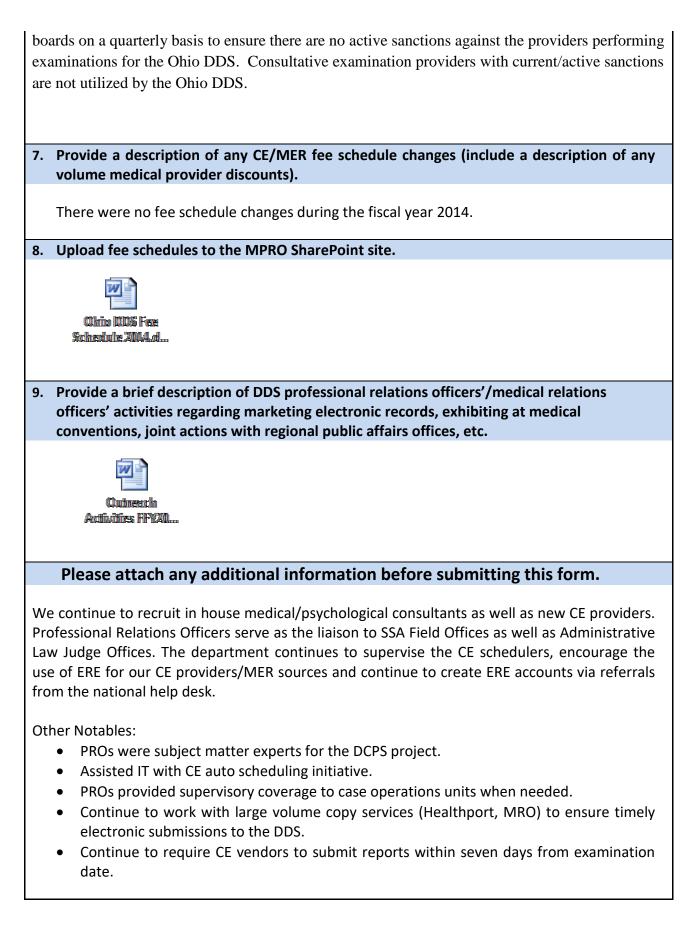
During FFY14, the Ohio DDS was notified by the Chief Psychological Consultant (b) (6)

In a separate incident, the agency discovered a provider (b) (6) causing the Ohio DDS (b) (6) . Both issues were sent to Regional Office for review/discussion. Regional office supported the Ohio DDS's (b) (6)

6. Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation.

Proper licensure of the consultative examination providers is ensured in accordance with POMS DI 3956.300.

When a new vendor is enlisted, licenses, credentials and certifications are verified with the appropriate State Medical, Psychology, and other professional Boards. Signed statements are obtained from each provider certifying that all support staff that will be used in consultative examinations meet the appropriate licensing/certification requirements of the State and are not sanctioned. Current practice is to perform a license check quarterly to ensure a providers license is active and in good standing. The DDS also checks the sanction list and the corresponding



| | VENDOR NAME | TOTAL PAID | PSYCHOLOGICAL | MEDICAL | COMMENTS |
|---------|--------------------------------|-----------------|----------------|----------------|-----------------------|
| (b) (6) | DR PAUL A DEARDORFF INC | \$989,609.00 | \$989,609.00 | | |
| | MEDICAL & OCCUPATIONAL HEALTH | \$897,438.50 | | \$897,438.50 | MARTIN FRITZHAND MD |
| | TRI-STATE OCCUPATIONAL MED INC | \$793,608.75 | | \$793,608.75 | |
| | IMA EVALUATIONS OF OHIO INC | \$574,474.25 | \$258,252.00 | \$316,222.25 | |
| | MEDICAL EVALUATION SERV INC | \$529,585.75 | | \$529,585.75 | X-REF REC INC |
| | WELLCARE | \$413,487.50 | \$219,965.00 | \$193,522.50 | |
| | FARRELL NELSON SERVICES INC | \$373,912.00 | \$373,912.00 | | LEE HOWARD OFFICE |
| | SUSHIL M SETHI, MD PA | \$342,150.75 | | \$342,150.75 | |
| | J JOSEPH KONIECZNY, PHD | \$320,812.00 | \$320,812.00 | | |
| | NANCY SCHMIDTGOESSLING, PHD | \$306,998.33 | \$306,998.33 | | |
| | DAMIAN M DANOPULOS, MD | \$301,813.50 | | \$301,813.50 | |
| | REC INC | \$284,798.00 | | \$284,798.00 | X-REF MED EVAL SVCS |
| | JOHN S REECE | \$269,788.00 | \$269,788.00 | | |
| | DOROTHY A BRADFORD, MD | \$256,920.25 | | \$256,920.25 | |
| | T RODNEY SWEARINGEN PHD | \$253,972.00 | \$253,972.00 | | |
| | JAMES C TANLEY, PHD | \$252,660.00 | \$252,660.00 | | |
| | HUMAN DEVELOPMENT & | \$250,158.00 | \$250,158.00 | | |
| | ADVANCED INJURY MANAGEMENT SC | \$242,882.00 | \$242,882.00 | | SUDHIR DUBEY PSY.D |
| | RICHARD SEXTON, PHD | \$207,943.00 | \$207,943.00 | | |
| | HEMISPHERIC PSYCHOLOGICAL | \$199,937.00 | \$199,937.00 | | MARK HAMMERLY PHD |
| | CONSULTING PSYCHOLOGY INC | \$197,906.00 | \$197,906.00 | | DRS LEIDAL & FONTENOT |
| | DAVID V HOUSE, PHD INC | \$193,211.00 | \$193,211.00 | | |
| | THE SPEECH LANGUAGE PATH LLC | \$189,738.00 | | \$189,738.00 | |
| | BABATUNDE ONAMUSI, MD | \$186,455.00 | | \$186,455.00 | |
| | PSYCHOLOGICAL SERVICES LLC | \$181,747.00 | \$181,747.00 | | RICHARD HALAS MA |
| | MICHAEL W FAUST | \$180,183.00 | \$180,183.00 | | |
| | GEORGE O SCHULZ, PHD | \$177,969.00 | \$177,969.00 | | |
| | NORMAN L BERG, PHD | \$176,205.00 | \$176,205.00 | | |
| | JESSICA TWEHUES PSYD | \$176,090.00 | \$176,090.00 | | |
| | A E VIRGIL, INC | \$175,789.00 | \$175,789.00 | | |
| | MARC E MILLER | \$171,413.00 | \$171,413.00 | | |
| | BONDS MATHIS & ASSOCIATES INC | \$157,386.00 | | \$157,386.00 | |
| | CENTER FOR CLINICAL PSYCHOLOGY | \$154,360.00 | \$154,360.00 | | |
| | HERSCHEL PICKHOLTZ, PH D | \$151,674.00 | \$151,674.00 | | |
| | BOUSQUET & ASSOCIATES | \$151,605.00 | \$151,605.00 | | |
| | KATHERINE A MYERS PSY D | \$150,809.00 | \$150,809.00 | | |
| | TOTAL | \$10,835,488.58 | \$6,385,849.33 | \$4,449,639.25 | |

Onsites for Fiscal Year 2014

| Last Onsite | VendorNumber | VendorName | Office Address | Office City | Pro | Key Vendor? |
|-------------|--------------|--|---|-------------|---------|-------------|
| 10/2/2013 | (b) (6) | JAMES SUNBURY PHD | WASHINGTON SQ #B4 750 E WASHINGTON ST | MEDINA | (b) (6) | No |
| 10/2/2013 | | EASTER SEALS OF NORTHERN OHIO | 41641 NORTH RIDGE RD SUITE D | ELYRIA | | No |
| 10/17/2013 | | YAW AYESU-OFFEI, MD | (b) (6) | COLUMBUS | | No |
| 10/17/2013 | | MICHELE T. EVANS, PHD | 4937 WEST BROAD STREET STE 205 | COLUMBUS | | No |
| 10/17/2013 | | LEE HOWARD, INC | 181 THURMAN AVE | COLUMBUS | | Yes |
| 10/17/2013 | | NORTHWEST EYE SURGEONS | NORTHWEST EYE SURGEONS INC, 2250 NORTH BACK DRIVE | COLUMBUS | | No |
| 10/17/2013 | | PATRICIA CANNON, MD | CHILD CARE CONSULTANTS INC. 111 INAH AVE | COLUMBUS | | No |
| 10/25/2013 | | KIM OXLEY, M.D. | 1408 CAMPBELL DRIVE SUITE 201 | IRONTON | | No |
| 10/30/2013 | | FORPSYCH | 45 OLIVE STREET | GALLIPOLIS | | Yes |
| 10/30/2013 | | FAMILY ADDICTION COMMUNITY TREATMENT CENTERS | TREATMENT SERVICES INC 45 OLIVE ST | GALLIPOLIS | | No |

| Last Onsite | VendorNumber | VendorName | Office Address | Office City | Pro | Key Vendor? |
|-------------|--------------|---|--|-------------------|---------|-------------|
| 11/4/2013 | (b) (6) | GLENWAY TRI- HEALTH/GOOD SAMARITAN HOSPITAL | TRI HEALTH MEDICAL CENTER 6350 GLENWAY AVE STE #101 | CINCINNATI | (b) (6) | No |
| 11/4/2013 | | GOOD SAMARITAN HOSPITAL | 375 DIXMYTH RD | CINCINNATI | | No |
| 11/4/2013 | | RUTH M QUINN SLP | 10133 SPRINGFIELD PIKE STE D | CINCINNATI | | No |
| 11/15/2013 | | OHIO MYOFASCIAL SPECIALISTS INC | 840 BETHESDA DR BLDG #3 B | ZANESVILLE | | No |
| 11/19/2013 | | JOHN REECE PSY.D | (b) (6) | MT VERNON | | Yes |
| 11/20/2013 | | JAMES ROSENTHAL PSY.D | (b) (6) | MIDDLETOWN | | No |
| 11/20/2013 | | NORMAN L. BERG, PHD | XAVIER HOUSE - SYCAMORE HOUSE 3818 WINDING WAY | CINCINNATI | | Yes |
| 11/20/2013 | | FORPSYCH | 1019 DELTA AVE | CINCINNATI | | Yes |
| 11/21/2013 | | Mark Hammerly, PH D | (6) (6) | Findlay | | Yes |
| 11/22/2013 | | EVELYN RIVERA PHD | HISPANIC HUMADOAP 3305 W 25TH ST | CLEVELAND | | No |
| 11/22/2013 | | DOROTHY A. BRADFORD, MD | SEVERANCE MEDICAL BUILDING 5 SEVERANCE CIRCLE SUITE 815 | CLEVELAND HEIGHTS | | Yes |
| 12/11/2013 | | ROBERT S PEMA DO | TOTAL CARE ENT 4488 W. BROAD ST. | COLUMBUS | | No |

Friday, November 14, 2014

| Last Onsite | VendorNumber | VendorName | Office Address | Office City | Pro | Key Vendor? |
|-------------|--------------|----------------------------------|--|------------------|---------|-------------|
| 12/11/2013 | (b) (6) | James Joseph Powers MD | 340 E. TOWN ST. STE 700 (8TH FLOOR) | COLUMBUS | (b) (6) | No |
| 1/7/2014 | | THOMAS M EVANS, PHD | THE CENTER FOR CLINICAL PSYCHOLOGY 35590 CENTER RIDGE RD SUITE # 105 | NORTH RIDGEVILLE | | No |
| 1/16/2014 | | CHIMEZIE AMANAMBU, MD | APEX MEDICAL EVALUATION SERVICES 1655 W. MARKET ST. STE L | AKRON | | No |
| 1/16/2014 | | JOSEPH B YUT MD | (b) (6) | CANTON | | No |
| 1/16/2014 | | Renae K. Chung, PH D | 210 E Milltown Rd Suite B | Wooster | | No |
| 1/16/2014 | | Kevin J. Edwards PH D | 12 W. Columbus St.; suite B | Thornville | | No |
| 1/16/2014 | | COLUMBIA MERCY MEDICAL CENTER | VASCULAR LAB-5TH FLOOR 1320 TIMKEN MERCY DR NW | CANTON | | No |
| 1/16/2014 | | JOSEPH T. IEMMA, MD INC | ATTN: DR. DUNCAN 96 GRAHAM RD SUITE B | CUYAHOGA FALLS | | No |
| 1/16/2014 | | MARY FILE, MD | ST THOMAS PROF CTR 444 N MAIN ST STE 423 | AKRON | | No |
| 1/16/2014 | | MARK S. BRIGHAM DO | 195 WADSWORTH RD SUITE 401 | WADSWORTH | | No |

| Last Onsite | VendorNumber | VendorName | Office Address | Office City | Pro | Key Vendor? |
|-------------|--------------|----------------------------------|---|--------------|---------|-------------|
| 1/16/2014 | (b) (6) | ANDRIA L DOYLE PH.D. | THE SOURCE ONE GROUP, 210 E MILLTOWN RD, SUITE B | WOOSTER | (b) (6) | No |
| 1/16/2014 | | SUSHIL M SETHI MD | (b) (6) | BOARDMAN | | Yes |
| 1/16/2014 | | COLUMBIA MERCY MEDICAL CENTER | CENTRAL SCHEDULING. 1320 TIMKEN DR. NW. | CANTON | | No |
| 1/22/2014 | | MARK HAMMERLY, PHD | (b) (6) | TOLEDO | | Yes |
| 1/22/2014 | | MICHAEL J WUEBKER PHD | 2444 CABLE CT STE H | LIMA | | No |
| 1/22/2014 | | ST RITA'S MEDICAL CENTER | 730 W MARKET ST | LIMA | | No |
| 1/22/2014 | | SUSHIL M SETHI MD | 830 W HIGH ST STE 108 | LIMA | | Yes |
| 1/22/2014 | | ST RITA'S MEDICAL CENTER | 730 W MARKET ST | LIMA | | No |
| 1/23/2014 | | KENNETH A. GRUENFELD, PSY D | 5500 MARKET STREET STE #90 | YOUNGSTOWN | | No |
| 1/23/2014 | | ANDREA VANESTENBERG PHD | 5815 MARKET ST STE 5 | BOARDMAN | | No |
| 1/23/2014 | | THE SPEECH AND LANGUAGE PATH | C/O STEUBENVILLE PUBLIC LIBRARY 4141 MALL DR. LOWER LEVEL - CONFERENCE ROOM | STEUBENVILLE | | Yes |

| Last Onsite | VendorNumber | VendorName | Office Address | Office City | Pro | Key Vendor? |
|-------------|--------------|--------------------------------------|--|--------------|---------|-------------|
| 2/2/2014 | (b) (6) | FORPSYCH | COSHOCTON COUNTY JOB AND FAMILY SERVICES | COSCHOCTON | (b) (6) | Yes |
| 2/3/2014 | | ALBERT E VIRGIL PHD JD | (6) (6) | LIMA | | Yes |
| 2/3/2014 | | TRI STATE OCCUPATIONAL MED INC | 1649 BRICE RD STE B | REYNOLDSBURG | | Yes |
| 2/3/2014 | | ANN REA MILLER, OD | (b) (6) | LIMA | | No |
| 2/3/2014 | | MEGAN GREGG, PHD | 3400 KENNY1ST FLOOR | COLUMBUS | | No |
| 2/3/2014 | | Springfield Regional Med Ctr | 100 Medical Center Dr. | Springfield | | No |
| 2/3/2014 | | Springfield Regional Medical Ctr | 100 Medical Center Dr. | Springfield | | No |
| 2/3/2014 | | KHOZEMA RAJKOTWALA MD | 990 S PROSPECT STE 2 | MARION | | No |
| 2/3/2014 | | SUDHIR DUBEY, PSY D | (b) (6) | COLUMBUS | | Yes |
| 2/3/2014 | | THE SPEECH LANGUAGE PATH | 7100 N. HIGH ST. SUITE 203 | COLUMBUS | | Yes |
| 2/3/2014 | | DAVID R. BOUSQUET, M.ED. | THE TOWERS BLDG 5TH FLOOR 500 MARKET ST STE 518 & 520 | STEUBENVILLE | | No |
| 2/11/2014 | | T RODNEY SWEARINGEN PHD | 6877 N HIGH ST STE 305 | COLUMBUS | | Yes |

| Last Onsite | VendorNumber | VendorName | Office Address | Office City | Pro | Key Vendor? |
|-------------|--------------|---------------------------------------|--|-------------|---------|-------------|
| 2/11/2014 | (b) (6) | HERBERT GRODNER, MD | (b) (6) | WESTERVILLE | (b) (6) | No |
| 2/11/2014 | | JOHN REECE PSY.D | (b) (6) | NEWARK | | Yes |
| 2/11/2014 | | HERBERT GRODNER, MD | (b) (6) | WESTERVILLE | | No |
| 2/11/2014 | | ROBERT M. HESS, MD | (b) (6) | WESTERVILLE | | No |
| 2/11/2014 | | TIMOTHY DRANKWALTER, DO | (b) (6) | GAHANNA | | No |
| 2/11/2014 | | T RODNEY SWEARINGEN PHD | 6877 N HIGH ST STE 305 | COLUMBUS | | Yes |
| 2/25/2014 | | OZA & OZA | 1100 S MAIN ST. SUITE 203 | DAYTON | | No |
| 2/25/2014 | | JERRY E. FLEXMAN, PHD | WEST PAVILLION 1ST FLOOR SUITE C 1 ELIZABETH PLACE | DAYTON | | No |
| 3/5/2014 | | Louis DeCola, Jr. PHD | 355 West Prospect Rd. Suite 116B | Ashtabula | | No |
| 3/18/2014 | | TRI STATE OCCUPATIONAL MEDICINE | 3915 SUNFOREST CT | TOLEDO | | Yes |
| 4/4/2014 | | FAUST PSYCHOLOGICAL SERVICES | MICHAEL FAUST, PHD LUTHERAN HOSPITAL 1730 W. 25TH ST | CLEVELAND | | Yes |
| 4/4/2014 | | DAVID V. HOUSE, PHD | 2800 EUCLID STE 335 | CLEVELAND | | Yes |

Friday, November 14, 2014

| Last Onsite | VendorNumber | VendorName | Office Address | Office City | Pro | Key Vendor? |
|-------------|--------------|--|---|-------------|---------|-------------|
| 4/4/2014 | (b) (6) | J. JOSEPH KONIECZNY PHD | 23811 CHAGRIN BLVD CHAGRIN PLAZA E STE LL70 | BEACHWOOD | (b) (6) | Yes |
| 4/8/2014 | | CONSULTING PSYCHOLOGY INC. | 3250 W MARKET ST STE 106 | FAIRLAWN | | Yes |
| 4/8/2014 | | JON COOPERRIDER, II OD | (b) (6) | Mansfield | | No |
| 4/8/2014 | | Pediatric Ophthamology Associates Inc. | 555 S. 18th St; Suite 4C | Columbus | | No |
| 4/8/2014 | | DAVID H SHARKIS | 770 JASONWAY AVE STE G2 | COLUMBUS | | No |
| 4/8/2014 | | SUSHIL M SETHI MD | 1221 S TRIMBLE RD STE B-1 | MANSFIELD | | Yes |
| 4/8/2014 | | Randall Olsen, AU. D. | (b) (6) | Parma | | No |
| 4/8/2014 | | JOHN S REECE PSYD | (b) (6) . | LANCASTER | | No |
| 4/8/2014 | | JENNIFER HAAGA, PSYD | 106 E MARKET STREET SUITE 605 | WARREN | | No |
| 4/8/2014 | | KATHLEEN A. MCGOWAN MD | 3545 OLENTANGY RIVER RD, SUITE 400 | COLUMBUS | | No |
| 4/8/2014 | | Romeo Siy Ong, MD | 5500 Ridge Rd. #236 | Parma | | No |
| 4/8/2014 | | STUART M TERMAN MD | 32901 STATION ST STE 103 | SOLON | | No |

| Last Onsite | VendorNumber | VendorName | Office Address | Office City | Pro | Key Vendor? |
|-------------|--------------|---|---|----------------|---------|-------------|
| 4/8/2014 | (b) (6) | CHILD & ADOLESCENT BEHAVIORAL HEALTH | 4641 FULTON DR NW | CANTON | (b) (6) | No |
| 4/8/2014 | | THE SPEECH LANGUAGE PATH | 109 NORTH BROAD STREET SUITE 300 THIRD FLOOR | LANCASTER | | Yes |
| 4/9/2014 | | D C TALBUT MD | 3740 W SYLVANIA AVE STE 250 | TOLEDO | | No |
| 4/9/2014 | | FLOYD P SOURS MA | (b) (6) | ZANESVILLE | | No |
| 4/9/2014 | | JEROME ZAKE PHD | 3454 OAK ALLEY CT STE 305 | TOLEDO | | No |
| 4/9/2014 | | ROBERT J THOMPSON MD | 945 BETHESDA DR STE 230 | ZANESVILLE | | No |
| 4/10/2014 | | RICHARD SEXTON PHD | (b) (6) | CINCINNATI | | Yes |
| 4/10/2014 | | SUSAN KENFORD, PHD | XAVIER UNIV. SYCAMORE HOUSE 3818 WINDING WAY | CINCINNATI | | No |
| 4/10/2014 | | CRYSTAL CLEAR IMAGING | 2100 EMMANUEL WAY STE A | SPRINGFIELD | | No |
| 4/10/2014 | | MICHAEL T. FARRELL, PHD | 375 GLENSPRINGS DR SUITE #300 | CINCINNATI | | No |
| 4/10/2014 | | MICHAEL W NELSON PHD | XAVIER UNIV- SYCAMORE HOUSE, 3818 WINDING WAY | CINCINNATI | | No |
| 4/14/2014 | | CHILD & FAMILY PSYCH ASSOCIATES | 822 PORTAGE TRAIL | CUYAHOGA FALLS | | No |

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| Last Onsite | VendorNumber | VendorName | Office Address | Office City | Pro | Key Vendor? |
|-------------|--------------|--------------------------------|---|---------------|---------|-------------|
| 4/14/2014 | (b) (6) | CAO FAMILY MEDICAL CENTER | IRONTON FAMILY MEDICAL CTR 304 N SECOND ST | IRONTON | (b) (6) | No |
| 4/15/2014 | | MITCHELL WAX, PHD | (b) (6) | LAKEWOOD | | No |
| 4/15/2014 | | IMA, PC | 4269 Pearl Rd. Ste 102 | Cleveland | | Yes |
| 4/15/2014 | | FORPSYCH | 39 ELVA CT | VANDALIA | | Yes |
| 4/15/2014 | | DEBORAH A. KORICKE, PHD | 20800 WESTGATE MALL STE 200 | FAIRVIEW PARK | | No |
| 4/15/2014 | | IMA, PC | DEACONESS PROFESSIONAL BUILDING 4269 PEARL RD. SUITE 102 | CLEVELAND | | Yes |
| 4/16/2014 | | Chad Sed, PhD | (b) (6) | Chillicothe | | No |
| 4/16/2014 | | Karen Bretz, PhD | (b) (6) | Columbus | | No |
| 4/16/2014 | | T RODNEY SWEARINGEN PHD | (b) (6) | ATHENS | | Yes |
| 4/17/2014 | | KATHRYN BARTOW, SLP | 4807 ROCKSIDE RD. SUITE 400 | INDEPENDENCE | | No |
| 4/17/2014 | | MEDICAL EVALUATION SERVICES | 83 N MILLER RD | AKRON | | Yes |
| 4/17/2014 | | SUDHIR DUBEY, PSY D | (b) (6) | AKRON | | Yes |

| Last Onsite | VendorNumber | VendorName | Office Address | Office City | Pro | Key Vendor? |
|-------------|--------------|--------------------------------|--|-------------|---------|-------------|
| 4/17/2014 | (b) (6) | CLEVELAND CLINIC FOUNDATION | WESTLAKE MEDICAL CAMPUS, 850 COLUMBIA RD., SUITE 100 | WESTLAKE | (b) (6) | No |
| 4/17/2014 | | JENNIFER UJCICH SLP | MARION AREA CHAMBER OF COMMERCE 205 W. CENTER ST. SUITE 100 | MARION | | No |
| 4/17/2014 | | FORPSYCH | 731 E MAIN ST UNIT 16 | JACKSON | | Yes |
| 4/17/2014 | | Kathryn Bartow | (b) (6) | AKRON | | No |
| 4/17/2014 | | MEDICAL EVALUATION SERVICES | 83 N MILLER RD | AKRON | | Yes |
| 4/18/2014 | | JUDITH ROSENTHAL | 3610 West Market Street Suite 102 South Entrance | AKRON | | No |
| 4/29/2014 | | MARY ANN JONES, PH.D. | 4134 LINDEN AVE. STE. 200 | DAYTON | | No |
| 4/29/2014 | | KATHERINE A MYERS PSY.D | 1502 UNIVERSITY BLVD, SUITE D | HAMILTON | | Yes |
| 4/29/2014 | | KATHERINE A MYERS PSY.D | 1502 UNIVERSITY BLVD., SUITE D | HAMILTON | | Yes |
| 4/29/2014 | | KATHERINE A MYERS PSY.D | 1502 UNIVERSITY BLVD.,SUITE D | HAMILTON | | Yes |
| 4/29/2014 | | KATHERINE A MYERS PSY.D | 1502 UNIVERSITY BLVD.,SUITE D | HAMILTON | | Yes |

| Last Onsite | VendorNumber | VendorName | Office Address | Office City | Pro | Key Vendor? |
|-------------|--------------|----------------------------------|--|-------------------------|---------|-------------|
| 4/29/2014 | (b) (6) | Charles W. Loomis, M.ED. | (b) (6) | Lorain | (b) (6) | No |
| 4/29/2014 | | DAVID K. MAGNUSEN, MD | DAYTON SPINE AND REHAB 2591 MIAMISBURG- CENTERVILLE RD STE 300 | DAYTON | | No |
| 4/29/2014 | | TY PAYNE, PH.D. | 4134 LINDEN AVE. SUITE 200 | DAYTON | | No |
| 4/29/2014 | | KATHERINE A MYERS PSY.D | 1502 UNIVERSITY BLVD. SUITE D | HAMILTON | | Yes |
| 4/29/2014 | | KATHERINE MYERS PSY.D | 1502 UNIVERSITY BLVD. SUITE D | HAMILTON | | Yes |
| 4/29/2014 | | DONALD J. KRAMER, PHD | (b) (6) | DAYTON | | Yes |
| 4/29/2014 | | ALAN R. BOERGER, PHD | (b) (6) | TIPP CITY | | No |
| 4/29/2014 | | NANCY SCHMIDTGOESSLING PHD | 312 SOUTH BREIEL BLVD STE H | MIDDLETOWN | | Yes |
| 4/30/2014 | | PAUL G. JOSELL, PSYD | 5564 WILSON MILLS RD SUITE #201 | HIGHLAND HGTS | | No |
| 4/30/2014 | | GALVIN THERAPY CENTER | 25221 MILES RD STE F NORTH | WARRENSVILLE HEIGHTS | | No |
| 4/30/2014 | | THOMAS EYECARE, LTD | 3619 PARK EAST DR SUITE 306 | BEACHWOOD | | No |

| Last Onsite | VendorNumber | VendorName | Office Address | Office City | Pro | Key Vendor? |
|-------------|--------------|---|--|-------------|---------|-------------|
| 4/30/2014 | (b) (6) | DIAGNOSTIC IMAGING AT RIDGE PARK SQUARE | 7575 NORTH CLIFF AVE STE 105 | BROOKLYN | (b) (6) | No |
| 4/30/2014 | | JENNA A. LEWIS, O.D. | 3619 PARK EAST DR SUITE 306 | BEACHWOOD | | No |
| 5/1/2014 | | Forpsych | 30 Custer Rd | Heath | | Yes |
| 5/3/2014 | | LIBERTY MEDICAL ASSOCIATES | HEALTHQUEST OF HIGHLAND COUNTY 160 ROBERTS LN STE A | HILLSBORO | | No |
| 5/3/2014 | | RICHARD SEXTON PHD | (b) (6) | IRONTON | | Yes |
| 5/6/2014 | | H.L. KRUPADEV, MD LLC | 408 THIRD ST | MARIETTA | | No |
| 5/6/2014 | | Marietta Memorial Hospital (Dr. Sethi) | 800 Pike St. Suite 3 | Marietta | | No |
| 5/6/2014 | | MARIETTA MEMORIAL HOSPITAL | 401 MATTHEW ST | MARIETTA | | No |
| 5/6/2014 | | SUSHIL M SETHI MD | (b) (6) | MARIETTA | | Yes |
| 5/14/2014 | | LEE HOWARD, INC | OFFICE OF JOHN DETRAGLIA, MD 717 5TH STREET | PORTSMOUTH | | Yes |
| 5/14/2014 | | THE SPEECH LANGUAGE PATH | THE PORTSMOUTH PUBLIC LIBRARY 1220 GALLIA ST | PORTSMOUTH | | Yes |
| 5/14/2014 | | JACK J. KRAMER, PHD | 700 MORSE RD STE 102 | COLUMBUS | | No |

| Last Onsite | VendorNumber | VendorName | Office Address | Office City | Pro | Key Vendor? |
|-------------|--------------|-----------------------------------|--|----------------|---------|-------------|
| 5/14/2014 | (b) (6) | THE SPEECH LANGUAGE PATH | 70 BIRCH ALLEY SUITE 240 BUILDING B | BEAVERCREEK | (b) (6) | Yes |
| 5/29/2014 | | SUSHIL SETHI MD | 5757 MONCLOVA RD SUITE # 1 | MAUMEE | | Yes |
| 5/29/2014 | | DANIEL K WATKINS PHD | 3450 W CENTRAL AVE STE 350 | TOLEDO | | No |
| 5/29/2014 | | OPHTHALMOLOGY ASSOC OF NW OHIO | 3509 BRIARFIELD BLVD | MAUMEE | | No |
| 6/7/2014 | | FORPSYCH | 5720 A SIGNAL HILL CT | MILFORD | | Yes |
| 6/7/2014 | | TAYLOR GRONECK PSYD | (b) (6) | WILIMINGTON | | No |
| 6/7/2014 | | FORPSYCH | 1159 LYONS RD BLG E | CENTERVILLE | | Yes |
| 6/7/2014 | | NORMAN L. BERG, PHD | Adams County Regional Med Center 230 Medical Center Drive | Seaman | | Yes |
| 6/11/2014 | | WILLIAM C STEINHOFF MA | (b) (6) | POINT PLEASANT | | No |
| 6/11/2014 | | KRISTIN A RAITER SLP | (b) (6) | MARYSVILLE | | No |
| 6/12/2014 | | DARIUSH SAGHAFI MD | 6681 RIDGE RD SUITE 300 | PARMA | | No |
| 6/12/2014 | | RICHARD N. DAVIS, MA | 14805 DETROIT RD STE #450 | LAKEWOOD | | No |

| Last Onsite | VendorNumber | VendorName | Office Address | Office City | Pro | Key Vendor? |
|-------------|--------------|-----------------------------------|---|-----------------|---------|-------------|
| 6/14/2014 | (b) (6) | DAVID V. HOUSE, PHD | SPANISH AMERICAN COMM 4407 LORAIN AVE | CLEVELAND | (b) (6) | Yes |
| 6/16/2014 | | Sushil M Sethi, MD | (b) (6) | St. Clairsville | | Yes |
| 6/16/2014 | | DR PEDERZOLLI & BRINE MDS | 1059 E STATE ST. | SALEM | | No |
| 6/16/2014 | | RAINBOW COUNSELING | 414 E FIFTH ST | E LIVERPOOL | | No |
| 6/17/2014 | | WILLIAM J. KESSLER, MD | 2600 FAR HILLS AVE SUITE #15 | DAYTON | | No |
| 6/17/2014 | | TRACI SISSON GOOD SLP | (b) (6) | CHILLICOTHE | | No |
| 6/17/2014 | | JAMES ROSENTHAL PSY D | WILDWOOD OFFICE PARK 1006 N UNIVERSITY BLVD | MIDDLETOWN | | No |
| 6/17/2014 | | ADENA HEALTH SYSTEM | 12340 STATE ROUTE 104 | WAVERLY | | No |
| 6/17/2014 | | GEORGE LESTER, PSY D | 800 COMPTON RD SUITE #1 | CINCINNATI | | No |
| 6/17/2014 | | HOLZER MEDICAL GROUP | TRACI GOOD, SLP 100 JACKSON PIKE | GALLIPOLIS | | No |
| 6/20/2014 | | GARY S SARVER PHD | (b) (6) | ATHENS | | No |
| 6/20/2014 | | MED AND OCCUPATIONAL HEALTH | FOLKE BLOCK BLDG 4TH FLOOR 14 S PAINT ST | CHILLICOTHE | | Yes |

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| Last Onsite | VendorNumber | VendorName | Office Address | Office City | Pro | Key Vendor? |
|-------------|--------------|---------------------------|--|-------------|---------|-------------|
| 6/23/2014 | (b) (6) | MELISSA K LANZA, PHD | 1011 SANDUSKY ST STE. S | PERRYSBURG | (b) (6) | No |
| 6/25/2014 | | RONALD G SMITH PHD | 5321 MEADOW LN CT #5 | ELYRIA | | No |
| 6/27/2014 | | BABATUNDE ONAMUSI, MD | (b) (6) | DEFIANCE | | Yes |
| 6/27/2014 | | Wellcare | 241 N. Superior St. Ste. 301 | Toledo | | No |
| 6/27/2014 | | Wellcare | 241 N. Superior St. Ste. 301 | Toledo | | No |
| 6/30/2014 | | JAMES C TANLEY PHD | 4041 N HIGH ST 300P | COLUMBUS | | Yes |
| 6/30/2014 | | NANCY MILLER PH.D, SLP | MONTGOMERY SPEECH CLINIC, 10768 MONTGOMERY ROAD | CINCINNATI | | No |
| 6/30/2014 | | JESSICA TWEHUES PSY.D | (b) (6) | CINCINNATI | | Yes |
| 7/1/2014 | | Karen Bretz, PHD | (b) (6) | Columbus | | No |
| 7/1/2014 | | Karen Bretz, PHD | 4449 Easton Way Suite #200 | Columbus | | No |
| 7/3/2014 | | KATHY CARR, PH D | 140 FOX RD. SUITE 303 | VAN WERT | | No |
| 7/3/2014 | | NEIL S SHAMBERG PHD | SHERWOOD CROSSING 9915 SR 127 | SHERWOOD | | No |

| Last Onsite | VendorNumber | VendorName | Office Address | Office City | Pro | Key Vendor? |
|-------------|--------------|-----------------------------------|---|----------------|---------|-------------|
| 7/3/2014 | (b) (6) | MARTIN K. JOHNSON, PSY.D. | (b) (6) | SPRINGFIELD | (b) (6) | No |
| 7/9/2014 | | GIOVANNI M. BONDS, PHD | (6) (6) | DAYTON | | No |
| 7/9/2014 | | GEORGE O SCHULZ PHD | 2100 East High St. Suite 110 (Governor's Manor) | SPRINGFIELD | | Yes |
| 7/9/2014 | | WILLIAM F THISTLETHWAITE MD | 3080 ACKERMAN BLVD STE 310 | KETTERING | | No |
| 7/9/2014 | | DAMIAN M. DANOPULOS, MD | ACKERMAN MED BLDG STE 310 3080 ACKERMAN BLVD | KETTERING | | Yes |
| 7/15/2014 | | EASTER SEALS OF NORTHEAST OHIO | 1929 A EAST ROYALTON RD | BROADVIEW HGTS | | No |
| 7/15/2014 | | K. ROGER JOHNSON, M.ED. | 5757 MONCLOVA RD SUITE #16C | MAUMEE | | No |
| 7/15/2014 | | FORPSYCH | THE WESTGATE BUILDING, 3450 W. CENTRAL AVE., STE 334 | TOLEDO | | Yes |
| 7/15/2014 | | DONALD MCINTIRE PH.D | 125 EXECUTIVE DR, SUITE 201 | MARION | | No |
| 7/15/2014 | | EASTER SEALS OF NORTHEAST OHIO | 14701 DETROIT AVE SUITE #470 | LAKEWOOD | | No |
| 7/16/2014 | | AILEEN K. HUNT, SLP | The Westgate Bldg 3450 W Central Ave Ste 334 | TOLEDO | | No |

| Last Onsite | VendorNumber | VendorName | Office Address | Office City | Pro | Key Vendor? |
|-------------|--------------|----------------------------|---|-------------|---------|-------------|
| 7/16/2014 | (b) (6) | JAMES BRUCE KELLY, M.ED | 134 WEST SOUTH BOUNDARY STE R | PERRYSBURG | (b) (6) | No |
| 7/16/2014 | | KAREN ROBIE, PHD | 4930 N HOLLAND- SYLVANIA RD STE B | SYLVANIA | | No |
| 7/16/2014 | | YOUNG WUNG RHEE M.D. | 915 W MARKET ST STE D | LIMA | | No |
| 7/16/2014 | | LYNN KRUSZEWSKI, SLP | 3450 W Central Ave. Ste 334 | TOLEDO | | No |
| 7/16/2014 | | Babatunde Onamusi, MD | (b) (6) | Lima | | Yes |
| 7/17/2014 | | RICHARD SHERIDAN MD | (b) (6) | CINCINNATI | | No |
| 7/17/2014 | | RICHARD SHERIDAN MD | (b) (6) | CINCINNATI | | No |
| 7/17/2014 | | FORT HAMILTON HOSPITAL | X-RAY DEPT 630 EATON AVE | HAMILTON | | No |
| 7/17/2014 | | FORT HAMILTON HOSPITAL | 630 EATON AVE | HAMILTON | | No |
| 7/17/2014 | | THOMAS M. EVANS, PHD | (b) (6) | MILAN | | Yes |
| 7/17/2014 | | RICHARD SHERIDAN MD | (b) (6) | CINCINNATI | | No |
| 7/17/2014 | | GARY RAY MD | FORT HAMILTON HOSPITAL 1010 CEREAL AVE #311 | HAMILTON | | No |

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| Last Onsite | VendorNumber | VendorName | Office Address | Office City | Pro | Key Vendor? |
|-------------|--------------|---|----------------------------------|--------------|---------|-------------|
| 8/6/2014 | (b) (6) | RICHARD SEXTON PH D | (b) (6) | CINCINNATI | (b) (6) | No |
| 8/11/2014 | | THE SPEECH LANGUAGE PATH | 4807 ROCKSIDE RD. Suite 400 | INDEPENDENCE | | Yes |
| 8/11/2014 | | Healthlink Occupational Health Services | 710 CLEVELAND AVE | Fremont | | No |
| 8/20/2014 | | FORPSYCH | 15711 MADISON AVE STE 102 | LAKEWOOD | | Yes |
| 8/21/2014 | | JOHN REECE PSY.D | 1110 MORSE RD STE 218 | COLUMBUS | | Yes |
| 8/21/2014 | | LISA M. THORNTON PHD | 4041 N HIGH ST STE 300 I | COLUMBUS | | No |
| 8/21/2014 | | MARGARET M ROBERTS PHD | (b) (6) | COLUMBUS | | No |
| 8/22/2014 | | JAMES T. LIANG, MD | 5500 RIDGE RD SUITE #220 | PARMA | | No |
| 8/28/2014 | | Amy Meyer, Psy D | 5335 Far Hills Ave Suite 105 | Dayton | | No |
| 9/9/2014 | | WAYNE MORSE, PH.D | 1604 E PERKINS AVE. SUITE 201 | SANDUSKY | | No |
| 9/9/2014 | | FIRELANDS REGIONAL MED CENTER | 1111 HAYES AVE | SANDUSKY | | No |
| 9/9/2014 | | T Rodney Swearingen | (b) (6) | Mansfield | | Yes |
| 9/9/2014 | | THOMAS F ZECK PHD | 1740 COOPER-FOSTER PK STE E | LORAIN | | No |

| Last Onsite | VendorNumber | VendorName | Office Address | Office City | Pro | Key Vendor? |
|-------------|--------------|-------------------------------|--|-------------|---------|-------------|
| 9/10/2014 | (b) (6) | MARSHA D. COOPER, MD | 2819 S HAYES AVE SUITE #6 | SANDUSKY | (b) (6) | No |
| 9/11/2014 | | SONJA S. PINSKY M.D. | 5600 MONROE ST, SUITE 204A | SYLVANIA | | No |
| 9/11/2014 | | AKASHA MEDICAL CONSULTANTS | FLOWER HOSPITAL MEDICAL OFFICE BUILDING I 5300 HARROUN RD STE 212 | SYLVANIA | | No |
| 9/18/2014 | | ELTINA DAVIS SLP | 125 EXECUTIVE DR STE 104 | MARION | | No |
| 9/22/2014 | | Brithany Pawloski, PSY | 4930 N HOLLAND SYLVANIA RD STE B | SYLVANIA | | No |
| 9/24/2014 | | T RODNEY SWEARINGEN PHD | 14 SOUTH PAINT ST STE 19 | CHILLICOTHE | | Yes |
| 9/24/2014 | | ALBERT E VIRGIL PHD JD | 3620 N HIGH ST STE B9 | COLUMBUS | | Yes |
| 9/24/2014 | | ALBERT E VIRGIL PHD JD | (b) (6) | PORTSMOUTH | | Yes |
| 9/24/2014 | | CARE POINT EAST | 543 TAYLOR AVE Suite 3009 (Respiratory Therapy-Pulmonary Lab) | COLUMBUS | | No |
| 9/25/2014 | | HARRIS S SCHILD MD | 1200 PROSPECT ST STE 120 | SANDUSKY | | No |
| 9/25/2014 | | ALLEN SEIDEN MD | 222 PIEDMONT AVE STE 5200 | CINCINNATI | | No |

| Last Onsite | VendorNumber | VendorName | Office Address | Office City | Pro | Key Vendor? |
|-------------|--------------|----------------------------------|---|-------------|---------|-------------|
| 9/25/2014 | (b) (6) | ROHN TYSEN KENNINGTON, MD | 2100 EMMANUEL WAY SUITE A | SPRINGFIELD | (b) (6) | No |
| 9/25/2014 | | THOMAS J. MEHELAS MD. | 3335 MEIJER DR SUITE 300 | TOLEDO | | No |
| 9/25/2014 | | NANCY SCHMIDTGOESSLING PHD | 1006 N UNIVERSITY BLVD WILDWOOD OFFICE PARK | MIDDLETOWN | | Yes |

Outreach Activities

Fiscal Year 10/1/13 to 9/30/14

(* Indicates activity done with PAS)

October 8thand 9th – Disability Jobs Summit

October 21st and 22nd - OSSPEAC, (Ohio School Speech Pathology Educational Audiology Coalition), Conference

October 30th, 31st and November 1 – OPA, (Ohio Psychological Association)

November 19th - FO KEG* with (b) (6)

November 25th - Cancer Support meeting* with (b) (6)

December 17th – OOD Commissioners onsite tour and disability presentation

January 14th - Akron chapter of the National Association of Insurance and Financial Advisors (NAIFA)*

February 7th – Career Fair Veterans Memorial

February 11th – Star House

February 18th – Cincinnati Veterans Affairs*

- February 19th OSU James Cancer Center*
- February 28th Legal Community Meeting
- March 13th and 14th OSLHA, (Ohio Speech, Language and Hearing Association)
- March 18th and 10th OHIMA, (Ohio Health Information Management Association)
- March 21st Wright State University Presentation/Recruiting effort
- March 25th OSU Spring Career Fair
- March 27th DCA-1 New Hire Presentation
- April 3rd Riverside Methodist Hospital Cancer Care Nurses and Social Workers*
- April 26th-Brain Tumor Conference*
- May 1st OOD New Hire Presentation

May 6th – Kidney Foundation Transplant Symposium

- May 16th 17th Ohio Rehabilitation Association, (ORA), Conference
- May 28th DCA1 New Class Presentation
- June 19th-OOD New Hire Presentation
- July 2nd –Quality meeting with Dr. Williams and new psych vendor
- July 10th-Pas/Pro Meeting*
- July 24th-OOD New Hire Presentation
- August 13th-Congressional Briefing for Northern Ohio
- August 14th-OOD New Hire Presentation
- August 19th-Dayton VA Presentation
- August 26th-Congressional Briefing for Southern Ohio
- September 10th-ADO Area 6 Meeting
- September 11th-OOD New Hire Presentation
- September 13th-Presentation in Cincinnati for Parkinson's and Huntington's disease patients*
- September 18th-OOD New Hire Presentation
- September 19th-Dayton Legal Community Meeting
- September 23rd/24th-Ohio Council for Home Care and Hospice Conference

DDS CE Oversight Report

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | |
|---|--|
| State DDS: | Oklahoma |
| Report Period (Fiscal Year): | 2014 |
| Current Date: | 11/14/2014 |
| Reporter's Name, Phone number, and title: | Name (b) (6) Phone number (b) (6) Title PRO |
| | |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

When complaints are received from a claimant regarding a CE provider the claimant is asked to submit their concerns in writing. The receipt of the claimant's complaint is acknowledged in a letter to the claimant. A letter is sent to the CE provider summarizing the complaint and asking the provider to give their view of the events as they occurred. The PR staff sends claimant surveys to a minimum of ten to twenty claimants who have recently been examined by the CE provider in question to determine any patterns of behavior. If allegations against the CE provider are egregious in nature, the PR staff will suspend the provider from scheduling during the investigation. After reviewing all of the information, a determination is made as to whether the complaint is unsubstantiated or substantiated. If the complaint is considered valid, a PR specialist visits the CE provider to discuss and implement a plan to remedy the situation. Depending on the nature of the complaint, a second PR specialist, the DDS administrator, a medical consultant or other appropriate DDS staff may accompany the PR specialist investigating the complaint to visit the CE provider. Communication with the DDS Administrator is ongoing in all complaints that include serious allegations regarding a CE provider. When appropriate, the Regional Office, the state licensure boards and law enforcement agencies are notified. Throughout the investigation process, beginning with the first contact from the claimant through the conclusion, whether the situation is remedied or the CE provider is released from the panel detailed written documentation is maintained.

2. Attach a list of completed onsite reviews of CE providers.

- Feb. 27-Dr. Josh Thomas/Tulsa
- March 12-Dr. Brett Haymore-Tulsa
- April 12-Dr. Caitlyn Seykora/OKC
- June 12-Dr. Sung Wook Choi/OKC
- July 18-Dr. Renatta Reeves- OKC
- November 8th Dr Schiff Ponca City
- November 21 Dr Gordon Claremore
- January 1 Dr Ranger Tulsa
- January 1 Dr Rippy Tulsa
- January 4th Bryan Billings MD OKC
- January 23 Dr Chaudhry OKC
- January 30 Janza LLC Duncan
- February 6 Midtown Medical Tulsa
- February 20 Eve Medical OKC
- March 21 Mark Himes DO OKC
- March 21 Kristen Jordan MD OKC
- May 13 Quality Medical Clinic OKC
- May 29 Moore Counseling Center OKC
- June 19th Dr Whelan OKC
- June 11 Crystal Forinash SLP OKC
- June 12 Allison Achilefu MD OKC
- June 12 Reuben Walia MD OKC
- June 18 Brandon Brown MD OKC
- June 18 Adam Rivadeneyra MD OKC
- July 8 Sarah Schou SLP OKC
- August 8th Dr Kahoe Watonga
- August 21 Lisa Mattingley MD OKC
- August 28 Maria Navas Bryant SLP OKC
- August 28 Bonnie Hunsicker SLP OKC
- August 28 Roxanne Ziebarth SLP OKC
- September 17 Brittany Schultz MD OKC
- September 17 Stanley Shadid MD OKC
 - September 17 Sooner Medical Services OKC

3. Attach a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch.

- AJ Medical PLLC Owasso, OK 10/2013
- Keith Green PhD OKC, OK 10/2013
- Alden Clinical Evaluation Services El Reno, OK 11/2013
- Eve Medical Services- Miami, Bartlesville, Stroud, Weatherford and Enid 02/2014

- H & H Exams LLC Tulsa, OK 09/2014
- Midtown Medical Associates-Tulsa, OK 02/2014
- Quality Medical Clinic of Oklahoma City- Oklahoma City, OK 05/2014
- Sooner Medical Services Oklahoma City, OK 09/2014
- Midwest CES McAlester, OK 04/2014

4. Provide the total number of CE providers on the panel.

279

5. Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs.

State license and OIG credential checks are performed before recruitment occurs. Vendors on our panel receive a bi-annual review yearly.

6. Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation.

State license and OIG credential checks are performed before recruitment occurs. Vendors on our panel receive a bi-annual review yearly.

7. Provide a description of any CE/MER fee schedule changes (include a description of any volume medical provider discounts).

Our policy for fee schedules is taken exactly from POMS 39545.600. Our fee schedule reimbursement rates are set after careful consideration of state and federal rules and regulations. Our rates may not exceed the highest rate paid by Federal or other agencies in the State for the same or similar type services. The Oklahoma DDD does not use contracts or negotiated agreements. All rates are set utilizing a fee schedule. The Oklahoma DDD's parent agency is the Department of Rehabilitation Services; however, we use our own fee schedule to set rates. Our fee schedule is reviewed annually. The most significant motivating factor in making changes to our fee schedule is recruitment problems.

8. Upload fee schedules to the MPRO SharePoint site.

Done.

9. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc.

DDS CE Oversight Report

The ERE is discussed at each new vendor training session and we have contacted all vendors to market this records submission option to each one. The ERE is discussed at all conventions and other PR outreach opportunities where appropriate.

Please attach any additional information before submitting this form.

DDS CE Oversight Report

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | Region X |
|---|---------------------------------------|
| State DDS: | Oregon |
| Report Period (Fiscal Year): | 2014 |
| Current Date: | 10/29/2014 |
| Reporter's Name, Phone number, and title: | Name (b) (6) Phone number (b) (6) |
| | Title Professional Relations Officer |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

The Oregon PRO's received complaints/concerns from DDS MC's, DA's, Claimant's, Claimant Representatives, MER Vendors, CE Providers, etc. The PRO addresses the concerns with the provider involved and documents this in their file. The claim narrative may also contain details of the complaint. Complaints/concerns are also included in the monthly PRO activity report.

Oregon PRO completed <u>1,674</u> actions on referrals for assistance and regular duties in FY 2014. Actions in 2013 totaled <u>1,196</u>. This was approximately a 72% increase. FY 2012 saw a 71% decrease in actions and so it appears the decrease in 2013 rose back to the same level experienced in FY 2012. Starting in FY 2014, the PRO employed a more streamlined method for collecting monthly data. We now focus on specific duties/actions typically carried out by the PRO. We also grouped together similar duties.

The PRO updated the language on the medical request letters to encourage providers to signup for the ERE. There has been approximately 50% increase in the number of accounts being set up in the past several months. FY 2014 has also seen an increase in the use of HIT within the OR DDS. Oregon rolled out with Kaiser Permanente and Salem Health as new HIT providers. This also increased the number of referrals from the analysts and claim developers who experienced problems when requesting HIT records. There are two main issues. The DA receives the message "*no patient match*". This may be due in part to the claimant having multiple AKA's. It may also be because the claimant is not an adult and a parent signed the release. There have also been instances where the HIT records did not contain the complete mental health record. The OR DDS had to send a traditional request to get these mental health treatment notes. This was a particualr issue with Kaiser Permanente. PRO continue to work with that source and have notified the ODD HIT Team.

The PRO has seen a decrease in problems with DOD MER, rejections of e-827, HIPAA issues, etc. The complaint trends are much the same as those most commonly reported in FY 2013.

The main areas of concern (in order of frequency received) were:

- a) Late or inadequate CE reports which needed an addendum.
- b) Assistance to ODAR regarding specific exam questions or follow up.
- c) Late response to request for medical information or refusal to accept the electronic 827.
- d) Assistance on Congressional Inquiries.
- e) Pre-payment requests from vendors in Oregon and outside the state. Oregon Administrative Rule prohibits pre-payment for services. (OAR 411-200-0010 through 0040).
- f) Bedside manner complaints for consultative exam providers.

2. Attach a list of completed onsite reviews of CE providers.

Charles Ford-The Dalles, Allen Books-Corvallis, Frank Lahman-Corvallis, Gold Beach Hospital-Gold Beach, Dan Scharf-Tigard, Greg Cole-Newport, MDSI-Portland, Albany Hospital-Albany, Daniel Kelly-The Dalles, Gail Smolen-Albany, Bruce Madsen-Salem, Lebanon Hospital-Lebanon, Derek Leinenbach-The Dalles, Todd Lewis-Corvallis, Renata Anderson-Pendleton, Susan South-Albany, Genessa Bohmbach-Hermiston, Rosemarie Reynolds-Brookings, Stephen Condon-Pendleton, John Lees-Albany John Ellison-Lincoln City, The Dalles Hospital-The Dalles, Susan Bottomley-Corvallis Greg Cole-New Port, Lacy Heid - Hermiston, Corvallis Hospital-Corvallis, Terrell Templeman-The Dalles, Hermiston Hospital-Hermiston, Lincoln City Hospital, Lincoln City, Pendleton Hospital, Pendleton, Terry Wigley-Pendleton, Douglas Smyth-Corvallis, Mike Henderson-Pendleton, Newport Hospital, Newport, James Harris-Tigard, Charles Regan-Coos Bay Pam Miller-The Dalles, Gail Wahl-Coos Bay, Scott Alvord-North Bend, Melodie Sheldon-North Bend Ashley Geer-North Bend, Jane Gilbert-North Bend, Debra Graham-North Bend, Florence Hospital-Florence, Coos Bay Hospital-Coos Bay, Bandon Hospital-Bandon, Jon Kintner-North Bend, Todd Landsberg-Coos Bay, Carla McKelvey-North Bend, Ray Nolan-North Bend

Dennis Ottemiller-North Bend, Natalie Kollross-Pendleton

3. Attach a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch.

1) Medical Dental Staffing INC (MDSI)

1400 Executive Parkway, STE 425, Eugene, OR 97401

P: 800-548-9092, Fax: 888-800-5900, (b) (6)

Approximately <u>3,530</u> combined physical and psychological exams and earned <u>\$806,170</u>. (Combined Offices) He has offices in Salem, Portland, Eugene and Medford.

2) Gregory Cole, PhD

Vocational Rehabilitation BLDG 119 NE 4th ST, STE 1, Newport, OR 9765

| (b) (6) Approximately <u>720</u> psychological exams and earned <u>\$213,223</u>. (Combined Offices) He had offices in McMinnville, Newport, Roseburg, Klamath Falls and Portland. |
|---|
| (3) <u>Michael Henderson, MD</u> (b) (6) OR 97801 (b) (6) Approximately <u>781</u> physical exams and earned <u>\$180,223</u>. (Combined Offices) He has in Bend, Klamath Falls and Pendleton. |
| (4) John Ellison, MD (b) (6) Lincoln City, OR 97366-7000 (b) (6) Approximately <u>545</u> physical exams and earned <u>\$128,680</u>. (Combined Offices) He has offices in Lincoln City, Salem and Portland. |
| (5) <u>Daniel Scharf, PhD</u> 10260 SW Greenburg RD, STE 400, Portland, OR 97223 (b) (6) Approximately <u>287</u> psychological exams and earned <u>\$98,282</u>. Has one office in Portland. |
| |
| 4. Provide the total number of CE providers on the panel. 588 |
| Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs. The Oregon DDS reviews the OIG sanction report and the appropriate licensing board as we add |
| providers and on a monthly basis. |
| Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation. |
| Oregon CE Providers are required to sign a statement verifying that all workers are properly and actively licensed. The PRO will also review the OIG sanction list for each support person. We follow the guidelines outlined in <u>POMS DI 39569.400</u> |
| 7. Provide a description of any CE/MER fee schedule changes (include a description of any |
| volume medical provider discounts). The most significant change in FY 2014 is the rollout of the updated OR DDS Fee schedule. The OR DDS now links rates directly to Medicare/Medicaid. Prior to this, the fee scheduled linked to the OR Worker Compensation reimbursement table. The change in fee schedule saw a drop in rates for most exams and ancillary testing such as labs and x-rays. The OR PRO worked with the lead physical and psychological consultants to identify exams, labs and tests no longer needed and removed them from the fee schedule. This streamlined the fee schedule. We also added intermediate exams where applicable. The OR PRO also obtained approval from Seattle RO to add four waivers in 2014. Waivers were approved for higher reimbursement for <i>Oregon's</i> <i>underserved areas, Goldman Testing, Vestibular Testing and Doppler Testing.</i> |
| The OR DDS used the quarterly newsletter to notify providers in advance of the impeding |

changes. While many providers raised concerns, and some threatened to cease doing exams, no provider quit. The fee schedule did include allowances for underserved areas of OR to ensure we maintained adequate coverage. The PRO also sent out recruitment letters to all physical and psychological providers in the state offering them an opportunity to enroll as consultative exam providers. The OR PRO reviewed responses and added panelists where appropriate.

The PRO worked closely with DDS scheduling and billing units to implement this change. In addition, the PRO worked with DDS lead physical and psychological consultants to implement training with DDS staff on the new changes and to reduce CE ordering and expenditures for unneeded exams, labs and x-rays.

Oregon Administrative Rule 411-200 Rates of Payment notes the OR DDS MER fee schedule. The OR DDS transitioned to the new fee schedule as of February 2014. Oregon Administrative Rule is the basis for the OR DDS Fee Schedule and updates the fee schedule annually. The last update to the Medicare/Medicaid fees occurred in July 2013. We anticipate the next update will occur in November 2014.

Oregon no longer has formal contract agreements. The OR PRO polled exam providers and none willing to provide bids since bids would have to be lower than Medicare/Medicaid levels.

8. Upload fee schedules to the MPRO SharePoint site.

9. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc.

Oregon Professional Relations Officers increased program visibility by attending conferences for: *Oregon Geriatrics Society (OGS)*

Oregon Chapter of American College of Physicians Scientific Meeting (ACP)

The Osteopathic Physicians & Surgeons of OR (OPSO)

Oregon Health Information Management Association (OrHiMA)

Please attach any additional information before submitting this form.



Annual DDS Report Licensure Verification

| FEE CEILING LETTER |
|--------------------|
| ADMINISTRATIVE RU |

Annual DDS **Oversight** Report & **Licensure** Verification Check Sheet. <u>Fiscal Year 10/2013 to 09/2014</u>

(Reference DI 39569.300 DDS Requirements for Ensuring Proper Licensure of Consultative Examination Providers)

- 1) Column **One** provides the *name* of the provider and their phone and fax number. It may also contain an alternate contact number.
- 2) Column <u>Two</u> provides the *license* number. (b) (6)
- 3) Column <u>Three</u> indicates the *expiration* date of the providers license.
- 4) Column Four indicates the age group a provider will see. All = all ages, a number indicates the age range the will see.
- 5) Column <u>Five</u> provides is the date the PRO last visited the office. Column <u>Six</u> & <u>Seven</u> lists the phone and fax number and alternate numbers.
- 6) Column **<u>Eight</u>** lists the physical address of the provider.
- 7) Column <u>Nine</u> indicates if a provider will do **BLOCK** scheduling. They block out specific days and see only DDS claimants.
- 8) Column <u>Ten</u> indicates if the provider does <u>ERE</u> and/or <u>EOR</u>. Column <u>Eleven</u> indicates if the office is fully ADA accessible. Even if the column indicates "NO" the office most likely has some degree of ADA access and you should review the providers file to determine what is lacking.
- 9) **ERE** or **EOR**: This symbol indicates the provider uploads using **ERE** for both upload and receive referrals via **EOR**.
- 10) Providers with the "(*Fee*)" annotation receive the "*Travel Reimbursement*" for just those locations. Those providers are Scott Alvord (North Bend and Roseburg), John Ellison (Lincoln City and Salem), Mike Henderson (Pendleton and Klamath Falls), Raymond Nolan (North Bend), Melaney Grenz-Neb (Salem and Portland), Greg Cole (Newport, Roseburg, Klamath Falls, Portland), Jane Starbird (Wheeler), Derek Leinenbach (The Dalles, Roseburg, Lincoln City-10/14), Brent Shields (Grants Pass).
- 11) **PSY** Providers willing to do **Home** Visits: Albany, Astoria, Eugene, Forest Grove, Gresham, Hillsboro, Medford, Newberg, La Grande, Lincoln City, Portland, Roseburg, Salem.
- 12) <u>PSY</u> Providers willing to do <u>Prison</u> Visits: Astoria, Baker City, Brookings, Eugene, Forest Grove, La Grande, Newberg, Pendleton, Portland, Roseburg, Salem, The Dalles. (prisons will not allow IQ or NPS exams)
- 13) There are no PHY providers willing to do a home or prison visit. Prisons will not allow physical interaction or exchanging of material.
- 14) <u>Spanish</u>: Kent Karren, Sandra Gonzalez, Jane Gilbert, Karen Aguilera, Michael Sant, Mario Petersen, Kathleen Hoffer. <u>Russian</u>: Solomon Wolf, MD and Sergiv Barsukov PhD. <u>Ukrainian</u>: Sergiv Barsukov, PsyD. <u>Vietnamese</u>: Paul Leung, PsyD. <u>Cantonese</u>: Kevin Yuen, MD (MDSI). <u>Malay</u>: Kevin Yuen, MD (MDSI), <u>Portuguese</u>: Jonathan Harrison, MD (MDSI). <u>Hindi</u>/: Erum Khaleeq, MD (MDIS).
- 15) We only have providers in the Portland Area that can do **<u>ERG</u>** Testing (T110A): Casey Eye (Dr. Welber), Devers Eye Clinic and OHSU.
- 16) Musculoskeletal (MSK), Neurological (Neuro), Internist (INT), Dermatology (Derm), Cardiology (CARDIO), Hospitals/Clinics (X-Ray/Lab), Vascular and Pulmonary (Vasc/Pulm), Opthomology (OPTH), Pediatric (PED), Audiology & Otology (AUD-OTO), Speech Language (SLP), Child "multi-domain" Developmental (DEVEL.), Psychodiagnostic and/or IQ NPS (PSY).
- 17) The last page of this report lists the current MDSI providers we are using. It lists the provider, their license number, the date of expiration and if their license has any board sanctions.

Table of Contents: Regional Providers

- List of *individual* consultative exam <u>providers</u> and link to detailed information.
- <u>Salem Metro</u>: Salem, Keizer, Stayton, Dallas, Newberg, McMinnville, Lincoln City, Woodburn, etc.
- <u>Albany</u> Metro: Albany, Corvallis, Springfield, Lebanon, Florence, Newport
- <u>Eugene Metro</u>: Eugene, Springfield, etc.
- Eastern OR and Idaho: Ontario, Boise, Caldwell, Eagle, Meridian, Nampa, etc.
- Northeast OR and Washington: Baker City, Hermiston, Pendleton, The Dalles, La Grande, Walla Walla, Yakima, etc.
- <u>Bend Metro</u>: Bend, Redmond, Sisters, Madras, Prineville, etc.
- <u>Southern OR including the coast and California border</u>: Ashland, Grants Pass, Medford, Phoenix, Klamath Falls, Cave Junction, Bookings, Gold Beach, Coquille, Crescent City, etc.
- <u>Southern Coast & Roseburg</u>: Bandon, Roseburg, Coos Bay, North Bend, etc.
- Northern Coast & Washington: Astoria, Gearheart, Wheeler, Longview, etc.
- Northeast Portland and Washington: Portland, Vancouver, etc.
- <u>Southeast Portland</u>:
- <u>Southwest</u> Portland:
- <u>Northwest</u> Portland:
- Northeast (*Metro*) PDX: Oregon City, Gladstone, West Lin, Milwaukie, Clackamas, etc.

• Northwest (Metro) PDX: Tualatin, Lake Oswego, Tigard, Beaverton, Forest Grove, Hillsboro, etc.

Provider Table of Contents (last name of provider and license expiration month/year)

| Provider | Date | Provider | Date | Provider | Date | Provider | Date | Provider | Date | Provider | Date | Provider | Date |
|-------------------|-------|-------------------|-------|-----------------|-------|----------------|-------|-----------------|-------|-----------------|-------|--------------------|-------|
| Adams, B. | 2/17 | Cole-PDX | 8/16 | | | | | McKellar | 12/15 | Ramirez | 12/15 | Stoltzfus-NEW | 4/15 |
| Adams, W. | 5/15 | <u>Condon</u> | 8/16 | Ganz | 1/16 | Kaper-Clack | 2/15 | McKelvey | 12/15 | Reagan | 12/15 | Stoltzfus-SAL | 4/15 |
| Adler | 6/16 | Davis | 12/15 | Geer | 1/16 | KAPER-TUAL | 12/15 | McKenna | 5/16 | Reeck | 12/15 | Strode | 5/16 |
| Aguilera | 1/16 | Davol | 12/15 | Gibby-Smith | 12/15 | Karren | 12/15 | McKinnon | 6/15 | Reeder | 1/16 | Stuckey | 4/16 |
| Allan | 1/15 | Deatherage | 12/15 | Gilbert | 12/15 | <u>Kelly</u> | 12/15 | McManama | 12/15 | Reynolds | 7/16 | <u>Stucki</u> | 5/15 |
| Alvord-NB | 10/16 | Dehaan-Sullivan | 5/16 | Gladd | 6/15 | Kemp | 12/15 | MDSI-EUG | N/A | Roman | 6/15 | <u>Sturmak</u> -ID | 1/16 |
| Alvord-ROSE | 10/16 | Deitz | 12/15 | Glassman | 12/15 | Kent-CALD | 6/15 | MDSI-LONG | N/A | Roseborough | 12/15 | Sturmak-OR | 1/16 |
| Anderson | 1/16 | DeWitt | 1/16 | Goins | 12/15 | Kent-MERID | 6/15 | MDSI-MED | N/A | <u>Rufener</u> | 12/15 | Succo | 1/16 |
| Baerlocher | 10/15 | Dickinson | 1/15 | Gonzales | 6/15 | <u>Kenyon</u> | 6/16 | MDSI-PDX | N/A | <u>Rutar</u> | 12/15 | | |
| Barnes-Perrin-I | 6/15 | Dietlein | 10/16 | Goodale | 6/16 | Kim | 12/15 | MDSI-SALEM | N/A | Sacks | 5/16 | Teehan | 1/16 |
| Barnes-Perrin-O | 1/16 | Dimmig | 12/15 | Gostnell | 11/15 | <u>Kintner</u> | 12/15 | Mecier | 5/15 | Sanders | 12/15 | Templeman | 12/14 |
| Barrus | 12/15 | Doke | 6/15 | Gower | 10/15 | Kniebuehler | 1/16 | Meharg | 4/17 | Sant-Ontario | 12/15 | Thompson, C | 12/15 |
| Barsukov-NE | 8/16 | Donovan | 12/15 | Graham | 12/15 | Kollross | 7/16 | Metheny | 1/16 | Sant-Boise | 12/15 | Thompson, K | 12/15 |
| Barsukov-SA | 8/16 | Dooley-PDX | 11/16 | Greene | 7/16 | Kornbau | 1/16 | Miller | 5/15 | Sant-Meridian | 12/15 | Tibbitts | 1/16 |
| Becker | 1/16 | Dooley-VAN | 11/16 | Gregg | 12/15 | Kozol | 5/16 | Miner | 2/16 | Saulson | 12/15 | Tibolt | 12/15 |
| Bee | 12/14 | Doppelt | 3/16 | Grenz-SAL | 1/16 | Krishnamurthy | 12/15 | Mours | 5/16 | Saviers-MED | 12/15 | Tongue | 5/16 |
| Beickel | 3/15 | Doucette | 1/16 | Grenz-PDX | 1/16 | Kruger | 3/15 | Musson | 12/15 | Saviers-GP | 12/15 | Trueblood | 2/15 |
| Belcher | 6/15 | Doughman | 3/15 | Grief | 12/15 | Kubac | 12/15 | Newenhof | 1/16 | Scharf | 2/16 | Truong | 12/15 |
| Berzins | 12/15 | Dudley-BAK | 12/15 | Grimwood | 1/16 | Kucinsky | 12/15 | Nickel | 12/15 | Schultz | 12/15 | Vanderwaal | 12/15 |
| Birney | 11/14 | Dudley-La | 12/15 | Grunwald | 12/15 | Lace | 12/15 | Nolan-MC | 12/15 | Scott-R | 12/15 | Villano-Bend | 12/15 |
| Biss | 5/17 | | | Guthrie | 1/16 | Lahman | 11/14 | Nolan-NB | 12/15 | Scott-G | 12/15 | Villano-Prinevill | 12/15 |
| Blake | 12/15 | <u>East</u> | 12/15 | | | Lakin | 1/16 | Northway | 12/14 | Sher | 12/15 | Villanueva-R | 7/15 |
| Blanchard | 1/16 | Eckstein | 5/15 | | | Lancaster-ID | 6/15 | | | Shields-ASH | 10/14 | Villanueva-M | 7/15 |
| Blanchard-OR | 1/16 | Eisert | 11/14 | Harden | 1/16 | | | Oconnell | 9/15 | Shields-GP | 10/14 | Villanueva-KF | 7/15 |
| Blasco | 12/15 | Ellison-SA | 12/15 | Harris | 12/15 | Landsberg | 1/16 | Ogisu | 12/15 | Silver | 12/15 | Voeller | 12/15 |
| Bohmbach | 1/16 | Ellison-PDX | 12/15 | Hawkins | 1/16 | Lang | 12/15 | Ottemiller | 12/15 | Skarada | 12/15 | | |
| Bolyard | 3/17 | Ethel-King, M | 5/16 | Heid | 1/16 | Lapour | 12/15 | Overton | 3/15 | Smith, D | 11/14 | Wahl | 6/15 |
| Borden | 12/15 | Ethel-King, P | 7/16 | Henderson-B | 12/15 | LeBlanc | 1/16 | | | Smith, K | 1/16 | Wallace | 1/16 |
| Borman | 12/15 | | | Henderson-P | 12/15 | Lees | 12/15 | Palmateer | 1/16 | Smith, K-OR | 11/15 | Ward | 10/15 |
| Bottomley | 5/16 | | | Henderson-KF | 12/15 | Leichman | 12/15 | Panai | 1/16 | Smith, R | 11/15 | Warner | 12/15 |
| Botwinick | 1/16 | | | Hoffmann, C | 12/15 | Leinenbach-R | 12/15 | Pargman | 11/14 | Smyth, D | 8/16 | Wasenmiller | 12/15 |
| Brawner | 3/15 | <u>Faber</u> | 7/15 | Hoffman-J | 12/14 | Leinenbach-P | 12/15 | Patrick | 1/16 | Smolen, G | 12/15 | Watson-Stites | 2/15 |
| Brischetto | 8/15 | Fackenthall | 1/16 | Hoffer | 01/16 | Leinenbach-T | 12/15 | Pearson | 8/15 | Soderstrom | 1/16 | Webster | 12/15 |
| Brooks | 12/15 | Fagerson | 3/15 | Hoffman, J | 12/14 | Leinenbach-C | 12/15 | Pedersen | 12/15 | Solomon-GP | 12/15 | Whitehead | 6/16 |
| Brumbaugh | 12/15 | Farney | 12/15 | Hook | 12/15 | Leland | 11/15 | Pennesi | 12/15 | Solomon-M | 12/15 | Wicher-CLAC | 2/15 |
| Calkins | 5/16 | Felton-Sheldon | 1/16 | Horton | 1/16 | Lepore | 1/16 | Petersen M | 12/15 | South | 12/14 | Wicher-PDX | 2/15 |
| Carlson | 9/15 | Fisher, Maria | 11/14 | Huggins | 7/15 | Lewis | 12/15 | Petrusek | 12/15 | Starbird-PD | 1/16 | Wicher-BEAV | 2/15 |
| Carroll | 1/16 | Flaming | 12/15 | Hurst | 9/15 | Lieuallen | 12/15 | Phelps | 12/15 | Starbird-WH | 1/16 | Wicher-HILLS | 2/15 |
| Chambers | 12/15 | Ford | 12/15 | Iredale | 3/16 | Lumaco | 12/15 | Pickford | 4/15 | Starr-BOISE | 3/15 | Wigley | 12/15 |
| Clark | 5/15 | Foster | 12/15 | Janselewitz | 12/15 | | | Pitchford | 2/16 | Starr-NAMP | 3/15 | Wilcox | 6/16 |
| Clausel | 9/15 | Fowler | 01/16 | Janzen | 7/16 | Madsen | 12/15 | Plant | 12/15 | Steinbrenner | 12/15 | Wilson | 12/15 |

| <u>Cogburn</u> | 4/15 | <u>Fox</u> | 1/16 | <u>Johnson</u> , B | 12/15 | <u>Maloney</u> | 12/15 | <u>Potter</u> | 11/14 | <u>Stevens</u> | 12/15 | <u>Wolf</u> | 12/15 |
|------------------|------|-----------------|-------|--------------------|-------|-------------------|-------|--------------------|-------|----------------------|-------|-------------------|-------|
| <u>Cole</u> -Mc | 8/16 | <u>French</u> | 12/15 | <u>Johnson</u> , J | 1/15 | <u>Mann</u> | 11/14 | Powers | 7/16 | <u>Stich</u> -Hennen | 5/15 | <u>Wong</u> -Ngan | 3/15 |
| <u>Cole</u> -Med | 8/16 | <u>Friedman</u> | 6/15 | <u>Johnston</u> S | 5/16 | <u>McConochie</u> | 5/16 | Prescott | 12/14 | <u>Stiles</u> | 12/15 | <u>Yarnell</u> | 1/16 |
| <u>Cole</u> -New | 8/16 | | | <u>Jones</u> | 1/15 | | | <u>Quisenberry</u> | 10/16 | | | | |

Bend Metro:

| Bend | <u>MSK</u> Henderson | NEURO Henderson | <u>INT/Derm</u> Henderson | CARDIO Henderson | <u>X-Ray/Lab</u> St. Charles | Vasc/Pulm Henderson | OPTH Stevens | PEDIATRIC Henderson | <u>AUD – OTO</u> -Villano | <u>SLP</u> Fox | Develop. XXX | PSY Trueblood |
|------------|-------------------------|---------------------|------------------------------|---------------------|---------------------------------|------------------------|-----------------|------------------------|------------------------------|-------------------|-----------------|------------------|
| | Maloney | Maloney | | Cardio. | | St. Charles | Dimmig | | - Kenyon | Lepour | | Whitehead |
| | | | | Consultants | | | | | - CTR OR | | | |
| Burns | <u>MSK</u> | <u>NEURO</u> | INT/Derm | CARDIO | X-Ray/Lab | Vasc/Pulm | <u>OPTH</u> | PEDIATRIC | <u>AUD – OTO</u> | <u>SLP</u> | Develop. | <u>PSY</u> |
| | XXX | XXX | XXX | XXX | Harney Dist. | XXX | XXX | XXX | XXX | XXX | XXX | XXX |
| Madras | <mark>MSK</mark> | NEURO | INT/Derm | CARDIO | X-Ray/Lab | Vasc/Pulm | <u>OPTH</u> | PEDIATRIC | <u>AUD – OTO</u> | <u>SLP</u> | Develop. | <u>PSY</u> |
| | <mark>Madras</mark> | <mark>Madras</mark> | <mark>Madras</mark> | <mark>Madras</mark> | St. Charles | <mark>Madras</mark> | XXX | XXX | XXX | XXX | XXX | XXX |
| | <mark>Med.</mark> | Med. | Med. | Med. | | <mark>Med.</mark> | | | | | | |
| Prineville | <u>MSK</u> | <u>NEURO</u> | INT/Derm | CARDIO | X-Ray/Lab | Vasc/Pulm | <u>OPTH</u> | PEDIATRIC | AUD – OTO | <u>SLP</u> | Develop. | <u>PSY</u> |
| | XXX | XXX | XXX | XXX | Pioneer Me. | XXX | XXX | XXX | <mark>Villano</mark> | XXX | XXX | XXX |
| Redmond | <u>MSK</u> | <u>NEURO</u> | INT/Derm | CARDIO | X-Ray/Lab | Vasc/Pulm | <u>OPTH</u> | PEDIATRIC | <u>AUD – OTO</u> | <u>SLP</u> | Develop. | <u>PSY</u> |
| | XXX | XXX | XXX | XXX | Central OR | XXX | XXX | XXX | XXX | XXX | XXX | XXX |

| 1 Provider | 2 License | 3 Renew | Age | 5 Visit | 6 Phone | 7 Fax | 8 Address | Bloc | ERE | ADA |
|---|-------------------------------|----------|-----|---------|---------------|---------------|---|------|-----|-----|
| | | | 4 | | | | | 9 | 10 | 11 |
| Central Oregon Audiology Does ENG/VNG | (h) (c) | N/A | 7+ | TBD | | | 301 Franklin ST, Bend, OR 97701 | No | No | Yes |
| Dimmig, Jason Bend Opthomology | (\mathbf{D}) (\mathbf{O}) | 12/31/15 | All | 10/13 | 541-389-3166 | 541-389- 9817 | 2275 NE DOCTORS DR #6, Bend OR, 97701 | No | No | Yes |
| Fox, Alicia Scottish Rite Clinic KID TALK | | 01/30/16 | 5 - | 10/13 | 541-389-8201 | 541-389-3201 | 720 NW Hill ST, Bend, OR 97701 | No | No | Yes |
| Henderson, Michael D. | | 12/31/15 | All | 10/13 | (b) (6) | | 360 NW VERMONT PL STE 500, Bend OR, 97701 | Yes | Yes | Yes |
| Hospital: Central Oregon District | | N/A | All | TBD | 541-706-7721 | 541-385-6311 | 1253 N Canal BLVD, Redmond, OR 97756 | No | No | Yes |
| Hospital: Harney District | | N/A | All | TBD | 503-573-8336 | | 557 W WASHINGTON, Burns OR 97720 | No | No | Yes |
| Hospital: Mountain View District | | N/A | All | TBD | 541-475-3882 | 541-475-3503 | 470 NE A STREET, Madras OR 97741 | No | No | Yes |
| Hospital: Pioneer Memorial CTR Rad | | N/A | All | TBD | 541 447-2506 | 541 447-8355 | 1201 NE ELM ST, Prineville, OR 97754 | No | No | Yes |
| Hospital: St. Charles MED CTR CTR Rad | | N/A | All | 10/13 | 541-706-4321 | 503-706-6311 | Heart CTR / Cardiology Cardiovascular Consul. | No | No | Yes |
| (They do treadmill testing.) | | | | | | | 2500 NE NEFF RD, Bend, OR 97701 | | | |
| Kenyon, Janet KENYON Audiology | | 01/30/16 | All | 10/13 | 541-317-1265 | 541-317-1273 | 1625 NE 2 ND Bend, OR 97701 Does ENG Testing | No | No | Yes |
| Madras Medical Group PC: | | | 7+ | 10/11 | 541-475-3874 | 541-475-3872 | 76 NE 12 [™] ST, Madras, OR 97741 | No | No | Yes |
| Foster, Matthew C. | | 12/31/15 | | | | | | | | |
| Lieuallen, Douglas W. | | 12/31/15 | | | | | | | | |
| Plant, Gary M. | | 12/31/15 | | | | | | | | |
| Maloney, Nancy H. Bend Memorial Clinic | | 12/31/15 | 7+ | 10/13 | 541-317-4360 | 541-317-4535 | 1501 NE MEDICAL CTR DR, Bend OR, 97071 | No | No | Yes |
| Stevens, Scott K, MD Klamath Eye Center | | 12/31/15 | 7+ | 10/13 | _541-884-3146 | 541-884- 3373 | 2640Biehn ST, STE 3, Bend OR, 97601 | No | No | Yes |
| Trueblood, William | | 02/28/15 | 7+ | 10/14 | (b) (6) | | 965 SW Emkay, Suite 202 - Bend, OR 97702 | Yes | Yes | Yes |
| Villano, Michael E. (two offices) | | 12/31/15 | All | 10/13 | (b) (6) | | 431 NE REVERE AVE STE 100, Bend OR, 97701 | No | No | Yes |
| | | | | | | | (b) (6) , Prineville, OR 97754 | | | |
| Whitehead, Michelle | | 06/30/16 | 3+ | 10/13 | (b) (6) | | (b) (6) Bend, OR 97701 | Yes | Yes | No |
| Lepore, Emily St. Charles Rehab | | 01/30/16 | 10+ | 10/13 | 541-706-7704 | 541-706-4915 | 2500 NE Neff Rd, Bend, OR 97701 | No | No | Yes |

| | | | | | Northea | ast Ore | gon | | | | | |
|--------------|-------------------------|---------------------------|-----------------------|---------------------|---|-------------------------|------------------------|-------------------------|--|-------------------|---------------------|--------------------------------------|
| Baker City | MSK | NEURO | INT/DERM | CARDIO | <mark>X-Ray/Lab</mark> | <u>Vasc/Pulm</u> | OPTH | <u>PEDIATRIC</u> | <u>AUD – OTO</u> | <u>SLP</u> | <u>Deve.</u> | <u>PSY</u> |
| | Hofmann | Hofmann | Hofmann | Hofmann | Hofmann | XXX | Davis | XXX | XXX | Hawkins | XXX | Clausel, Dudley |
| Hermiston | <u>MSK</u> | NEURO | <u>INT/Derm</u> | CARDIO | <mark>X-Ray/Lab</mark> | <u>Vasc/Pulm</u> | <u>OPTH</u> | PEDIATRIC | AUD – OTO | <u>SLP</u> | <u>Deve.</u> | <u>PSY</u> |
| | XXX | XXX | XXX | XXX | Good Shep. | XXX | XXX | XXX | XXX | Bohmbach | XXX | XXX |
| Hood River | <u>MSK</u> | NEURO | <u>INT/Derm</u> | CARDIO | X-Ray/Lab | <u>Vasc/Pulm</u> | <u>OPTH</u> | PEDIATRIC | <u>AUD – OTO</u> | <u>SLP</u> | <u>Deve.</u> | <u>PSY</u> |
| | XXX | XXX | XXX | XXX | Hood River Me | XXX | XXX | XXX | XXX | XXX | XXX | XXX |
| John Day | <u>MSK</u> | NEURO | <u>INT/Derm</u> | CARDIO | <mark>X-Ray/Lab</mark> | <u>Vasc/Pulm</u> | <u>OPTH</u> | PEDIATRIC | <u>AUD – OTO</u> | <u>SLP</u> | <u>Deve.</u> | PSY |
| | XXX | XXX | XXX | XXX | Blue MTN | XXX | XXX | XXX | XXX | XXX | XXX | XXX |
| La Grande | <u>MSK</u> | NEURO | <u>INT/Derm</u> | CARDIO | X-Ray/Lab | <u>Vasc/Pulm</u> | <u>OPTH</u> | PEDIATRIC | <u>AUD – OTO</u> | <u>SLP</u> | <u>Deve.</u> | <mark>PSY</mark> |
| | XXX | XXX | XXX | XXX | Grande Ronde | XXX | XXX | XXX | Petrusek | XXX | XXX | Dudley |
| Pendleton | <u>MSK</u> Henderson | <u>NEURO</u> Henderson | INT/DERM Henderson | CARDIO Henderson | <u>X-Ray/Lab</u> Henderson <mark>St. Anthony</mark> | <u>Vasc/Pulm</u> XXX | <u>OPTH</u> -Warner | <u>PEDIATRIC</u> XXX | <u>AUD – OTO</u> Wigley Anderson | <u>SLP</u> XXX | <u>Deve.</u> XXX | PSY Templeman Condon, Kollross |
| The Dalles | <u>MSK</u> | <u>NEURO</u> | INT/DERM | CARDIO | <u>X-Ray/Lab</u> | <mark>Vasc/Pulm</mark> | <mark>OPTH</mark> | PEDIATRIC | <u>AUD - OTO</u> | <u>SLP</u> | <u>Deve.</u> | <mark>PSY</mark> |
| | Leinenbach | Leinenbach | Leinenbach | Leinenbach | Mid Columbia | Leinenbach | Kelly | Leinenbach | Ford | XXX | XXX | Miller |
| Walla Walla, | <u>MSK</u> | <u>NEURO</u> | INT/DERM | CARDIO | <mark>X-Ray/Lab</mark> | <u>Vasc/Pulm</u> | <u>OPTH</u> | PEDIATRIC | <u>AUD – OTO</u> | <u>SLP</u> | <u>Deve.</u> | PSY |
| WA | Fackenthall | Fackenthall | Fackenthall | Fackenthall | Providence | XXX | XXX | XXX | XXX | XXX | XXX | XXX |

North oast Orogon

| 1 Provider | 2 License | 3 Renew | Age | 5 Visit | 6 Phone | 7 Fax | 8 Address | | ERE | ADA |
|--|-----------|----------|-----|---------|--------------|---------------|--|-----|-----|-----|
| | | | 4 | | | | | 9 | 10 | 11 |
| Clausel, Jeff | (h) (6) | 09/30/15 | 3+ | 10/11 | (b) (6) | | 3165 10th Street, STE 400, Baker City 97814 | No | No | Yes |
| (b) (6) | | | | | | | | | | L |
| Condon, Stephen (b) (6) | _ | 02/28/15 | 4+ | 08/14 | (b) (6) | | 146 S MAIN ST STE 219, Pendleton, OR 97801 | No | No | Yes |
| Davis, James Edward (b) (6) | _ | 12/31/15 | 7+ | 10/11 | (b) (6) | | (b) (6) , Backer City, 97814 | No | Yes | Yes |
| Deitz, Michael E. | | 12/31/15 | 7+ | 10/11 | (b) (6) | | (b) (6) , Pendleton, OR 97801 | No | No | Yes |
| Warner, Michael A. (b) (6) | _ | 12/31/15 | | | | | | | | |
| Dudley, Kenneth C. | | 12/31/15 | 5+ | 10/11 | (b) (6) | | DHS Blg 1607 Gekeler Lane, La Grande, 97850 | No | Yes | Yes |
| | - | | | | | | 1705 Main ST STE 501, Baker City 97814 | | | L |
| Eckstein, Judith (b) (6) | | 05/31/15 | 16+ | 10/11 | 541- 673-985 | 541- 673-8060 | 1652 NW HUGHWOOD CT, Roseburg, OR | | | |
| Valley View Counseling | - | | | | ex 204 | | 97470 | | | |
| <u>Fackenthall</u> , John A | - | 01/08/16 | 16+ | N/A | (b) (6) | | (b) (6) , Wall Walla, WA 99362 | No | No | Yes |
| Farney, Thomas L. GOOD SHEP. MED GROUP | _ | 12/31/15 | 16+ | 08/14 | 541-667-3490 | 541-667-3487 | 600 NW 11TH ST STE E37 Hermiston, OR 97838 | No | No | Yes |
| Ford, Charles R. Columbia Gorge ENT | | 12/31/15 | 16+ | 08/14 | 541-298-5563 | 541-298-7746 | 1815 E 19 [™] ST, STE 1, The Dalles, OR 97058 | No | No | Yes |
| No HINT/Cochlear | - | | | | | | | | | L |
| Good Shepherd HC / Med CTR: | | | All | 10/11 | 541-667-3635 | 541-667 3646 | 610 NW 11 TH ST Hermiston, OR 97838 | No | No | Yes |
| Bohmbach-Knowles, Genessa M. | | 01/30/16 | | | | | | | | |
| Heid, Lacey A | - | 09/24/14 | | | | | | | | ļ |
| <u>Hawkins</u> , Emily | | 01/30/16 | 5 - | 10/11 | 541-523-5828 | 541-323-2542 | 3820 17 [™] ST, Baker City, OR 97814 | No | No | Yes |
| Scottish Rite Clinic East OR/Kid Talk | | | | | | | | | | |
| Henderson, Michael D. (Fee) | | 12/31/15 | All | TBD | (b) (6) | | 200 SE HAILEY AVE STE 201, Pendleton, 97801 | Yes | eOR | Yes |

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| Hofmann, Charles E. (b) (6) St Alphonsus | (b) (6) | 12/31/15 | 16+ | 10/11 | (b) (6) | | 3820 17 th ST, Baker City, OR 97814 | No | No | Yes |
|---|---------|----------|-----|-------|--------------|---------------|--|-----|-----|-----|
| Hospital: Blue Mountain In-House | | N/A | All | TBD | 503 575-1311 | 541-575-4193 | 170 FORD RD, John Day, OR 97845 | No | No | Yes |
| Hospital: Good Shepherd In-House | | N/A | All | 08/14 | 541-567-6483 | 541-667-3519 | 610 NW 11 TH ST, Hermiston, OR 97838 | No | No | Yes |
| Hospital: Grande Ronde In-House | | N/A | All | TBD | 541-963-1428 | 541-963-1445 | 800 SUNSET DR, La Grande OR 97850 | Yes | Yes | No |
| Hospital: Mid Columbia MED In-House | | N/A | All | 08/14 | 541-296-7225 | 541 296 7815 | 1700 E 19 [™] , The Dalles OR 97058 | No | No | Yes |
| Hospital: Prov. Hood River Mem Mid Colu. | | N/A | All | TBD | 503-387-6332 | | 13TH AND MAY STS, Hood River OR 97031 | No | No | Yes |
| Hospital: Prov. St Mary MED In-House | | N/A | All | N/A | 509 525-3320 | 509 522 5887 | 401 W Poplar, Walla Walla, WA 99362 | No | No | Yes |
| Hospital: ST Alphonsus Med CTR EM State | | N/A | All | TBD | 541 523-8137 | 541 523-1788 | 3325 Pocahontas RD, Baker City, OR 97814 | No | No | Yes |
| Hospital: St Anthony In-House | | N/A | All | 08/14 | 541 276-5121 | 541 966-0510 | 2801 ST ANTHONY WAY, Pendleton, OR 97801 | No | No | Yes |
| Kelly, Daniel J. Columbia River Eye Clinic | | 12/31/15 | 7+ | 08/14 | 541-298-5144 | 541-298-5224 | 405 E 7TH STREET, The Dalles, OR 97058 | No | No | Yes |
| Leinenbach, Derek J. (Fee) | | 12/31/14 | 16+ | 08/14 | (b) (6) | | 818 W 6 th ST, STE #2, The Dalles, OR 97058 | Yes | Yes | Yes |
| Miller, Pamela | | 05/31/15 | 4+ | 08/14 | (b) (6) | | (b) (6) , The Dalles, OR 97058 | No | Yes | Yes |
| Pendleton Professional Services: | | | 5+ | 08/14 | 541-278-2222 | 541-276-8405 | 135 SE 1 ST , Pendleton, OR 97801 | No | No | Yes |
| Templeman, Terrell L. | | 12/31/14 | | | | | | | | |
| Kollross, Natalie | | 07/31/16 | | | | | | | | 1 |
| Petrusek, Joseph L. Audio/Hearing Aid As | | 12/31/15 | 7+ | 10/11 | 541 963-8643 | 541- 963-5845 | 710 SUNSET DR, La Grande, OR 97850 | No | No | Yes |
| Wigley, Terry L. | | 12/31/15 | 7+ | 08/14 | 541-276-5053 | 541-276-5112 | 2237 SW COURT AVE, Pendleton, OR 97801 | No | No | Yes |
| Anderson, Renata | | 01/30/16 | | | | | | | | |

Eastern OR

| Boise, ID | <mark>MSK</mark> | NEURO | INT/Derm | CARDIO | X-ray/Lab | Vasc/Pulm | <u>OPTH</u> | PEDIATRIC | AUD – OTO | <u>SLP</u> | Develop. | PSY |
|---------------|-------------------|--------------|-------------------|-------------------|----------------------------|-----------|-----------------------|-----------------------|------------------------------------|------------|----------|--------------|
| | Sant Sant | Sant Sant | <mark>Sant</mark> | <mark>Sant</mark> | <mark>Sant</mark> | XXX | XXX | XXX | <mark>Elks – AUD/ENG</mark> | XXX | Pickford | Starr, Doke |
| Caldwell, ID | <u>MSK</u> | <u>NEURO</u> | <u>INT/Derm</u> | <u>CARDIO</u> | <u>X-Ray/Lab</u> | Vasc/Pulm | <u>ОРТН</u> | PEDIATRIC | <u>AUD – OTO</u> | <u>SLP</u> | Develop. | <u>PSY</u> |
| | XXX | XXX | XXX | XXX | XXX | XXX | Kent | XXX | XXX | XXX | XXX | XXX |
| Meridian, ID | <mark>MSK</mark> | NEURO | INT/Derm | CARDIO | <mark>X-Rays/Lab</mark> | Vasc/Pulm | <mark>ОРТН</mark> | PEDIATRIC | <u>AUD – OTO</u> | <u>SLP</u> | Develop. | PSY |
| , | <mark>Sant</mark> | Sant | Sant | <mark>Sant</mark> | <mark>Sant</mark> | XXX | <mark>Kent</mark> | <mark>Freidman</mark> | XXX | XXX | XXX | XXX |
| Middleton, ID | MSK | <u>NEURO</u> | INT/Derm | <u>CARDIO</u> | X-Rays/Labs | Vasc/Pulm | <u>OPTH</u> | PEDIATRIC | <u>AUD – OTO</u> | <u>SLP</u> | Develop. | <u>PSY</u> |
| | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | Barnes | XXX | XXX |
| Nampa, ID | MSK | NEURO | INT/Derm | <u>CARDIO</u> | X-Rays/Lab | Vasc/Pulm | <u>ОРТН</u> | PEDIATRIC | AUD – OTO | <u>SLP</u> | Develop. | PSY |
| • * | XXX | XXX | XXX | XXX | XXX | XXX | <mark>McKinnon</mark> | XXX | <mark>Elks – AUD/ENG</mark> | XXX | XXX | Starr |
| Ontario | <mark>MSK</mark> | NEURO | INT/Derm | CARDIO | X-Rays/Lab | Vasc/Pulm | <u>OPTH</u> | PEDIATRIC | AUD – OTO | <u>SLP</u> | Develop. | PSY PSY |
| | <mark>Sant</mark> | Sant Sant | <mark>Sant</mark> | <mark>Sant</mark> | <mark>ST. Alphonsus</mark> | XXX | XXX | <mark>Sheibani</mark> | <mark>Sant – OTO & Elks</mark> | XXX | XXX | Starr |

| 1 Provider | 2 License | 3 Renew | Age 4 | 5 Visit | 6 Phone | 7 Fax | 8 Address | Bloc 9 | ERE 10 | ADA 11 |
|--|-----------|-----------------------|----------|---------|--------------|--------------|--|-----------|-----------|-----------|
| Doke, Jerry D. (b) (6) | (h) (c) | 06/18/15 | 16+ | N/A | (b) (6) | | 10448 Graverdale Ct, STE 612, Boise, ID 83704 | No | No | Yes |
| Elks Hearing & Balance CTR: | | | 7+ | TBD | 541-881-0970 | 541-881-0971 | 1182 SW 4 th AVE, Ontario, OR 97914 | No | No | Yes |
| Wallace, Christine | | 08/15/14 | | | | | | | | |
| Elks Hearing & Balance CTR: | | | 7+ | N/A | 208-489-5999 | 208-888-2496 | 520 S. Eagle RD, STE 1225, Meridian, 83651 | No | No | Yes |
| Baerlocher, Deborah | | 10/07/15 | | | | | 23 E 1 st N, Middleton, ID 83644 | | | |
| Barnes-Perrin, Kristie | | 06/27/15 | | | | | 3101 East State ST, Eagle, ID 83616 | | | |
| Blanchard, Erika | | 04/23/15 | | | | | 172 2 nd ST South, Nampa ID, 83651 | | | |
| Carlson, Hillary | | 09/06/15 | | | | | 10448 Graverdale Ct, STE 612, Boise, ID 83704 | | | |
| Clark, Jessica | | 05/21/15 | | | | | Elks Hearing (continued) | | | |
| Faber, Alison | | 07/11/15 | | | | | Stucki, Laurie (b) (6) 05/06/15 | | | |
| Fagerson, April | | 03/25/15 | | | | | Sturmak, Michael (b) (6) 04/25/15 | | | |
| Fisher (Roetto), Maria | | <mark>11/16/14</mark> | | | | | Ward, April (b) (6) 10/29/15 | | | |
| Gladd, Dana | | 06/07/15 | | | | | *These providers also have an OR license. * | | | |
| Gower, Shannon | | 10/02/15 | | | | | Blanchard, Erika OR (b) (6) 01/20/16 | | | |
| Hoffman, Jenna | | <mark>12/25/14</mark> | | | | | Sturmak, Michael OR (b) (6) 01/30/16 | | | |
| Jones, Susie | | 01/07/15 | | | | | Barnes-Perrin, Kristie OR (b) (6) | | | |
| Lancaster, Paul | | <mark>06/25/15</mark> | | | | | 01/30/16 | | | |
| Mann, Larissa | | <mark>11/21/14</mark> | | | | | Mann, Larissa OR (b) (6) 01/30/14 | | | |
| Mecier, Brenda | | 05/10/15 | | | | | Fisher (Roetto), Maria OR (b) (6) 01/14 | | | |
| Pargman, Karrie | | <mark>11/26/14</mark> | | | | | Lancaster, Paul L OR (b) (6) 01/30/14 | | | |
| Smith, Kristie O. | | 08/10/15 | | | | | Smith, Kristie OR (b) (6) 01/30/16 | | | |
| Stich-Hennen, Jessica | _ | 05/19/15 | | | | | | | | |
| Hospital: St. Alphonsus Med CTR Snake Riv. | | N/A | All | TBD | 541-881-7280 | 541 881 7388 | 351 SW 9 th , Ontario OR, 97914 | No | No | Yes |
| Kent, Gregory J. The Eye Associates | | 06/30/15 | | N/A | 208-459-0717 | 208-459-0725 | 1602 Arlington Ave, Caldwell, ID 83605 | No | No | Yes |
| (b) (6) | | | | N/A | 208-342-5151 | | 2620 S Eagle Road, Meridian, ID 83642 | | | |

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| McKinnon, Ryan | (h) (c) | 06/30/15 | 18+ | N/A | (b) (6) | | (b) (6) Nampa, ID 83686 | No | No | Yes |
|--|-------------------------------|-----------|-----|-----|--------------|--------------|---|----|----|-----|
| Pickford, Christine (b) (6) | (\mathbf{U}) (\mathbf{U}) | 04/28/15 | 7- | N/A | (b) (6) | | (b) (6) Boise, ID 83702 | No | No | Yes |
| Idaho Physical & Rehab Med/ Idaho Elks | | | 18+ | TBD | 208-489-4016 | 208-489-4015 | 335 SW 13 th ST, <mark>Ontario</mark> OR 97914 | No | No | Yes |
| Rehab Hospital: | | 12/31/15 | | N/A | 208-287-6650 | 208-884-3082 | 3551 E. Overland Road, Meridian, ID 83642 | | | |
| Sant, Michael | | 06/30/15 | | N/A | | | 600 N. Robbins Rd, STE 300, Boise, ID 83702 | | | |
| Friedman, Robert H. | | 06/30/15 | | | | | | | | |
| Starr, David (b) (6) | | 03/31/16 | 18+ | TBD | (b) (6) | | (b) (6) , Ontario, OR 97914 | No | No | Yes |
| | | 03/20/15. | | N/A | | | 5700 E. Franklin Rd. STE 220-I, Nampa, 83687 | | | |

Southern OR and border with California

| | - | | | | . Г | V. Devel | | ODTU | | | | David | | |
|------------------|---------------------------|-------------------------|-----------------------|---------------|----------------|-------------------------|--------------------|-----------------------|---------------------|-------------------------|-------------------|----------------------|-----------|------------------------|
| Ashland | <u>MSK</u> Sanders | <u>NEURO</u> Sanders | INT/Derm Sanders | CARDIC XXX | - | X-Ray/La Ashland Co | | OPTH XXX | PEDIATRIC XXX | AUD – OTO XXX | <u>SLP</u> XXX | <u>Deve</u> . XXX | | <u>SY</u> elds |
| Brookings | <u>MSK</u> | <u>NEURO</u> | INTERNIST | CARDIC | | X-Ray/La | | | PEDIATRIC | AUD – OTO | <u>SLP</u> | Deve. | | SY SY |
| DIOOKINgs | XXX | XXX | XXX | XXX | - | Curry Me | | XXX | XXX | XXX | XXX | XXX | | nolds |
| | 7000 | 7000 | 7000 | 7000 | | CTR | - | 7000 | 7000 | 7001 | ,,,,,, | ,,,,,, | | |
| Cave | <u>MSK</u> | <u>NEURO</u> | INT/Derm | CARDIC |) | <mark>X-Ray/La</mark> | | <u>OPTH</u> | PEDIATRIC | <u>AUD – OTO</u> | <u>SLP</u> | Deve. | | <u>SY</u> |
| Junction | XXX | XXX | XXX | XXX | | <mark>Asante Thr</mark> | | XXX | XXX | XXX | XXX | XXX | X | xx |
| | NACI/ | NEUDO | | CARDIC | | Rivers ME | | ODTU | | | CLD | David | | <u></u> |
| Crescent | <u>MSK</u> XXX | <u>NEURO</u> XXX | INT/Derm XXX | CARDIC XXX | | X-Ray/La Sutter Coa | | OPTH XXX | PEDIATRIC XXX | AUD – OTO XXX | <u>SLP</u> XXX | <u>Deve</u> . XXX | | <u>SY</u> 10lds |
| City, CA | | | | | | | | | | | | | | |
| Gold | <u>MSK</u> | NEURO | INT/Derm | CARDIC | <u>)</u> | X-Ray/La | | OPTH | PEDIATRIC | AUD – OTO | <u>SLP</u> | Deve. | | <u>SY</u> |
| Beach | XXX | XXX | XXX | XXX | | Curry Ger | _ | XXX | XXX | Landsberg | XXX | XXX | | XX |
| Grants | <u>MSK</u> | <u>NEURO</u> | INT/Derm | CARDIC | - | X-Ray/La | | | PEDIATRIC | <u>AUD – OTO</u> | <u>SLP</u> | <u>Deve</u> . | | <u>SY</u> |
| Pass | - Rehab Med | -Rehab Med | Steinbrenner | Deathera | | Saviers | Deatherage | xxx | XXX | XXX | -Asante | XXX | Shi | elds |
| | <mark>Steinbrenner</mark> | Steinbrenner | Foutz | Steinbren | ner | Steinbrenn | ler | | | | Three | | | |
| Klausath | MSK | NEURO | INT/Derm | | | -Asante X-Ray/La | b Vasc/Pulm | OPTH | PEDIATRIC | AUD – OTO | Rivers SLP | Dava | | <mark>cv</mark> |
| Klamath | Henderson | Henderson | Henderson | Klam-Hea | | Henderso | | Stevens | Henderson | XXX | Guthrie | <u>Deve</u> . XXX | | <mark>SY</mark> ble |
| Falls | Klamath FP | Klamath FP | Klamath FP | Klamath | | Klamath F | | Jevens | Sanford | ~~~ | Gutinie | ~~~ | | ueva <mark>*</mark> |
| | | | | Henderso | | - Sky Lake | | | | | | | | |
| | | | | Lieualle | n | | Henderson | | | | | | | |
| Medford | <u>MSK</u> | <u>NEURO</u> | INT/Derm | CARDIC | <mark>)</mark> | X-Ray/La | b <u>Vasc/Pulm</u> | OPTH | PEDIATRIC | AUD – OTO | <u>SLP</u> | Deve. | <u>P:</u> | <mark>SY</mark> |
| | <mark>Grunwald</mark> | <mark>Grunwald</mark> | <mark>Grunwald</mark> | Grunwal | d | Grunwald | | <mark>D. Smith</mark> | MDSI | OR ENT | DeWitt | -Rogue | Da | vol, |
| | | | MDSI | MDSI | _ | Rehab Me | | -Cataract | | | -Rogue | Valley Valley | | aan <mark>*</mark> |
| | -Solomon | -Rehab Med | Rehab Med | Rehab M | ed | -Providen | <mark>ce</mark> | Laser | | No | Valley Med | Med | Dicki | |
| | | PMG Neuro | | | | | | Ins. | | <mark>Vestibular</mark> | (Asante) | (Asante) | O'Co | _ |
| | | | | | | | | | | | Speech CTR | | | rson oitts |
| | | | | | | | | | | | | | | ueva <mark>*</mark> |
| Phoenix | MSK | NEURO | INT/Derm | CARDIC |) | X-Ray/Lal | <u>vasc/Pulm</u> | OPTH | PEDIATRIC | AUD – OTO | <u>SLP</u> | Develop. | | SY |
| | XXX | XXX | XXX | XXX | - | XXX | XXX | XXX | XXX | XXX | xxx | XXX | | ene |
| | 1 Provider | | 2 License | 3 Renew | Age | 5 Visit | 6 Phone | 7 Fax | | 8 Add | Iress | R | loc ERE | ADA |
| | 111000000 | | 2 LICENSE | Jitenew | 4 4 | 5 VISIC | or none | 7107 | | | | | 9 10 | 11 |
| Cataract Laser I | nstitute of South | OR: | b) (C) | | 18+ | 10/10 | 800-637-0700 | 541-770-683 | 38 1408 E B. | ARNETT, Medfo | rd, OR 97504 | 1 | No No | Yes |
| Barrus, Loren R | | | \mathbf{U} | 12/31/15 | | | 541-779-2020 | | | | - | | | |
| Rutar, Tina | | | | 12/31/15 | | | | | | | | | | |
| Schultz, Paul N | | | | 12/31/15 | | | | | | | | | | |
| | | | | | | | | | | | | | | |

Updated 10/29/2014 WPL report_2014.doc

| Cole, Greg A. (Fee) | 08/31/16 | 6+ | 10/10 | (b) (6) | | (b) (6) Medford, OR 97504 | Yes | No | Yes |
|--|----------------------|----------|--------------|----------------|---------------|---|------------------|-----|-----|
| Davol, Howard, G. Davol and Associates | 12/31/15 | All | 10/10 | 541-772-7068 | 541-523-4713 | 720 CARDLEY AVE, Medford, OR 97504 | No | No | Yes |
| Deatherage, Mark F. Grants Pass Surg. As. | 12/31/15 | 16+ | 10/10 | 541-474-5533 | 541-476-2380 | 1600 NW 6 TH NORTH STE, Grants Pass, 97526 | No | No | Yes |
| Dehaan-Sullivan, Rita SOUTHERN OR | 01/31/15 | 16+ | 9/12 | 541-779-5093 | 541-734-2410 | 221 W MAIN STREET, Medford, OR 97501 | No | ERE | Yes |
| Dickinson, Mercedes | 01/31/15 | 18+ | 10/10 | (b) (6) | | 2510 NW EDENBOWER STE 152, Medford, OR | No | No | Yes |
| | | | | | | 97471 | | | |
| Greene, Katherine (b) (6) | 07/31/16 | 16+ | 10/10 | (b) (6) | | 471 BEAR CREEK DR STE 2, Phoenix, OR 97535 | No | No | Yes |
| Grunwald, Gregory S. OR Internal Med | 12/31/15 | 16+ | 10/10 | 541-858-7183 | 541-784-5268 | 2380 West Main St, Suite A, Medford, OR | No | No | Yes |
| | | | | 541-734-3268 | | 97504 | | | |
| Guthrie, Dana McCarty Scottish Rite / Kid Ta | 01/30/16 | 5 - | 10/10 | 541-883-7095 | 541-883-7095 | 950 KLAMATH AVE, Klamath Falls, OR 97601 | No | No | Yes |
| Henderson, Michael D. (Fee) | 12/31/15 | All | TBD | (b) (6) | | 409 Pine Street, STE 312, Klamath Falls, 97601 | Yes | EOR | Yes |
| Horton, Christine A. Asante Three Riv. S&L | 01/30/16 | All | 10/10 | 541-956-6225 | 541-956-6223 | 1505 NW Washington BLVD, Medford, 97526 | No | No | Yes |
| Hospital: Asante Three Rivers Imaging | N/A | All | TBD | 541- 592-2400 | 541-592 2434 | 25647 REDWOOD HWY, Cave Junction, 97523 | No | No | Yes |
| Hospital: Asante Three Rivers MED CTR | N/A | All | TBD | 541 472-7139 | 541- 472 7138 | 500 SW RAMSEY AVE, Grants Pass OR 97527 | No | No | Yes |
| Hospital: Ashland Community | N/A | All | TBD | 541-732.5939 | 541-201-4377 | 280 Maple, Ashland, OR 97520 | No | No | Yes |
| Hospital: Curry General Hospital | N/A | All | 05/14 | 541-247-2435 | 541 247 2435 | 94220 4 th ST, Gold Beach, OR 97444 | No | No | Yes |
| Hospital: Curry Med CTR | N/A | All | TBD | 541-412-2000 | | 500 5 th ST, Brookings, OR 97415 | No | No | Yes |
| Hospital: Providence Med Rad | N/A | All | TBD | 541 732-5175 | 541 732-5936 | 1111 CRATER LAKE AVE, Medford, OR 97504 | No | No | Yes |
| Hospital: Rogue Valley Med CTR Med Rad | N/A | All | TBD | 541- 789-4322 | 541- 789-7153 | 2825 E. Barnett RD, Medford, OR 97504 | No | No | Yes |
| Hospital: Sky Lakes Med CTR Sky Lakes Out | N/A | All | TBD | 541-274-6269 | 541-885-7291 | 2865 DAGGETT, Klamath Falls, OR 97601 | No | No | Yes |
| Hospital: Sutter Coast (California) | N/A | All | N/A | | | 800 E Washington BLVD, Crescent City C95531 | No | No | Yes |
| Klamath Family Practice | | 16+ | 10/10 | 541-883-8134 | 541-883-1510 | 2300 CLAIRMONT AVE, Klamath Falls, OR | No | No | Yes |
| Lang, Kathie J. | 12/31/15 | | | | | 97601 | | | |
| McKellar, Jon G. | 12/31/15 | | | | | | | | |
| Klamath Heart Clinic | | 16+ | 10/10 | 541-884-6233 | 541-880 2840 | 2614 CLOVER ST, Klamath Falls, OR 97601 | No | No | Yes |
| Kubac, George | 12/31/15 | | | | | | | | |
| Kucinsky, Rustislav | 12/31/15 | | | | | | | | |
| Landsberg , Todd South Coast Hearing | 01/30/16 | All | TBD | 541- 435-0850 | 541-435-0851 | | No | No | Yes |
| Pacific View-Bandon | | | | | | 1000 6th Ave West, Bandon OR 97415 | | | |
| North Bend Med CTR-Gold Beach | | | | | | 94180 2nd St, Gold Beach, OR 97444 | | | |
| MDSI: Medford | MDSI List | 16+ | 10/10 | 800 548-9092 | 888-800-5900 | 560 National Dr., STE 100, Medford, OR 97504 | Yes | EOR | Yes |
| Oconnell, Michael (b) (6) | 09/30/15 | 6+ | 10/10 | (b) (6) | | (b) (6) Medford, OR 97504 | <mark>Yes</mark> | ERE | Yes |
| Oregon ENT CTR (No Vestibular) | | 16+ | 10/10 | 541-779-7331 | 541-779-3522 | 1170 ROYAL AVE, Medford, OR 97504 | No | No | Yes |
| Chambers, David | 12/31/15 | | | (800) 637-0700 | | | | | |
| LeBlanc, Tammie | 01/30/16 | | | | | | | | |
| Reeck, Jay B | 12/31/15 | - | 10/10 | | | | | | |
| Pearson, Edwin E. | 08/31/15 | 6+ | 10/10 | (b) (6) | | 843 E MAIN STE 101-A, Medford, OR 97504 | No | No | Yes |
| REHAB MED PHYSICIANS | 12/21/15 | | 10/10 | 541-776-5065 | 541-776-5171 | 2780 E BARNETT RD STE 320, Medford, OR | No | No | Yes |
| Saviers, Daniel A. | 12/31/15 | | | | | 97504 | | | |
| Solomon, Jeffrey A | 12/31/15 | <u>.</u> | 05/14 | | | CO2 Handlack, CTE 2C, Bracklings, OB 07445 | Nia | Na | Vee |
| <u>Reynolds</u> , Rosemarie | 07/31/16 | 6+ | 05/14 N/A | (b) (b) | | 603 Hemlock, STE 2G, Brookings, OR 97415 | No | No | Yes |
| Rogue Valley Med CTR Asante Ped | | 6+ | N/A 10/10 | 541-789-5534 | 541-789-4522 | (b) (6) Crescent City, CA 95531 2825 E Barnett RD, Medford, OR 97504 | No | No | Yes |
| Rogue Valley Med CTR Asante Ped Brawner, Lorrie | 03/31/15 | UT | 10/10 | 541-769-5554 | 341-783-4322 | 2025 L Barnett RD, Mediolu, OR 97504 | NO | NU | 185 |
| DeWitt, Richard | 03/31/13 01/30/16 | | | | | | | | |
| Kozol, Barbara | 01/30/16 | | | | | | | | |
| Sanders, Rivka Ann (b) (6) | 12/31/15 | 16+ | TBD | (b) (6) | | (b) (6) , Ashland, OR | No | No | Yes |
| Shields, Thomas B | 10/31/14 | 8+ | 06/13 | (b) (6) | | 208 Oak St. #102, Ashland, OR 97520 | No | No | Yes |
| | 10/31/14 | UT | 00/15 | | | 200 Oak St. #102, Asilialiu, OK 37320 | NU | NU | 165 |

| | (h) (c) | | | | | | 432 NW 6TH ST STE 201, Grants Pass, 97526 | | | |
|--|-------------------------------|----------|-----|-------|---------------|---------------|--|-----|-----|-----|
| Smith, Douglas no Goldman Bison Vision | (\mathbf{D}) (\mathbf{O}) | 11/30/14 | 7+ | 10/10 | 541- 773-1414 | 541- 773-5613 | 585 Murphy RD, Medford, OR 97504 | No | No | Yes |
| Speech Center: | | | 7+ | 10/10 | 541-773-8255 | 541-773-8256 | 1700 E Barnett RD, Medford, OR 97504 | No | No | Yes |
| Panai, Jamie L | | 01/30/16 | | | | | | | | |
| Soderstrom, Pascale | | 01/30/16 | | | | | | | | |
| Steinbrenner, Roger W. Internal Medicine | | 12/31/15 | 18+ | 10/10 | 541 608-3878 | 541-479-7569 | 181 NW BUNNELL, Grants Pass, OR 97526 | Yes | No | Yes |
| Tibbitts, Davol and Associates | | 01/31/16 | 16+ | 10/10 | 541-772-7068 | 541-770-1347 | 720 CARDLEY AVE, Medford, OR 97504 | No | No | Yes |
| Villanueva, Michael R. Southern OR | | 07/31/15 | 12+ | 09/12 | 541 608-3878 | 541 608 3880 | 837 ALDER CREEK DRIVE, Medford, OR 97504 | No | ERE | Yes |
| Klamath Family Practice OM Karen Beaman | | | | | | | 2310 MT VIEW BLVD, Klamath Falls, Or 97601 | | | |
| Wilson, John Aaron Sandford Children's C | | 12/31/15 | 7- | 10/10 | 541-851-4800 | 541-851-4801 | 3001 DAGGETT ST, Klamath Falls, OR 97601 | No | No | Yes |

Southern Coast & Roseburg

| Bandon | <u>MSK</u> | <u>NEURO</u> | INT/Derm | CARDIO | X-Ray/Lab | Vasc/Pulm | <u>OPTH</u> | PEDIATRIC | AUD – OTO | <u>SLP</u> | Deve. | <u>PSY</u> |
|------------|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|--------------------|-----------------------------|-------------------------|-----------------------|----------------------|-------|--------------------------------|
| | XXX | XXX | XXX | XXX | Southern Coos | XXX | XXX | XXX | Landsberg | XXX | XXX | XXX |
| | | | | | | | | | Does ENG | | | |
| Coos Bay/ | <mark>MSK</mark> | NEURO | INT/Derm | CARDIO | X-Ray/Lab | Vasc/Pulm | <mark>ОРТН</mark> | PEDIATRIC | AUD – OTO | <u>SLP</u> | Deve. | PSY |
| North Bend | <mark>Nolan</mark> | <mark>Nolan</mark> | <mark>Nolan</mark> | Nolan | <mark>Nolan</mark> | <mark>Nolan</mark> | <mark>Bay Eye Clinic</mark> | McKelvey | Landsberg | <mark>Sheldon</mark> | XXX | Regan, Wahl |
| North Dena | | | | | <mark>Bay Area</mark> | | | | <mark>Does ENG</mark> | <mark>Med</mark> | | Alvord |
| Coquille | MSK | <u>NEURO</u> | INT/Derm | CARDIO | X-Ray/Lab | Vasc/Pulm | <u>OPTH</u> | PEDIATRIC | <u>AUD – OTO</u> | <u>SLP</u> | Deve. | <u>PSY</u> |
| • | XXX | XXX | XXX | XXX | Coquille Valley | XXX | XXX | XXX | XXX | XXX | XXX | XXX |
| Roseburg | MSK | NEURO | INT/Derm | CARDIO | X-Ray/Lab | Vasc/Pulm | <mark>ОРТН</mark> | PEDIATRIC | <u>AUD – OTO</u> | <u>SLP</u> | Deve. | PSY |
| l i | <mark>Glassman</mark> | <mark>Glassman</mark> | <mark>Glassman</mark> | <mark>Glassman</mark> | <mark>Glassman</mark> | XXX | <mark>Umpqua</mark> | <mark>Leinenbach</mark> | XXX | XXX | XXX | Cole, Dickinson <mark>*</mark> |
| | <mark>Leinenbach</mark> | Ramirez | <mark>Leinenbach</mark> | <mark>Leinenbach</mark> | <mark>Leinenbach</mark> | | <mark>Valley Eye</mark> | | | | | Eckstein, Alvord |
| | | <mark>Leinenbach</mark> | | | <mark>Mercy Med</mark> | | | | | | | Kirkendall |
| | | | | | | | | | | | | Villanueva <mark>*</mark> |

| 1 Provider | 2 License | 3 Renew | Age | 5 Visit | 6 Phone | 7 Fax | 8 Address | Bloc | ERE | ADA |
|--|-----------|----------|-----|---------|--------------|--------------|---|------------------|-----|-----|
| | | | 4 | | | | | 9 | 10 | 11 |
| Alvord, Scott T (b) (6) | (b) (6) | 10/31/14 | All | 05/14 | (b) (6) | | (b) (6) North Bend, OR 97459 (b) (6) Roseburg, OR 97470 | No | No | Yes |
| BAY EYE CLINIC: OM: Monty Johnson | | | 7+ | 05/14 | 541-756-2584 | 541-756-5783 | 3585 BROADWAY, North Bend, OR 97459 | No | No | Yes |
| Gilbert, Jane | | 12/31/15 | | | | | | | | |
| Graham, Debra A | | 12/31/15 | | | | | | | | |
| Kintner, Jon C. | | 12/31/15 | | | | | | | | |
| Ottemiller, Dennis Evan | | 12/31/15 | | | | | | | | |
| Gregg, Patrick J. Umpqua Valley Eye | | 12/31/15 | 7+ | | 541-677-6013 | 541-677-6028 | 341 MEDICAL LOOP STE 120, Roseburg, OR 97470 | <mark>Yes</mark> | No | Yes |
| Glassman, Anthony L. (b) (6) UMPQUA MED GROUP | | 12/31/15 | 16+ | 05/14 | 541-677-6013 | 541-677-6028 | 1813 W HARVARD STE 230, Roseburg, OR 97471 | No | No | Yes |
| Hospital: Bay Area (In-House) | | N/A | All | 05/14 | 541-269-8090 | | 1775 Thompson RD, Coos Bay OR 97420 | No | No | Yes |
| Hospital: Coquille Valley | | N/A | All | TBD | 541-396-3101 | 541-396-5760 | 940 E. 5 th ST, Coquille, OR 97423 | No | No | Yes |
| Hospital: Mercy MED CTR INC | | N/A | All | TBD | 541-677-2389 | | 2700 STEWART PKWY, Roseburg, OR, 97470 | No | No | Yes |
| Hospital: Peace Harbor | | N/A | All | 05/14 | 541-997-8204 | | 400 9th ST, Florence, OR 97439 | No | No | Yes |
| Hospital: Southern Coos General | | N/A | All | 05/14 | 541-329-1034 | | 900 11 th ST SE, Bandon, OR 97411 | No | No | Yes |
| Kornbau, James | | 01/30/16 | All | TBD | (b) (6) | | (b) (6) Coquille, OR 97423 | No | No | Yes |
| Landsberg, Todd, AuD | | 01/30/16 | All | 05/14 | (b) (6) | | 1957 Thompson RD STE E, Coos Bay OR 97420 | No | No | Yes |

| Leinenbach, Derek J | b) (6) | 12/31/14 | 16+ | TBD | (b) (6) | | 5010 GRANGE RD STE 103, Roseburg, OR 97471 | Yes | EOR | Yes |
|--|-----------|----------|-----|-------|---------------|---------------|---|-----|-----|-----|
| McKelvey, Carla D. North Bend Med CTR | - / (- / | 12/31/15 | 7+ | 05/14 | 541-267-5151 | 541-266-4566 | 1900 WOODLAND DR, North Bend, OR 97420 | No | No | Yes |
| Nolan, Raymond P. Waterfall Clinic | | 12/31/15 | 16+ | 05/14 | 503-864-3057 | 503-435-6445 | 1890 Waite Street, North Bend, OR 97459 | Yes | No | Yes |
| Reagan, Charles Peter (b) (6) | | 12/31/15 | 16+ | 05/14 | (b) (6) | | (b) (6) , Coos Bay, OR 97420 | No | ERE | Yes |
| Ramirez, Mark A. N. Roseburg Neuro Clin. | | 12/31/15 | 16+ | | 541- 440-5320 | 541- 440-5322 | 2510 NW EDENBOWER STE 152, Roseburg, OR | No | No | Yes |
| | | | | | | | 97471 | | | |
| Sheldon Med / SW Rehabilitation: | | | 7+ | 05/14 | 541-267-5221 | 541- 267-5222 | 2085 Inland DR, STE A, North Bend, OR 97459 | No | No | Yes |
| Felton-Sheldon, Melodie | | 01/31/16 | | | | | | | | |
| Geer, Ashley | | 01/31/16 | | | | | | | | |
| Villanueva, Michael R (b) (6) | | 07/31/15 | 12+ | 09/12 | (b) (6) | | (b) (6) Roseburg, OR 97471 | No | ERE | Yes |
| Wahl, Gail L Hall BLG (b) (6) | | 06/30/15 | 3+ | 05/14 | (b) (6) | | 320 CENTRAL STE 516, Coos Bay, OR 97420 | Yes | No | Yes |

<mark>Albany</mark> *Metro* Area

| Albany | <u>MSK</u> | <u>NEURO</u> | <u>INT/Derm</u> | <u>CARDIO</u> | <u>X-Ray/Lab</u> | Vasc/Pulm | <mark>ОРТН</mark> | PEDIATRIC | <u>AUD – OTO</u> | <u>SLP</u> | <u>Deve</u> . | PSY |
|-----------|---------------------|---------------------|---------------------|---------------------|------------------|-----------|---------------------|---------------------|------------------|------------|---------------|---------------|
| - | | Brooks | XXX | XXX | XXX | XXX | Lees | XXX | XXX | XXX | XXX | South |
| | | | | | | | <mark>Madsen</mark> | | | | | Smolen |
| Corvallis | <u>MSK</u> | NEURO | INT/Derm | CARDIO | X-Ray/Lab | Vasc/Pulm | <u>OPTH</u> | PEDIATRIC | <u>AUD – OTO</u> | <u>SLP</u> | Deve. | PSY |
| | Lewis | Lewis | Lewis . | <mark>Lewis</mark> | Lewis | XXX | XXX | <mark>Musson</mark> | XXX | XXX | XXX | Lahman |
| | <mark>Musson</mark> | <mark>Musson</mark> | <mark>Musson</mark> | <mark>Musson</mark> | Good Sam | | | | | | | Smyth |
| Lebanon | MSK | NEURO | INT/Derm | <u>CARDIO</u> | X-Ray/Lab | Vasc/Pulm | <u>OPTH</u> | PEDIATRIC | AUD – OTO | <u>SLP</u> | Deve. | <u>PSY</u> |
| | XXX | XXX | XXX | XXX | Lebanon | XXX | XXX | XXX | XXX | XXX | XXX | XXX |
| | | | | | Community | | | | | | | |
| Newport | <u>MSK</u> | <u>NEURO</u> | INT/Derm | <u>CARDIO</u> | X-Ray/Lab | Vasc/Pulm | <u>OPTH</u> | PEDIATRIC | <u>AUD – OTO</u> | <u>SLP</u> | Deve. | PSY |
| | XXX | XXX | XXX | XXX | Samaritan | XXX | XXX | XXX | XXX | XXX | XXX | Cole |
| | | | | | Pacific Com. | | | | | | | |

| 1 Provider | 2 License | 3 Renew | Age | 5 Visit | 6 Phone | 7 Fax | 8 Address | Bloc | ERE | ADA |
|---|-------------------------------|----------|-----|---------|---------------|---------------|--|------|-----|-----|
| | | | 4 | | | | | 9 | 10 | 11 |
| Brooks, Allen G. Piercey Neurology LLC | (h) (G) | 12/31/15 | 18+ | 08/14 | 541- 928-2965 | 541 917-3778 | 631 ELM ST SW STE 200, ALBANY OR, 97321 | No | No | Yes |
| Cole, Greg A. (Fee) Newport OVRS | (\mathbf{D}) (\mathbf{O}) | 08/31/14 | 6+ | 09/14 | 541-265-7843 | 541-265-3652 | 119 NE 4 th St. STE 1, Newport, OR 97365-3133 | Yes | No | Yes |
| Hospital: Albany Diagnostic Imaging | | N/A | All | 08/14 | | | 1046 6 th AVE SW, Albany, OR 97321 | | No | Yes |
| Hospital: Good Samaritan REG MED CTR P | | N/A | All | 08/14 | 541-768-5059 | | 3600 SAMARITAN DR NW, Corvallis OR 97330 | No | No | Yes |
| Hospital: Lebanon Community | | N/A | All | 08/14 | | | 525 N SANTIAM HWY, Lebanon, OR 97355 | | No | Yes |
| Hospital: Samaritan Pacific Com. In House | | N/A | All | 09/14 | 541-574-4710 | 541-574-4701 | 930 SW Abbey, Newport, OR 97365 | | No | Yes |
| Lahman, Frank G. | | 11/30/14 | 16+ | 08/14 | (b) (6) | | 2211 NW PROFESSIONAL DR, STE 100, | | No | Yes |
| (b) (6) | | | | | | | Corvallis, Or 97330 | | | |
| Lees, John (b) (6) | | 12/31/15 | All | 08/14 | (b) (6) | | (b) (6) Albany, OR 97321 | No | No | Yes |
| Musson, Marc Olympus Health | | 12/31/15 | All | TBD | 800-860-3144 | Same as phone | 2358 NW Kings Blvd, Corvallis, OR 97330 | Yes | ERE | Yes |
| Rehabilitation Associates NW: | | | 18+ | 08/14 | 541-757-7269 | 541- 757-7465 | 2211 NW PROFESSIONAL DR, STE 100, | No | No | Yes |
| Lewis, Todd J. | | 12/31/15 | | | | | Corvallis, OR 97330 | | | |
| Bottomley, Susan | | 05/31/16 | | | | | | | | |
| Doughman, David J. | | 03/31/15 | | | | | | | | |
| Smolen, Gail Piercey Neurology LLC | | 12/31/15 | 18+ | 08/14 | 541 619-0519 | | 631 ELM ST SW STE 200 ALBANY OR 97321 | No | No | Yes |
| <u>Smyth</u> , Douglas | | 08/31/14 | 16+ | 08/14 | (b) (6) | | 260 SW Madison Av, STE 112, Corvallis, 97333 | No | EOR | Yes |
| <u>South</u> , Susan | | 12/31/14 | 18+ | 8/14 | (b) (6) | | (b) (6) Albany, OR 97321-2407 | No | No | Yes |

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| The Counseling CTR | | | 541-926-2609 | | | |
|--------------------|--|--|--------------|--|--|--|

| Salem Metro Area | | | | | | | | | | | | | |
|------------------|---------------------|--------------|-------------|---------------------------|--------------------------|--------------|-------------------------|------------------|-------------------|-------------------------|----------|------------------|--|
| Dallas | <u>MSK</u> | <u>NEURO</u> | INT/Derm | <u>CARDIO</u> | X-Ray/Lab | Vasc/Pulm | <u>OPTH</u> | PEDIATRIC | <u>AUD – OTO</u> | <u>SLP</u> | Develop. | <u>PSY</u> | |
| | XXX | XXX | XXX | XXX | West Valley | XXX | XXX | XXX | XXX | XXX | XXX | XXX | |
| Lincoln City | <u>MSK</u> | <u>NEURO</u> | INT/Derm | CARDIO | <mark>X-Ray/Lab</mark> | Vasc/Pulm | <u>OPTH</u> | PEDIATRIC | <u>AUD – OTO</u> | <u>SLP</u> | Develop. | <u>PSY</u> | |
| | Ellison | Ellison | Ellison | Ellison | <mark>Leinenbach</mark> | XXX | XXX | XXX | XXX | XXX | XXX | XXX | |
| McMinnville | <mark>MSK</mark> | <u>NEURO</u> | INT/Derm | CARDIO | <u>X-Ray/Lab</u> | Vasc/Pulm | <u>OPTH</u> | PEDIATRIC | <u>AUD – OTO</u> | <u>SLP</u> | Develop. | <u>PSY</u> | |
| | Nolan Nolan | Nolan Nolan | Nolan Nolan | Nolan Nolan | Nolan | XXX | XXX | XXX | XXX | XXX | XXX | Cole | |
| Newberg | <u>MSK</u> | <u>NEURO</u> | INT/Derm | <u>CARDIO</u> | <u>X-Ray/Lab</u> | Vasc/Pulm | <mark>ОРТН</mark> | PEDIATRIC | <u>AUD – OTO</u> | <u>SLP</u> | Develop. | PSY | |
| | XXX | XXX | XXX | XXX | XXX | XXX | Lees | XXX | XXX | XXX | XXX | Stoltzfus | |
| | | | | | | | <mark>Madsen</mark> | | | | | Barsukov | |
| Salem/Keizer | <u>MSK</u> | <u>NEURO</u> | INT/Derm | CARDIO | <mark>X-Ray/Lab</mark> | Vasc/Pulm | <u>ОРТН</u> | PEDIATRIC | AUD – OTO | <u>SLP</u> | Develop. | PSY | |
| | <mark>Borman</mark> | MDSI | MDSI | -Salem Card | <mark>MDSI</mark> | -Salem Card | Med CTR | Lace Lace | Willamette | <mark>-Grenz-Neb</mark> | XXX | Barsukov | |
| | MDSI | Radecki | Radecki | MDSI | Ellison | -Salem Rehab | <mark>Eye Clinic</mark> | | ENT | | | Dietlein (1997) | |
| | Radecki | Ellison | Ellison | <mark>-Salem Rehab</mark> | <mark>-Salem Reh.</mark> | | | | | | | Kruger, | |
| | Ellison | -Salem Re. | -Salem Reh. | | -Salem Ho. | | | | | | | Pitchford . | |
| | -Salem Re. | | Denton | | | | | | | | | Stoltzfus | |
| | | | | | | | | | | | | MDSI, South | |
| | | | | | | | | | | | | Potter | |
| Silverton | <u>MSK</u> | <u>NEURO</u> | INT/Derm | <u>CARDIO</u> | <mark>X-Ray/Lab</mark> | Vasc/Pulm | <u>OPTH</u> | PEDIATRIC | <u>AUD – OTO</u> | <u>SLP</u> | Develop. | <u>PSY</u> | |
| | XXX | XXX | XXX | XXX | <mark>Hospital</mark> : | XXX | XXX | XXX | XXX | XXX | XXX | XXX | |
| | | | | | <mark>Silverton</mark> | | | | | | | | |
| | | | | | <mark>Health</mark> | | | | | | | | |
| Stayton | <u>MSK</u> | <u>NEURO</u> | INT/Derm | <u>CARDIO</u> | <u>X-Ray/Lab</u> | Vasc/Pulm | <u>OPTH</u> | PEDIATRIC | <u>AUD – OTO</u> | <u>SLP</u> | Develop. | <u>PSY</u> | |
| | XXX | XXX | XXX | XXX | XXX | Silver | XXX | XXX | XXX | XXX | XXX | XXX | |
| | | | | | | Pulm/PFT | | | | | | | |
| Woodburn | <u>MSK</u> | <u>NEURO</u> | INT/Derm | <u>CARDIO</u> | <u>X-Ray/Lab</u> | Vasc/Pulm | <u>OPTH</u> | PEDIATRIC | <u>AUD – OTO</u> | <u>SLP</u> | Develop. | PSY | |
| | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | Barsukov | |

| 1 Provider 2 License | | 3 Renew | Age | 5 Visit | 6 Phone | 7 Fax | 8 Address | Bloc | ERE | ADA |
|--|---------|----------|-----|---------|---------------|---------------|---|------------------|-----|------------------------|
| | | | 4 | | | | | 9 | 10 | 11 |
| Barsukov, Sergiv Intercultural CTR for Psychology | (b) (6) | 08/31/14 | 18+ | 08/13 | 503- 581-1567 | 503- 523-2193 | 710C Foothills Drive, <u>STE 104</u> , Newberg, 97132 161 HIGH ST SE STE 247, Salem, OR 97301 Woodburn, OR 97 (Pending) | <mark>Yes</mark> | EOR | Yes <mark>No</mark> |
| Borman, Timothy R. | | 12/31/15 | 16+ | 06/13 | (b) (6) | | 700 BELLEVUE ST SE <u>STE 260</u> , Salem, OR 97301 | No | No | Yes |

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| Ellison, John H. (Fee) Exam Works Clinic 12/31/15 10+ 04/13 503-647-5506 503-647-5139 same as phone 698 12th Street SE, Suite 144, Salem, 97301 Yes EOR Y Hospital: Salern N/A All 70/12 10/16 10/16 10/16 Salem, OR 97301 Yes EOR N Hospital: Samaritan N Lincoln In House N/A All 700 655 WINTER ST SE, Salem, OR 97301 No | 5+ 06/13 503-569-6055 503-566-9864 2601 25 TH ST SE <u>STE 420</u> , Salem, OR 97302 No EOR Yes 0+ 04/13 503-647-5506 503-647-5139 same as phone 698 12th Street SE, Suite <u>144</u> , Salem, 97301 Yes EOR Yes All 07/12 (b) (6) |
|--|--|
| Ellison, John H. (Fee) Exam Works Clinic 12/31/15 10+ 04/13 503-647-5506 503-647-5139 same as phone 698 12th Street SE, Suite 144, Salem, 97301 Yes EOR N Hospital: Salem 01/30/16 All 07/12 01/60 503-647-5139 508-647-5139 508-647-5139 508-647-5139 508-647-5139 508-647-5139 508-647-5139 508-647-5139 508-647-5139 508-647-5139 508-647-5139 508-647-5131 508-6421 2700 SE Stratus AVE, McMinnville, OR 97328 No No No No No N | O+ 04/13 503-647-5506 503-647-5139 same as phone 698 12th Street SE, Suite 144, Salem, 97301 Yes EOR Yes All 07/12 (b) (6) (b) (6) (b) (6) Yes EOR Yes All TBD 665 WINTER ST SE, Salem, OR 97301 No No Yes All 09/14 541-996-7181 3043 NE 28 th ST, Lincoln City, OR 9767 No No Yes All TBD 503-623-769-9213 1401 N 10TH AVE, Stayton OR 97383 No No Yes All B13 503-623-7302 525 SE WASHINGTON, Dallas OR 97381 No No Yes All 06/13 (b) (6) 2367 STATE ST STE 100 S, Salem, OR 97301 Yes No Yes All 06/13 (b) (6) 2367 STATE ST STE 100 S, Salem, OR 97301 Yes No Yes All 06/13 (b) (6) 2367 STATE ST STE 100 S, Salem, OR 97301 Yes No Yes All 06/13 (b) (6) 03-364-0364 891 23'rd Street NE, Salem, OR 97301 |
| Hospital: Salem N/A All TBD Concerns GefS WINTER ST SE, Salem, OR 97301 No No No Hospital: Samaritan N Lincoln In House N/A All 09/14 541-996-7181 3043 NE 28 th ST, Lincoln City, OR 9767 No No </td <td>All TBD No No Yes All 09/14 541-996-7181 3043 NE 28th ST, Lincoln City, OR 9767 No No Yes All TBD 503-769-9213 1401 N 10TH AVE, Stayton OR 97383 No No Yes All TBD 503-769-9213 1401 N 10TH AVE, Stayton OR 97383 No No Yes All TBD 503-873-6336 342 FAIRVIEW ST, Silverton OR 97381 No No Yes All BD 503-623-7302 525 SE WASHINGTON, Dallas OR 97381 No No Yes All BD 503-435-6420 503-435-6421 2700 SE Stratus AVE, McMinnville, OR 97128 No No Yes All O6/13 (b) (6) 2367 STATE ST STE 100 S, Salem, OR 97301 Yes No Yes All 06/13 503-584-4024 503-364-0364 891 23''d Street NE, Salem, OR 97301 No No Yes 16+ 08/14 877 782-2832 360-3639-4932 3015 NE WEST DEVILS LAKE RD, Lincoln City, OR 97321 Yes</td> | All TBD No No Yes All 09/14 541-996-7181 3043 NE 28 th ST, Lincoln City, OR 9767 No No Yes All TBD 503-769-9213 1401 N 10TH AVE, Stayton OR 97383 No No Yes All TBD 503-769-9213 1401 N 10TH AVE, Stayton OR 97383 No No Yes All TBD 503-873-6336 342 FAIRVIEW ST, Silverton OR 97381 No No Yes All BD 503-623-7302 525 SE WASHINGTON, Dallas OR 97381 No No Yes All BD 503-435-6420 503-435-6421 2700 SE Stratus AVE, McMinnville, OR 97128 No No Yes All O6/13 (b) (6) 2367 STATE ST STE 100 S, Salem, OR 97301 Yes No Yes All 06/13 503-584-4024 503-364-0364 891 23''d Street NE, Salem, OR 97301 No No Yes 16+ 08/14 877 782-2832 360-3639-4932 3015 NE WEST DEVILS LAKE RD, Lincoln City, OR 97321 Yes |
| Hospital: Salem N/A All TBD 665 WINTER ST SE, Salem, OR 97301 No No No Hospital: Samaritan N Lincoln In House N/A All 09/14 541-996-7181 3043 NE 28 th ST, Lincoln City, OR 9767 No No No Hospital: Silverton Health N/A All TBD 503-769-9213 1401 N 10TH AVE, Stayton OR 97381 No No No Hospital: Silverton Health N/A All TBD 503-769-9213 1401 N 10TH AVE, Stayton OR 97381 No No No Hospital: West Valley N/A All BD 503-435-6340 503-435-6421 2700 SE Stratus AVE, McMinnville, OR 97128 No No No Kruger, Robert A. Lace, James K. Childhood Health Associa. 12/31/15 T- 06/13 503-636-4024 503-3435-6440 503-3435-6421 2700 SE Stratus AVE, McMinnville, OR 97128 No No No Lace, James K. Childhood Health Associa. 12/31/15 T- 06/13 503-584-4024 503-364-0364 503-364-0364 503-364-0364 503-364-0364 | All TBD 665 WINTER ST SE, Salem, OR 97301 No No Yes All 09/14 541-996-7181 3043 NE 28 th ST, Lincoln City, OR 9767 No No Yes All TBD 503-769-9213 1401 N 10TH AVE, Stayton OR 97383 No No Yes All TBD 503-873-6336 342 FAIRVIEW ST, Silverton OR 97383 No No Yes All B/13 503-623-7302 525 SE WASHINGTON, Dallas OR 97381 No No Yes All B/13 503-435-6440 503-435-6421 2700 SE Stratus AVE, McMinnville, OR 97128 No No Yes All O6/13 D/60 2367 STATE ST STE 100 S, Salem, OR 97301 Yes No Yes All 06/13 S03-584-4024 503-364-0364 891 23''d Street NE, Salem, OR 97301 No No Yes Ic6+ 08/14 877 782-2832 3015 NE WEST DEVILS LAKE RD, Lincoln City, OR 97367 Yes COR Yes 7+ 8/14 541- 926-5848 541- 917-8702 2715 Will |
| Hospital: Santiam Memorial N/A All TBD 503-769-9213 1401 N 10TH AVE, Stayton OR 97383 No No No Hospital: Silverton Health N/A All TBD 503-769-9213 342 FAIRVIEW ST, Silverton OR 97381 No | All TBD 503-769-9213 1401 N 10TH AVE, Stayton OR 97383 No No Yes All TBD 503-873-6336 342 FAIRVIEW ST, Silverton OR 97383 No No Yes All TBD 503-873-6336 342 FAIRVIEW ST, Silverton OR 97381 No No Yes All 8/13 503-623-7302 525 SE WASHINGTON, Dallas OR 97388 No No Yes All TBD 503-435-6440 503-435-6421 2700 SE Stratus AVE, McMinnville, OR 97128 No No Yes All 06/13 (b) (6) 2367 STATE ST STE 100 S, Salem, OR 97301 Yes No Yes 7- 06/13 503-584-4024 503- 364-0364 891 23 rd Street NE, Salem, OR 97301 No No Yes 16+ 08/14 877 782-2832 3015 NE WEST DEVILS LAKE RD , Lincoln City, 973-266-0118 Yes OR 97367 Yes EOR Yes 7+ 8/14 541- 926-5848 541- 917-8702 2715 Willetta SW, Salem OR 97321 No No Yes |
| Hospital: Santiam Memorial N/A All TBD 503-769-9213 1401 N 10TH AVE, Stayton OR 97383 No No No Hospital: Silverton Health N/A All TBD 503-769-9213 342 FARVIEW ST, Silverton OR 97383 No | All TBD 503-769-9213 1401 N 10TH AVE, Stayton OR 97383 No No Yes All TBD 503-873-6336 342 FAIRVIEW ST, Silverton OR 97383 No No Yes All 700 503-435-6440 503-435-6421 525 SE WASHINGTON, Dallas OR 97338 No No Yes All 06/13 503-435-6440 503-435-6421 2700 SE Stratus AVE, McMinnville, OR 97128 No No Yes All 06/13 503-584-4024 503- 364-0364 891 23rd Street NE, Salem, OR 97301 Yes No Yes 7- 06/13 503-584-4024 503-6369-4932 3015 NE WEST DEVILS LAKE RD , Lincoln City, OR 97301 No No Yes 66+ 08/14 877 782-2832 360-3639-4932 3015 NE WEST DEVILS LAKE RD , Lincoln City, OR 97367 Yes FOR Yes 7+ 8/14 541- 926-5848 541- 917-8702 2715 Willetta SW, Salem OR 97321 No No Yes |
| Hospital: Silverton Health N/A All TBD 503-873-6336 342 FAIRVIEW ST, Silverton OR 97381 No No No Hospital: West Valley N/A All 8/13 503-623-7302 525 5E WASHINGTON, Dallas OR 97381 No | All TBD 503-873-6336 342 FAIRVIEW ST, Silverton OR 97381 No No Yes All 8/13 503-623-7302 525 SE WASHINGTON, Dallas OR 97338 No No Yes All TBD 503-435-6440 503-435-6421 2700 SE Stratus AVE, McMinnville, OR 97328 No No Yes All 06/13 (b) (6) Contract 2367 STATE ST STE 100 S, Salem, OR 97301 Yes No Yes 7- 06/13 503-584-4024 503- 364-0364 891 23rd Street NE, Salem, OR 97301 No No Yes 6.6+ 08/14 877 782-2832 360-3639-4932 3015 NE WEST DEVILS LAKE RD , Lincoln City, OR 97367 Yes FOR Yes 7+ 8/14 541- 926-5848 541- 917-8702 2715 Willetta SW, Salem OR 97321 No No Yes |
| Hospital: Willamette Valley Med. CTR Ima. N/A All TBD 503-435-6440 503-435-6421 2700 SE Stratus AVE, McMinnville, OR 97128 No No No Kruger, Robert A. 03/31/15 All 06/13 b) (6) 2367 STATE ST STE 100 S, Salem, OR 97301 Yes No < | All TBD 503-435-6440 503-435-6421 2700 SE Stratus AVE, McMinnville, OR 97128 No No Yes All 06/13 (b) (6) 2367 STATE ST STE 100 S, Salem, OR 97301 Yes No Yes 7- 06/13 503-584-4024 503- 364-0364 891 23rd Street NE, Salem, OR 97301 No No Yes 16+ 08/14 877 782-2832 973-266-0118 360-3639-4932 3015 NE WEST DEVILS LAKE RD , Lincoln City, OR 97367 Yes EOR Yes 7+ 8/14 541- 926-5848 541- 917-8702 2715 Willetta SW, Salem OR 97321 No No Yes |
| Kruger, Robert A. 03/31/15 All 06/13 (b) (6) 2367 STATE ST STE 100 S, Salem, OR 97301 Yes No No Lace, James K. Childhood Health Associa. 12/31/15 7- 06/13 503-584-4024 503-364-0364 891 23rd Street NE, Salem, OR 97301 No | All 06/13 (b) (6) 2367 STATE ST STE 100 S, Salem, OR 97301 Yes No Yes 7- 06/13 503-584-4024 503- 364-0364 891 23rd Street NE, Salem, OR 97301 No No Yes 16+ 08/14 877 782-2832 973-266-0118 360-3639-4932 3015 NE WEST DEVILS LAKE RD , Lincoln City, OR 97367 Yes EOR Yes 7+ 8/14 541- 926-5848 541- 917-8702 2715 Willetta SW, Salem OR 97321 No No Yes |
| Lace, James K. Childhood Health Associa. 12/31/15 7- 06/13 503-584-4024 503-364-0364 891 23rd Street NE, Salem, OR 97301 No | 7- 06/13 503-584-4024 503- 364-0364 891 23 rd Street NE, Salem, OR 97301 No No Yes 16+ 08/14 877 782-2832 360-3639-4932 3015 NE WEST DEVILS LAKE RD , Lincoln City, OR 97367 Yes EOR Yes 7+ 8/14 541- 926-5848 541- 917-8702 2715 Willetta SW, Salem OR 97321 No No Yes |
| Leinenbach, Derek Costal Health Practitioners 12/31/14 16+ 08/14 877 782-2832 973-266-0118 360-3639-4932 3015 NE WEST DEVILS LAKE RD , Lincoln City, OR 97367 Yes EOR No Madsen, Bruce (b) (6) Eye Care As. 12/31/15 7+ 8/14 541-926-5848 541-917-8702 2715 Willetta SW, Salem OR 97321 No No No MDS1: Salem MICHELS SPINAL REHAB See MDSI 16+ 06/13 800 548-9092 888-800-5900 4666 COMMERCIAL ST SE, Salem, OR 97302 Yes EOR No N | A6+ 08/14 877 782-2832 973-266-0118 360-3639-4932 3015 NE WEST DEVILS LAKE RD , Lincoln City, OR 97367 Yes EOR Yes 7+ 8/14 541-926-5848 541-917-8702 2715 Willetta SW, Salem OR 97321 No No Yes |
| Costal Health Practitioners 973-266-0118 OR 97367 Image: constant of the state of the | 973-266-0118 OR 97367 No Yes 7+ 8/14 541-926-5848 541-917-8702 2715 Willetta SW, Salem OR 97321 No No Yes |
| Madsen, Bruce (b) (c) Eye Care As. MDSI: Salem MICHELS SPINAL REHAB See MDSI 16+ 06/13 800 548-9092 888-800-5900 4666 COMMERCIAL ST SE, Salem, OR 97302 Yes EOR No No <td>7+ 8/14 541-926-5848 541-917-8702 2715 Willetta SW, Salem OR 97321 No No Yes</td> | 7+ 8/14 541-926-5848 541-917-8702 2715 Willetta SW, Salem OR 97321 No No Yes |
| MDSI: Salem MICHELS SPINAL REHAB See MDSI 16+ 06/13 800 548-9092 888-800-5900 4666 COMMERCIAL ST SE, Salem, OR 97302 Yes EOR No Medical Center Eye Clinic: 17+ 06/13 503- 581-5287 503- 588-6843 655 MEDICAL CENTER DR NE, Salem, OR 97301 No | |
| Medical Center Eye Clinic: Berzins, John 12/31/15 17+ 06/13 503- 581-5287 503- 588-6843 655 MEDICAL CENTER DR NE, Salem, OR 97301 No | 6+ 06/13 800 548-9092 888-800-5900 4666 COMMERCIAL ST SE Salem OR 97302 Voc CO |
| Berzins, John 12/31/15 12/31/15 Image: st, Marcus A. | |
| East, Marcus A. 12/31/15 12/31/15 Image: style sty | .7+ 06/13 503- 581-5287 503- 588-6843 655 MEDICAL CENTER DR NE, Salem, OR 97301 No No Yes |
| Lapour, Ryan W. 12/31/15 12/31/15 Image: state sta | |
| Tibolt, Robert Earl 12/31/15 Image: Constraint of the state of th | |
| Nolan, Raymond P. 12/31/15 16+ 06/13 503-864-3057 503- 435-6445 2700 SE STRATUS AV ROOM 202, McMinnville, OR 97128 Yes No No No Pitchford, Leslie 02/29/16 16+ 06/13 (b) (6) Salem, OR 97301 No No No | |
| WILLAMETTE VALLEY MED CTR OR 97128 Pitchford, Leslie 02/29/16 16+ 06/13 (b) (6) Salem, OR 97301 No No No | |
| Pitchford, Leslie 02/29/16 16+ 06/13 (b) (6) Salem, OR 97301 No No No | |
| | |
| | |
| | |
| | |
| Krishnamurthy, Barath 12/31/15 875 Oak St. SE, STE 3060, Salem, OR 97 | 875 Oak St. SE, STE 3060, Salem, OR 97 |
| Leichman, Joshua G. 12/31/15 | |
| McManama, Gerald P. 12/31/15 | |
| Roseborough, Glen S. 12/31/15 Stiles, William 12/31/15 | |
| Stiles, winnam 12/31/15 Thompson, Kevin Harris 12/31/15 | |
| Wasenmiller, James E 12/31/15 | |
| | L6+ 06/13 503 561-5976 503 561-4912 3624 RIVER ROAD N, Keizer, OR 97303 No No Yes |
| Blake, Eric 12/31/15 | |
| Brumbaugh, Raymond 12/31/15 | |
| French, John A. 12/31/15 | |
| Hook, James D. 12/31/15 | |
| Truong, Dung Xuan Steven 12/31/15 | |
| | |
| | |
| Behavioral Health Clinic-Newberg 2250 D STREET, Salem, OR 97301 | |
| Mid Valley Counseling CTR-Salem | |
| | |
| Allan, Joseph 12/31/15 No HINT/Cochlear | All 06/13 503- 581-1567 503- 399-1229 3099 RIVER RD S, Salem, Or 97302 No No Yes |
| Donovan, John Stephen 12/31/15 | |
| Johnson, Bruce Clark 12/31/15 | |

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<mark>Eugene</mark> Metro Area

| Eugene | MSK MDSI | <u>NEURO</u> MDSI | <u>INT/Derm</u> MDSi | CARDIO MDSI | X-Ray/Lab Peace Health Sacred Heart | <u>Vasc/Pulm</u> XXX | OPTH Kent | PEDIATRIC CDRC | AUD – OTO -Eugene Hearing & Speech does ENG | <u>SLP</u> CDRC | Devel. CDRC | Belcher Beickel CDRC, Roman McConochie Northway Powers Prescott Vista Coun |
|-------------|-------------|----------------------|-------------------------|----------------|---|-------------------------|--------------|-------------------|---|--------------------|----------------|---|
| Florence | <u>MSK</u> | <u>NEURO</u> | INT/Derm | <u>CARDIO</u> | X-Ray/Lab | Vasc/Pulm | <u>OPTH</u> | PEDIATRIC | <u>AUD – OTO</u> | <u>SLP</u> | <u>Devel.</u> | <u>PSY</u> |
| | XXX | XXX | XXX | XXX | Peace Harbor | XXX | XXX | XXX | XXX | XXX | XXX | XXX |
| Springfield | <u>MSK</u> | <u>NEURO</u> | INT/Derm | <u>CARDIO</u> | <mark>X-Ray/Lab</mark> | Vasc/Pulm | <u>OPTH</u> | PEDIATRIC | <u>AUD – OTO</u> | <u>SLP</u> | Devel. | <u>PSY</u> |
| | XXX | XXX | XXX | XXX | <mark>McKenzie</mark> | XXX | XXX | XXX | XXX | XXX | XXX | XXX |

| 1 Provider | 2 License | 3 Renew | Age | 5 Visit | 6 Phone | 7 Fax | 8 Address | Bloc | ERE | ADA |
|--|-----------|----------|-----|---------|--------------|--------------|--|------|-----|-----|
| | | | 4 | | | | | 9 | 10 | 11 |
| Beickel, Sharon | (h) (c) | 03/31/15 | 18+ | 06/12 | (b) (6) | | (b) (6) Eugene, Or 97403 | No | No | Yes |
| Belcher, Paula M. | (D) (D) | 06/30/15 | 18+ | 06/12 | (b) (6) | | 975 WILLAGILLESPIE STE 200, Eugene 97401 | Yes | EOR | Yes |
| Child Developmental & Rehabilitative CTR | | | 12- | 06/12 | 541-346-2608 | 541-346-5844 | 901 E 18 [™] ST, Eugene, OR 97207 | No | No | Yes |
| Carroll, Kyra | | 01/30/16 | | | | | | | | |
| Eisert, Debra | | 11/30/14 | | | | | Toolie Cable is SSI CE Scheduler. 541-346-0738 | | | |
| Harden, Barbara | | 01/30/16 | | | | | | | | |
| Nickel, Robert E. | | 12/31/15 | | | | | | | | |
| Petersen, Mario C. | | 12/31/15 | | | | | | | | |
| Phelps, Randall Alan | | 12/31/15 | | | | | | | | |
| Powers, Peter A. | | 07/31/16 | | | | | | | | |
| Smith, Regan B. (WPPSI/Bayley) | | 11/30/15 | | | | | | | | |
| Watson-Stites, Elizabeth | | 02/28/15 | | | | | | | | |
| Eugene Hearing and Speech CTR: | | | 7+ | 06/12 | 541-485-8521 | 541-485-6159 | 1500 W 12 th , Eugene, OR 97402 | No | No | Yes |
| Grimwood, Kathy | | 01/30/16 | | | 541-515-5399 | | | | | |
| Succo, Peter, J | | 01/30/16 | | | | | | | | |
| Teehan, April E | | 01/30/16 | | | | | | | | |
| Yarnell, Lindsey M | | 01/30/16 | | | | <u> </u> | | | | |
| Grenz-Neb, Melaney | | 01/30/16 | N/A | N/A | (b) (6) | | We do not use in Eugene. | Yes | ERE | Yes |

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| Hospital: Peace Health Sacred Heart MED | (h) (G) | N/A | All | TBD | 541-686-7300 | | 1255 Hilyard ST, Eugene OR 97401 | No | No | Yes |
|--|-------------------------------|----------|-----|-------|--------------|--------------|---|------------------|-----|-----|
| Hospital: McKenzie Willamette MED CTR | (\mathbf{U}) (\mathbf{U}) | N/A | All | TBD | 541-726-4429 | | 1460 G ST, Springfield, OR 97477 | No | No | Yes |
| Hospital: Peace Harbor | | N/A | All | TBD | 541-997-8204 | | 400 9 th ST, Florence, OR 97439 | No | No | Yes |
| Karren, Kent A. The Eye CTR 541-434-0924 | | 12/31/15 | 7+ | 06/12 | 541-683-2020 | 541-683 1509 | 1550 OAK STREET, <u>STE</u> 3, Florence, OR 97401 | No | No | Yes |
| McConochie, William Emotional Ed. Serv. | | 05/31/16 | 16+ | 06/12 | 541-686-9934 | 541-485-5702 | 71 E 15TH AVE, Eugene, OR 97401 | Yes | EOR | Yes |
| MDSI: Eugene | | See MDSI | 16+ | 06/12 | 800 548-9092 | 888-800-5900 | 1400 EXECUTIVE PARKWAY STE 425, Eugene, OR 97401 | <mark>Yes</mark> | EOR | Yes |
| Vista Counseling: | | | 16+ | 06/12 | 541-517-9733 | 866-317-2599 | 1531 Pearl ST, Eugene, OR 97401 | No | ERE | Yes |
| Metheny, Jenifer | | 01/31/16 | | | | | | | | |
| Scott, Ryan, PhD | | 12/31/15 | | | | | | | | |
| <u>Northway</u> , David | | 12/31/14 | 16+ | 06/12 | (b) (6) | | (b) (6) Eugene, OR 97401 | No | EOR | Yes |
| Oregon Imaging CTR | | N/A | All | TBD | | | 3003 WILLAMETTE S, Eugene, OR 97405 | No | No | Yes |
| Prescott, Alison | | 12/31/14 | 5+ | 06/12 | (b) (6) | | 1400 HIGH ST STE C1, Eugene, OR 97401 | No | ERE | Yes |
| Roman, Pamela | | 06/30/15 | 12+ | 06/12 | (b) (6) | | 1200 HILYARD STE 330, Eugene, OR 97401 | Yes | EOR | Yes |

Northern Coast & Washington

| Astoria | <u>MSK</u> | NEURO | INT/Derm | CARDIO | X-Rays/Labs | Vasc/Pulm | <u>OPTH</u> | PEDIATRIC | <u> AUD – OTO</u> | <u>SLP</u> | Develop. | PSY |
|--------------|----------------------|-------------------------|----------------|----------------------|-------------------------|----------------------|-------------|-----------|-------------------|-----------------------|------------------|-----------------|
| | <mark>Voeller</mark> | Voeller | Voeller | <mark>Voeller</mark> | <mark>Voeller</mark> | <mark>Voeller</mark> | XXX | XXX | XXX | <mark>Newenhof</mark> | XXX | Bee, Birney |
| Gearhart | <u>MSK</u> | <u>NEURO</u> | INT/Derm | CARDIO | X-Rays/Labs | Vasc/Pulm | <u>OPTH</u> | PEDIATRIC | <u>AUD – OTO</u> | <u>SLP</u> | <u>Develop</u> . | <u>PSY</u> |
| | Vanderwaal | <mark>Vanderwaal</mark> | Vanderwaal | Vanderwaal | <mark>Vanderwaal</mark> | XXX | XXX | XXX | XXX | XXX | XXX | XXX |
| Kelso | <u>MSK</u> | <u>NEURO</u> | INT/Derm | <u>CARDIO</u> | X-Rays/Labs | Vasc/Pulm | <u>OPTH</u> | PEDIATRIC | <u>AUD – OTO</u> | <u>SLP</u> | Develop. | <u>PSY</u> |
| | XXX | XXX | XXX | XXX | <mark>Longview</mark> | XXX | XXX | XXX | XXX | XXX | XXX | XXX |
| | | | | | Radiologists | | | | | | | |
| Longview, WA | <u>MSK</u> | NEURO | INT/Derm | CARDIO | X-Rays/Labs | Vasc/Pulm | <u>OPTH</u> | PEDIATRIC | <u>AUD – OTO</u> | <u>SLP</u> | <u>Develop</u> . | PSY |
| 0 , | <mark>MDSI</mark> | MDSI | MDSI | <mark>MDSI</mark> | <mark>MDSI</mark> | XXX | XXX | XXX | XXX | XXX | XXX | NW PSY |
| Wheeler | <u>MSK</u> | <u>NEURO</u> | INT/Derm | <u>CARDIO</u> | X-Rays/Labs | Vasc/Pulm | <u>OPTH</u> | PEDIATRIC | <u>AUD – OTO</u> | <u>SLP</u> | <u>Develop</u> . | PSY |
| | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | Starbird |

| 1 Provider | 2 License | 3 Renew | Age | 5 Visit | 6 Phone | 7 Fax | 8 Address | Bloc | ERE | ADA |
|---|-------------------------------|----------|-----|---------|--------------|--------------|--|------------------|-----|-----|
| | | | 4 | | | | | 9 | 10 | 11 |
| Bee, Heather A. | (h) (c) | 12/31/14 | 18+ | 03/12 | (b) (6) | | 818 COMMERCIAL ST STE 309, Astoria, OR | No | ERE | Yes |
| | (\mathbf{D}) (\mathbf{O}) | | | | | | 97103 | | | |
| Birney, Daryl | | 11/30/14 | 6+ | 03/12 | (b) (6) | | 20 Basin ST, STE 208, Astoria, OR 97103 | No | ERE | Yes |
| Hospital: Columbia Memorial | | N/A | All | TBD | 503-325-4321 | | 2111 EXCHANGE ST, Astoria OR 97103 | No | No | Yes |
| Longview Radiologists | | N/A | All | N/A | 360-425-5131 | | 700 Lincoln ST, STE 100, Kelso, WA 98626 | No | No | Yes |
| MDSI: Longview Family Chiropractic Care | | See MDSI | 16+ | N/A | | | 1815 Hudson ST, Longview , WA 98632 | <mark>Yes</mark> | EOR | Yes |
| Newenhof, Beth K. Reha Dep Columbia Me | | 01/30/16 | All | 03/12 | 503-338-7555 | 503-338-7557 | 2265 EXCHANGE ST, Astoria, OR 97103 | No | No | Yes |
| <u>NW PSY Resources</u> : (b) (6) | | | 18+ | N/A | 360-414-8600 | 360-636-7372 | 945 11TH AV STE B, Longview, WA 98632 | No | No | Yes |
| Adams, Brian, PhD | | 02/08/17 | | | | | | | | |
| Biss, Wendy J, PhD | | 05/29/17 | | | | | | | | |
| Bolyard, Loretta, PhD | | 03/13/17 | | | | | | | | |
| Hurst, Randy, PhD | | 09/07/15 | | | | | | | | |
| Iredale, Trudy, PhD | | 03/17/16 | | | | | | | | |
| Johnson, Jan G, PhD | | 01/18/15 | | | | | | | | |
| Meharg, Stephen | | 04/25/17 | | | | | | | | |
| Quisenberry, Clinton E | | 10/02/16 | | | | | | | | |
| Starbird, Jane (b) (6) | | 01/31/16 | 18+ | 03/12 | (b) (6) | | 278 ROWE STREET, <u>RM 200</u> , Wheeler, OR | Yes | EOR | Yes |

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| Nehalem Bay Health District | (h) (G) | | | | | | 97147 | | | |
|---|---------|----------|-----|-------|--------------|--------------|-------------------------------------|----|----|-----|
| Vanderwaal, Steven, C | | 12/31/15 | 18+ | 03/12 | (b) (6) | | 3619 HWY 101 N, Gearhart, OR 97138 | No | No | Yes |
| Voeller, Paul F. Astoria Medical Services | | 12/31/15 | 18+ | 03/12 | 503-325-3661 | 503-325-0907 | 2200 Exchange ST, Astoria, OR 97103 | No | No | Yes |

Portland Metro Area

| Beaverton | <u>MSK</u> XXX | <u>NEURO</u> XXX | INTERNIST XXX | <u>CARDIO</u> XXX | <u>X-Ray/Lab</u> XXX | Vasc/Pulm XXX | <u>OPTH</u> XXX | PEDIATRIC XXX | <u>AUD – OTO</u> XXX | SLP XXX | <u>Develop</u> . New Horizons | PSY Ethel, Goodale Hang, Leland Patrick, Wicher Brischetto Cogburn |
|--------------|--|---|---|--|---|---|--|---|--|---|-------------------------------------|---|
| Clackamas | <u>MSK</u> XXX | <u>NEURO</u> XXX | INTERNIST XXX | <u>CARDIO</u> XXX | <u>X-Ray/Lab</u> XXX | <u>Vasc/Pulm</u> XXX | <u>OPTH</u> XXX | <u>PEDIATRIC</u> XXX | <u>AUD – OTO</u> XXX | <u>SLP</u> XXX | <u>Develop</u> . XXX | <u>PSY</u> Mours, Wicher <mark>*</mark> Kaper |
| Forest Grove | <u>MSK</u> XXX | NEURO XXX | INTERNIST XXX | CARDIO XXX | X-Ray/Lab | <u>Vasc/Pulm</u> XXX | OPTH XXX | PEDIATRIC XXX | AUD – OTO XXX | <u>SLP</u> XXX | <u>Develop</u> . XXX | <mark>PSY</mark> Gibby |
| Gresham | <u>MSK</u> XXX | <u>NEURO</u> XXX | <u>INTERNIST</u> XXX | <u>CARDIO</u> XXX | <mark>X-Ray/Lab</mark> Legacy MT Hood | <u>Vasc/Pulm</u> XXX | <u>OPTH</u> XXX | PEDIATRIC XXX | <u>AUD – OTO</u> XXX | <u>SLP</u> XXX | <u>Develop</u> . XXX | <u>PSY</u> Adler, Huggins Tongue |
| Hillsboro | <u>MSK</u> XXX | <u>NEURO</u> XXX | INTERNIST XXX | <u>CARDIO</u> XXX | <u>X-Ray/Lab</u> XXX | <u>Vasc/Pulm</u> XXX | <mark>OPTH</mark> Hillsboro Eye | PEDIATRIC XXX | <u>AUD – OTO</u> XXX | <u>SLP</u> XXX | <u>Develop</u> . XXX | PSY Stuckey, Wicher <mark>*</mark> |
| Lake Oswego | <u>MSK</u> XXX | <u>NEURO</u> XXX | INTERNIST XXX | <u>CARDIO</u> XXX | <u>X-Ray/Lab</u> XXX | <u>Vasc/Pulm</u> XXX | OPTH XXX | PEDIATRIC XXX | <u>AUD – OTO</u> XXX | <u>SLP</u> XXX | <u>Develop</u> . XXX | <mark>PSY</mark> Doppelt |
| Milwaukie | <u>MSK</u> XXX | <u>NEURO</u> XXX | <u>INTERNIST</u> XXX | <u>CARDIO</u> XXX | <u>X-Ray/Lab</u> Prov. Milwaukie | <u>Vasc/Pulm</u> XXX | <u>OPTH</u> XXX | <u>PEDIATRIC</u> XXX | <u>AUD – OTO</u> XXX | <u>SLP</u> XXX | <u>Develop</u> . XXX | <u>PSY</u> Johnston |
| OR City | <u>MSK</u> XXX | <u>NEURO</u> XXX | <u>INTERNIST</u> XXX | <u>CARDIO</u> XXX | <u>X-Ray/Lab</u> Prov. Willamette | <u>Vasc/Pulm</u> XXX | <u>OPTH</u> XXX | PEDIATRIC XXX | <u>AUD – OTO</u> XXX | <u>SLP</u> XXX | <u>Develop</u> . XXX | <u>PSY</u> Johnston |
| Portland | <u>MSK</u> Ellison MDSI Ogisu Radecki Webster | NEURO Ellison MDSi Ogisu Radecki Webster | INTERNIST Ellison MDSI Ogisu Radecki Webster | CARDIO Borden MDSi Radecki Webster | X-Ray/Lab | <mark>Vasc/Pulm</mark> Brodeur Borden | OPTH -Weleber -Overton -Eye Health -Overton -OHSU | PEDIATRIC CDRC Janselewitz Webster | AUD - OTO Gilmer OR Clinic OHSU Cochlear Test <u>Only</u> | <u>SLP</u> Aguilera Botwinick CDRC -Grenz- Neb | <u>Develop</u> . CDRC | <u>PSY</u> Adams, Sher Calkins, Cole Dooley, Miner Gonzalez, Gostnell <mark>e</mark> |

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| | | | | | | | <mark>Casey Eye</mark> | | Legacy Good Sam Vestibular Dept. does <u>ENG</u> Testing | | | Grief, Wolf McKenna, MDSI Sacks, Solomon Starbird Wicher, Wilcox Wong, Miner |
|-----------|------------|--------------|------------------|---------------|-----------------------|-----------|------------------------|-----------|--|------------|----------|---|
| Tigard | <u>MSK</u> | <u>NEURO</u> | INTERNIST | <u>CARDIO</u> | X-Ray/Lab | Vasc/Pulm | <u>OPTH</u> | PEDIATRIC | <u>AUD – OTO</u> | <u>SLP</u> | Develop. | <u>PSY</u> |
| | Hairs | Hairs | Hairs | Hairs | XXX | XXX | XXX | XXX | XXX | XXX | XXX | Scharf |
| Tualatin | <u>MSK</u> | <u>NEURO</u> | INTERNIST | <u>CARDIO</u> | X-Ray/Lab | Vasc/Pulm | <u>OPTH</u> | PEDIATRIC | <u>AUD – OTO</u> | <u>SLP</u> | Develop. | PSY |
| | XXX | XXX | XXX | XXX | <mark>Legacy</mark> | XXX | XXX | XXX | XXX | XXX | XXX | Kaper |
| | | | | | <mark>Meridian</mark> | | | | | | | |
| Vancouver | <u>MSK</u> | <u>NEURO</u> | INTERNIST | <u>CARDIO</u> | X-Ray/Lab | Vasc/Pulm | <u>OPTH</u> | PEDIATRIC | <u>AUD – OTO</u> | <u>SLP</u> | Develop. | PSY |
| | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | XXX | Dooley |

<u>Northwest (Metro</u>)PDX

| 1 Provider | 2 License | 3 Renew | Age | 5 Visit | 6 Phone | 7 Fax | 8 Address | Bloc | ERE | ADA |
|---|-------------------------------|-----------------------|-----|---------|--------------|--------------|--|------|-----|-----|
| | | | 4 | | | | | 9 | 10 | 11 |
| Brischetto, Cheryl S. | (h) (c) | 08/31/15 | 16+ | 10/11 | (b) (6) | | 1815 SW MARLOW STE 110, 97225 | Yes | No | Yes |
| Cogburn, Robinann | (\mathbf{D}) (\mathbf{O}) | 04/30/15 | 18+ | TBD | (b) (6) | | 1675 SW Marlow Ave, STE 210C, PDX, 97225 | No | EOR | Yes |
| Doppelt, Lee H. | | 03/31/16 | All | TBD | (b) (6) | | 4550 SW Kruse Way, STE 340, Lake Oswego, OR 97035 | No | No | Yes |
| Gibby-Smith, Barbara | | 12/31/15 | 14+ | 05/11 | (b) (6) | | 1911 MT VIEW LN STE 500, 97116 | No | No | Yes |
| Harris, James E. | | 12/31/15 | 16+ | 09/14 | (b) (6) | | 9370 SW GREENBURG RD STE 101, 97223 | Yes | ERE | Yes |
| HILLSBORO EYE CLINIC PC: | | | 7+ | 05/11 | 503-648-5484 | 503-693-0441 | 512 E MAIN ST, Hillsboro, OR 97123 | No | No | Yes |
| Goins, Chad R. P 503-640-3708 | | 12/31/15 | | | | | 18650 NW Cornell Rd, STE 112, Hillsboro, OR | | | |
| Lumaco, Darrell R. 503-640-3708 | | 12/31/15 | | | | | 97123 | | | |
| Scott, Garrett R | | 12/31/15 | | | | | | | | |
| Hospital: Legacy Meridian Park | | N/A | All | TBD | | | _19600 SW 65 th AVE, Tualatin, OR 970 <u>62</u> | No | No | Yes |
| Kaper, Scott F. | | 02/28/15 | 16+ | 08/13 | (b) (6) | | (b) (6) Tualatin, OR 97062 | No | EOR | Yes |
| New Horizons Wellness Service: OM Kristin | | | 6+ | 05/11 | 503-352-0240 | 503-352-0342 | 9400 SW Beaverton Hillsdale Hwy, STE 210, | No | No | Yes |
| Ethel-King, Patrick | | <mark>07/31/14</mark> | | | | | Beaverton, OR 97 | | | 1 |
| Ethel-King, Melodie | | 05/31/16 | | | | | | | | |
| Culligan, Tiffaney | | 01/30/16 | | | | | | | | 1 |
| Strode, Margaret | | 05/31/16 | | | | | | | | |
| NWOMC, NW Occu. Med CTR: | | | 18+ | 05/11 | 503-684-7246 | 503-624-0724 | 9400 SW BEAV-HILLSDALE HY STE 205, | No | No | Yes |
| Goodale, Kimberly | | 06/30/16 | | | | | Beaverton, OR, 97 | | | 1 |
| Leland, Michael | | 11/30/15 | | | | | | | | |
| Patrick, Luke WILDWOOD PSY RESOURCE | | 1/31/16 | 16+ | 5/11 | | | 16110 SW Regatta Lane, Beaverton, OR 97006 | No | No | Yes |
| Scharf, Daniel L | | 02/29/16 | 4+ | 09/14 | (b) (6) | | 10260 SW GREENBURG RD STE 400 97223 | No | ERE | Yes |
| <u>Stuckey</u> , Marc | | 04/30/16 | 6+ | 05/11 | (b) (6) | | 328 W MAIN ST STE A100, Beaverton, 97123 | No | No | Yes |
| Wicher, Donna C. | | 02/28/15 | 18+ | 10/11 | (b) (6) | | 1865 NW 169TH PL STE 201, Beaverton, 97006 | Yes | EOR | Yes |
| Executive Suites | | | | | | | 4660 NE BELKNAP CT STE 101, Hillsboro, 97124 | | | |

Northwest Portland

| 1 Provider | 2 License | 3 Renew | Age | 5 Visit | 6 Phone | 7 Fax | 8 Address | Bloc | ERE | ADA |
|-------------------------------------|-------------------------------|----------|-----|---------|---------------|---------------|---|------------------|-----|-----|
| | | | 4 | | | | | 9 | 10 | 11 |
| Borden, James P. OR WELLNESS CLINIC | (h) | 12/31/15 | 16+ | 07/12 | 503- 241-9593 | 503- 226-3539 | 2222 NW LOVEJOY, STE 422, Portland, 97210 | No | No | Yes |
| Grenz-Neb, Melaney Marshall BLDG | (\mathbf{D}) (\mathbf{O}) | 01/30/16 | All | 07/12 | 503-910-2632 | 541-998-1025 | 2455 NW MARSHALL, STE 6, Portland OR 97209 | Yes | ERE | Yes |
| Grief, Elaine | | 12/31/15 | 16+ | 07/12 | (b) (6) | | 2525 NW Lovejoy Street, STE 403, Portland, OR | Yes | No | Yes |
| | | | | | | | 97210 | | | |
| Hospital: Good Samaritan | | N/A | All | TBD | 503 229-7127 | | 1015 NW 22 ND , Portland, OR 97210 | No | No | Yes |
| Legacy Good Sam MED CTR: | | | All | TBD | 503-413-8154 | 503-413-6944 | Contact Ken Shotwell | No | No | Yes |
| Doucette, Susan M | | 01/30/16 | | | | | 1040 NW 22nd AVE, Portland, OR 97210 | | | |
| Kniebuehler, Kimberly S | | 01/30/16 | | | | | BLG 2, Suite 460 | | | |
| Reeder, Susan M | | 01/30/16 | | | | | | | | |
| Leinenbach, Derek J. | | 12/31/14 | 16+ | TBD | (b) (6) | | (b) (6) Portland, OR 97209 | Yes | EOR | Yes |
| Ogisu, Tatsuro Marshall BLDG | | 12/31/15 | 16+ | 07/12 | (b) (6) | | 2455 NW MARSHALL STE 6, Portland, OR 97209 | <mark>Yes</mark> | EOR | Yes |
| Sacks, Gary BAXTER BLDG | | 05/31/16 | 3+ | 07/12 | (b) (6) | | 2301 NW THURMAN STE B, Portland, OR 97210 | Yes | EOR | Yes |
| Webster, Kim B. (b) (6) | | 12/31/15 | 16+ | 07/12 | 503- 973-5545 | 503-973-5541 | 2525 NW LOVEJOY, STE 408, Portland, OR | <mark>Yes</mark> | EOR | Yes |
| Rejuvenation Med Clinic | | | | | 800 388-2775 | | 97210 | | | |

Northeast (Metro)PDX

| 1 Provider | 2 License | 3 Renew | Age | 5 Visit | 6 Phone | 7 Fax | 8 Address | Bloc | ERE | ADA |
|---|-----------|----------|-----|---------|---------------------------|--------------|--|------------------|-----|-----|
| | | | 4 | | | | | | 10 | 11 |
| Adler, John | (b) (6) | 06/30/16 | 4+ | 08/13 | (b) (6) | | 510 NE ROBERTS AVE STE <u>330</u> , Gresham, OR 97030 | <mark>Yes</mark> | No | Yes |
| Hospital: Legacy MT Hood MED CTR | | N/A | All | TBD | 503-674-1234 | | 24800 SE STARK, Gresham, OR 97030 | No | No | Yes |
| <u>Hospital</u> : Prov. Milwaukie Rad Spec NW | | N/A | All | TBD | 503-513-8350 | 503-513-8463 | 10150 SE 32 ND AVE, Milwaukie, OR 97222 | No | No | Yes |
| Hospital: Prov. Willamette Falls Rad Sp NW | | N/A | All | TDB | 503-657-6918 | 503-650-6318 | 1500 DIVISION ST, Oregon City, OR 97045 | No | No | Yes |
| Huggins, Stephen M. | | 07/31/15 | 12+ | 08/13 | (b) (6) | | (b) (6) , Gresham, OR 97030 | No | No | Yes |
| Johnston, Shawn A. | | 05/31/16 | 14+ | 08/13 | (b) (6) (b) (6) | | 704 MAIN STREET STE <u>305</u> -3, Oregon City, OR, 97045 | No | No | Yes |
| Kaper, Scott F. | | 02/28/15 | 16+ | 08/13 | (b) (6) | | 10121 SE SUNNYSIDE RD ST <u>300</u> , Clacka, 97015 | No | EOR | Yes |
| Mours, James (b) (6) | | 05/31/16 | 16+ | 08/13 | (b) (6) | | 10121 SE Sunnyside RD, STE <u>300</u> , Clacka, 97015 | No | EOR | Yes |
| Tongue, Christopher K | | 05/31/16 | 16+ | 08/13 | (b) (6) | | (b) (6) , Gresham, OR 97030 | No | ERE | Yes |
| Wicher, Donna | | 02/28/15 | 18+ | 08/13 | 503- <mark>(b) (6)</mark> | | 10121 SE SUNNYSIDE RD ST <u>300</u> , Clacka, 97015 | Yes | EOR | Yes |

Southwest Portland

| 1 Provider | 2 License | 3 Renew | Age | 5 Visit | 6 Phone | 7 Fax | 8 Address | Bloc | ERE | ADA |
|------------------------------------|-------------------------------|----------|-----|---------|--------------|---------------|---|------|-----|-----|
| | | | 4 | | | | | 9 | 10 | 11 |
| Gonzalez, Sandra M. Mayer Building | (h) (G) | 06/30/15 | 18+ | 07/12 | (b) (6) | | 1130 SW MORRISON ST STE <u>414</u> , PDX, 97205 | No | No | Yes |
| Hospital: Providence St Vincent | (\mathbf{U}) (\mathbf{U}) | N/A | All | TBD | 503 216-2171 | 503-216-2168 | 9205 SW BARNES RD, Portland, OR 97225 | No | No | Yes |
| OHSU / CDRC Doernbecher: | | | 5 - | 02/12 | 503-494-8086 | 503- 494-4447 | 700 SW CAMPUS DR 7 TH FL, Portland, OR 97201 | No | No | Yes |
| Blasco, Peter | | 12/31/15 | | | | | | | | |
| Ganz, Candace K. | | 01/30/16 | | | | | | | | |
| Hoffer, Kathleen R. | | 01/30/16 | | | | | | | | |
| Janzen, Darren | | 07/31/16 | | | | | | | | |
| OHSU Cochlear Implant Program: | | | 7+ | 02/12 | 503-494-5171 | 503-346-6826 | 3181 SW SAM JACKSON PARK RD STE 250, | No | No | Yes |
| Becker, Jillian J. | | 01/30/16 | | | | | Portland, Or 97 | | | |

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| Fowler, Jennifer Lakin, Carrie, E, | (b) (6) | 01/30/16 01/30/16 | | | | | | | | |
|--|---------|----------------------|-----|-------|---------------|---------------|---|----|----|-----|
| OR SCOTTISH RITE CLINIC/Kid Talk OR: | | | 5 - | 07/11 | 503- 226-1048 | 503- 226-1049 | 5125 SW MACADAM AV, STE 200, Portland OR, | No | No | Yes |
| Aguilera, Karen | | 01/30/16 | | | 877-226-1048 | | 97239 | | | |
| Botwinick, Andrea | | 01/30/16 | | | | | Clinic Contact is Rachel | | | |
| Overton, Scott H. Pacific University OR PDX Family Vision | | 03/31/15 | 7+ | 07/12 | 503-352-2502 | 503-352-2523 | 511 SW 10 TH AVE STE <u>500</u> , Portland, OR 97205 | No | No | Yes |
| Pennesi, Mark E. OHSU Casey Eye Inst. Dep. Opthomology | | 12/31/15 | 7+ | 02/12 | 503-494-8386 | 503-418-22218 | 3375 SW Terwilliger Blvd. 5 th floor, Portland, OR 97239 | No | No | Yes |
| <u>Sher</u> , Jeffrey, D. | | 12/31/15 | 16+ | 7/12 | (b) (6) | | 1220 SW MORRISON STE 935, Portland OR, 97205 | No | No | Yes |
| Wilcox, Georgia Wilcox & Associates The Water Tower BLDG | | 06/30/16 | 18+ | 07/11 | 503- 452-2797 | 503-413-4898 | 5331 SW MACADAM STE <u>253</u> , Portland, OR 97239 | No | No | Yes |
| Wolf, Solomon | | 12/31/15 | All | 03/12 | (b) (6) | | 3181 SW SAM JACKSON PARK RD, 6 th Floor, Portland, OR 97201 | No | No | Yes |
| Wong-Ngan, Julia The Water Tower BLDG | | 03/31/15 | 16+ | 07/11 | (b) (6) | | 5331 SW MACADAM AVE STE <u>358</u> , Portland, OR 97239 | No | No | Yes |

Northeast Portland

| 1 Provider | 2 License | 3 Renew | Age 4 | 5 Visit | 6 Phone | 7 Fax | 8 Address | Bloc 9 | ERE 10 | ADA 11 |
|--|-----------|----------------------|----------|--------------|---------------|-------------------------------|---|-----------|-----------|-----------|
| Calkins, Roderick P. PORTLAND PSY. CLINIC | (b) (6) | 05/31/16 | 5+ | 08/13 | 503- 288-4558 | 503- 288-4558 | 2154 NE BROADWAY STE 110, Portland, OR 97232 | Yes | EOR | Yes |
| Cole, Gregory A. | | 08/31/16 | 6+ | 08/13 | (b) (6) | | (b) (6) , Portland, OR 97232 | Yes | No | Yes |
| Dooley, Tom M. East Side PSY PDX Obgyn Cascade Executive Suites | | 11/22/14 11/22/16 | 18+ | 08/13 N/A | 360-513-7398 | Same as phone 360-260-9777 | 3324 NE 56 th Ave, Portland OR 97213 237 NE CHKALOV DR, Vancouver, WA 98684 | No | No | Yes |
| Gostnell, David R. | | 11/30/15 | | 08/13 | (b) (6) | | (b) (6) , Portland, OR 97232 | Yes | No | Yes |
| Hospital: Legacy Emanuel | | N/A | All | TDB | 503 413-4032 | 503-413-1143 | 2801 N GANTENBEIN, Portland, OR 97227 | No | No | Yes |
| Hospital: Providence Med CTR Rad Sp. NW | | N/A | All | TDB | 503 215-6079 | 503 215-5445 | 4805 NE GLISAN, Portland, OR 97213 | No | No | Yes |
| Janselewitz, Steve J. LEGACY REHAB Emanuel Pediatrics | | 12/31/15 | | 09/13 | 503-413-4505 | 503- 413-1514 | 2801 N GANTENBEIN AV STE <u>2102</u> , Portland, OR 97227 | No | No | Yes |
| McKenna, Molly C. Saltzer Med Group | | 05/31/16 | 18+ | TBD | 503-740-7015 | 503 493-4007 | 3939 NE HANCOCK ST STE <u>318</u> , Portland, OR 97212 | Yes | No | Yes |
| MDSI: Portland THE LLOYD 700 BLG | | See MDSI | 16+ | 09/13 | 800 548-9092 | 888-800-5900 | 700 NE MULTNOMAH ST, STE <u>210</u> , Portland, OR 97232 | Yes | EOR | Yes |
| Starbird, Jane | | 01/31/16 | 18+ | 08/13 | (b) (6) | | (b) (6) , Portland , OR 97232 | Yes | No | Yes |
| The Oregon Clinic-ENT PLAZA: | | | 7+ | 07/12 | 503- 488-2400 | 503-231-0121 | 5050 NE HOYT, STE 655, Portland, OR 97213 | No | No | Yes |
| Flaming, Michael Boyd | | 12/31/15 | | | | | | | | |
| Pedersen, Andrew D. | | 12/31/15 | | | | | | | | 1 |
| Rufener, Justin B. | | 12/31/15 | | | | | | | | |

Southeast Portland

| 1 Provider | 2 Liconco | 2 Deneur | 100 | 5 Visit | 6 Phone | 7 Fax | Q Address | Bloc | ERE | ADA |
|------------|-----------|----------|-----|---------|---------|-------|-----------|------|-----|-----|
| 1 Provider | 2 License | 3 Renew | Age | 5 VISIL | 6 Phone | / FdX | 8 Address | | | ADA |
| | | | 4 | | | | | 9 | 10 | 11 |
| | | | | | | | | | | |

Updated 10/29/2014 WPL report_2014.doc

| Adams, Wendi | (h) (6) | 05/31/15 | | 07/11 | (b) (6) | _ | 516 SE Morrison ST STE 710, Portland, OR | No | EOR | Yes |
|--------------------------------------|-----------|----------|-----|-------|---------------|---------------|--|------------------|-----|-----|
| | | | | | | | 97214 | | | |
| Hospital: Portland Adventist Med CTR | | N/A | All | TDB | 503 251-6132 | 503-261-6710 | 10123 SE MARKET ST, Portland, OR 97216 | No | No | Yes |
| Ellison, John H. | | 12/31/15 | 10+ | 07/13 | 503- 647-5506 | same as phone | 8332 SE 13 th AVE, Portland, OR 97202 | <mark>Yes</mark> | EOR | Yes |
| Sellwood Medical Clinic | | | | | 503-647-5139 | | | | | |
| Eye Health NW: | | | 7+ | 09/13 | 503-255-2291 | 503- 252-1797 | 10819 SE STARK STE <u>200</u> , Portland, Or 97216 | No | No | Yes |
| Kemp, Jonathon R. | | 12/31/15 | | | | | | | | |
| Kim, Shane K. | | 12/31/15 | | | | | | | | |
| Saulson, Roger M. | | 12/31/15 | | | | | | | | |
| Wicher, Donna | | 02/28/15 | 18+ | 09/13 | (b) (6) | | (b) (6) Portland, OR 97233 | Yes | EOR | Yes |
| Miner, Ann Marie | | 02/29/16 | 18+ | 09/13 | (b) (6) | | 516 SE MORRISON STE 1010, Portland, OR | No | No | Yes |
| | | | | | | | 97214 | | | |

Medical Dental Staffing (MDSI)

| Name: | Specialty | License Number: | Expiration Date: | OIG or Board Sanction(s): |
|--------------------------------|------------------------|-----------------|------------------|---------------------------|
| Adler, John D, PhD | Psychologist | | 06/30/2016 | |
| Branting, Nicholas, MD | Internal Med. | | 12/31/2014 | |
| Breiholz, Rebecca, PhD | Psychologist | | 08/31/2015 | |
| Carey, Christopher W, DO | NBOME | | 12/31/2015 | |
| Dawson, Sarah E, DO | NBOME | | 12/31/2015 | |
| Gil, Richard X, MD | Internal Med | | 12/31/2015 | |
| Gomes, Manuel, PhD | Psychologist | | 02/28/2015 | |
| Griffith-Bauer, Kelly Anne, MD | Internal Med | | 12/31/2015 | |
| Hahn, Suzanne Su-Hiong, MD | General Practice | | 12/31/2015 | |
| Hallenburg, Kris S, PhD | Psychologist | | 12/31/2013 | |
| Harrison, Jonathan H, MD | Radiology | | 12/31/2015 | |
| Heder, James E, MD | Psychiatry | | 12/31/2015 | |
| Khaleeq, Erum, MD | Psychiatry | | 12/31/2015 | |
| Knight, Joshua, MD | Radiology | | 12/31/2014 | |
| Maki, Erik J, MD | Internal Med | | 12/31/2015 | |
| Markus, Brandon M, DO | Dermatology | | 12/31/2015 | |
| Marshal, Andrea, DO | NBOME | | 12/31/2014 | |
| Maughan, Corry B, DO | Dermatology | | 12/31/2015 | |
| Mikes, Heather, MD | Internal Med | | 12/31/2015 | |
| Pavic, Brian T, MD | Internal Med | | 12/31/2015 | |
| Pharaon, Khaled, MD | Surgical Critical Care | | 12/31/2015 | |

| Reichner, Terri E. , MD | Radiology | 12/31/2015 | |
|-------------------------|------------------|------------|--|
| Sally, Mitchell B, MD | General Practice | 12/31/2015 | |
| Singh, Gurdeep, MD | NBOME | 12/31/2014 | |
| Snider, Karla P, DO | NBOME | 12/31/2014 | |
| Stradinger, Kay, PsyD | Psychologist | 09/30/2015 | |
| Thomas, Richard S. MD | NBOME | 12/31/2015 | |
| Walker, Kolby R, DO | Psychiatry | 12/31/2014 | |

NOTICE TO ALL BILLING/MEDICAL RECORDS/INSURANCE DEPARTMENT

DEPARTMENT OF HUMAN SERVICES SENIORS AND PEOPLE WITH DISABILITIES DISABILITY DETERMINATION SERVICES 3150 LANCASTER DRIVE NE SALEM OR 97305-1350 1-800-452-2147 FAX: 1-866-432-9178

The DISABILITY DETERMINATION SERVICES operates under the Department's Fee Ceiling for medical

evidence of record.

All records received after April 1, 2006 are subject to the fee ceiling which is established by

Oregon Administrative Rule 411-200-0010 through 0040

The fee ceiling limits payment to the lesser of the following:

- a> The lowest fee the vendor charges the general public or other state agencies for the service; or
- b> Copied records:
 - 1. Up to \$18.00 for ten (10) or fewer pages, and
 - 2. \$0.25 per page for pages 11 to 20, and
 - 3. \$0.10 per page for pages 21 and greater.
 - 4. Total maximum payment of \$22.50

(PLEASE NOTE: To be paid the per page amounts you must indicate the number of copied pages on your invoice. <u>If you fail to indicate the number, you will be paid no more than \$18.00.</u>)

- 5. Brief Narratives by the Attending Physician will be paid at your normal charge up to a maximum of \$35.00. (Brief Narrative: Summary of treatment to date and current status; if requested, brief answers to 3–5 specific questions. Normally two (2) pages or less.)
- 6. Complete Narratives by the Attending Physician or completion of a seizure / mental status questionnaire will be paid at your normal charge up to a maximum of \$35.00/75.00. (Report covering extended history, treatment and specific discussion of 6 or more areas of special importance. Normally more than two (2) pages.)

REMINDER:

- a. <u>The fee ceiling applies to all records received after 04-01-06.</u>
- b. Your invoice must indicate the number of copies to be paid at the per page amounts.
- c. <u>We will pay a bonus of \$5.00 for records received within 7 days of the request.</u>

REVISED: February 5, 2014

DDS CE Oversight Report

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | 3 |
|---|---|
| State DDS: | Pennsylvania |
| Report Period (Fiscal Year): | FY 2013/2014 |
| Current Date: | 10/23/14 |
| Reporter's Name, Phone number, and title: | Name (b) (6) Phone number (b) (6) |
| | Title Disability Adjudication Program Manager |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

CE Complaints are promptly investigated by Disability Adjudication Program Managers and/or Administrative Officers. Investigations include conversations with the claimant and/or the person filing the complaint, a review of the medical report, and conversation with the consultant and any necessary follow up conversations with third parties. Site visits (both announced and unannounced) are also part of the investigation (if deemed necessary). Written correspondence is sent to both the claimant and provider at the conclusion of the complaint investigation. Copies of complaint investigations are maintained and patterns and trends are tracked.

2. Attach a list of completed onsite reviews of CE providers.

IMA Allentown Clinic 1255 South Cedar Crest Blvd. Suite 1200 Allentown, PA 18103

IMA Altoona Clinic 615 Howard Ave. Suite 105 Altoona, PA 16601

IMA Dubois Clinic 47 West Long Ave. Dubois, PA 15801

IMA Erie Clinic 7200 Peach Street Unit 420 Erie, PA 16509

IMA Mechanicsburg Clinic 120 South Filbert Street Mechanicsburg, PA 17055

IMA Philadelphia Clinic 1930 S. Broad Street Unit 11 Philadelphia, PA 19145

IMA Pittsburgh Clinic 3109 Forbes Ave Pittsburgh, PA 15213

IMA Wilkes-Barre Clinic 150 Welles Street Forty-Fort, PA 18704

IMA Williamsport Clinic 508 West Southern Ave Suite B South Williamsport, PA

3. Attach a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch.

Pennsylvania started the year using a panel of CE providers; however, the process changed in December 2013. A contract was awarded to IMA, and they now perform all consultative exams and ancillary testing. Key providers were identified (per POMS 39545.100), however, moving forward Pennsylvania only has one CE panelist, the IMA Group.

Key Providers billing over \$150,000

Tri-State Occupational Medicine Multiple Office Locations (Carlisle/Easton/Gettysburg/Harrisburg/Lititz/Reading/South Williamsport/State College/ Stroudsburg/York) Dr. Robin Lowey and Associates Multiple Office Locations (Philadelphia/Bala Cynwyd)

Advanced Med Consultants Multiple Office Locations (King of Prussia/Reading/Allentown/Philadelphia/Lebanon/Hazleton/Limerick)

Alpha Omerga Counseling Center Multiple Office Locations (Reading/York/Allentown)

Top Five Providers, not included above, per branch Harrisburg

- Dr. Jonathan Gransee Multiple Office Locations (Lancaster/York/Shillington)
- Dr. Thomas Schwartz Multiple Office Locations (Lancaster/Reading)
- 3. Philadelphia Health Associates Adult Medicine Philadelphia, PA
- 4. Dr. Ely Sapol Philadelphia, PA
- 5. Dr. Charles Johnson Philadelphia, PA

Wilkes-Barre

- Med Plus Disability Evals Multiple Office Locations (Wilkes-Barre/Bloomsburg/Williamsport/Scranton/Sunbury)
- Dr. Daniel Schwarz Multiple Office Locations (Philadelphia/Elkins Park)
- 3. Dr. Lori Hart Philadelphia, PA
- 4. Dr. Horacio Buschiazzo Philadelphia, PA

5. Tabor Adams Internal Medicine Philadelphia, PA

Greensburg

- Nulton Diagnostic and Treatment Center Multiple Office Locations (Johnstown/Somerset/Bedford/Altoona/Ebensburg/Indiana/New Kensington)
- Vocationand and Psychological Consultants Multiple Office Locations (Kittanning/Butler/Monaca/Meadville/Sharon/Oil City/Slippery Rock/New Castle)
- 3. Dr. Glenn Bailey Erie, PA
- Dr. Lanny Detore Multiple Office Locations (Greensburg/Connellsville/Pittsburgh)
- Dr. T David Newman Multiple Office Locations (Pittsburgh/Coraopolis/Washington/Green Tree/Beaver Falls/Mt. Lebanon)

Providers with the primary focus of their practice as evaluation Harrisburg

Advanced Medicine Consultants, Inc. Multiple Office Locations (Allentown/Lebanon/Limerick/King of Prussia/Philadelphia/Reading)

Tri-State Occupational Medicine, Inc. Multiple Office Locations (Carlisle/Easton/Gettysburg/Harrisburg/Lititz/Reading/South Williamsport/State College/ Stroudsburg/York)

Donna Paul, CCC-SLP Towson, MD

Dr. Gina Brelsford Camp Hill, PA

Dr. Christos P. Eleftherios Pottstown, PA

Dr. Anthony Fischetto Reading, PA

Dr. Jonathan M. Gransee Lancaster, PA

Dr. Charles S. Johnson Philadelphia, PA

Dr. Karen Rafferty-Hornung Harrisburg, PA

Dr. Ely Sapol Garnet Valley, PA

Dr. Karen A. Saporito Mullica Hill, NJ

Dr. Thomas Schwartz Philadelphia, PA

Dr. Joseph Wieliczko Exton, PA

Wilkes-Barre

Dr. Nicholas Brink Spring Mills, PA

Dr. Alvin Elinow Philadelphia, PA

Dr. Lori Hart Philadelphia, PA

Dr. Sarah Hasker Allentown, PA

Dr. Karl Hoffman Danville, PA

Dr. Marged Lindner Philadelphia, PA

Dr. Janet Sebes Allentown, PA

Greensburg

Dr. Clarence Anderson Saxonburg, PA

Dr. Thomas Andrews Waynesburg, PA

Dr. Glenn T. Bailey Erie, PA

Dr. Vito J. Dongiovanni Indiana, PA

Dr. Charles J. Kennedy Johnstown, PA

Dr. John Makosy Ebensburg, PA

Dr. Charles L. Morinello Pittsburgh, PA

Dr. T. David Newman Pittsburgh, PA

Dr. Stephen T. Perconte Monroeville, PA

Dr. Glenn W. Thompson Meadville, PA

Dr. Ronald Zelazowski Warren, PA

4. Provide the total number of CE providers on the panel.

One

5. Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs.

Credentials for each provider are reviewed prior to beginning exams and are updated on an annual basis. Applicable exclusion lists and state licensing board status are checked at the time the credentials updates are submitted.

6. Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation.

The contracted CE provider maintains the licenses and credentials of the employees in their medical offices, this is a pass through requirement from the signed CE and Ancillary Testing Contract.

7. Provide a description of any CE/MER fee schedule changes (include a description of any volume medical provider discounts).

Each year, in January, the MER fee schedule changes in accordance with the adjustments by the Secretary of Health 42PaC.S. §§ 6152 and 6155. The maximum allowable fee for medical evidence of record increased to \$27.02 in January, 2014.

In January 2014 Pennsylvania began using a contract for a single medical provider (the IMA Group) to perform consultative exams and ancillary testing. The fees for 2014 were adjusted to reflect the terms of the contract.

8. Upload fee schedules to the MPRO SharePoint site.

Pennsylvania DDS CE/MER Fee Schedule has been uploaded to the Philadelphia Regional folder.

9. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc.

All three branches continue to talk and send information to providers in order to increase the amount of MER received electronically. All three branches continue to participate in SOAR with Field Offices in their areas, and provide training as needed to participants.

Please attach any additional information before submitting this form.



Puerto Rico Disability Determination Services

November 7, 2014

Annual Consultative Examination (CE) Oversight Report for FY 2014

I. PR DDS procedures used to solve various categories complaints

A. Medical Staff, Examiners and Supervisors complaints

1. Delayed Reports:

- PRO contacts Provider by phone, fax or e-mail.
- Provider is inactivated if reports are not received after follow up.
- Provider is inactivated permanently if the situation persists and the pending reports are cancelled.

2. Deficient Reports:

- PRO takes sample of reports from new Providers and from experienced ones.
- PRO provides feedback if the reports reveal deficiencies.
- Non- critical deficiencies are clarified by phone or e-mail.
- The Provider is required to visit DDS for reorientation by DDS Medical Staff and the PRO to correct critical deficiencies.
- The Provider is inactivated permanently if an additional sample shows no improvement.

B. CEMD complaints

1. Difficulties with Electronic Records Express (ERE):

• Not receiving ERE authorizations on time and reports submitted through ERE which are not received by DDS. The situation has improved greatly and there are less ERE issues.

2. Delayed monthly payments:

• PRO provides the information to the medical consultant and recommends obtaining status of their payments by phone, using their voucher number if available. PRO refers situation to Fiscal Unit when necessary.

3. Decreased volume of CE's:

• Experienced and new providers, receives a large volume of CE's, complain about the decrease in the appointments. DDS recruited more providers to reduce the scheduling time. DDS does not guarantee a volume of evaluations to any Provider.

C. Claimant complaints:

- Claimants' complaints are about not being satisfied with the Provider's behavior, short amount of time spent to complete the evaluation and a lack of office conveniences. When a complaint is received, PRO:
 - 1. Requests a written statement from claimant or representative.
 - 2. If deemed necessary performs a survey with other claimants, by sending them a questionnaire requesting details of their experience with that particular Provider.
 - 3. Notifies Provider by letter or an onsite visit.
 - 4. Depending on the nature of the complaint, and the results of the investigation, Provider can be permanently inactivated.
 - 5. If necessary, schedules a CE with other Provider.
 - 6. Documents Provider's file with the results.

II. Onsite visits

| Provider | Specialty | Address |
|---|----------------|----------------------|
| Acevedo, Luis | Orthopedist | Aguadilla |
| Acosta, Melvin | Internist | Arecibo |
| Advanced Radiology | Radiology | Mayaguez |
| Aguada Medical Center | Radiology/Lab. | Aguada |
| Angulo, Alberto | GP | Lares |
| Angulo, Vivian | Internist | Manati |
| Ayala, Ángel | Psychologist | Manati |
| Banuchi, Olga | Psychologist | Isabela |
| Bedard, Valerie | Internist | Ponce |
| Benítez, María | Internist | Carolina |
| Bio Imagenes Médicas | X ray | Mayaguez |
| Biomedico, Lab. | Lab. | Hato Rey |
| Blas, David | Neurologist | Caguas |
| Boria, Zaida | Neurologist | Caguas |
| Caguas X-Ray | X-Rays | Caguas |
| Cajigas, Clinic. Lab. | Lab. | Bayamón |
| Calderón, Roberto | Internist | Santurce |
| Camuñas, Claudia | Neurologist | Hato Rey |
| Caribe, Clinic. Lab. | Lab. | Bayamón |
| Carreras, Reinaldo | Neurologist | Ponce |
| Casiano, Félix | FP | Barceloneta |
| Centro Imagenes Manatí | Radiology | Manatí |
| Centro Radiológico de Caguas | X-Rays | Caguas |
| Centro Radiologico y Sonografico Manati | Radiology | Manati |
| Chardón, Domingo | Pulmonary | Ponce |
| Cintrón, Marcelino | FP | Gurabo |
| Cintrón, Marcelino | FP | Bayamón |
| Colón, Yaleska | Psychologist | Bayamón |
| Correa, José | Psychologist | San Juan/Barceloneta |
| Cortés, Enrique | FP | Humacao |
| Costas, Myrianlee | Psychologist | San Juan |
| Country Club X- Ray | Radiology | Carolina |
| Crespo, Abnel | FP | Hato Rey |
| Cruz, Rolando | Internist | San Juan |
| CT Radiology | X-Rays | Bayamón |
| De Jesús, Augusto | Psychologist | Caguas |

Díaz García Lab. Escalona, Carmen Fernós, Manuel Figueroa, Juan Freytes, Leonell Frontera Colon & Suarez German Chaves X- Ray Gómez, René González Rivera Lab. González, Paloma González, Rogelio Hato Rey X-Ray Hau, Roberto Hospital Episcopal Lab. Hospital Episcopal Radiologist **INSPIRA** Instituto Radiologico de Arecibo Irizarry, Roberto Jiménez, Juan Landestoy, Luis Levitown X-Ray Maldonado, Carlos Maldonado, Carlos Maldonado, Carlos Maldonado, Jesús A. Manatí Lab. Martell, Emelly Martinó, Martin Meléndez, Iván Miranda, Maximino Montalvo, Karinell Morales, Sheika Mubarack, Marisol Mundo, Jorge Mundo, Jorge Noble Diagnostic Imaging Orraca, Neysa Ortega, Hiram Ortiz, Nyrma Ortíz, Winston Pavia Breast Imaging Pérez, Amalyn Pérez, Yarelis

Lab Psychologist Internist Psychologist General Physician Radiology Radiology Internist Lab. Psychologist Pneumologist Radiology GP Lab. Radiology Psychiatry Radiology Psychologist PFS **Family Medicine** X-Rays Internist Internist Psychiatrist Physiatrist Lab Psychologist FM/MK Internist/MK FP GP Psychologist Neurologist Internist/C Internist/C X-Rays Audiologist GP Psychologist Neurologist Radiology Psychologist Internist Caguas

Arecibo Guaynabo Guayama Canovanas Ponce Mayaguez Ponce Caguas Gurabo Trujillo Alto Santurce San Juan Isabela Guayama Guayama Caguas Arecibo Coamo Hato Rey Carolina Toa Alta Bayamón Bayamón Bayamón Humacao Manatí Mayaguez Bayamón Ponce Gurabo San Germán Humacao San Juan Hato Rey San Juan Gurabo Bayamón Aguada Cupey Ponce Hato Rey San Juan

PO Box 71301, San Juan, PR 00924

Physical Therapy Services Ramírez Lab. Rapoport, Allan Ríos, Elfren Ríos, José Ríos, Limary Ríos, Olga V. Riquelme, Rafael Rivera, Franklin Rivera, Luis Rodríguez, Angélica Rodriguez, Edelmiro Rodríguez, Edna Rodríguez, Javier Rodríguez, Sherly Rojas, Luis C. Rosado, Nylma Ross, Jomaira **Ryder Hospital** Sánchez, Rafael Santos, Madeline Sepúlveda, Carmen Servicios Radiológicos Asoc. Suria, Jorge L Suria, Jorge L Suria, Jorge L Toro, Rafael Torres, Fernando Trjuillo, Beatriz Vázquez, Carlos Vazquez, Iván Vega Alta X-Ray Zamora, María Zayas, José

Physiatrist Lab Internist/C Family Medicine Psychiatrist Physiatrist Neurologist Psychologist Psychiatrist Neurologist Psychologist Psychiatrist Psychologist Psychologist Psychologist Psychiatrist Internist Psychologist X-Rays SS Psychiatrist Psychologist X-Rays X-Rays Psychiatrist Psychiatrist FP Internist Psychiatrist GP Psychologist X-Rays Physiatrist Pulmonary

Gurabo Ponce San Juan Dorado Bayamón Caguas Bayamón Isabela Cotto Laurel Bayamón Cidra Caguas Caguas Manati Cupey Rio Piedras Ponce Ponce Humacao San Juan Bayamón Humacao Hato Rey Bayamón Guaynabo San Germán Gurabo Mayaguez Arecibo Ponce Ponce Vega Alta Carolina San Juan

III. Key Providers

- a. Yarelis Perez Rivera Calle Muñoz Rivera #3 Edificio Tiger Caguas PR 00725
- b. Roberto Hau (b) (6) Isabela PR 00662
- c. Dr. Alberto Rodríguez
 Edificio Medical Emporium
 351 Ave. Hostos Suite 212
 Mayaguez, PR 00681
- d. Dra. Nylma Rosado
 Cond. El Señorial
 1326 Calle Salud Suite 307
 Ponce, PR 00717-1689
- e. Jorge L. Suria (b) (6) Guaynabo, PR 00968

IV. Active Providers:

- 1. DDS has agreements with 192 providers.
- 2. Credentials
 - a. Check OIG exclusion list before agreement is signed and annually.
 - b. Request Provider credentials at time of interview or upon facilities inspection.
 - c. Credentials are requested as necessary.

V. Medicals Fee Schedules:

• CE and MER fees have not changed since 2009. MER fee is \$15.00 for Physicians, Psychologists, Hospitals and services provided by the Contractor (HPT).

VI. DDS PRO Activities:

- Recruitment to decrease scheduling time.
- Training to providers to improve quality of CE reports.
- Reminders to submit CE reports on time.

Other activities during FY 14 included:

- 1. Recruitment of Internists, Family Physicians and Psychologists.
- 2. Visits to Medical Institutions to improve the Contractor's records retrieval business process.
- 3. Reviewed CE reports for quality. Discussed CE reports with the Medical Staff to identify trends and take corrective actions.
- 4. Provided training to new employees for CE scheduling, rescheduling and cancellations.
- 5. ERE training to providers and/or their administrative staff and assisted with ERE issues.
- 6. Planned for monthly calendars to coordinate outside visits to providers facilities.
- 7. Participated in meetings with PRO Supervisor.
- 8. Participated in meetings with System Supervisor for Credentials Database.
- 9. Verified all providers' credentials. Sent letters or e-mails to request credentials and follow up for credentials not received.
- 10. Follow up providers with delayed reports.

PR DDS (S43)

| 00008 | PELVIS AP ONLY | PELVIS AP ONLY X RAY | \$ | 25.00 |
|-------|-----------------|--|----|-------|
| 00009 | PELVIS 3 VIEWS | PELVIS & VIEWS (FROG POSITION) X RAY | \$ | 29.00 |
| 00003 | LEFT TIBI &FIBU | LEFT TIBIA & FIBULA X RAY | \$ | 32.00 |
| 00010 | RIBS | RIBS X RAYS | \$ | 28.00 |
| 00011 | SKULL SERIES | SKULL SERIES | \$ | 38.00 |
| 00012 | DORSOLUMBAR | DORSOLUMBAR SPINE AP LATERAL X RAYS | \$ | 33.00 |
| 00013 | DORSAL SPINE | DORSOLOWIDAR SPINE AP LATERAL X RATS | \$ | 25.00 |
| | | | \$ | |
| 00015 | CERVICAL SPINE | CERVICAL SPINE (FOUR VIEWS) X RAYS | \$ | 42.00 |
| 00016 | | | | 32.00 |
| 00017 | LEFT SHOULDER | LEFT SHOULDER X RAY | \$ | 36.00 |
| 00018 | LUMB OBLIQUE VI | LUMBOSACRAL SPINE WITH OBLIQUES VIEWS X RAY | \$ | 49.00 |
| 00019 | L RADIUS & ULN | LEFT RADIUS & ULNA X RAY | \$ | 25.00 |
| 00020 | LEFT HUMERUS | LEFT HUMERUS X RAY | \$ | 36.00 |
| 00021 | LEFT KNEE | | \$ | 32.00 |
| 00022 | LEFT HIP | LEFT HIP (COMPLETE VIEW) X RAY | \$ | 31.00 |
| 00023 | LEFT FEMUR | LEFT FEMUR X RAY | \$ | 29.00 |
| 00024 | LEFT FOOT | LEFT FOOT X RAY | \$ | 32.00 |
| 00025 | LEFT FOREARM | LEFT FOREARM X RAY | \$ | 33.00 |
| 00026 | LEFT HAND | LEFT HAND X RAY | \$ | 32.00 |
| 00027 | LEFT ELBOW | LEFT ELBOW X RAY | \$ | 33.00 |
| 00028 | LEFT CLAVICLE | LEFT CLAVICLE X RAYS | \$ | 20.00 |
| 00029 | LEFT ARM | LEFT ARM X RAY | \$ | 36.00 |
| 00030 | LEFT ANKLE | LEFT ANKLE (TWO VIEWS) X RAYS | \$ | 33.00 |
| 00031 | RIGHT/LEFT ANKL | RIGHT AND LEFT ANKLE X RAYS | \$ | 66.00 |
| 00032 | BOTH ARMS | RIGHT AND LEFT ARM X RAYS | \$ | 72.00 |
| 00033 | BOTH CLAVICLES | RIGHT AND LEFT CLAVICLE X RAYS | \$ | 40.00 |
| 00034 | BOTH ELBOWS | RIGHT AND LEFT ELBOW (AP & LAT) X RAYS | \$ | 66.00 |
| 00035 | BOTH FEET | RIGHT AND LEFT FOOT (COMPLETE) X RAY | \$ | 64.00 |
| 00036 | BOTH FEMUR | RIGHT AND LEFT FEMUR X RAYS | \$ | 58.00 |
| 00037 | BOTH WRISTS | RIGHT AND LEFT WRISTS X RAYS | \$ | 64.00 |
| 00038 | BOTH TIBIA FIBU | RIGHT AND LEFT TIBIA & FIBULA X RAYS | \$ | 64.00 |
| 00039 | BOTH SHOULDERS | RIGHT AND LEFT SHOULDERS X RAYS | \$ | 72.00 |
| 00040 | RADIUS&ULN ARM | RIGHT AND LEFT RADIUS & ULNA (AP & LAT) X RAYS | \$ | 50.00 |
| 00041 | BOTH KNEES | RIGHT AND LEFT KNEE (TWO VIEWS) X RAYS | \$ | 64.00 |
| 00042 | BOTH HUMERUS | RIGHT AND LEFT HUMERUS X RAYS | \$ | 72.00 |
| 00043 | BOTH HIPS | RIGHT AND LEFT HIP (COMPLETE VIEW) X RAYS | \$ | 62.00 |
| 00044 | BOTH HANDS | RIGHT AND LEFT HAND X RAYS | \$ | 64.00 |
| 00045 | BOTH FOREARM | RIGHT AND LEFT FOREARM X RAYS | \$ | 66.00 |
| 00046 | RIGHT WRIST | RIGHT WRIST X RAY | \$ | 32.00 |
| 00047 | RIGHT TIBI & FI | RIGHT TIBIA & FIBULA X RAY | \$ | 32.00 |
| 00048 | RIGHT SHOULDER | RIGHT SHOULDER X RAY | \$ | 36.00 |
| 00049 | RIGHT SCAPULA | RIGHT SCAPULA X RAY | \$ | 17.00 |
| 00050 | R RAD& ULNA ARM | RIGHT RADIUS & ULNA X RAY | \$ | 25.00 |
| 00051 | RIGHT MANDIBLE | RIGHT MANDIBLE X RAY | \$ | 24.00 |
| 00052 | RIGHT KNEE | RIGHT KNEE X RAY | \$ | 32.00 |
| 00053 | RIGHT HUMERUS | RIGHT HUMERUS X RAY | \$ | 36.00 |
| 00054 | RIGHT HIP | RIGHT HIP (COMPLETE VIEW) X RAY | \$ | 31.00 |
| 00054 | RIGHT HAND | RIGHT HAND X RAY | \$ | 32.00 |
| 00055 | RIGHT FOREARM | RIGHT FOREARM X RAY | \$ | 33.00 |
| 00050 | RIGHT FOOT | RIGHT FOOT (COMPLETE) X RAY | \$ | 32.00 |
| 00057 | RIGHT FEMUR | RIGHT FEMUR X RAY | \$ | 29.00 |
| 00058 | RIGHT ELBOW | RIGHT ELBOW (AP & LAT) X RAY | \$ | 33.00 |
| 00033 | | | ې | 33.00 |

| 00060 | RIGHT ARM | RIGHT ARM X RAY | \$ | 36.00 |
|-------|------------------------------------|---|----|-------|
| 00061 | RIGHT ANKLE | RIGHT ANKLE (TWO VIEWS) X RAY | \$ | 33.00 |
| 00062 | RIGHT CLAVICLE | RIGHT CLAVICLE X RAY | \$ | 20.00 |
| 00063 | UPPER GI SERIES | UPPER GI SERIES | \$ | 79.00 |
| 00064 | IVP | INTRAVENOUS PYELOGRAM WITH DYE AND KUB | \$ | 85.00 |
| 00065 | BARIUM ENEMA SI | BARIUM ENEMA (SIMPLE) | \$ | 72.00 |
| 00066 | BARIUM ENEMA | BARIUM ENEMA (WITH AIR) | \$ | 90.00 |
| 00067 | BARIUM SWALLOW | BARIUM SWALLOW | \$ | 51.00 |
| 00068 | RIGHT ELBOW | RIGHT ELBOW X RAY | \$ | 33.00 |
| 00069 | THORACOLUMBAR | THORACOLUMBAR SPINE AP/ LATERAL | \$ | 33.00 |
| 00070 | X RAYS OF RIBS | RIBS X RAYS | \$ | 32.00 |
| 00071 | KUB | KUB (ONE VIEW) | \$ | 18.00 |
| 00072 | SINUSES | SINUSES X RAYS | \$ | 30.00 |
| 00073 | INT AND REPORT | INTERPRETATION AND REPORT OF X RAYS | \$ | 15.00 |
| 00074 | X RAYS OF HEELS | RIGHT HEEL X RAY | \$ | 24.00 |
| 00075 | X RAY LEFT HEEL | LEFT HEEL X RAYS | \$ | 24.00 |
| 00076 | X RAYS R/L HEEL | RIGHT AND LEFT HEEL X RAYS | \$ | 48.00 |
| 00077 | X RAYS MANDIBLE | MANDIBLE (BILATERAL) X RAYS | \$ | 32.00 |
| 00078 | X RAY OF STUMP | LEFT STUMP X RAY | \$ | 19.00 |
| 00079 | X RAYS HEELS | LEFT HEEL X RAYS | \$ | 24.00 |
| 00080 | X RAY OF COCCYX | SACRUM AND COCCYX X RAY | \$ | 18.00 |
| 00081 | X RAYS OF STUMP | RIGHT STUMP X RAYS | \$ | 19.00 |
| 00082 | CHEST PA APICO | CHEST X RAY PA APICO LORDOTIC (ONE VIEW) | \$ | 20.00 |
| 00083 | CHEST PA APICO | CHEST X RAY PA APICO LORDOTIC (TWO VIEWS) | \$ | 26.00 |
| 00084 | MASTOIDS X RAYS | MASTOIDS X RAYS | \$ | 30.00 |
| 00085 | X LEFT SCAPULA | LEFT SCAPULA X RAY | \$ | 17.00 |
| 00086 | STERNUN X RAY | STERNUM X RAY | \$ | 20.00 |
| 00087 | FACIAL BONES | FACIAL BONES | \$ | 36.00 |
| 00088 | ORBITS X RAYS | COMPLETE ORBITS X RAYS | \$ | 36.00 |
| 00089 | RT OR LT ORBITS | ORBITS X RAYS (RIGHT OR LEFT) | \$ | 17.00 |
| 00090 | KNEE TUNNEL VIE | TUNNEL VIEW KNEE X RAY | \$ | 32.00 |
| 00091 | LEFT MANDIBLE | LEFT MANDIBLE X RAY | \$ | 24.00 |
| 00092 | SPOT VIEW | SPOT VIEW OF LEFT UPPER LOBE | \$ | 33.00 |
| 00200 | CBC | CBC COMPLETE | \$ | 11.00 |
| 00200 | HEMATOCRIT | HEMATOCRIT | \$ | 6.00 |
| 00201 | SERUM CREATININ | SERUM CREATININE | \$ | 9.00 |
| 00202 | SED RATE | SEDIMENTATION RATE | \$ | 7.00 |
| 00203 | LATEX TEST | RA-LATEX TEST | \$ | 13.00 |
| 00204 | SERUM ALBUMIN | SERUM ALBUMIN | \$ | 10.00 |
| 00205 | | SERUM ALBUMIN SERUM BILIRUBIN | \$ | 9.00 |
| | SERUM BILIRUBIN SERUM PROTEIN T | TOTAL SERUM PROTEIN WITH A/G RATIO | | 9.00 |
| 00207 | | · · · · · · · · · · · · · · · · · · · | \$ | |
| 00208 | LE CELL PREP. | ILE CELL PREPARATION | Ś | 19.00 |
| 00209 | SMA-12 | SMA-12 | \$ | 27.00 |
| 00210 | SMA 20 | SMA-20 | Ş | 30.00 |
| 00211 | URINE 24 HOURS | URINE 24 HOURS FOR PROTEIN | \$ | 23.00 |
| 00212 | | | Ś | 6.00 |
| 00213 | | | \$ | 4.00 |
| 00214 | PLATELET COUNT | IPLATELET COUNT | \$ | 8.00 |
| 00215 | PROTEIN TOTAL | PROTEIN TOTAL | \$ | 10.00 |
| 00216 | FBS | FBS | \$ | 6.00 |
| 00217 | ANA TEST | ANTINUCLEAR ANTI-BODY TEST | \$ | 34.00 |
| 00218 | LATEX TEST | RA-LATEX TEST QUANTITATIVE | \$ | 13.00 |

| 00219 | HEMOGLOBIN | HEMOGLOBIN AND RED BLOOD CELLS | \$ | 5.00 |
|-------|-----------------|--|-----------------|--------|
| 00220 | PAP SMEAR | PAP SMEAR | \$ | 8.00 |
| 00221 | T-4 | T-4 THYROBINDING INDEX | \$ | 17.00 |
| 00222 | PSA | PSA | \$ | 12.00 |
| 00223 | PARTIAL THROM | PTT (PARTIAL THROMBOPLASTING TEST OR THROMBOFAS) | \$ | 4.00 |
| 00224 | SGOT | SERUM GLUTAMIC OXALOACETIC TRANSAMINASES (AST) | \$ | 10.00 |
| 00225 | SGPT | SERUM GLUTAMIC PYRUVIC TRANSAMINASES (ALT) | \$ | 10.00 |
| 00226 | T-3 | T-3 UPTAKE (THYROBINDING INDEX) | \$ | 15.00 |
| 00227 | T-7 | T-7 | \$ | 7.00 |
| 00228 | PROTHROMBIN TIM | PROTHROMBIN TIME (PT) | \$ | 10.00 |
| 00229 | ACID PHOSPHATAS | ACID PHOSPHATASE | \$ | 22.00 |
| 00230 | Albumin | ALBUMIN GLOBULINE | \$ | 10.00 |
| 00231 | ALKALINE PHOS | ALKALINE PHOSPHATASE | \$ | 6.00 |
| 00232 | SGPT | SERUM GLUTAMIC PYRUVIC TRANSAMINASES (SGPT) | \$ | 10.00 |
| 00233 | AMYLASE | AMYLASE | \$ | 13.00 |
| 00234 | SGOT | SERUM GLUTAMIC OXALOACETIC TRANSAMINASES (SGOT) | \$ | 10.00 |
| 00235 | BILIRUBIN TOTAL | BILIRUBIN TOTAL | \$ | 9.00 |
| 00236 | BUN | BUN | \$ | 6.00 |
| 00237 | 24 HRS CREATINI | 24 HRS CREATININE CLEARANCE AND PROTEIN EXCRETION IN THE URINE | \$ | 24.00 |
| 00238 | CREATININE CLEA | CREATININE CLEARANCE | \$ | 20.00 |
| 00239 | PHENOBARBITAL | PHENOBARBITAL (LUMINAL) ANTICONVULSANT LEVELS | \$ | 50.00 |
| 00240 | TEGRETOL | TEGRETOL ANTICONVULSANT LEVEL | \$ | 50.00 |
| 00241 | ZARONTIN | ZARONTIN ANTICONVULSANT LEVELS | \$ | 60.00 |
| 00242 | LUMINAL | LUMINAL (PHENOBARBITAL) ANTICONVULSANT LEVELS | \$ | 50.00 |
| 00243 | MYSOLIN | MYSOLIN ANTICONVULSANT LEVELS | \$ | 50.00 |
| 00244 | ARTERIAL B G | ARTERIAL BLOOD GASES AT REST | \$ | 75.00 |
| 00245 | A B G EXERCISE | ARTERIAL BLOOD GASES EXERCISE | \$ | 125.00 |
| 00246 | CELONTIN | CELONTIN ANTICONVULSANT LEVELS | \$ | 60.00 |
| 00247 | KLONOPIN | KLONOPIN ANTICONVULSANT LEVELS | \$ | 50.00 |
| 00248 | DEPAKENE | DEPAKENE ANTICONVULSANT LEVELS | \$ | 60.00 |
| 00249 | DILANTIN | DILANTIN ANTICONVULSANT LEVELS | \$ | 50.00 |
| 00250 | DILANTIN PHENOB | DILANTIN AND PHENOBARBITAL | \$ | 65.00 |
| 00251 | SERUM CALCIUM | SERUM CALCIUM | \$ | 7.00 |
| 00252 | LAMICTAL | LAMICTAL ANTICONVULSANT LEVELS | \$ | 41.00 |
| 00253 | KEPPRA | KEPPRA ANTICONVULSANT LEVELS | \$ | 68.00 |
| 00254 | TRILEPTAL | TRILEPTAL (TRILEPTIN, OXRATE, TIMOX, OXCARBAZEPINE) | \$ | 115.00 |
| 00256 | CHOLESTEROL | CHOLESTEROL TOTAL | \$ | 4.00 |
| 00257 | RED BLOOD CELL | RED BLOOD CELL | \$ | 1.50 |
| 00258 | SERUM PROTEIN E | SERUM PROTEIN ELECTROPHORESIS | \$ | 20.00 |
| 00259 | RETIC COUNT | RETICOLOCYTES (RETIC COUNT) | \$ | 3.00 |
| 00260 | SPUTUM CULTURE | SPUTUM CULTURE | \$ | 15.00 |
| 00261 | PSA | PSA | Ś | 30.00 |
| 00262 | NEURONTIN | NEURONTIN ANTICONVULSANT LEVELS | Ś | 100.00 |
| 00263 | LIPASE | LIPASE | Ś | 7.00 |
| 00264 | POTASSIUM | POTASSIUM, SERUM OR OTHER FLUIDS | Ś | 6.00 |
| 00265 | LDH | LDH (LACTIC DEHYDROGENASE) | \$ | 6.00 |
| 00265 | СРК | | \$ | 14.00 |
| 00200 | ALDOLASE | SERUM ALDOLASE | \$ | 10.00 |
| 00207 | MAGNESIUM | MAGNESIUM | \$ | 8.00 |
| 00268 | TSH | TSH | \$ | 17.00 |
| 00209 | INR | INTERNATIONAL NORMALIZED RATIO | ç | 7.00 |
| 00270 | СМР | COMPREHENSIVE METABOLIC PANEL: GLUCOSE, CALCIUM, PROTEINS (ALBUMIN, TC | ې ۲۱۱ ۵۵۵۲ ۵ | 35.00 |

| 00272 | LIPID PANEL | LIPID PANEL - TOTAL CHOLESTEROL, HDL, LDL, TRIGLYCERIDES | \$ 30.00 |
|-------|----------------------|--|--------------|
| 00400 | CHEST PAIN | CHEST PAIN DESCRIPTION | \$ 25.00 |
| 00401 | VENOUS DOPPLER | VENOUS DOPPLER OF UPPER EXTREMITIES | \$ 92.00 |
| 00402 | VENOUS DOPPLER | VENOUS DOPPLER OF LOWER EXTREMITIES | \$ 92.00 |
| 00403 | EXCERCISE DOPPL | DOPPLER POST EXERCISE | \$ 140.00 |
| 00404 | DOPPLER ARTERIA | ARTERIAL DOPPLER OF UPPER EXTREMITIES | \$ 121.00 |
| 00405 | DOPPLER ARTERIA | ARTERIAL DOPPLER OF LOWER EXTREMITIES | \$ 121.00 |
| 00406 | NCV FOUR EXT. | NCV FOUR EXTREMITIES (TWO NERVES EACH ONE) | \$ 200.00 |
| 00407 | NCV TWO LOWER | NCV OF TWO LOWER EXTREMITIES (TWO NERVES) | \$ 100.00 |
| 00408 | NCV 2 EXT 2 NER | NCV OF TWO UPPER/LOWER EXTREMITIES (TWO NERVES EACH ONE) | \$ 100.00 |
| 00409 | NCV L LOWER 2 E | NCV OF ONE LOWER EXTREMITY (TWO NERVES) | \$ 50.00 |
| 0410 | NCV 1 EXT 2 NER | NCV OF ONE UPPER/LOWER EXTREMITY (TWO NERVES) | \$ 50.00 |
| 00411 | DLCO | CARBON MONOXIDE GAS DIFFUSION STUDY (SINGLE BREATH) | \$ 75.00 |
| 0412 | CALORIC TEST | CALORIC TEST | \$ 37.00 |
| 0414 | AUDIOMETRY | AUDIOMETRY (INCLUDE CHARTS) | \$ 50.00 |
| 00415 | EMG OF 1 EXTREM | EMG OF ONE EXTREMITY (UPPER/LOWER) | \$ 70.00 |
| 0416 | EKG WITH LEADS | EKG WITH LEADS III AND AVF IN DEEP INSPIRATION | \$ 35.00 |
| 0417 | EKG | EKG | \$ 35.00 |
| 0418 | ECHOCARDIOGRAMA | TWO DIMENTIONAL ECHOCARDIOGRAM | \$ 200.00 |
| 0419 | HOLTER TEST | HOLTER TEST (24 HOURS) | \$ 200.00 |
| 0420 | EXOPHTHALMOMETR | EXOPHTHALMETRY | \$ 10.00 |
| 0421 | EXOPHTHALMOMETR | EXOPHTHALMOMETRY | \$ 10.00 |
| 0422 | EVOKED OCCIPITA | EVOKED OCCIPITAL TEST FOR INTEGRITY OF AUDITORY PATHWAYS IN BRAINSTE | \$ 125.00 |
| 0423 | E M G COMPLETE | EMG COMPLETE | \$ 130.00 |
| 0424 | E M G 3 EXT. | EMG OF THREE EXTREMITIES | \$ 110.00 |
| 0425 | E M G 2 EXTREMI | EMG OF TWO EXTREMITIES (UPPER/LOWER) | \$ 90.00 |
| 0426 | THALL./SEST. | MYOCARDIAL PERFUSION STUDY WITH STRESS TEST | \$ 618.00 |
| 0427 | TREADMILL TEST (TET) | TREADMILL EXERCISE TEST (TET) | \$ 185.00 |
| 0428 | PERIPH VIS FIEL | PERIPHERAL VISUAL FIELDS (INCLUDE CHARTS) | \$ 25.00 |
| 0429 | EEG | ELECTROENCEPHALOGRAM SLEEP AND AWAKE STUDY | \$ 65.00 |
| 0430 | VISUAL EVOKED | VISUAL EVOKED RESPONSE | \$ 65.00 |
| 00431 | PULMONARY FUNCT | PULMONARY FUNCTION TEST | \$ 75.00 |
| 0432 | PULMONARY TEST | PULMONARY FUNCTION STUDY (ACTIVE TB, HEPATITIS OR HIV) | \$ 95.00 |
| 00433 | N C V THREE NER | NCV OF TWO UPPER/LOWER EXTREMITIES (THREE NERVES EACH ONE) | \$ 150.00 |
| 00434 | NCV TWO LE | NCV OF TWO LOWER/LOWER EXTREMITIES (ONE NERVE EACH ONE) | \$ 50.00 |
| 0435 | MUGA TEST | MUGA TEST | \$ 195.00 |
| 0436 | CTS LUMBAR S | CT SCAN OF LUMBOSACRAL SPINE | \$ 227.00 |
| 0437 | NCV LE TWO NERV | NCV OF TWO LOWER EXTREMITIES (THREE NERVES EACH ONE) | \$ 150.00 |
| 0438 | NCV MEDIAN B | NCV MEDIAN NERVE BILATERAL | \$ 50.00 |
| 0439 | NCV MEDIAN | NCV MEDIAN NERVE | \$ 25.00 |
| 0440 | NCV ULNAR NERVE | NCV ULNAR NERVE BILATERAL | \$ 50.00 |
| 0441 | NCV 1 EXT 1 NER | NCV OF ONE UPPER/LOWER EXTREMITY (ONE NERVE) | \$ 25.00 |
|)0442 | NCV 1 EXT 3 NE | NCV OF ONE UPPER/LOWER (THREE NERVES EACH ONE) | \$ 75.00 |
| 0443 | NCV 3 EXT 1 NER | NCV THREE UPPER/LOWER EXTREMITIES (ONE NERVE EACH ONE) | \$ 75.00 |
| 0444 | NCV 3 EXT 2 NER | NCV THREE UPPER/LOWER EXTREMITIES (TWO NERVES EACH ONE) | \$ 150.00 |
| 0445 | NCV 3 EXT 3 NER | NCV THREE UPPER/LOWER EXTREMITIES (THREE NERVES EACH ONE) | \$ 225.00 |
|)0446 | NCV 4 EXT 1 NER | NCV FOUR EXTREMITIES (ONE NERVE EACH ONE) | \$ 100.00 |
| 0447 | NCV 4 EXT 3 NER | INCV OF FOUR EXTREMITIES (THREE NERVES EACH ONE) | \$ 300.00 |
| 0448 | NCV 4 N TWO EXT | NCV TWO UPPER/LOWER EXT. (FOUR NERVES EACH ONE) | \$ 200.00 |
|)0449 | ELECTRORETINO | ELECTRORETINOGRAM | \$ 200.00 |
| 00450 | COCHLEAR ECHOG | COCHLEAR ECHOGRAM (EMISIONES OTOACUSTICAS) | \$ 90.00 |
| 00451 | MRI LEFT KNEE | MRI LEFT KNEE | \$ 500.00 |

| 00452 | CT OF BRAIN | BRAIN SCANNING | | \$ | 125.00 |
|------------|----------------------|---|---|----|--------|
| 00453 | NCV POST TIBIAL | NCV POST TIBIAL BILATERAL | | \$ | 50.00 |
| 00454 | NCV C PERONEAL | NCV C PERONEAL BILATERAL | INCV C PERONEAL BILATERAL | | 50.00 |
| 00455 | GOLD PERIPH VIS FIEL | PERIPHERAL VISUAL FIELDS - GOLDMAN (INCLUDE C | HARTS) | \$ | 25.00 |
| 00456 | HUMPH PERIM | HUMPHREY PERIMETER VTAP 30-2 (INCLUDE CHARTS | 5) | \$ | 25.00 |
| 00457 | GOLDMAN OR VTAP 30-2 | PERIPHERAL VISUAL FIELDS - GOLDMAN OR HUMPHI | PERIPHERAL VISUAL FIELDS - GOLDMAN OR HUMPHREY PERIMETER VTAP 30-2 (INCLUDE CHA | | 25.00 |
| 2DECD | CARDIAC DOPPLER | TWO-DIMENSIONAL ECHOCARDIOGRAM WITH CARI | TWO-DIMENSIONAL ECHOCARDIOGRAM WITH CARDIAC DOPPLER | | 255.00 |
| PCHOPS | PCHO - MENTAL STATUS | PSYCHOLOGICAL EVALUATION WITH MENTAL STATU | PSYCHOLOGICAL EVALUATION WITH MENTAL STATUS FOR CHILDREN | | 100.00 |
| 00458 | TIBIA & FIB OBLIQ V | TIBIA & FIBULA OBLIQUE VIEW | | \$ | 32.00 |
| 00273 | TOPAMAX | TOPAMAX ANTICONVULSANT LEVELS | (TOPIRAMATE) | \$ | 125.00 |
| 10 DAY RPT | RPT RECVD IN 10 DAYS | WHEN REPORT IS RECEIVED WITHIN 10 DAYS OF APP | WHEN REPORT IS RECEIVED WITHIN 10 DAYS OF APPT DATE | | 10.00 |
| 00459 | TEMPOROMANDIBULAR XR | TEMPOROMANDIBULAR JOINT BILATERAL | | \$ | 35.00 |
| 00093 | LEFT ORBITAL BONE | LEFT ORBITAL BONE | | \$ | 33.00 |
| 00094 | LAT SOFT TISSUE NECK | LATERAL SOFT TISSUE OF NECK | | \$ | 20.95 |
| MK | MUSCULAR SKELETAL EV | MUSCULAR SKELETAL EVALUATION | | \$ | 90.00 |
| 0095 | PARANASAL SINUSES | PARANASAL SINUSES | | \$ | 29.68 |
| 00460 | FACIAL BONES | FACIAL BONES | | \$ | 38.00 |
| MS | MENTAL STATUS | MENTAL STATUS EVALUATION | | \$ | 90.00 |
| NS | NEURO ONLY | NEUROLOGICAL EVALUATION ONLY | | \$ | 90.00 |
| ME | MENTAL EVALUATION | MENTAL STATUS EVALUATION | | \$ | 90.00 |
| 00095 | LT TEMPOROMANDIBULAR | LEFT TEMPOROMANDIBULAR JOINT X RAY | | \$ | 50.00 |
| 00096 | RT TEMPOROMANDIBULAR | RIGHT TEMPOROMANDIBULAR JOINT X RAY | | \$ | 50.00 |
| 00097 | ABDOMEN TWO V | ABDOMEN TWO VIEWS | | \$ | 31.00 |
| PCHOPED | PSYCHOLOGICAL PEDIAT | PSYCHOLOGICAL EVALUATION WISC (R) PR PARA NI | ÑOS. | \$ | 90.00 |

DDS CE Oversight Report

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | New York | | |
|---|---------------------------------------|--|--|
| State DDS: | S43 | | |
| Report Period (Fiscal Year): | 2013-14 | | |
| Current Date: | 03/05/2015 | | |
| Reporter's Name, Phone number, and title: | Name (b) (6) Phone number (b) (6) | | |
| | Title Professional Relations Officer | | |
| | | | |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

A. Medical Staff, Examiners and Supervisors complaints

- 1. Delayed Reports:
 - PRO contacts Provider by phone, fax or e-mail.
 - Provider is inactivated if reports are not received after follow up.
 - Provider is inactivated permanently if the situation persists and the pending reports are cancelled.

2. Deficient Reports:

- PRO takes sample of reports from new Providers and from experienced ones.
- PRO provides feedback if the reports reveal deficiencies.
- Non- critical deficiencies are clarified by phone or e-mail.
- The Provider is required to visit DDS for reorientation by DDS Medical Staff and the PRO to correct critical deficiencies.
- The Provider is inactivated permanently if an additional sample shows no improvement.

B. CEMD complaints

1. Difficulties with Electronic Records Express (ERE):

• Not receiving ERE authorizations on time and reports submitted through ERE which are not received by DDS. The situation has improved greatly and there are less ERE issues.

2. Delayed monthly payments:

PRO provides the information to the medical consultant and recommends obtaining status
of their payments by phone, using their voucher number if available. PRO refers situation
to Fiscal Unit when necessary.

3. Decreased volume of CE's:

• Experienced and new providers, receives a large volume of CE's, complain about the decrease in the appointments. DDS recruited more providers to reduce the scheduling time. DDS does not guarantee a volume of evaluations to any Provider.

C. Claimant complaints:

- Claimants' complaints are about not being satisfied with the Provider's behavior, short amount of time spent to complete the evaluation and a lack of office conveniences. When a complaint is received, PRO:
 - 1. Requests a written statement from claimant or representative.
 - 2. If deemed necessary performs a survey with other claimants, by sending them a questionnaire requesting details of their experience with that particular Provider.
 - 3. Notifies Provider by letter or an onsite visit.
 - Depending on the nature of the complaint, and the results of the investigation, Provider can be permanently inactivated.
 - 5. If necessary, schedules a CE with other Provider.
 - 6. Documents Provider's file with the results.

2. Attach a list of completed onsite reviews of CE providers.

| Provider | Specialty | Address |
|---|-------------------|----------------------|
| Acevedo, Luis | Orthopedist | Aguadilla |
| Acosta, Melvin | Internist | Arecibo |
| Advanced Radiology | Radiology | Mayaguez |
| Aguada Medical Center | Radiology/Lab. | Aguada |
| Angulo, Alberto | GP | Lares |
| Angulo, Vivian | Internist | Manati |
| Ayala, Ángel | Psychologist | Manati |
| Banuchi, Olga | Psychologist | Isabela |
| Bedard, Valerie | Internist | Ponce |
| Benítez, María | Internist | Carolina |
| Bio Imagenes Médicas | X ray | Mayaguez |
| Biomedico, Lab. | Lab. | Hato Rey |
| Blas, David | Neurologist | Caguas |
| Boria, Zaida | Neurologist | Caguas |
| Caguas X-Ray | X-Rays | Caguas |
| Cajigas, Clinic. Lab. | Lab. | Bayamón |
| Calderón, Roberto | Internist | Santurce |
| Camuñas, Claudia | Neurologist | Hato Rey |
| Caribe, Clinic. Lab. | Lab. | Bayamón |
| Carreras, Reinaldo | Neurologist | Ponce |
| Casiano, Félix | FP | Barceloneta |
| Centro Imagenes Manatí | Radiology | Manatí |
| Centro Radiológico de Caguas | X-Rays | Caguas |
| Centro Radiologico y Sonografico Manati | Radiology | Manati |
| Chardón, Domingo | Pulmonary | Ponce |
| Cintrón, Marcelino | FP | Gurabo |
| Cintrón, Marcelino | FP | Bayamón |
| Colón, Yaleska | Psychologist | Bayamón |
| Correa, José | Psychologist | San Juan/Barceloneta |
| Cortés, Enrique | FP | Humacao |
| Costas, Myrianlee | Psychologist | San Juan |
| Country Club X- Ray | Radiology | Carolina |
| Crespo, Abnel | FP | Hato Rey |
| Cruz, Rolando | Internist | San Juan |
| CT Radiology | X-Rays | Bayamón |
| De Jesús, Augusto | Psychologist | Caguas |
| Díaz García Lab. | Lab | Arecibo |
| Escalona, Carmen | Psychologist | Guaynabo |
| Fernós, Manuel | Internist | Guayama |
| Figueroa, Juan | Psychologist | Canovanas |
| Freytes, Leonell | General Physician | Ponce |
| - | ~ | |

German Chaves X- Ray Gómez, René González Rivera Lab. González, Paloma González, Rogelio Hato Rey X-Ray Hau, Roberto Hospital Episcopal Lab. Hospital Episcopal Radiologist **INSPIRA** Instituto Radiologico de Arecibo Irizarry, Roberto Jiménez, Juan Landestoy, Luis Levitown X-Ray Maldonado, Carlos Maldonado, Carlos Maldonado, Carlos Maldonado, Jesús A. Manatí Lab. Martell, Emelly Martinó. Martin Meléndez, Iván Miranda, Maximino Montalvo, Karinell Morales. Sheika Mubarack, Marisol Mundo, Jorge Mundo, Jorge Noble Diagnostic Imaging Orraca, Neysa Ortega, Hiram Ortiz, Nyrma Ortíz, Winston Pavia Breast Imaging Pérez, Amalyn Pérez, Yarelis **Physical Therapy Services** Ramírez Lab. Rapoport, Allan Ríos, Elfren Ríos, José Ríos, Limary Ríos, Olga V.

Radiology Internist Lab. Psychologist Pneumologist Radiology GP Lab. Radiology Psychiatry Radiology Psychologist PFS Family Medicine X-Rays Internist Internist Psychiatrist Physiatrist Lab Psychologist FM/MK Internist/MK FP GP Psychologist Neurologist Internist/C Internist/C X-Rays Audiologist GP Psychologist Neurologist Radiology Psychologist Internist Physiatrist Lab Internist/C Family Medicine Psychiatrist Physiatrist Neurologist

Ponce Caguas Gurabo Trujillo Alto Santurce San Juan Isabela Guayama Guayama Caguas Arecibo Coamo Hato Rey Carolina Toa Alta Bayamón Bayamón Bayamón Humacao Manatí Mayaguez Bayamón Ponce Gurabo San Germán Humacao San Juan Hato Rey San Juan Gurabo Bayamón Aguada Cupey Ponce Hato Rey San Juan Caguas Gurabo Ponce San Juan Dorado Bayamón Caguas Bayamón

Riquelme, Rafael Psychologist Psychiatrist Rivera, Franklin Rivera, Luis Neurologist Rodríguez, Angélica Psychologist Rodriguez, Edelmiro Psychiatrist Psychologist Rodríguez, Edna Rodríguez, Javier Psychologist Rodríguez, Sherly Psychologist Rojas, Luis C. Psychiatrist Rosado, Nylma Internist Ross, Jomaira Psychologist Ryder Hospital X-Rays Sánchez, Rafael SS Psychiatrist Santos, Madeline Psychologist Sepúlveda, Carmen Servicios Radiológicos Asoc. X-Rays Suria, Jorge L X-Rays Psychiatrist Suria, Jorge L Suria, Jorge L Psychiatrist Toro, Rafael FP Torres, Fernando Internist Trjuillo, Beatriz Psychiatrist Vázquez, Carlos GP Psychologist Vazquez, Iván X-Rays Vega Alta X-Ray Zamora, María Physiatrist Zayas, José Pulmonary

Isabela Cotto Laurel Bayamón Cidra Caguas Caguas Manati Cupey **Rio Piedras** Ponce Ponce Humacao San Juan Bayamón Humacao Hato Rey Bayamón Guaynabo San Germán Gurabo Mayaguez Arecibo Ponce Ponce Vega Alta Carolina San Juan

3. Attach a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch.

- a. Yarelis Perez Rivera Calle Muñoz Rivera #3 Edificio Tiger Caguas PR 00725
- b. Roberto Hau (b) (6) Isabela PR 00662
- c. Dr. Alberto Rodríguez Edificio Medical Emporium 351 Ave. Hostos Suite 212 Mayaguez, PR 00681

- d. Dra. Nylma Rosado Cond. El Señorial 1326 Calle Salud Suite 307 Ponce, PR 00717-1689
- e. Jorge L. Suria (b) (6) Guaynabo, PR 00968

4. Provide the total number of CE providers on the panel.

DDS has agreements with 192 providers

5. Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs.

When a doctor or psychologist is going to receive an orientation regarding CE, PROs verify the exclusion list to ensure that this CE is not excluded. Thereafter, every February the PROs verify the exclusion list for every provider. DDS recruited a contractor that verifies all CE providers' files to ensure that all licenses and credentials are in file and current.

6. Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation.

CE providers certify that support personnel are properly licensed and credentialed when required by State law or regulation. This certification is signed and renewed annually. Also, we asked the CE provider to provide a signed list with staff name and license number.

7. Provide a description of any CE/MER fee schedule changes (include a description of any volume medical provider discounts).

CE and MER fees have not changed since 2009. MER fee is \$15.00 for Physicians, Psychologists, Hospitals and services provided by the Contractor (HPT).

8. Upload fee schedules to the MPRO SharePoint site.

- 9. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc.
 - Recruitment to decrease scheduling time.
 - Training to providers to improve quality of CE reports.
 - Reminders to submit CE reports on time.

Other activities during FY 14 included:

- 1. Recruitment of Internists, Family Physicians and Psychologists.
- 2. Visits to Medical Institutions to improve the Contractor's records retrieval business process.
- Reviewed CE reports for quality. Discussed CE reports with the Medical Staff to identify trends and take corrective actions.
- 4. Provided training to new employees for CE scheduling, rescheduling and cancellations.
- 5. ERE training to providers and/or their administrative staff and assisted with ERE issues.
- 6. Planned for monthly calendars to coordinate outside visits to providers facilities.
- 7. Participated in meetings with PRO Supervisor.
- 8. Participated in meetings with System Supervisor for Credentials Database.
- Verified all providers' credentials. Sent letters or e-mails to request credentials and follow up for credentials not received.
- 10. Follow up providers with delayed reports.

Please attach any additional information before submitting this form.

PR DDS Key Providers for FY 14

Yarelis Perez Rivera Calle Muñoz Rivera #3 Edificio Tiger Caguas PR 00725

Roberto Hau (b) (6) Isabela PR 00662

Dr. Alberto Rodríguez Edificio Medical Emporium 351 Ave. Hostos Suite 212 Mayaguez, PR 00681

Dra. Nylma Rosado Cond. El Señorial 1326 Calle Salud Suite 307 Ponce, PR 00717-1689

Jorge L. Suria

(b) (6) Guaynabo, PR 00968

DDS CE Oversight Report

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | Boston | |
|---|-----------------------------|-------------------------------------|
| State DDS: | RI | |
| Report Period (Fiscal Year): | 2014 | |
| Current Date: | August 6, 2018 | |
| Reporter's Name, Phone number, and title: | Name <mark>(b) (6</mark>) | Phone number <mark>(b) (6)</mark> |
| | Title Medical/Professiona | l Relations Officer |
| | | |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

All complaints are investigated and handled on an individual basis and after referral to the Medical/Professional Relations Officer (MPRO) for action. Actions include responding the claimant's complaints by phone or by sending acknowledgement letters. The CE Panelist is provided with a copy of the claimant's submitted complaint when appropriate and may be required to provide a written response.

If a complaint or allegation of an egregious nature (involving illegal activity, sexual harassment cultural insensitivity or acts, which compromise the health and safety of the claimant) is received, the MPRO may move to suspend referrals and/or reschedule any pending appointments with the CE panelist while the allegation is being investigated. The DDS Administrator will be notified as to the nature and severity of the complaint with State and law enforcement also being notified when appropriate. A meeting with the CE Panelist may be scheduled to address the complaint. If the nature of the complaint does not require referral to an investigatory agency, the panelist may be provided with copy of the complaint. The appropriateness of the CE Panelists response is documented and Regional Office is notified of the complaint/allegations and course of action taken by DDS/State Authorities.

2. Attach a list of completed onsite reviews of CE providers.

Kiley Toder, MD Paul Dionisopoulis, MD William Palumbo, MD Michael Nissensohn, MD Jorge Armesto, PHD, EDM Luz Teixeira, PHD John Parsons, PHD Adam Cox, PHD

3. Attach a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch.

Jorge Armesto, PhD, EdM

(b) (6)

Providence, RI 02906

Louis Cerbo, EDM

(b) (6) Warwick, RI 02886 and

(b) (6)

Tiverton, RI 08787

Wendy Schwartz, PHD

(b) (6)

Cumberland, RI 02864

John Parsons, PHD

(b) (6)

Providence, RI 02906

Luz Teixeira, PHD

(b) (6) Pawtucket, RI 02860

4. Provide the total number of CE providers on the panel.

65

5. Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs.

CE consultant medical credentials are initially checked thru the Rhode Island Department of Health, Board of Medical Licensure and Discipline web site. The Department of Health Website provides a list of disciplinary actions, which is updated every 60 days. This list reviewed on a bimonthly basis.

Federal Exclusion checks are also performed at initial recruitment and on periodic basis using the list of excluded individuals/entities on the HHS Office of Inspector General Website. Additionally, the DDS follows up on any media reports that involve CE panelists.

CE Panelists are required to sign a Contract for Services prior to performing consultant examinations and to review and sign a CE Consultant Reminder a yearly basis. The contract includes information about Suitability, Personal Identifiable Information (PII), Confidentiality, Conflict of Interest and the Subpoena Process.

6. Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation.

CE Panelists who use support staff during examinations performed for the DDS are required to sign a certification that support staff meets all appropriate licensing or certification requirements of the State and Social Security Administration. CE panelists must also certify that any support staff who that participate in performing consultative examinations are not currently excluded, suspended or otherwise barred from the participation in the Medicare of Medicaid programs or any other Federal or Federally assisted programs.

7. Provide a description of any CE/MER fee schedule changes (include a description of any volume medical provider discounts).

In 2013, the CE Fee Schedule was updated to coincide with industry standards. We currently use AMA CPT Codes for guidance in pricing. With the exception of ancillary testing (x-rays/labs), there have been no changes in current reimbursement rate.

For examinations performed in a hospital setting, pricing is based on the RI APC (Ambulatory Payment Classification) Fee schedule.

The MER fee schedule varies. Individual providers are paid a standard fee of \$10/report. Hospitals receive \$15 (if the records are received within 10 days) and \$10 (if records are received after 10 days). RI DDS does not provide discounts to volume medical providers. State law prohibits paying for records from hospitals and/or medical facilities for reconsideration and appeals claims.

8. Upload fee schedules to the MPRO SharePoint site.

Uploaded to sharepoint

9. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc.

All CE providers are required to submit reports electronically, either by fax or via the ERE website. With the exception of ancillary testing (labs, x-rays, PFTs) all CE reports are submitted electronically.

Our current dictation service provides the option of receiving dictated reports via the EME website. Many CE panelists are now receiving their dictation via the EME website. Subsequently, the number of CE Administrative Accounts has increased.

DDS continues to market e-Authorization. Initially marketing included mass mailings and direct marketing to high volume providers (hospitals, community health centers and community mental health centers). All high volume providers accept the e-Authorization.

Demonstrations of the EME website have been done for school departments and community mental health centers, increasing the number of sites utilizing electronic outbound requests and increasing the volume of records received electronically.

Please attach any additional information before submitting this form.

DDS actively recruits In-house Medical Consultants and CE Panelist. DDS purchased a mailing list from the RI Medical Society, advertised in their newsletter and on its website for both MCS and CE panelists. Brochures were developed for recruitment purposes.

The Medical/Professional Relations Officer supervises the CE Scheduling Unit, serves as part time hearing officer, processes assistance requests from ODAR, updates and maintains the Vendor file, provides training for new DDS staff and serves as chairperson/liaison to the SOAR Leadership Committee.

DDS CE Oversight Report

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

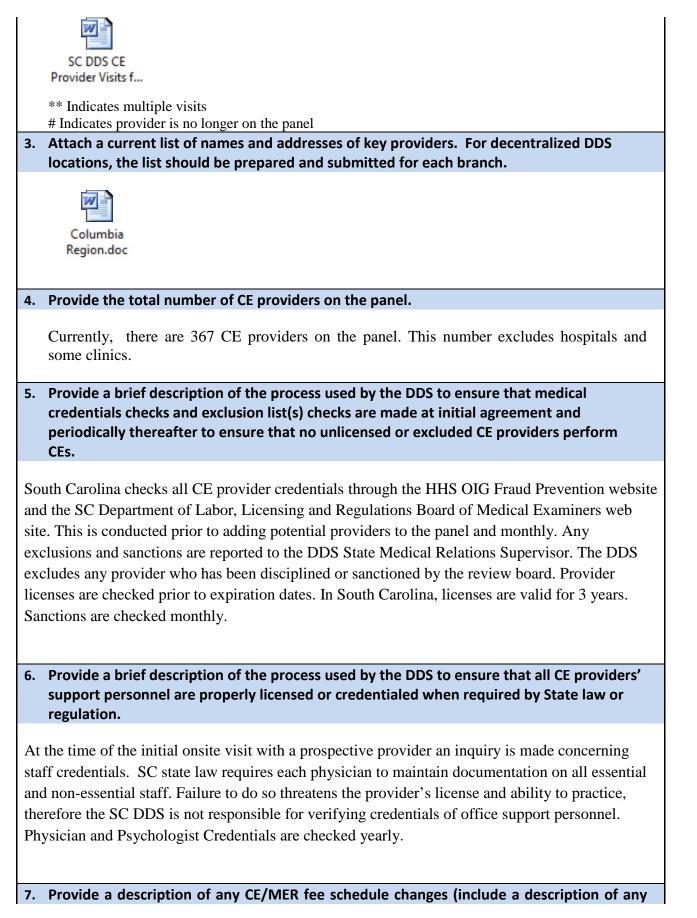
| Region: | Atlanta |
|---|--|
| State DDS: | South Carolina |
| Report Period (Fiscal Year): | 2014 |
| Current Date: | November 4, 2014 |
| Reporter's Name, Phone number, and title: | Name (b) (6) Phone number (b) (6) |
| | Title Medical Professional Relations Supervisor |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

South Carolina is a decentralized state. Complaints are documented and sent to the relevant DDS regional Medical/Professional Relations Officer (MPRO) with copies forwarded to the regional Medical/Professional Relations Supervisor (MPRS) Each complaint is carefully investigated by detailing the facts of the complaints and actions taken to resolve them.

All materials are forwarded to the MPRS for review and appropriate action. If necessary, the documents are reviewed by the MPRO (Administrative Services) Manager. Further actions may require a follow up letter or telephone call, on site visit and retraining, suspension, removal from the panel, up to and including contacting local authorities and the State Licensing Board. During this reporting period the DDS scheduled 47,804 CEs. There were 123 complaints (.26% of total confirmed CEs) and 0 sensitive issues were addressed. All complaints were investigated and resolved. (b) (6)

2. Attach a list of completed onsite reviews of CE providers.



volume medical provider discounts).

A version of The Medicare Fee Schedule is adopted and maintained annually by the SC Vocational Rehabilitation Department, the parent agency of the SC DDS. This year's fee schedule was adopted in April, allowing time for possible revisions (which tend to occur early in the year) to be made before the annual adoption. The current schedule is attached and includes fees established for examinations and ancillary tests not otherwise found in the fee schedule. South Carolina does not offer medical provider discounts for volume or expedited responses. We do not offer partial compensation for missed CEs.

8. Upload fee schedules to the MPRO SharePoint site.



9. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc.

MPROs gave presentations at the South Carolina Health Information Management Association conference and the SC Thrive Conference. We exhibited at the SC Brain Injury Alliance and the SC Psychological Conference. MPROs attend Partnership meetings with SSA on a regular basis. This year, the Medical University of SC (MUSC) became South Carolina's first HIT provider. MPROs have continued to market ERE with success and all the CE providers use electronic methods of submitting reports. The SC MPRO team is dedicated to bridge building efforts with the medical and psychological communities. 188 CE providers were visited for the purposes of deepening mutually beneficial relationships, to offer training and information about SSA and DDS policy and procedure.

Please attach any additional information before submitting this form.

| Columbia Region | Greenville Region | Charleston Region |
|-------------------------------|-------------------------------|-------------------------|
| Katherine Kelly, PhD | *SC Independent Medical Exams | Dr Cashton Spivey |
| (b) (6) | Dr Lary Korn | (b) (6) |
| Hartsville SC 29550 | Hwy 76 West | Charleston SC 29407 |
| | Clinton SC 29325 | |
| Carolina Occupational Health | *Brooks Smith, MD | Dr Douglas Ritz |
| Dr T Motycka and Dr H Bledsoe | (b) (6) | (b) (6) |
| 1715 Blanding Street | Greenville SC 29605 | Orangeburg SC 29115 |
| Columbia SC | | |
| *Dr Pravin Patel | Dr Bruce Kofoed | Dr James Way |
| (b) (6) | (b) (6) | (b) (6) |
| Clio SC 29525 | Greenville SC 29615 | Holly Hill SC 29059 |
| | | |
| *John Whitley, PhD | Dr Ronald Thompson | *Southern Medical Group |
| (b) (6) | (b) (6) | Dr Darrell Sneed |
| Augusta GA 30909 | Campobello SC 29322 | BL Black Clinic |
| | | Mt Pleasant SC 29464 |
| Dr Earl Fox | Dr James Ruffing | *Dr Shaun Ngyuen |
| (b) (6) | (b) (6) | (b) (6) |
| Lugoff SC 29078 | Spartanburg SC 29306 | Charleston SC 29492 |
| Dr Nicole Horst (MDSI) | Dr David Holt | *Dr Harriet Steinert |
| Health First | (b) (6) | (b) (6) |
| Florence SC 29501 | Senecca SC 29678 | Charleston SC 29407 |

| Columbia | Greenville | Charleston |
|------------------------------|-----------------------------|------------------------------------|
| Neal Powell, MD | Lary Korn, MD** | Hope Clinic (T Etikerentse)** |
| Pravin Patel, MD** | Brandon Paritz, MD | Palmetto Cardiology |
| Monique Singleton, MD | Greer Vision Center | Leslie Bessillieu, PhD |
| Esther Hare, MD | Robin Moody, PhD** | Focus Vision |
| Carolina Heart and Vascular | Robin Lansford, MD | Sam Russell, OD |
| Kiki Bennett, SLP | Bruce Kofoed, PhD | Paulette Muni, PhD |
| Vasant Garde, MD | Deborah Leprowski, PhD** | Cashton Spivey PhD** |
| Kimberly Kruse, PhD | Rebecca Sorrow, PhD** | Robert Brownlee, MD |
| John Taylor, PhD | Greenwood Eye Clinic | Shaun Nguyen, MD** |
| Shivali Desai, OD | Montague Eye Center | Harriet Steinert, MD** |
| Pamela Carleton, PhD | Ron Thompson, PhD** | Regina Roman, MD** |
| Gina Crosby- Quinatoa, SLP | Thayer Joudeh, MD** | Cindy Wright, MD*# |
| Thomas Motycka, MD** | McCulloh Therapeutic, SLP** | The Vein Center of Myrtle Beach |
| J D Hines, MD | Hopkins Eye Center | James Way, PhD** |
| Katherine Kelly, PhD** | David Holt, MD | Mark McLain, PhD** |
| John Whitley, PhD** | David Cannon, PhD | John Custer, PhD**# |
| Steven Flowers, OD | James Ruffing, PhD* | Dixie Barkland, SLP |
| Heath Springs Medical Center | Sara Keeny, SLP | Kelly Perkins, OD |
| Carolina Heart Specialist | Julia Marie-Colon, SLP | William Maguire, MD |
| Mark Coe, PhD | Caleb Loring, PhD** | Thaddeus Bell, MD** |
| Southern Medical Group | Doug Reed, OD** | West Ashley Medicine |

SC DDS CE Provider Visits for FY 2014

| Chad Ritterspach, PhD** | Ellie Hammond, SLP | Robert Peyser, OD | |
|-------------------------------------|---------------------------------|-------------------------------------|--|
| Aida Jacic, MD | Brian Keith, PhD | Coastal Vascular and Vein Center | |
| David Holman, MD | Joseph Hammond, PhD | Gene Sausser, PhD | |
| Sushil Das, MD | Renuka Harper, PhD** | Daniel Bates, MD | |
| Scott and David Oliver, OD | Russell Rowland, MD | Michael Coyle, SLP | |
| James Bethea, MD | Erik Steiniger, MD | A Rojugbokan, MD | |
| MDSI* | Gordon Early, MD | Jomar Roberts, MD# | |
| Archibald Hursey, MD** | Dennis Jurs, MD | Leland Stoddard, MD | |
| Michael Kanwisher, MD (Med Plus) | Bruce Kofoed, PhD | Bluffton Memorial | |
| Jason Lee, OD | Susan Tankersley, MD* | Mark Siegel, MD | |
| Lea Boone, PhD | H Mourtada, MD | Michael Mikkelson, MD | |
| Al Harley, PhD# | Roland Knight, MD** | Sanjay Kumar, MD | |
| Prutha Patel (Med Plus) | David Price, PhD | Charles Rittenburg, MD | |
| Douglas Ritz, PhD** | Brian Keith, PhD | Monica Mason, SLP | |
| Theodore Crook, OD | Gabrielle Hilliard, SLP | Inlet Cardiopulmonary** | |
| Lori Lord, MD (Med Plus) | Kate Amato, SLP | D Akoury, MD** | |
| Willie Boyd, MD | Nina McMillan, SLP | Charleston Pediatric Rehab | |
| Angela McLeod, SLP | Jennifer Atkins, SLP | Draisin Vision | |
| Steven Hobbs, OD | Charles Parke, MD (Med Plus) | M Errico, MD (SMG) | |
| Steven Dean, OD | Paul Coppola, MD | Darrell Sneed (SMG) | |
| Sumter Eye Center | Gill Thomas, MD | A Rojugbokan, MD | |
| Stephen Schacher, MD | Sally Burgess, MD | Kendra Westercoat, PhD | |

| Adrian Janit, PhD | Edwyn Byrd, MD | Daniel Bates, MD |
|---------------------------|--------------------------------------|----------------------------|
| Kevin Turner, PhD | Sheri Byrd, MD | Georgetown Mem Hospital |
| Wendy Potts, SLP | Mountain View Med Imaging | Monica Mason, SLP |
| Seasons Psychology | Kenneth Knox, MD | Brad Majors, OD |
| Joe Neely, PhD | Sean Stevens, MD | John Ellyn, MD** |
| Don Hopla, MD (Med Plus) | Retina Institute of the Carolinas | Anthony White, VA Hosp. |
| Conigliaro Jones, MD | M Richardson, MD | Raymond Allen, MD |
| Clarence Dollison, MD**# | | Georgetown School District |
| Benjamin Pinner, MD | | Owen Johnson, OD |
| John Ferguson, MD | | Lashonda Smith, PhD |
| Marc Harari, PhD | | K Kitch, ENT |
| Jason Lee, OD | | Julie Shoemaker, AUD |
| Steven Weardon, OD | | Waccamaw Com. Hosp. |
| Santee Cooper Urgent Care | | Gerald Congdon, MD |
| Ryan Fullen, OD | | Keri Kolehma, MD |
| John Bradley, PhD | | Bonnie Cleaveland, PhD |
| Brooks Smith, MD | | M Goulas, MD |
| Jeffrey Kozlowski, OD | | F Wise, MD |
| Rebecca Moberly, PhD | | |
| R Lee Grantham, OD | | |
| Douglas Ellenberger, OD | | |
| Vernelle Fogle, PhD | | |
| Barbara Hartt, PhD | | |

| Neal Powell, MD | |
|-----------------------|--|
| Lisa Bridgewater, PhD | |
| Victoria Evans, PhD | |
| Neesha Gurley, SLP | |
| Kristen Mason, SLP | |
| Felicia Lawrence, SLP | |
| Tawana Nash, SLP | |
| Shanita Shaw, SLP | |
| Rocco Cassone, MD | |
| Beau Bryan, MD | |
| Matthew Clary, MD | |
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DDS CE Oversight Report

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | Denver | |
|---|--|--|
| State DDS: | South Dakota | |
| Report Period (Fiscal Year): | FY 2014 | |
| Current Date: | 11/12/14 | |
| Reporter's Name, Phone number, and title: | Name (b) (6) Phone number (b) (6) | |
| | Title Program Specialist/Professional Relations Officer | |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

The DDS PRO receives information regarding complaints or concerns related to a CE provider in three different ways. The first way is from internal DDS office staff. The DDS medical consultants, examiners and internal quality reviewers are asked to report any issues they find when reviewing CE reports during the course of normal case adjudication. They provide feedback to the PRO when concerns arise. Additionally, our DDS has begun working on the implementation of a formal CE report QA process. In this process, medical consultants are asked to complete a questionnaire addressing various key aspects of CE reporting. The second way is by receiving responses from claimant questionnaires. The DDS randomly mails out questionnaires to claimants to assist in providing DDS with feedback regarding the claimants CE experience. The PRO reviews these questionnaires on a continual basis and also compiles the data into a spreadsheet format to identify trends. Lastly, the PRO will receive phone calls from claimants or representatives who want to report a concern about a provider.

All complaints are documented and investigated no matter where the issue derives. When issues are brought to the attention of the PRO, the frequency and severity of the issues are tracked. If it is a one-time event of a minor issue, the provider will not be contacted. These issues are normally subjective opinions. If there are multiple minor issues on the same provider, the PRO will contact the provider's office by phone to inform them of our concerns and request improvements be made. Issues related to the quality of CE reports is considered a medium level issue and requires contact directly with the CE provider, so corrective action can be made for future CE reports.

If patterns continue related to poor exams or reports after DDS has worked with the provider to improve them, DDS may conduct an on-site visit to further assist in training the CE provider. If an on-site visit cannot be done, a letter further documenting the DDS concerns will be mailed to the provider along with additional training material to assist in the needed improvement. DDS may also request that the provider come to the DDS for a one-on-one training with our medical consultant staff to assist in the educational process. If this is not feasible, a conference call would be conducted with the PRO along with medical or psychological consultants to further address the issues. If poor quality continues, the provider may be dropped from the list of DDS CE providers. When the most severe complaints arise about a CE provider, contact may need to be made to the DDS Administrator, RO, to the provider's clinic, and State licensing board, so further investigation can be made. These situations would involve unethical behavior or practices by the provider, for example an accusation of abuse or a complete disregard to the claimant. In these most severe cases, the provider would be removed from the DDS list of CE providers.

2. Attach a list of completed onsite reviews of CE providers.

The South Dakota DDS has no CE providers earning over \$150,000 annually from DDS exams. Three onsite visits where conducted by the DDS PRO, with one also including the DDS Administrator, to verify licensure and inspect their facilities for meeting SSA's requirements for conducting exams for the DDS.

- 1) Stuart Krause, Ph.D. Rapid City, SD June 18, 2014
- 2) John Lassegard, M.D. Rapid City, SD June 18, 2014
- 3) Center for Family Medicine Sioux Falls, SD June 10, 2014

3. Attach a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch.



4. Provide the total number of CE providers on the panel.

The South Dakota DDS has approximately 65 individual CE providers and 11 clinics with staff performing consultative examinations. Additionally, there 20 hospitals performing ancillary testing. Volume providers are counted as one individual provider rather than by each individual provider within the provider's group.

5. Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs.

When the PRO receives an inquiry from a prospective provider, licensing rosters are checked prior to setting up the new provider on our Legacy system to ensure that the providers are properly licensed in the State. The rosters for physical health provider's professional licensing boards are found in the South Dakota Department of Health website. The primary link used is the South Dakota Board of Medical and Osteopathic Examiners at the following link: <u>http://login.sdbmoe.gov/Public/Services</u>

The roster for mental health providers can be found in the South Dakota Department of Social Services website at the following link:

http://dss.sd.gov/behavioralhealthservices/licensingboards/board_psychologists.asp The federal sanction list is reviewed to confirm the prospective vendor is not excluded, suspended or barred from participation in Federal programs at the following link: http://exclusions.oig.hhs.gov/

The PRO completes license verifications on all current active CE providers quarterly by reviewing the information on the links noted above. This information is kept on an Access database to provide a centralized location to track when licenses expire and helps assure all providers have been checked quarterly to confirm they are still actively licensed in their specialty.

6. Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation.

Currently, this is done by using the same procedures as noted in part b. above and is requested when setting up new providers and confirmed when conducting CE oversight visits. In 2015, DDS will begin collecting signed statements from providers using the format set out in policy.

7. Provide a description of any CE/MER fee schedule changes (include a description of any volume medical provider discounts).

The state parent agency provides guidance on what fee schedule DDS can use for CE/MER payments. Exception fees are established by the State Division of Rehabilitation or Department of Human Services regarding the payment structure for certain physical exams, mental health evaluations, copy of records, report fees, and other miscellaneous fees. The exception fee schedule is what DDS pays from first.

Any remaining fees are paid using the State of South Dakota's Medicaid Fee Schedule. Changes to the fee schedule occur due to State Legislative action. There was one minor change when compared to FY 2013's numbers in that the copy of records fee was increased slightly. The psych fees and the physical exception fees were unchanged.

We do not provide volume medical providers discounts, but volume providers are the only type of CE providers we pay a \$50.00 "Review of Records" Fee to when a claimant fails to attend a CE.

8. Upload fee schedules to the MPRO SharePoint site.

The provider fee schedule that the South Dakota DDS uses for all other types of physical exams, labs, tests, etc. are listed on the Department of Social Services provider information website at the following link:

http://dss.sd.gov/sdmedx/includes/providers/feeschedules/dss/index.aspx

Attached is a copy of the Combined Exceptions to the Fee Schedule for 2014.



Combined Exceptions to Fee Schedule FY2C

9. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc.

The South Dakota DDS has one Professional Relations Officer who also has other responsibilities as a supervisor, as well as oversight for the Disability Hearings Unit. The DDS was without a PRO for much of 2013, with current PRO assuming responsibility in November 2013. At that time, the DDS was also in the middle of an office relocation which delayed the PRO training timeline until January 2014.

In FY 2014 SD DDS began working with new bulk CE provider, CE Provider Services, which helped address on ongoing need for providers in the middle and northeast portions of the state. Additionally, the DDS added 2 new psychological providers in the Rapid City area. The PRO implemented recruitment efforts through target mailings in an effort identify potential providers in the area of optometry/ophthalmology. Also, the PRO had contact with South Dakota Eyes who agreed to let their membership know that we are in need of optometrists for exams in Sioux Falls.

In December 2013, the PRO met with a speech and language provider from Rapid City to discuss changes in their program and meet some of the new leadership in their organization. Over the course of FY 2014, the PRO provided 10 separate training sessions for resident physicians at Center For Family Medicine.

The presentation provides information regarding the scope of the disability program, the physician's role in the disability process, and best practices for completing disability exams. In March 2014 the PRO attended the SSI/SSDI Outreach, Access, and Recovery (SOAR) training conference in Sioux Falls in an effort to provide partners with the DDS perspective as it pertains to disability claims and the homeless population. Additionally, in June 2014 DDS hosted three VA psychology PhD candidates and provided them a two-hour presentation to gain a better understanding of SSA's disability process and DDS's operations.

During FY 2014, the PRO worked with several MER and CE providers to transition to the use of Electronic Records Express. Additionally, in September 2014, South Dakota began receiving HIT MER from Sanford Health Systems, a major provider in the state. This required training staff on the HIT process and working with systems and administrative staff to merge new and current business processes for HIT MER use.

Please attach any additional information before submitting this form.

2014 Key Provider

Allied Assessments, Inc.

363 S Harlan St. #100 Lakewood, CO 80226

Thomas Price, PhD

(b) (6) Sioux Falls, SD 57108

Brett Valette, PhD

300 Center Dr Ste G #373 Superior, CO 80027

Center for Family Medicine

1115 E 20th St Sioux Falls, SD 57105

Med Plus Disability

628 Bonnie Pl South Franklin, TN 37064

Dept of Human Services Psych Code's

| СРТ | (CPT Description in parentheses) | Units by Minutes | Rate |
|----------|---|---------------------|----------|
| 90791 | Evaluation, Intake, Screening, Testing -Non Psych (Psychiatric diagnostic interview exam) | 15 | \$28.33 |
| 90791 AM | Evaluation, Intake, Screening, Testing - Psychiatrist (Psychiatric diagnostic interview exam) | 15 | \$51.78 |
| 90791 SA | Evaluation, Intake, Screening, Testing - CNP/PA (Psychiatric diagnostic interview exam) | 15 | \$46.65 |
| 90863 AM | Psychiatric Services (Pharmacologic management) | 15 | \$51.78 |
| 90863 SA | CNP/PA Med Management (Pharmacologic management) | 15 | \$46.65 |
| 96119 | Neuropsychological testing done by a psychologist or physician | 60 | \$188.53 |

APPENDIX E - Exceptions

Division of Rehab Services/SBVI Rate Table Effective: 07/01/2014 - 06/30/2015

| CPT/HCPC CODE | Units | SD Statewide Amount | DESCRIPTION |
|------------------|-------|------------------------|-------------------------------|
| 90889 | | \$107.65 | Preparation of patient report |
| 99455 | | \$283.54 | Disability examination |
| 99456 | | \$283.54 | Disability examination |

Service Rates for Copy & Report Fee

South Dakota Department of Rehab Services Effective: 07/01/2014 - 06/30/2015

| Service | | Rate |
|---|--------------------------------|---------------------------|
| Copies of records in-state | Pages 1-25 Additional pages | \$11.02 \$.50 per page |
| | Maximum | \$35.00 |
| Narrative Report - For any type of narrative report (doesn't matter # of pages) \$25.00 maximum. | | \$25.00 |
| Copies of records out-of-state Refer to MER Reimbursement fees Revised 9/1/1999 | | \$35.00 |
| Provider Service Fee for Review of Record Fee - when there's a failure to keep exam appointment | | \$50.00 |

Tennessee_Annual CE Oversight Report_2014

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | Atlanta |
|---|---------------------------------------|
| State DDS: | Tennessee |
| Report Period (Fiscal Year): | October 1, 2013- September 26, 2014 |
| Current Date: | November 5, 2014 |
| Reporter's Name, Phone number, and title: | Name (b) (6) Phone number (b) (6) |
| | Title Disability Claims Supervisor 2 |
| | |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

The Tennessee DDS reviews and investigates all complaints made against CE providers. The complaint is reviewed by the PRO covering the territory where the CE provider practices. Complaints are generally placed in one of two categories--standard non-egregious complaints and egregious complaints. A written statement is usually requested from the claimant or 3rd party submitting the complaint, but is not required to initiate a PRO investigation.

The CE provider report is reviewed on all complaints. Depending on the nature of the complaint, the PRO may also contact the CE provider by phone or in person, review prior CE provider complaints, initiate additional mail out or over-the-phone claimant surveys, request a verbal or written explanation from the CE provider in response to the complaint. All complaints are retained in the CE provider's PRO unit folder. Depending on the outcome of the PRO unit investigation, additional action may be taken against the CE provider that could include but would not be limited to, retraining, a verbal or written reprimand, suspension or removal from the CE provider panel. All appropriate referrals to the SSA Regional office, licensing broads or law enforcement would be taken if warranted. If applicable, a written response will be provided to the claimant and/or 3rd

party.

In addition to responding to claimant and/or 3rd party initiated complaints, the PRO unit mails out approximately 50 claimant surveys per week in conjunction with a standard rotation of CE provider QA reviews. When returned, the claimant surveys are reviewed and retained in the CE provider's PRO unit folder. The claimant survey may also trigger a PRO unit investigation of a CE provider.

2. Attach a list of completed onsite reviews of CE providers.



3. Attach a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch. Tennessee's Key CE Providers FY 2014

Wellcare--as known as Bluegrass Public Health Consultants—(Physical) PO Box 5825 Paris, KY 40362

Behavioral Science Consulting (Psychology) 1407 Union Ave., Ste. 1407 Memphis, TN 38104

Murfreesboro Services, LLC (Physical and Psychology) 2656 Rideout Lane Murfreesboro, TN 37128

Cumberland Medical Associates (Physical) 150 E. Division Rd., Ste 9 Oak Ridge, TN 37830

Memphis Medical Associates (Physical) 6094 Apple Tree Dr., Suite 12 Memphis, TN 38115

Morristown Medical Associates (Physical) 2615 W. Andrew Johnson Hwy Morristown, TN 37814

Tri-Cities Service Group (Physical and Psychology) 3915 Bristol Hwy., Ste 401

Johnson City, TN 37601

West TN Psychological Associates (Psychology) 6094 Apple Tree Drive, Suite 12 Memphis, TN 38115

Evaluation Specialists (Psychology) North Shore Drive Knoxville, TN 37918

W R Stauffer, M.D. (Physical) (b) (6)

Brentwood, TN 37027

Eva Misra, M.D. (Physical) 116 Glenleigh Court, Suite 2117 Knoxville, TN 37922

Randolph Occupational Medicine (Physical) Bruce Randolph, M.D. 3960 Knight Arnold Road, Suite 103 Memphis, TN 38118

The Medical Matter--formerly known as Quality Med Pro (Physical) 621G Old Hickory Blvd. Jackson, TN 38305

The 13 listed above are all volume providers in addition to being key providers. The CE providers listed below are medical/psychological sources that do work mainly for the TN DDS.

Medical Specialists of Knoxville (Physical) Jeff Summers, M.D. 6612 Maynardville Hwy. Knoxville, TN 37918

Barry R. Siegel, M.D. (Physical) White Station Tower, Suite 611 5050 Poplar Avenue Memphis, TN 38157

Corporate Services (Physical) Bruce Davis, M.D. Suite 700, Doctor's Pavilion 1916 Patterson Street Nashville, TN 37203

William Holland, M.D. (Physical) 2650 Executive Park NW, Suite 5 Cleveland, TN 37312

Laambda Healthcare (Physical) Kamal Mohan, M.D. 6025 Walnut Grove Road, Suite 311 Memphis, TN 38120

Diagnostic Center (Physical) Dr. Thomas Mullady 2205 McCallie Ave. Chattanooga, TN 37404 Memphis, TN 38120

Deborah Doineau (Psychology) (b) (6) Nashville, TN37212

Cookeville Services, Inc. (Physical) 377-A Short Street Cookeville, TN 38501

Psychological Diagnostic Group (Psychology) PO Box 33 Athens, TN 37311

Knoxville Services (Physical and Psychology) 320 N. Cedar Bluff Rd., Suite 330 Knoxville, TN 37922

Jackson Services (Physical and Psychology) 384-B Carriage House Jackson, TN 38305

Chattanooga Services (Physical and Psychology) East Gate Town Center 5600 Brainerd Rd., Suite C-22 Chattanooga, TN 37411

Dyersburg Medical Associates (Physical and Psychology) 1355 Flowereing Dogwood Lane Dyersburg, TN 38024

Trenton Services, LLC (Physical and Psychology) 401 Hospital Dr. Trenton, TN 38382

Paris Medical Services, Inc. (Physical and Psychology)

2835 East Wood St., Ste D Paris, TN 38242

Nashville Medical Services, Inc. (Physical and Psychology) 301 S. Perimeter Park Dr., Ste. 125 Nashville, TN 37211

Hendersonville Medical Services, Inc. (Physical and Psychology) 639 E. Main St.. Ste B101 Hendersonville, TN 37075

Columbia Medical and Psychological Services (Physical and Psychology) 1129 Trotwood Ave., Ste 18 Columbia, TN 38401

Fayetteville Medical Services (Physical and Psychology) 4120 Thornton Taylor Pkwy, Ste. G Fayetteville, TN 37334

Clarksville Services, LLC (Physical and Psychology) 1506 ½ Madison St. Clarksville, TN 37043

Dickson Medical Inc. (Physical and Psychology) 210 Skyline Circle, Ste. D Dickson, TN 37055

Bristol Medical Services, Inc. (Physical and Psychology) 21 Midway Medical Park, Ste 5 Bristol, TN 37620

McMinnville Medical Services (Physical and Psychology) 1037 Sparta St McMinnville, TN 37110

Tullahoma Services, LLC (Physical and Psychology) 108 W. Blackwell St Tullahoma, TN 37388

Kingsport Medical Services (Physical and Psychology) Reedy Creek Terrace Shopping Center 1880 N. Eastman Rd., Ste 140 Kingsport, TN 37664

Linda Blazina, PhD (Psychology)

Lebanon, TN 37087

Stephen Hardison, MA (Psychology)

(b) (6) Crossville, TN 38555

Tracy Allred, EDD (Psychology) 685 Emory Valley Rd.. Ste C Oak Ridge, TN 37830

David Thompson, MA (Psychology) 8633 E. Brainerd Rd., Ste C Chattanooga, TN 37421

Alice Garland, MA (Psychology) 1916 Patterson St., Ste. 603 Nashville, TN 37203

Patricia Maffeo, PHD (Psychology) (b) (6) Knoxville, TN 37919

Kevin Blanton, PHD (Psychology) (b) (6) Knoxville, TN 37939

Ellen Denny, PHD (Psychology)

Knoxville, TN 37923

William Kenney, PHD (Psychology)

Maryville, TN 37804

Dennis Wilson, PHD (Psychology) (b) (6) Milan, TN 38358

4. Provide the total number of CE providers on the panel.

TN DDS has 196 CE provider individual/groups with 332 practitioners that we credential. This includes: CE providers that do mental and physical evaluations individually or for a group, practitioners providing ancillary exams and co-signers for some psychological reports.

5. Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform

CEs.

CE provider's licensing and credentialing status is checked each year at the beginning of the federal fiscal year and when a CE provider's state medical license is due for renewal. Internet searches are done on each provider using two different credentialing sites, the Tennessee Department of Health License Verification

(http://health.state.tn.us/Licensure/default.aspx) and Office of Inspector General, U.S. Department of Health and Human Services (OIG/HHS) exclusions data base (http://exclusions.oig.hhs.gov/). A screenshot of these searches are placed into an electronic folder database and kept on file. Tennessee DDS also keeps a spreadsheet to track license expiration dates, exclusionary information, quality reviews and other information on each CE provider. Each CE provider is also checked for the training and experience required to perform the type of examination or test the TN DDS may request. In addition to these checks and balances, an automated email alert is generated monthly to the DDS from the Tennessee Health Related Board (medical and psychology) for any violations that would suspend, exclude or bar a license or prevent participation in any Federal program. The CE provider also provides a signed statement that all support staff used in the CE examinations meet the licensing or certification requirements and are not currently excluded, suspended or barred from participation in any Federal assisted program. All Out-of-State medical sources are also checked to ensure that they are currently licensed and are qualified to perform the type of exam requested. The date and name of the DDS employee who obtained licensing and credentialing verification is documented.

6. Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation.

The TN DDS has a Memorandum of Understanding (MOU) with our CE providers that requires each of them to ensure that their support staff is credentialed in accordance with state standards.

7. Provide a description of any CE/MER fee schedule changes (include a description of any volume medical provider discounts).

Tennessee uses the Medicare Physician Fee Schedule and the Medicare Clinical Diagnostic Laboratory Fee Schedule from the Centers for Medicare & Medicaid Services (CMS) as a benchmark for establishing the amount of payment for consultative examinations.

The Tennessee fee schedule is updated in the spring/summer of each calendar year in order to coincide with the latest Congressional changes to the current year CMS fee schedules.

Tennessee uses an Excel spreadsheet and Word documentation to calculate and

describe necessary fee schedule additions, changes and deletions.

For 2014, CPT code 92700 for ENT procedure/service was changed to the DDS internal code of 9270A in order to provide a more suitable payment calculation. The DDS internal code of 9250S Speech Language Evaluation was crosswalked to new CPT code 92523 Speech sound language comprehension due to the deletion of the prior CPT code 92506 Speech/Hearing Evaluation. CPT code 92004 for an Eye exam on a new patient, know internally at DDS as Visual Exam was changed to VS Exam to avoid name confusion.

Tennessee has no volume medical provider discounts.

Tennessee pays a flat fee of \$20.00 to all medical records providers.

8. Upload fee schedules to the MPRO SharePoint site.

Uploaded 11/3/14

9. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc.

The TN DDS has exhibited at the annual Tennessee Psychological Associations (TPA) convention, the Tennessee Health Information Management Associations (THIMA) conference as well as the Rural Health Association of TN (RHAT) convention. We have also been involved with the state's outreach program called ParTNer's which meets three times per year and includes all of the disability components such as the Field Offices, ODAR, DDS and the DQB representative for our state.

The TN DDS Professional Relations Office continues to work with medical records providers across the state in order to best utilize the ERE system. Currently, the majority of major healthcare providers across the state utilize the ERE in some capacity.

Over the past 2 years, the TN DDS Professional Relations Office has taken part in State of Tennessee "LEAN" initiatives. This is a program intended to improve the efficiency and effectiveness in state government.

Please attach any additional information before submitting this form.

| CE Panelists | Onsite Review Date |
|---------------------------------|--------------------|
| Allred, Tracy L | 4/9/2014 |
| Appalachian Psychological C | 7/24/2014 |
| Assessment and Forensic Ser | 7/24/2014 |
| Audiology Service of Chatt | 4/10/2014 |
| Barker, Mary L | 7/23/2014 |
| Behavioral Health & Assessment | 7/24/2014 |
| Behavioral Science Cons | 7/24/2014 |
| Blanton, Kevin | 4/8/2014 |
| Blazina, Linda | 5/14/2014 |
| Blevins, Melvin L | 8/20/2014 |
| Bridgewater Speech & Hearing | 4/8/2014 |
| Camp, Diane | 7/23/2014 |
| Chattanooga Services LLC | 4/9/2014 |
| Cherokee Educational Consul | 7/25/2014 |
| Clarksville Services | 6/2/2014 |
| Columbia Medical & Psycho | 4/21/2014 |
| Columbia Orthopedic Clinic | 4/21/2014 |
| Cookeville Psych & Counseling | 8/20/2014 |
| Cookeville Services LLC | 8/20/2014 |
| Core Physicians | 4/21/2014 |
| Corporate Services Inc | 2/26/2014 |
| Cumberland Medical Eval | 7/24/2014 |
| Dayton Internal Medicine | 4/9/2014 |
| Denny, Ellen | 4/8/2014 |
| Dickson Medical Inc | 2/26/2014 |
| Doineau, Debbie | 5/14/2014 |
| Doran, Robert | 9/24/2014 |
| Dr. Guinle & Assoc | 5/21/2014 |
| Dyersburg Medical Associates | 5/21/2014 |
| Ear, Nose, & Throat Assoc | 7/24/2014 |
| Ear, Nose, & Throat Consultants | 7/24/2014 |
| East TN Psychological Assoc | 4/7/2014 |
| Edwards, Sharon M | 9/24/2014 |
| Engstrom Services, INC | 4/9/2014 |
| Evaluation Specialists | 4/7/2014 |
| Eye Care Chattanooga PLLC | 4/10/2014 |
| Eye Centers of Tennessee LLC | 8/20/2014 |
| Family Hearing Center Inc | 4/8/2014 |
| Fayetteville Medical SVCS G | 9/4/2014 |
| Fayetteville Psychological | 6/4/2014 |
| Fisher, Timothy M | 8/20/2014 |
| Garland, Alice K | 9/26/2014 |
| Gomez, Albert J | 6/2/2014 |
| Hardison, Stephen R | 8/20/2014 |
| Harris Speech Therapy | 7/23/2014 |
| Hendersonville Medical SVCS | 6/2/2014 |

| Hendersonville Psychological | 6/2/2014 |
|--------------------------------------|-------------------------------------|
| Highland Psychological Group | 4/21/2014 |
| Holland, William A | 4/10/2014 |
| Holston Medical Group | 7/24/2014 |
| Jackson Services LLC | 7/23/2014 |
| Jenkins, Willyn | 7/24/2014 |
| Kenney, William J | 4/8/2014 |
| Kingsport Psycho & Counseling | 7/24/2014 |
| Laambda Healthcare, INC | 5/21/2014 |
| Lambert, Dorothy | 9/26/2014 9/26/2014 |
| Lawhon, Steven | 7/25/2014 |
| Maury Regional Hospital | 4/21/2014 |
| McMinnville Medical Services | 4/21/2014 9/4/2014 |
| | |
| McMInnville Psychological & | 6/4/2014 |
| Med Plus Disability Eval | 12/7/2013 |
| Medical & Psychological | 8/10/2014 |
| Medical Specialists of Knox | 4/8/2014 |
| Memphis Medical Associates | 7/24/2014 |
| Middle TN Occupational And | 5/14/2014 |
| Misra, Eva | 11/22/2013 |
| Morristown Medical Assoc | 7/24/2014 |
| Murfreesboro Services LLC | 5/14/2014 |
| Nashville Medical Services | 9/4/2014 |
| Nashville Psych & Counselin | 6/4/2014 |
| Optimum Behavioral Health I | 7/24/2014 |
| Paris Medical Services INC | 7/22/2014 |
| Paris Psychological & Couns | 7/22/2014 |
| Paris Speech & Language LC | 7/22/2014 |
| Psychological Diagnostic Group | 4/10/2014 |
| Psychological Services | 7/23/2014 |
| Randolph Occupational Medic | 7/24/2014 |
| Siegel, Barry | 7/23/2014 |
| Speech & Hearing Center | 4/9/2014 |
| Speech Pathology Services O | 4/8/2014 |
| St Francis Medical Partners | 7/24/2014 |
| Steele, Kathryn | 6/2/2014 |
| The Medical Matter LLC | 5/23/2014 |
| Tri-Cities Service Group In | 7/25/2014 |
| Tullahoma Services LLC | 8/20/2014 |
| Univ of TN Hearing & Speech | 7/24/2014 |
| Viers, Jeffrey W | 5/14/2014 |
| W R Stauffer MD & Associates | 9/24/2014 |
| Wagner, Martin H | 4/21/2014 |
| Watauga Hearing Conservation | 7/24/2014 |
| Watson Primary Care & Gen | - 1 |
| | 8/21/2014 |
| Wellcare West TN Hearing & Speech | 8/21/2014 9/13/2014 7/23/2014 |

West Tennessee Psychological7/24/2014Wholistic Health Care7/24/2014

DDS CE Oversight Report

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | Dallas |
|---|---|
| State DDS: | Texas |
| Report Period (Fiscal Year): | 2014 |
| Current Date: | 11/14/2014 |
| Reporter's Name, Phone number, and title: | Name (b) (6) Phone number (b) (6) |
| | Title Senior Director, Disability Policy and Program Support |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

All claimant complaints/inquiries regarding CE providers are referred to the Medical Relations Directorate for investigation and resolution. A program specialist reviews the complaint, CE report, and any other pertinent information. Once the complaint allegations are identified, contact is made with the provider in order to obtain their response. The provider's complaint history is reviewed to determine any patterns or trends. If the complaint allegations involve medical issues, the program specialist will request feedback from a State Agency Medical Consultant (SAMC).

Once all information is gathered and analyzed, a Professional Relations Officer (PRO) coordinates the development of appropriate recommendations to address and resolve the complaint. Recommendations could include increased monitoring, decreased referrals, or removal from the provider panel. All complaint information is documented and filed in the provider file. All follow up communications between the PRO and the provider are tracked by the Professional Relations Unit Manager. A chronology of past complaints is maintained.

A quarterly complaint summary report is developed and provided to the Professional Relations Unit Manager and the Medical Relations Directorate Manager.

| 2. Attach a list of completed onsite reviews of CE providers. |
|--|
| DDS completed an onsite review of 36 key volume providers and a list of these providers is attached (Key Volume Provider Memo FY2014). The Professional Relations Officers conducted a total 451 CE Provider visits. |
| 3. Attach a current list of names and addresses of key providers. For decentralized DDS |
| locations, the list should be prepared and submitted for each branch. |
| The list is attached (Key Volume Provider Memo FY2015). |
| 4. Provide the total number of CE providers on the panel. |
| 1645 |
| Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs. |
| To clarify, the TX DDS does not contract with CEPs. Professional Relations Officers (PRO) contact the appropriate licensing or certifying authority at the time of enrollment to ensure a provider is appropriately licensed and/or credentialed. The PROs view the HHS Office of Inspector General's (OIG) website and Excluded Parties List System (EPLS) at the time of enrollment to ensure the provider has not been excluded from participating in |

federal programs. PROs receive periodic press releases from the Texas Medical Board (TMB) detailing disciplinary actions taken by the Board, including any restrictions or suspension of physician's licenses. In addition, PROs monitor the current list of disciplined physicians and press releases on the TMB website on a monthly basis. PROs review the Texas State Board of Examiners of Psychologists on a quarterly basis. In addition, the OIG website and the EPLS are checked on an annual basis.

The Professional Relations Unit maintains a database with licensure information and expiration dates to ensure all provider files are updated with renewed licenses. A spreadsheet listing all active CEPs with the date of their license renewal is also maintained. Each month the spreadsheet is reviewed for licenses due to expire. The current license for MD's is obtained from the TMB website. For those not listed on the

TMB website (e.g. psychologists, speech language pathologists) the panelists are contacted for a current copy of their license. A hard copy of updated licenses is maintained in each CEP's vendor file.

6. Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation.

Providers certify that all technical support staff members are in compliance with all appropriate licensing or certification requirements of the State of Texas at the time of enrollment. The CEP agreement, which each CEP signs, states the panelist must ensure all support staff are duly licensed.

7. Provide a description of any CE/MER fee schedule changes (include a description of any volume medical provider discounts).

The current DDS Maximum Allowable Payment Schedule was implemented for services procured 5/1/12 and after. This fee schedule is based primarily on Medicare fees which are heavily discounted. DDS does not offer additional discounts to volume medical providers. The current fee schedule was the result of DDS' coordination with the parent agency, Department of Assistive and Rehabilitative Services' (DARS) to review all medical service rates, which included the reimbursement rates for services ordered by DDS. This review has been conducted annually.

8. Upload fee schedules to the MPRO SharePoint site.

An electronic copy of DDS' current fee schedule is uploaded in the MPRO SharePoint site (Final Medical Service Fee Schedule FY2012.xls).

9. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc.

The Professional Relations Officers participated in one medical conference FY 2014.

The Professional Relations Officers continue to encourage CE providers to submit electronic medical evidence via Electronic Records Express (ERE) or via the fax server. The electronic CE report submission reached 93.97% in September 2014. This represents a slight decrease in CE receipts from 93.47% in September 2013. 78 CEPs receive CE requests via eOR. This represents a slight decrease in CE received

via eOR from 84 in September 2013. MER received electronically (through ERE or fax server) was 85.48%. This represents an increase from 81.99% in September of 2013.

Please attach any additional information before submitting this form.

Department of Assistive & Rehabilitative Services Disability Determination Services Interoffice Memorandum

TO: Professional Relations Officers

FROM: (b) (6)

SUBJECT: Key Volume Provider Inspections – FY 2014

DATE:

October 29, 2013

Reference: POMS DI 39545.100; DI 39545.525

Below is a list of DDS medical service providers who have been designated as Key Volume Providers for FY 2014 based on the criteria outlined in the above-cited references. [Iron Data Management Report DB0F0S/V03 (CE Panelist Ranking Report – Year to Date) dated September 30, 2013, was used to determine earnings for these vendors in the previous fiscal year.] In accordance with DDS' CE Oversight Management Plan, on-site inspection of these providers should be accomplished during FY 2014. Please notify my office of the **tentative** inspection dates of the vendors in your territory by December 17, 2013, and begin developing trip itineraries for the purpose of completing this assignment. **Please note that inspections must be completed by September 30, 2014**.

| 0 | | | | | |
|--------------------------------|---------------|--------------------|---------|----------------|---------------------------------|
| FACILITY | Vendor Number | СІТУ | PRO | AMOUNT | INSPECTION DATE COMPLETED |
| CE Provider Services LLC | (h) (6) | Salt Lake City, UT | (b) (6) | \$1,122,072.63 | 5/31/14 |
| MedTex Houston | (b) (6) | Houston | (b) (6) | \$997,232.99 | 8/14/14 |
| North Texas IME | | Irving | | \$612,842.51 | 5/13/14 |
| MedTex Fort Worth | | Fort Worth | | \$535,441.26 | 8/13/14 |
| Presidio Medical Management | | San Antonio | | \$534,730.28 | 7/16/14 |
| Propsych Testing | | Houston | | \$498,331.98 | 7/8/14 |
| MedTex Dallas | | Dallas | | \$331,000.43 | 5/15/14 |
| Panjwani, Mahmood B. | | Euless | | \$321,176.20 | 8/15/14 |
| Franklin, Martin | | Kerrville | | \$310,148.25 | 8/25/14 |
| Monnig, GayleD./ Edward, David | | San Antonio | | \$289,454.97 | 7/17/14 |
| Culver, William R. | | New Braunfels | | \$279,543.39 | 8/21/14 |
| Marathon Psychology Group | | Houston | | \$272,495.39 | 5/20/14 |
| Sahi, Farzana | | Houston | | \$261,369.83 | 8/14/14 |
| Premier Med | | Lewisville | | \$248,019.69 | 9/5/14 |
| Thompson, Russel L. | | San Antonio | | \$238,122.06 | 7/16/14 |
| Gradel, Addison E. | | Lubbock | | \$234,448.81 | 5/29/14 |
| Daniel, Daryl K. | | Houston | | \$229,037.02 | 7/8/14 |
| Kirkwood, Milton | | Houston | | \$225,237.34 | 7/9/14 |
| Nwankwo, Stella | | Arlington | | \$219,969.08 | 8/14/14 |
| The Ludden Group | | Rockwall | | \$215,910.25 | 9/17/14 |
| Dosunmu, Hameed A. | | San Antonio | | \$215,657.93 | 7/16/14 |
| Ortiz, Hector J. | | Corpus Christi | | \$212,521.92 | 5/21/14 |
| Bolte, Brett | | Waco | | \$212,477.95 | 6/3/14 |
| Clark Psychological Consult | | Livingston | | \$204,053.84 | 6/9/2014 |
| Schutte, James W. | | El Paso | | \$189,344.16 | 9/18/14 |
| Rattan, Randall | | El Paso | | \$183,277.19 | 9/19/14 |
| Fletcher, Barbara Susanne | | Dallas | | \$179,421.00 | 5/14/14 |
| Davis, Kelly | | Dallas | | \$173,518.88 | 5/14/14 |
| Gerwell, Kristine J. | | San Antonio | | \$164,891.56 | 8/22/14 |
| Chavez, Amanda B. | | San Antonio | | \$161,149.93 | 9/9/14 |
| McCollum, Paul Sterling | | San Antonio | | \$156,700.92 | 7/16/14 |
| Shannon, Kelly | | San Antonio | | \$156,077.66 | 7/17/14 |
| Sloan, Lawrence | | Denton | | \$150,994.18 | 8/14/14 |
| Frensley, Susan | | Cameron | | \$147,805.32 | 6/3/14 |
| Gerwell, Edwin L. | | San Antonio | | \$147,209.03 | Inactive 7/4/14 |
| Nigalye, Narendra L. | | Brownwood | | \$145,338.00 | 94/2014 |
| De Ferreire, Mary E. | | McAllen | | \$144,745.78 | 9/23/14 |

Department of Assistive & Rehabilitative Services Disability Determination Services Interoffice Memorandum

TO: Professional Relations Officers

FROM: (b) (6)

SUBJECT: Key Volume Provider Inspections – FY 2015

DATE:

October 14, 2014

Reference: POMS DI 39545.100; DI 39545.525

Below is a list of DDS medical service providers who have been designated as Key Volume Providers for FY 2015 based on the criteria outlined in the above-cited references. [Iron Data Management Report DB0F0S/V03 (CE Panelist Ranking Report – Year to Date) dated September 30, 2014, was used to determine earnings for these vendors in the previous fiscal year.] In accordance with DDS' CE Oversight Management Plan, on-site inspection of these providers should be accomplished during FY 2015. Please notify my office of the **tentative** inspection dates of the vendors in your territory by December 17, 2014, and begin developing trip itineraries for the purpose of completing this assignment. **Please note that inspections must be completed by September 30, 2015**.

| FACILITY | Vendor Number | СІТУ | PRO | AMOUNT | INSPECTION DATE COMPLETED |
|---------------------------|-------------------------------|--------------------|-----|----------------|---------------------------------|
| CE PROVIDER SERVICES LLC | (b) (6) | SALT LAKE CITY, UT | | \$1,050,308.70 | |
| MEDTEX HOUSTON | (\mathbf{D}) (\mathbf{O}) | HOUSTON | | \$800,815.58 | |
| PRESIDIO MEDICAL MANAGE | | SAN ANTONIO | | \$600,645.60 | |
| MEDTEX FORT WORTH | | FORT WORTH | | \$511,055.20 | |
| PROPSYCH | | HOUSTON | | \$502,931.02 | |
| NORTH TEXAS IME | | IRVING | | \$432,596.64 | |
| MEDTEX DALLAS | | DALLAS | | \$321,961.65 | |
| MONNING, GAYLE D. | | SAN ANTONIO | | \$305,589.89 | |
| SAHI, FARZANA | | HOUSTON | | \$293,264.48 | |
| FRANKLIN, MARTIN | | KERRVILLE | | \$274,677.71 | |
| CULVER, WILLIAM R. | | NEW BRAUNFELS | | \$264,906.61 | |
| THOMPSON, RUSSEL L. | | SAN ANTONIO | | \$258,897.95 | |
| PANJWANI, MAHMOOD B. | | CARROLLTON | | \$255,938.58 | |
| KIRKWOOD, MILTON | | HOUSTON | | \$248,972.58 | |
| ORTIZ, HECTOR J. | | CORPUS CHRISTI | | \$246,197.57 | |
| NWANKWO, STELLA | | ARLINGTON | | \$239,715.76 | |
| SCHUTTE, JAMES W. | | EL PASO | | \$226,826.10 | |
| GRADEL, ADDISON E. | | LUBBOCK | | \$224,938.33 | |
| MARATHON PSYCHOLOGY GROUP | | CONROE | | \$219,312.80 | |
| CLARK PSYCHOLOGICAL | | LIVINGSTON | | \$210,669.13 | |
| THE LUDDEN GROUP | | TERRELL | | \$204,882.07 | |
| DANIEL, DARYL K. | | HOUSTON | | \$202,293.22 | |
| PREMIER MEDICAL GROUP | | LEWISVILLE | | \$199,666.95 | |
| DOSUNMU, HAMEED A. | | SAN ANTONIO | | \$195,695.85 | |
| RATTAN, RANDALL | | DENTON | | \$184,093.57 | |
| SHANNON, KELLY | | SAN ANTONIO | | \$176,661.16 | |
| SUKUMAR, LATHA | | KILLEEN | | \$170,903.34 | |
| BOLTE, BRETT | | WACO | | \$167,301.51 | |
| MCCOLLUM, PAUL STERLING | | SAN ANTONIO | | \$164,975.55 | |
| DE FERREIRE, MARY E. | | MCALLEN | | \$161,230.72 | |
| FRENSLEY, SUSAN | | CAMERON | | \$161,201.85 | |
| FLETCHER, BARBARA S. | | DALLAS | | \$160,355.85 | |
| SLOAN, LAWRENCE | | DENTON | | 146,893.85 | |
| GERWELL, KRISTINE J. | | SAN ANTONIO | | 146,436.53 | |

DDS CE Oversight Report

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | Denver | | |
|---|--|--|--|
| State DDS: | Utah (S50) | | |
| Report Period (Fiscal Year): | 2014 | | |
| Current Date: | 10/30/2014 | | |
| Reporter's Name, Phone number, and title: | Name (b) (6) Phone number (b) (6) | | |
| | Title Professional Relations Officer | | |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

Utah DDS received less serious complaints in FY 2014. These complaints were resolved with a phone call to the person making the complaint or by writing a letter addressing the issue. Since the nature of the complaints was less serious, all complaints were handled by me. When necessary, the assistant administrator and/or the chief medical consultant were notified about the complaint to assist in the resolution process. To investigate the issue I would talk to the person making the complaint. If necessary, I would contact the CE provider for his/her account. A review of the CE report was always done. A review of the CE provider's file was done to see if there was a pattern of similar complaints. All complaints were documented and kept in the CE provider's file.

If a more serious complaint is received, I would contact the assistant administrator and the chief medical consultant. The assistant administrator and I would determine if the regional office, DDS director or State Attorney General's Office should be notified of the complaint. We do not contact any other state agency regarding any complaint, unless another agency is representing a claimant (and has a 1696 in file). While our agency did not receive any complaints in FY 2014 that would eliminate a CE provider from the panel, if the complaint was pertaining to egregious behavior we would consider eliminating the CE provider from our panel.

2. Attach a list of completed onsite reviews of CE providers.

I performed 10 onsite reviews for key providers in FY 2013 (multiple locations for key providers). I performed 5 onsite reviews of non-key providers.

1. Canyon Medical Solutions:

-1250 East 3900 South, #260, Salt Lake City, Utah 84124 (two onsite reviews at this location, one with (b) (6)
-413Washington Blvd, Ogden, Utah 84404
-2230 North University Parkway, #6B, Provo, Utah 84604

- John Hardy, Ph.D.
 -7601 South Redwood Road, Bldg. E, West Jordan, Utah 84084
- 3. Dr. Richard Ingebretsen, MD: -(b) (6) Salt Lak

Salt Lake City, Utah 84102 Brigham City, Utah 84302

- 4. Tayna Colledge, Ph.D. (Colledge Psych. Associates, LLC):
 -3300 Running Creek Way, Bldg. G., Ste. 250, Lehi, Utah 84043
 -1140 36th Street, Ste. 207, Ogden, Utah 84403
 -1046 East 100 South, Salt Lake City, Utah 84102
- Artis Forensic Neuropsychology: 2245 South 1200 West, Ogden, Utah, 84401

3. Attach a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch.

Key Providers

Per POMS DI 39545.100, key and volume providers for Utah DDS are:

1. Canyon Medical Solutions:

1250 East 3900 South, #260, Salt Lake City, Utah 84124 2230 North University Parkway, #6B, Provo, Utah 84604 413 Washington Blvd, Ogden, Utah 84404 45 North Main Street, Nephi, Utah 84648 619 South Bluff Street, St. George, Utah 84770 476 West Williams Way, #A, Moab, Utah 84532

2. John Hardy, Ph.D.

7601 South Redwood Road, Bldg. E, West Jordan, Utah 84084

3. Richard Ingebretsen, MD:

o) (6)

Salt Lake City, Utah 84102

| | (b) (6) Price, Utah 84501 4. Tayna Colledge, Ph.D. (Colledge Psych. Associates): 3300 Running Creek Way, Bldg. G, Ste. 250, Lehi, Utah 84043 1140 36th Street, Ste. 207, Ogden, Utah 84403 1046 East 100 South, Salt Lake City, Utah 84102 5. Artis Forensic Neuropsychology: 2245 South 1200 West, Ogden, Utah, 84401 2450 North Town Center Drive, Washington, Utah 84780 20 West 1400 North, Richfield, Utah 84701 950 South 276 East, #100, Orem, Utah 84058 838 Westwood Blvd, Price, Utah 84501 |
|----|--|
| 4. | Provide the total number of CE providers on the panel. |
| | Utah DDS has approximately 115 CE providers on the panel, not including hospitals. |
| 5. | Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs. |
| | Prior to performing CE's, a provider is required to give us a copy of his/her medical license and vita. A search is conducted using the Utah Division of Occupational and Professional Licensing website (<u>http://www.dopl.utah.gov/</u>) to determine if the provider has a history of any disciplinary action from the State of Utah. A search is also conducted on the HHS-OIG website (<u>http://exclusions.oig.hhs.gov/</u>). |
| | I track all licenses on a spreadsheet. The month licenses are going to expire I check to see if the license has been renewed through the Utah Division of Occupational and Professional Licensing website. If a license has not been renewed, I contact the CE provider. An LEI check is done once per quarter to be complaint with POMS DI 3569.300. Findings are tracked on the same spreadsheet. |
| 6. | Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation. |

To ensure that a CE provider's staff is licensed when appropriate, I have the CE provider sign a copy of the License and Credentials Certification for Consultative Examination Provider and Certification of All Support Staff, found in POMS DI 39569.400. A copy of this is kept in the providers file and updated as needed.

7. Provide a description of any CE/MER fee schedule changes (include a description of any volume medical provider discounts).

Utah DDS has not made any adjustments in MER rates in the fiscal year 2014. We do not receive or offer any volume medical provider discounts for CE's or MER.

For many years, Utah DDS followed the Utah State Board of Education's (USOE) fee schedule for CE reimbursement purposes. In FY 2014, USOE changed the fee schedule. In order to not reduce reimbursement rates for providers, Utah DDS is now basing our fee schedule on Federal Workman Compensation rates. While there has been no actual rate change for CE exams, the fee schedule Utah DDS follows changed in FY 2014.

8. Upload fee schedules to the MPRO SharePoint site.

9. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc.

In an effort to increase Utah's ERE percentage, I created a mass mailer marketing ERE. This was sent out to approximately 950 MER vendors that were not signed up to use ERE. I made a phone call to one of the largest MER volume providers in Utah to market ERE. As of September 2014, the large volume provider signed up 17 facilities to use ERE and will continue to add other locations.

I have not had the opportunity to market ERE at any medical conventions or in conjunction with the regional public affairs office. However, I do market ERE when I call a MER provider about an issue if the source does not use ERE. When I perform onsite reviews with a CE provider, I always take some time to market ERE if the provider is not familiar with ERE. When I am in the process of recruiting a CE provider, I offer ERE services up front.

Please attach any additional information before submitting this form.

In FY 2014, I recruited and trained a number of CE providers as well as provided feedback about reports. As I receive feedback from medical consultants about CE reports, I work closely with CE providers to improve reports. I contact treating sources as needed, to see if the provider is willing to perform the CE at our rate (this requires me to verify his/her license on DOPL and the LEI website as well as provide example CE reports for the provider). A few times a year, I create a newsletter for our CE providers as well as prepare any special bulletins for CE providers. To be compliant with POMS DI 39545.350, a claimant survey of the CE provider is sent out annually. The feedback is reviewed by me and shared with the CE provider. A copy of the survey is kept in the provider's individual file.

Utah DDS is receiving assistance from other states to assist in our backlog of claims. It is my responsibility to request the CE's and clear the case back to the office handling the AR request. If a CE is needed out of state, it is my responsibility to contact the PRO to see if an AR will be accepted by their office.

As the PRO, I supervise the CE schedulers and in FY 2014, my staff has increased by one. Part of my duties is to ensure that CE's are evenly distributed to our providers and to ensure CE providers are spending the allotted time on exams set forth in DI 39545.250 (Consultative Examination Scheduling Intervals). I am constantly engaging in the supervisor role as well as assisting examiners and medical consultants with CE issues.

It is my job to contact facilities regarding 827 issues or MER request issue. These issues are referred to me by examiners or technical support staff. I assist our case control unit and examiners in obtaining attested 827's, should the need arise. I work closely with MER providers for continued acceptance of e827's.

I act as the agencies SOAR liaison and communicate and train on DDS processes for the SOAR group. In FY 2014, I presented to a group of over 100 SOAR trained individuals on the role DDS plays in the SOAR process.

I am also part of the administrative team and attend all management meetings. I assist in special assignments when assigned to me by the assistant administrator or administrator. I train new examiners on CE issues.

DDS CE Oversight Report

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | Boston |
|---|---|
| State DDS: | Vermont |
| Report Period (Fiscal Year): | 2014 |
| Current Date: | November 12, 2014 |
| Reporter's Name, Phone number, and title: | Name (b) (6) Phone number (802) (b) (6) |
| | Title Professional Relations Officer |
| | |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

- All claimants are sent the Consultative Examination Comment Form, a card stock form that is accompanied by a postage paid, addressed envelope
- PRO reviews completed forms
- Any other claimant complaints that the DDS receives regarding CEs are referred to the PRO

Negative Comments/Complaints

- Case file is reviewed
- Examiner is consulted
- Chief MC/PC is consulted
- Claimant is called as needed for clarification, further investigation and response/resolution
- Provider is called/visited as needed to address and resolve concerns
- Complaint is logged on an Excel spreadsheet and complaints are reviewed monthly at MRO Steering Committee meeting for any developing patterns with providers that need to be addressed by PRO, Chief Medical/Psychological Consultant or Director
- All complaints are investigated. Serious complaints may result in the provider's suspension or removal from the CE panel, or other appropriate action to protect the public

Positive Comments

- Passed along to provider
- Maintained in PRO file on provider

2. Attach a list of completed onsite reviews of CE providers.



See attached: FY '14 VT DDS Onsite Reviews of CE Providers Reviews of CE Provide

3. Attach a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch.



See attached: FY '14 VT DDS Key Providers Names and Addresses Providers Names and

4. Provide the total number of CE providers on the panel.

89

5. Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs.

Initial Agreement

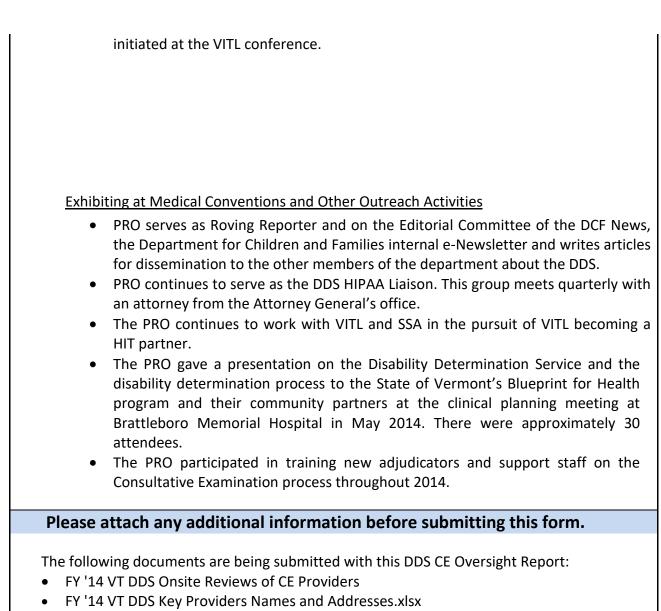
The PRO establishes a file for any potential CE provider. The file has a cover sheet that is a checklist of the steps needed to have a provider become a member of the CE panel. One of the first items is to check credentials by checking against the Vermont Secretary of State's Professional Licensing Database or the Vermont Medical Board DocFinder website, and the OIG exclusion site. Both Vermont sites show status of licensure, expiration date and any disciplinary actions/sanctions/limitations that have occurred. By using this form for all potential new providers, the DDS ensures all new providers have the appropriate medical credentials before we bring them onto our CE panel.

Periodic Checks

All CE M.D.'s licenses are checked quarterly. The check is done on both the Vermont Board of Medical Practice's eLicense page and the OIG site. Additionally, the PRO performs a monthly check of the Vermont Board of Medical Practice for Board Actions as new M.D. disciplinary actions are listed monthly on this page. All professional licenses are checked quarterly, on the Secretary of State's Office of Professional Regulations' eLicense Online site and the OIG site. Additionally the PRO does periodic checks of new sanctions on the OPR site.

The PRO maintains documentation of these checks on an Excel spreadsheet. Upon discovery of licensure issues, the DDS immediately suspends or removes the

| 1 | |
|----|---|
| | CE doctor from the panel and cancels pending exams. As necessary, we would |
| | review cases with CEs by the provider and determine next steps (notifying SSA, |
| | rescheduling with a different doctor, reopening the determination, etc.) |
| | |
| | |
| 6. | Provide a brief description of the process used by the DDS to ensure that all CE providers' |
| | support personnel are properly licensed or credentialed when required by State law or |
| | regulation. |
| | |
| | All CE providers complete and sign a Letter of Understanding, wherein the |
| | providers affirm their support personnel are properly licensed and/or certified. |
| | |
| 7. | Provide a description of any CE/MER fee schedule changes (include a description of any |
| | volume medical provider discounts). |
| | |
| | The PRO performed an extensive review of the DDS Fee Schedule including a |
| | crosswalk with the Medicare rates in 2013. There were no glaring differences. The |
| | PRO has been reviewing selected CPT codes charged by providers against the |
| | Center for Medicare/Medicaid Services Physician Fee Schedule and adjusts as |
| | necessary. Any newly created fees are determined by using the Physician Fee |
| | |
| | Schedule. https://www.cms.gov/apps/physician-fee-schedule/ |
| | Schedule. https://www.cms.gov/apps/physician-fee-schedule/ |
| 8. | Schedule. https://www.cms.gov/apps/physician-fee-schedule/ Upload fee schedules to the MPRO SharePoint site. |
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| | Upload fee schedules to the MPRO SharePoint site. FY '14 VT DDS Fee See Attached: FY '14 VT DDS Fee Schedule Final (120)^{Schedule Final (120).f} Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc. <u>Marketing Electronic Records</u> Throughout FY '14, the PRO continued to encourage and convert providers to the use of the Electronics Records Express system. In September 2014, the PRO exhibited at the Vermont Information Technology Leaders (VITL) conference and explained ERE to a variety of healthcare providers. |
| | Upload fee schedules to the MPRO SharePoint site. FY '14 VT DDS Fee See Attached: FY '14 VT DDS Fee Schedule Final (120) Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc. <u>Marketing Electronic Records</u> Throughout FY '14, the PRO continued to encourage and convert providers to the use of the Electronics Records Express system. In September 2014, the PRO exhibited at the Vermont Information Technology Leaders (VITL) conference and explained ERE to a variety of healthcare providers. Rutland Regional Medical Center, one of the VT DDS's five largest MER providers, |
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| | Upload fee schedules to the MPRO SharePoint site. FY '14 VT DDS Fee See Attached: FY '14 VT DDS Fee Schedule Final (120)^{Schedule Final (120).f} Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc. <u>Marketing Electronic Records</u> Throughout FY '14, the PRO continued to encourage and convert providers to the use of the Electronics Records Express system. In September 2014, the PRO exhibited at the Vermont Information Technology Leaders (VITL) conference and explained ERE to a variety of healthcare providers. Rutland Regional Medical Center, one of the VT DDS's five largest MER providers, has become an ERE provider and has been testing the system with their business processes. Dartmouth Hitchcock Medical Center, another of the VT DDS's five |
| | Upload fee schedules to the MPRO SharePoint site. FY '14 VT DDS Fee See Attached: FY '14 VT DDS Fee Schedule Final (120) Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc. <u>Marketing Electronic Records</u> Throughout FY '14, the PRO continued to encourage and convert providers to the use of the Electronics Records Express system. In September 2014, the PRO exhibited at the Vermont Information Technology Leaders (VITL) conference and explained ERE to a variety of healthcare providers. Rutland Regional Medical Center, one of the VT DDS's five largest MER providers, has become an ERE provider and has been testing the system with their business |



• FY '14 VT DDS Fee Schedule Final (120)

Vermont DDS Onsite Reviews Completed in FY 2014

| Rank by Dollar Amount in 2014 | Provider Group Name | Last Name | First Name | Credentials | Site (s) Visited; note if Multiple Locations | Reason for Visit | Date of Visit |
|-------------------------------|---------------------|----------------|------------|-------------|--|--------------------|---------------|
| 1-\$94,181.00 | AMCE | | | M.D.'s | Visited Springfield & Bennington Sites | (b) (6) | 5/20/2014 |
| 3-\$63,960.00 | | Korgeski | Gregory | Ph.D. | Visited Greg in Waterbury/Visited site in Bennington | (b) (6) (b) (6) | 5/20/2014 |
| 4-\$49,947.00 | Maple Leaf Clinic | Mooney | Dean | Ph.D. | Wallingford | | 9/30/2014 |
| 5-\$45,677.02 | | Reichardt | Dennis | Ph.D. | Burlington | (b) (6) | 9/17/2014 |
| | | | | | | (b) (6) | |
| 6-\$31,683 | | Rickard | Kathryn | Psy.D. | Montpelier | | 9/25/2014 |
| 7-\$29,308 | | Ferns Lefebvre | Raquel | M.S. | South Burlington | (b) (6) | 9/17/2014 |
| 54-\$621 | | Ross | Paul | M.D. | Pawlet | (b) (6) | 5/20/2014 |
| | | | | | | (b) (6) | |
| | | | | | | | |
| NEW PROVIDER | Redlink, Inc. | | | | Winooski (New bulk provider-first site) | | 9/18/2014 |

Vermont DDS Key Providers FY '14 Current List of Names and Addresses

| Rank by Dollar Amount in 2014 | Provider Group Name | Last Name | First Name | Credentials | Address |
|-----------------------------------|---------------------|-----------|------------|-------------|--|
| | | | | | PO Box 460, Hooper, UT 84315 ((b) (6) |
| 1-\$94,181.00 | AMCE | | | M.D.'s |) |
| | | | | | Old Address: (b) (6) . St. Albans, VT 05478 |
| 2-\$66,655.00* | | Williams | Tedd | Ph.D. | New Address: (b) (6) , Stuart, FL 34994 |
| 3-\$63,960.00 | | Korgeski | Gregory | Ph.D. | PO Box 408, Saxtons River, VT 05154 |
| 4-\$49,947.00 | Maple Leaf Clinic | Mooney | Dean | Ph.D. | 167 North Main Street, Wallingford, VT 05773 |
| 5-\$45,677.02 | | Reichardt | Dennis | Ph.D. | (b) (6) , Colchester, VT 05446 |
| 6-\$31,683** | | Rickard | Kathryn | Psy.D. | (b) (6) , Berlin, VT 05602 |
| *Resigned from CE Panel (b) (6) | | | | | |
| **#6 so included as #2 resigned w | hich makes her #5 | | | | |

DDS CE Oversight Report

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | New York, Region 2 |
|---|---------------------------------------|
| State DDS: | US Virgin Islands |
| Report Period (Fiscal Year): | FY 2013 |
| Current Date: | 11/13/2014 |
| Reporter's Name, Phone number, and title: | Name (b) (6) Phone number (b) (6) |
| | Title Disability Representative |
| | |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

We have not received any complaints under any category for several years for this small service area. DDS standing procedures: 1. Contact the source of complaint by telephone for a clear understanding of the complaint. 2. Any complaints not easily resolved are documented in detail by the Disability Rep and shared with the PRO coordinator, the DPA overseeing operations, and the CD Director NY for consideration of appropriate actions. The CD Director will decide the course of action which will depend on the nature of the complaint. Actions may include suspension as a CE provider, filing a complaint with the Territory licensing board, referral to the regional office General Counsel,

2. Attach a list of completed onsite reviews of CE providers.

10/2014 St Thomas Radiology 11/2014 Community Laboratory

3. Attach a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch.

We have no key providers by annual billing criteria.

The following are our top five key providers by dollar volume:

- 1. St Thomas Radiology
- 2. Imaging Center
- 3. Ramona Moss, Phd
- 4. Robert L Bucher, MD
- 5. Scott Hartshorn, MD

4. Provide the total number of CE providers on the panel.

17

5. Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs.

CE providers complete a signed qualifications statement with their license number. They attest to their USVI qualifications for licensing and certification. I confirm the qualifications and sanctions with the licensing board, contact the OIG website for exclusions.

6. Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation.

I follow procedures in #5 above annually.

7. Provide a description of any CE/MER fee schedule changes (include a description of any volume medical provider discounts).

There have been no MER fee scheduled changes. We provide no volume medical provider discounts. CE fee schedules are changed annually to align exactly with the Medicare Part B fee schedule for the Territory.

8. Upload fee schedules to the MPRO SharePoint site.

See website below for the fee schedule. http://medicare.fcso.com/include/license.asp?lob=Part%20B&location=USVI

9. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc.

No activities in the last year.

Please attach any additional information before submitting this form.

None

DDS CE Oversight Report

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| Region: | New York, Region 2 | | |
|---|---------------------------------------|--|--|
| State DDS: | US Virgin Islands | | |
| Report Period (Fiscal Year): | FY 2013 | | |
| Current Date: | 11/13/2014 Revised 3/4/2015 | | |
| Reporter's Name, Phone number, and title: | Name (b) (6) Phone number (b) (6) | | |
| | Title Disability Representative | | |
| | | | |

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2. Attach a list of completed onsite reviews of CE providers.

10/2014 St Thomas Radiology 11/2014 Community Laboratory

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The following are our top five key providers by dollar volume:

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4. Provide the total number of CE providers on the panel.

17

5. Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs.

CE providers complete a signed qualifications statement with their license number. They attest to their USVI qualifications for licensing and certification. I confirm the qualifications and sanctions with the licensing board, contact the OIG website for exclusions.

6. Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation.

I follow procedures in #5 above annually.

7. Provide a description of any CE/MER fee schedule changes (include a description of any volume medical provider discounts).

There have been no MER fee scheduled changes. We provide no volume medical provider discounts. CE fee schedules are changed annually to align exactly with the Medicare Part B fee schedule for the Territory.

8. Upload fee schedules to the MPRO SharePoint site.

See website below for the fee schedule. We use the CPT 2015(Current Procedural Terminology) Edition published by the AMA(American Medical Association) that defines all examinations and axillary testing. FSCO(First Coast Service Options, Inc.) publishes the Medicare fees for this Territory as indicated at the Web site. http://medicare.fcso.com/index.asp

9. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc.

No activities in the last year.

While techniques to attract new vendors may work well in more populated areas, our CE specialist marketplace has been always static in available professionals with few exceptions. My contacts with providers are one-to-one. There are no significant changes in providers because there are no new significant number of providers in this low, populated Territory. There is very little allure for health professionals to enter this healthcare marketplace from abroad.

I review the Dept of Health licensed physician listing for potential candidates. Newspapers are efficient sources for new advertised services. This DDS services approximately 100,000 people in the Territory, a population that has decreased about 9% in the last 3 years. The Dept of Health provides a current list of licensed MDs. All licensed sources have been screened at some time for services they can provide. There is but one radiology office on each of the major islands. There are 2 orthopedic offices in St Thomas which have opened offices in St Croix about 3 years ago to fill the void for their services on this island. There are 3 neurologists, one of which travels to St Croix from St Thomas twice monthly to fill service shortfalls there. There is but one cardiologist on St Thomas and 2 on St Croix. There is one ENT servicing both major islands for hearing evals and pulmonary testing. There are sufficient psychologists/psychiatrists in the Territory, but only one Spanish speaking professional.

Please attach any additional information before submitting this form.

None

Virgin Islands DDS_FY 2014_CE Providers List

KEY Providers

ST Thomas Radiology, Paragon Bldg, St Thomas VI 00802

Imaging Center, Island Medical Center, Sunny Isle Shopping Center, Christiansted VI 00820

Ramona Moss, Ph.d, Sapphire Bay, W D-31St., Thomas, VI 00802

Robert L. Bucher, MD., Island Medical Center, Sunny Isle Shopping Center, Christiansted VI 00820

Scott Hartshorn, MD., Paragon Bldg, St Thomas VI 00802

DDS CE Oversight Report

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | Philadelphia |
|---|---|
| State DDS: | Virginia |
| Report Period (Fiscal Year): | 2014 |
| Current Date: | 10/9/2014 |
| Reporter's Name, Phone number, and title: | Name (b) (6) Phone number (b) (6) |
| | Title Professional Relations Coordinator |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

1) **Description of Virginia DDS procedures for complaint resolution The** Virginia DDS regards all complaints as important and aggressively investigates all allegations.

Claimant Surveys

Claimant satisfaction survey letters are included in one out of every ten CE packets generated and sent to claimants. The PRO or PR Technician monitors survey responses and performs the initial contact to requests for Professional Relations contact. The PRO then makes contact with the claimant and fully investigates any allegations made. Copies of all survey responses are sent to the Statewide Professional Relations Coordinator in the Virginia DDS Administrative Office and are recorded. A quarterly and yearly report is generated which outlines all responses received for each region of the state. For Fiscal Year ending 9/30/14, 865 claimant surveys were returned to the DDS. Of those returned surveys, 97 indicated the claimant wished to speak to the DDS Professional Relations staff.

Complaints received by Analysts

The Analysts refer complaints they receive to the PRO. The PRO performs an immediate contact with both the claimant and the CE provider, documents the nature of the complaint and the action taken, and provides documentation in the claimant's electronic record. Depending upon the nature of the complaint, the PRO resolves issues in a variety of ways. Examples of PRO actions include, but are not limited to the following, re-training on the specific area of complaint, on-site visits to determine any physical/location issues, changes

in scheduling practices or removal from the CE panel.

Repetitive Complaints

In cases in which repetitive complaints are received, the following procedure is followed: The PRO or PR Technician contacts each claimant involved and conducts an interview using the CE on-site client interview form as a guide. The PRO then contacts the provider to notify him/her of the complaint(s) and to obtain more information. The PRO conducts a review of files including the CE reports – this may be performed on a number of claimant folders who have been examined by the provider in question. The PRO may increase the rate of claimant surveys included in appointment letters to 100%. The PRO may conduct telephone interviews with a number of other claimants examined by the provider during the same time period. The PRO then takes any additional action necessary that may include, but is not limited to Provider retraining and/or removal from the CE panel.

- a) In addition to the procedures listed above, the PRO and PR Technician research the names of non-complaining claimants who were been examined by the provider being monitored. The claimants contacted in this instance would be those who were examined by the provider during the same period as the claimants who lodged complaints. The same interview form is used and the same open-ended questions are asked. The PRO reviews all claimant responses.
- b) The PRO also reports all complaints to the Professional Relations Coordinator (PRC) in the Virginia DDS Administrative Office. At the request of the regional PRO, the PRC may advise or conduct further investigation of the incident or situation if necessary.

Random Calling

Random calls are made to claimants who were recently examined by any CE provider. This random contact is also made with claimants who were examined by new CE providers.

Timeliness Issues

Analyst and state agency consultant complaints concerning timeliness are referred to the PRO or PR Technician who performs all follow-up actions necessary to obtain outstanding information. The actions taken include, but are not limited to contacting the provider, retraining on timeliness requirements, temporary removal from active scheduling, or removal from the CE panel. In addition to complaints received, the PROs receive monthly, quarterly and yearly Mean Processing Time reports indicating the number of days from scheduling date to appointment date, the number of days from appointment date to report receipt, and the total number of days. Here are the mean processing times for all four regions for this past fiscal year:

| REGION | # CEs SCHEDULED | # CE REPORTS RECEIVED | APPT DAYS | REPORT DAYS | TOTAL CE TIME |
|-----------|--------------------|-----------------------------|--------------|----------------|---------------------|
| Central | 7294 | 5529 | 25.25 | 8.46 | 33.71 |
| NoVA | 6457 | 4714 | 28.79 | 7.81 | 36.60 |
| Southwest | 8857 | 6831 | 23.02 | 8.59 | 31.60 |
| Tidewater | 8917 | 6887 | 24.65 | 9.90 | 34.55 |
| EST | 5472 | 4075 | 25.73 | 7.90 | 33.64 |
| Statewide | 36997 | 28036 | 25.49 | 8.53 | 34.02 |

This shows a increase of 8892 in the number of CE's scheduled and an increase of 5723 reports received from last fiscal year. The average number of appointment days (the number of days from the date the exam was scheduled to the actual appointment date went up from 21.91 days to 25.49 days – an increase of 3.58 days. The average number of report days (the number of days from the actual exam date to the date the report is received) was decreased from 9.36 days last year to 8.53 days this year. The overall time from the date the exam was scheduled to the date the report was received went up from 31.27 days last year to 34.02 days this past fiscal year – an increase of 2.75 days.

Documentation

Hard copies of all complaints, actions taken and complaint resolution are placed in the specified CE provider file so that trends may be discerned and rectified if necessary. The Virginia DDS's parent agency (Department of Rehabilitative Services) does not require notification from the DDS of any complaints received or actions taken.

Description of Virginia DDS procedures for Quality Assurance The Virginia DDS regards all complaints as important and aggressively investigates all allegations.

A) Report Quality

- a) Complaints received from analysts or state agency consultants regarding report quality are always referred directly to the PRO. The PRO takes immediate action to obtain the necessary clarification or additional information.
- b) In addition to the actions above, Virginia State Agency Consultants are required, by contract/Employee Work Profile, to review at least 15 CE reports per quarter based on random selection. State agency medical and psychological consultants in all Virginia DDS offices are also encouraged to contact CE providers directly in order to obtain clarifications and provide constructive feedback.
- c) All CE report reviews are sent to the Administrative office where all data is input into a database. The PROs receive quarterly reports of all survey responses received from claimants in their regions in order to monitor the number and nature of the report deficiencies and to identify trends
 - (1) 2,599 CE reports representing 281 CE Providers were reviewed by the 54 State Agency Medical/Psychological Consultants during this past year
 - (2) 96.9% (2,518) of the reports reviewed required no additional information or clarification

2. Attach a list of completed onsite reviews of CE providers.



3. Attach a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch. Image: Providers Fy2014.doc 4. Provide the total number of CE providers on the panel. Current CE Providers The Virginia DDS currently has 281 CE providers on their panel (This includes medical and Psychological acceptable CE sources. 5. Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs. Description of Sanction Checks

Prior to scheduling CEs with <u>any</u> medical source the Professional Relations staff in each of the Regional DDS offices conducts a thorough search of the HHS OIG LEIE on the OIG website to determine if the source is currently being sanctioned (this database includes all health care providers sanctioned since 1977). If the provider is listed, the provider is notified of the fact, the provider is not enrolled as a CE provider, and no CEs are scheduled. The staff also conducts a license search on the Virginia Department of Health Professions (VDHP) website to insure the provider is currently licensed and in good standing with this official agency. All actions listed on the VDHP website are reviewed and investigated. If the provider is shown to have current actions pending, the provider is notified of the fact, the provider is not enrolled as a CE provider, and no CEs are scheduled.

A monthly review of the HHS OIG LEIE is conducted by Professional Relations staff in each regional office to monitor and maintain the integrity of the CE panel. If any CE provider is found to be included in an update, CE scheduling is suspended immediately.

The staff is also notified by the OIG via email alert whenever updates are made to their Sanction List. The staff then checks the update list to determine if any CE providers have been included in the list. If any CE provider is found to be included in one of these update lists, CE scheduling is suspended immediately.

If a MER source is found on this list, a note is input into the DDS vendor file indicating that the source is currently sanctioned – the date the source was first sanctioned is included whenever possible. The PRO then notifies the Administrative Office and the other Regional PROs. The Administrative Office notifies the SSA Regional Office in Philadelphia.

Description of credential and licensure check

The Virginia DDS requires that all CE panel members submit information regarding their

qualifications and licensure in the state. No CE appointments are scheduled with new providers until after they have submitted this information and their licenses have been verified. Licenses are verified by the Virginia Board of Health Professions. The PRO verifies the license of all new providers. The following procedure for initial and periodic license verification is utilized in all Virginia DDS regional offices: The PRO or PR Technician contacts the VA Board of Health Professions via their internet website, the CE provider's license number is submitted and a verification of licensure is provided by the Board of Health Professions. A hard copy of this verification is placed in each CE provider's file. Periodic verifications are done through a diary system utilizing the computer calendar. Each provider's name and license expiration date is put into the calendar on the first day of the month following license expiration. The computer calendar shows a list of providers whose licenses are due for verification each month and the PRO or PR Technician completes the process as listed above. All licenses for psychologists in Virginia expire on June 30th so license checks for all those providers are done at the same time each year.

6. Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation.

CE Provider support personnel credential and licensure check

The Virginia DDS requires that each CE provider read, complete and sign a "Statement of Agreement" (Copy available upon request). This agreement includes a statement in which the CE provider certifies that all support/technical staff involved in CEs for Virginia DDS will carry the appropriate credentials/licensure. There is a new agreement that is signed and returned to the DDS on a bi-annual basis by our CE providers.

7. Provide a description of any CE/MER fee schedule changes (include a description of any volume medical provider discounts).

The Virginia DDS uses the following fee schedules provided by CMS:

- a) The Medicare Fee schedule published by Palmetto GBA Medicare for services performed by a physician and ancillary testing performed in a physician's office
- **b**) The Centers for Medicare and Medicaid Services (CMS) for Hospital Outpatient Prospective Payment System (PPS) Addendum B for ancillary testing performed within a hospital or hospital satellite facility.
- c) The Medicare Fee schedule published by Novitas Solutions for services performed by a physician and ancillary testing performed in a physician's office within the District of Columbia Metro Area (DCMA). This area includes Arlington, Fairfax, Montgomery and Prince George's counties, the City of Alexandria, and the District of Columbia.

The Virginia DDS increased the number of units for psychological examinations and testing by .25 so that CE providers would have adequate time to prepare their reports for DDS.

8. Upload fee schedules to the MPRO SharePoint site.

Uploaded

9. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc.



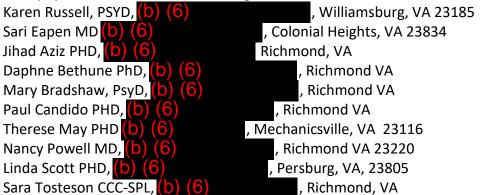
Outreach Activites FY

Please attach any additional information before submitting this form.

Central DDS

Advanced Medical Consultants Inc, 5734 Creek Mill Way, Glen Allen, VA 23059 Richmond Health Psychology Services, 4222 Bonniebank Road, Richmond, VA 23234

Penny Sprecher Ph.D 12801 Iron Bridge Road,, Suite 400, Chester, VA 23831



NoVA DDS

| CE Provider Services LLC 322 South Main Street, Emporia, VA 23847 | |
|--|--|
| | lottesville, VA 22911 |
| Neurology Associates PC | |
| Faye Romano PsyD (b) (6) | , Fredericksburg, VA 22407 |
| Grace Stonerock MD, (b) (6) | , Manassas, VA 20109 |
| Washington Speech Language Pathology, Jennifer Katzen CCC-SLP | |
| 11150 Fairfax Blvd, Fairfax, VA 22030 | |
| | lington, VA 22204 |
| Elizabeth Hrncir PHD, (b) (6) | , Charlottesville, VA 22901 |
| | |
| TWRO DDS | |
| Randy Rhoad PSYD (b) (6) | , Suffolk, VA 23434 |
| Med Plus Disability Evaluations INC 1400 Fordham Drive, Virginia Beach 23464 | |
| | 00 East Little Creek Road, Norfolk, VA 23518 |
| Richard Hoffman MD, (b) (6) | , Norfolk, VA 23517 |
| Jeffrey Goodman PHD, (b) (6) | , Portsmouth, VA 23704 |
| | Landing Drive, Newport News, VA 23606 |
| Marie Barrett, PSYD, (b) (6) | , Norfolk, VA 23518 |
| Donald Walker MD | |
| Richard Hoffman MD, (b) (6) | Norfolk, VA 23517 |
| Karen Armstrong PJ+HD, (b) (6) | , Norfolk, VA 23518 |
| Sarbjot Dulai MD, <mark>(b) (6)</mark> | , Landsdowne, VA 20176 |
| David Hettler OD, (b) (6) | Falls Church, VA 22042 |
| Paul Hill PSYD, (b) (6) Winch | nester, VA 22601 |
| | |

SWRO DDS

Exam Services LLC (Dr. William Humphries) 3200 Evergreen Lane SW, Roanoke, VA 24018

Emilie Storch PHD, (b) (6) , Madison, VA 27025 Counseling and Psychological Services Frontier Health 2001 Stonebrook Place, Kingsport, TN, 37660 Sung-Joon Cho MD, (b) (6) , Bluefield, VA, 24605 Elizabeth JenningsPHD, (b) (6) , Bluefield, WV 24701 Julia Ewen MD, (b) (6) Salem, VA 24153 Business Health Services 320 Hospital Drive, Martinsville, VA 24115 Kevin Blackwell OD, Occmed, 1418 Park Avenue NW, Norton, VA 24273 Heartland Rehab Services, 800 East main Street, Wytheville, VA 24382

Virginia DDS Key Providers FY2014

Central DDS

Advanced Medical Consultants Inc, 5734 Creek Mill Way, Glen Allen, VA 23059 NoVA DDS

CE Provider Services LLC 322 South Main Street, Emporia, VA 23847 TWRO DDS

Randy Rhoad PSYD (b) (6) , Suffolk, VA 23434

SWRO DDS

Exam Services LLC (Dr. William Humphries) 3200 Evergreen Lane SW, Roanoke, VA 24018

Virginia DDS PRO ERE and Outreach Activity

- 1. October 2013
 - a) The Virginia professional Relations Unit conducted a statewide Psychological CE Provider/ State Agency Psychological Consultant Conference. The Administrative office and all four regional offices were connected via Live Meeting. Each member of the PRO unit conducted a power point presentation on the following topics:
 - 1. Adult mental CE Protocol
 - 2. Child Mental CE protocol
 - 3. Psychological Testing
 - 4. Medical Source Statement

The presentation session was followed by a lively and productive question/Answer period and an open topic discussion session. (Copies of the presentations are available)

Statewide SOAR Meeting – Richmond Virginia

- b) Health IT Roundtable Meeting George Mason University
- c) Wounded Warrior Program Meeting Fort Eustis
- d) Roanoke City Parent Vendor Fair Roanoke
- e) Tazwell County DSS Training Tazwell
- f) Green Ridge Prison Pre-Release Training
- g) Carroll County Schools ERE meeting

2. November 2013

- a) Statewide Authorized Representative Status Report Training
- b) SOAR Meeting Petersburg
- c) Medical Records UVA Charlottesville
- d) SOAR Meeting Charlottesville
- e) Meeting with Social Workers UVA Charlottesville
- f) SOAR Meeting Harrisonburg
- g) Newport News Pediatrics Meeting Newport News
- h) Norfolk Public Schools Meeting Norfolk
- i) Wounded Warrior Program Meeting Fort Eustis
- j) Bland Prison Training presentation

3. December 2013

- a) DCPS CE Scheduling Conference Call
- b) Wounded Warrior Program Meeting Fort Eustis

4. January 2014

- a) SOAR Partnership Meeting Richmond
- b) Public Guardianship Program Richmond
- c) SFAC Program Meeting Newport News
- d) PATH Meeting Virginia Beach
- e) Ruffner Academy School Available Benefits Conference
- f) City of Salem {Parent Resources Meeting Salem
- g) Business Health Services Meeting Martinsville

5. February 2014

- a) SOAR Partnership Meeting Norfolk
- b) SOAR Planning Meeting Harrisonburg
- c) SOAR Meeting Newport News
- d) Wounded Warrior Program Meeting Ft Eustis
- e) New River Valley CSB
- f) SOAR Meeting Roanoke
- g) Botetourt Reentry Meeting Botetourt
- h) Abingdon DSS Meeting Abingdon
- i) Recruitment Meetings Lynchburg

6. March 2014

- a) SOAR Learning Community Training/Meeting
- b) Dept. of Corrections Pre-Release Training Atmoor Correctional Center
- c) SOAR Training/Meeting Newport News
- d) Norfolk Public Schools TAB Meeting Norfolk
- e) Recruitment meetings Bluefield
- f) Marion Prison Pre-release Training

7. April 2014

- a) SOAR Meeting Newport News
- b) Goochland-Powhatan mental Health Clinic Presentation Goochland
- c) SFAC Meeting Newport News
- d) Carilion Rehab Meeting Roanoke
- e) Re-entry Meeting Bedford
- f) Lewis Gale meeting Roanoke
- g) SOAR Meeting Roanoke
- h) Pre-Release meeting Wytheville
- i) Green Rock Prison Training
- j) UVA Meetings Charlottesville

8. May 2014

- a) Virginia AHIMA Conference Virginia Beach
- b) SOAR Training Richmond
- c) Wounded Warrior Meeting Fort Eustis
- d) UVA Social Worker Meetings Charlottesville
- e) Alburn Middle School Conference
- f) Roanoke County Medicaid Meeting Roanoke

9. June 2014

- a) Wounded Warrior Meeting Fort Eustis
- b) Soar Meeting Roanoke

10. July 2014

- a) SFAC meeting Newport News
- b) SOAR Meeting Virginia Beach
- c) SOAR Meeting Newport News
- d) UVA Cancer Social Workers Charlottesville
- e) Roanoke City Parent Resources Meeting Roanoke
- f) Abingdon Social Services Meeting Abingdon
- g) Salem Schools Presentation Salem
- h) Bland Pre-Release Training

11. August 2014

- a) Roanoke County Social Services Meeting Roanoke
- b) UVA Digestive Health Department Charlottesville
- c) New River Valley Community Services Board Meeting
- d) New River Valley Autism Action Group Meeting Roanoke
- e) Piedmont Autism Action Meeting Roanoke

12. September 2014

- a) SOAR Meeting Newport News
- b) SOAR Meeting Roanoke
- c) SOAR Meeting Norfolk
- d) Pocahontas Pre-Release Training
- e) New River Valley CSB Training
- f) Pre-Release RE-entry Meeting roanoke
- g) Bedford Pre-Release Meeting -Bedford

DDS CE Oversight Report

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The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | Seattle |
|---|---------------------------------------|
| State DDS: | Washington |
| Report Period (Fiscal Year): | 14 |
| Current Date: | 10/17/14 |
| Reporter's Name, Phone number, and title: | Name (b) (6) Phone number (b) (6) |
| | Title Medical Relations Manager |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

All complaints are reviewed for the level of severity. Unit supervisors and adjudicators handle minor problems and routine questions. If complaints are of a more serious and complex nature, we ask the complaint be submitted in writing, signed, and dated by the claimant. If the claimant refuses to do this, we will offer to take the complaint and send to claimant for signature. The complaint is submitted to the Professional Relations Manager or Professional Relations Specialist staff to investigate the complaint. We contact the claimant, typically in writing, to acknowledge the receipt of the complaint. If we determine we need additional information, we will contact the claimant by phone. Additionally, we send copies of the complaint to the CE doctor and ask for a response or to inform them we received a complaint. Depending on the nature of the complaint, we will do further investigation which could also include a personal visit to the doctor's office. All copies of correspondence and actions will be filed into the doctor's file and entered into the CE doctor until the investigation is complete and there is resolution. When warranted, a provider will be dropped from the CE panel.

PR's Claimant Complaint Process

- 1. We receive complaint from claimant directly or through adjudicator referral. Complaint should be written, dated, and signed, except in rare circumstances.
- 2. If not in the case, PRS will scan into blue section of the case.

- 3. Write letter to claimant to acknowledge the complaint in one business day.
- 4. Review the CE report and complaint.
- 5. Write a letter to the CE doctor asking for a response, if necessary. Include a copy of the complaint, the report and the letter to the claimant.
- 6. Request a response from CE doctor within 2 weeks of the date of the DDS letter.
- 7. If no response, then PRS will follow up with doctor.
- 8. PRS will determine if the investigation is complete or if further investigation is necessary and confer with PR manager.
- 9. Document all contacts and resolution on the Contract Vendor Database and file all copies of correspondence in the contract folder.

We send Claimant Questionnaires to approximately 27% of claimants. The average return this year is 10%. The questionnaire is comprised of check boxes with lines to add additional information. If the claimant added a narrative, then those will be reviewed by the PR specialist to determine if there is a complaint and if further action is needed.

2. Attach a list of completed onsite reviews of CE providers.

MDSI – various locations AMCE Physician's Group – various locations Thomas Genthe, PhD Jay Toews, EdD Mark Heilbrunn, MD Allied Assessments, Tacoma CE Provider Services, Spokane Valant Medical Solutions - Tacoma

3. Attach a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch.

Per Washington State regulations, we have Personal Service Contracts with all CE providers. We have approximately 300 Personal Service Contracts. As part of our recruiting process, we typically visit all new potential providers prior to performing evaluations.

Key Providers (Paid more than \$150,000 and practice directed towards evaluations)

<u>Statewide Volume Providers</u> – (bold indicates onsites visit performed FY 2014)

- MDSI Everett, Tumwater, Yakima, Kennewick, Portland, Mt Vernon, Seattle, Federal Way, Spanaway, Poulsbo, Sequim, Longview, Spokane, Wenatchee
- AMCE Aberdeen, Mt. Vernon, Bellingham, Seattle, Everett, Tacoma, Vancouver, Spokane, Centralia, Moses Lake, Kennewick, Kent, Longview, Marysville, Olympia, Omak, Portland, Poulsbo, Wenatchee

- Jay Toews, EDD
- **QTC Tacoma**, Bremerton, Tukwila
- Thomas Genthe, PhD
- Valant Medical Solutions –Fife
- Mark Heilbrunn, MD

Olympia DDS (bold indicates onsite visit done FY 14)

- MDSI See above
- **AMCE** See above
- **QTC** See above
- Valant Medical Solutions 5007 Pacific Hwy E Unit 20 Fife, WA 98484
- Mark Heilbrunn MD 1815 C St #K37 Bellingham, WA 98225

Seattle DDS

• **MDSI** – see onsite visits above

Spokane DDS (bold indicates onsite visit done FY 14)

- MDSI see above
- **AMCE** see above
- Jay Toews, EDD 901 E 2nd Ave #204 Spokane, WA 99202

Larson Building 6 S 2nd St #916 Yakima, WA 98901

Thomas Genthe, PhD
 (b) (6)
 Kennewick, WA 99336

(b) (6) Moses Lake, WA 98837

1330 N Washington #2420 Spokane, WA 99201

4. Provide the total number of CE providers on the panel.

374

5. Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs.

Our personal service contracts require doctors to submit a copy of their license and a statement that they do not have any current or outstanding sanctions. In addition, to ensure CE providers have proper credentials and no sanctions, we also use the following sources:

The Office of Inspector General's website is checked at the time of initial sign-up. (www.exclusions.oig.hhs.gov)

We do monthly checks to: <u>http://oig.hhs.gov/exclusions/exclusions_list.asp</u> for sanctions and limitations and a spreadsheet is kept.

- We also subscribe to the Washington State Medical Quality Board Listserv which sends us automatic emails to notify us of investigations, sanctions, revocations, and reinstatements of medical license as they occur. We check our CE panel to ensure no contracted doctors has suspended license or sanctions.
- At the time of recruiting (either prior to sending recruit letters or after the doctor has expressed an interest) the Washington State Department of Health website is checked for license status.

https://fortress.wa.gov/doh/providercredentialsearch/

Border State checks:

Oregon doctors: Psychologists: <u>http://obpe.alcsoftware.com/liclookup.php</u>

Physicians: https://techmedweb.omb.state.or.us/Clients/ORMB/Public/VerificationRequest.aspx

Idaho: Psychologist: http://ibol.idaho.gov/IBOL/Home.aspx

Physicians: http://bom.idaho.gov/BOMPortal/Home.aspx

A screen print of License information from DOH and OIG is placed in the CE provider's

file.

We have a database of all CE providers and their license expiration dates. We are able to run reports to determine whose license will expire which allows time to obtain updated and current information. We also keep a list of sanctioned doctors that we can check when recruiting.

For OIG re-checks, we perform these twice per year. In October, perform half of the providers and in April the other half.

6. Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation.

Credential Checks of support personnel

At initial contract signing, CE providers sign a statement indicating all support staff will be properly credentialed or licensed. This FY, we sent a questionnaire to all providers asking them to verify their support staff met the requirements and not sanctioned.

Our personal service contracts include the following statement:

Credential verification for staff: The contractor shall verify that all support staff who participate in conduction of the CE, meet all appropriate: (a) Licensing or certification requirements of the State; and (b) Are not excluded, suspended or otherwise barred from participation in federal programs.

7. Provide a description of any CE/MER fee schedule changes (include a description of any volume medical provider discounts).

No change in fee schedule and no volume discounts.

8. Upload fee schedules to the MPRO SharePoint site.



9. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc.

The PR specialists attempt to visit every potential CE provider. This oversight includes program

requirements, general business information, training, and ERE education. Additionally, it also enables us to evaluate the location and office space of the potential CE doctor. We continue our efforts in promoting and educating on the ERE processes. We attended the Washington State Health Information Management Association, Primary Care Update Conference and the Washington Academy of Family Physicians conferences as exhibitors.

PR staff are required to work closely with the CEU staff to ensure POMS requirements are being met regarding scheduling. Due high turnover with CEU staff this past year, PR has had to perform scheduling and CE receipting to cover this workload.

One PR staff is heavily involved in DCPS Correspondence workgroup and is the Seattle Regional Representative.

We continue to be involved with SSI/SSDI Outreach, Access, and Recovery (SOAR) initiative and have performed several presentations this past year. We have participated in SOAR trainings as a resource to the community and outreach. We have also have done presentations to provide information regarding the DDS and the disability determination process and one presentation to Washington State nurses on requirements for Children's claims. We were able to coordinate this with the Seattle RO for them to go over SSA financial requirements. Lastly, in a joint effort with the Tacoma and Olympia Social Security Field Offices, we are assisting the soldiers at Joint Base Lewis-McCord to apply for disability benefits by answering questions on the application process, bringing claimant supplied MER back to the DDS, and answering case status questions on a bi-monthly basis.

The PR department is responsible for public disclosure and education on PII. Training is performed as needed and to new hires. We are also responsible for transportation and interpreter oversight and participate in our state's contract monitoring for these services. The PR department is also responsible for the Washington DDS Security plan updates and training. This year we were involved with CSI Security Audit for the Seattle DDS office. The Professional Relations Manager is responsible for the statewide background check process for hiring new staff to the DDS.

Please attach any additional information before submitting this form.

DDS CE Oversight Report

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | Philadelphia |
|---|-----------------------------------|
| State DDS: | West Virginia |
| Report Period (Fiscal Year): | FY 2014 |
| Current Date: | 11/14/2014 |
| Reporter's Name, Phone number, and title: | Name (b) (6) Phone number (b) (6) |
| | Title Manager |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

All CE source complaints in WV are referred to the appropriate PRO for investigation and resolution. We have an Oversight Plan in place that provides the process and procedures to be followed to resolve complaints.

All complaints are documented and fully investigated. Appropriate corrective actions, including communication with all involved parties is undertaken. Documentation of complaints are retained in the provider files for at least three years.

If a claimant reported, unprofessional conduct or criminal acts the PRO would also involve the appropriate state administrator and appropriate staff consultant to participate in the investigation and resolution process as follows:

- As a general approach to CE complaints, it has been our usual practice to give CE source an
 opportunity to correct deficiencies. However, in cases involving unprofessional conduct or
 criminal acts and in other situations where the PRO deemed it appropriate, the first step would be
 to interview the claimant and any witnesses to the alleged acts/conduct identified by the claimant
 to fully document the specifics of the incident.
- If, following these interviews, there is reason to believe that the allegations do rise to the level of unprofessional and/or criminal acts, scheduling further exams with the source would immediately be suspended. The first concern would be to protect any further claimants from being exposed to the alleged conduct or acts.
- The source would be notified of the scheduling suspension, informed of the pending allegations, and asked to respond to them with his/her version of what happened. We would also interview any source staff members as appropriate. We would inform the source that a complete

investigation of the alleged conduct/acts will be undertaken and that we would make a final determination following that.

- PROs would review vendor file for a pattern of similar incidents, insure that there have been no sanctions by licensing or oversight entities with the source of which DDS is unaware, and verify whether or not the state licensing authority has any pending actions concerning the source.
- Other claimants examined by the source would be interviewed, using our regular claimant reaction survey protocol, to determine if other claimants make similar allegations and any other witnesses would be interviewed.
- If the allegations were determined to be unfounded, scheduling would likely be resumed with the source under whatever conditions the PRO determined to be appropriate with the approval of appropriate state administrative staff.
- If the allegations are determined to be true, we would immediately cease any further scheduling with the source. Based upon the nature of the infractions and after consultation with appropriate state administrative and legal personnel, referral may be made to state licensing, oversight authorities, or law enforcement agencies for further investigation and/or action.

2. Attach a list of completed onsite reviews of CE providers.

FY '13/14 CHARLESTON ONSITE REVIEWS

Provide a list of the onsite reviews of CE providers completed by the DDS.

<u>Key Provider Onsite Visits</u>

Attach copies of comprehensive reviews of Key Providers.

Charleston DDS - Key Onsite Visits FY13/14

| Source | Location(s) | Date | *Type |
|--------------------------|-------------------|------------|-------|
| Tri State Occupational | Princeton | 10/17/13 | |
| Med | | | |
| (primarily IME's) | Charleston | 3/20/14; | |
| | Logan | 5/29/14 | |
| | Ripley | 5/19/14; | |
| | Beckley | 12/11/13 | |
| | Lewisburg | 8/21/14 | |
| Psychological Assessment | Chapmanville | 6/18/14 | |
| & Intervention (PAIS) | | _ | |
| (primarily IME's) | Charleston | 3/18/14; | |
| | Huntington | 4/16/14; | |
| | Beckley | 7/15/14 | |
| | Princeton | 8/25/14 | |
| Story Consulting | Louisa, KY | 5/7/14 000 | |
| (primarily IME's) | S. Williamson, KY | 4/8/14 | |

| Larry Legg | Clay | 2/10/14 |
|-------------------------|--------------|----------|
| (primarily IME's) | Summersville | 8/8/14 |
| Sunny Bell | Mullens | 9/5/14 |
| (primarily IME's) | Beckley | 1/14/14 |
| Aspire (Lester Sargent) | Charleston | 3/18/14; |
| (primarily IME's) | Chapmanville | 6/3/14 |
| | Smithers | 8/13/14 |
| | Ripley | 5/22/14, |

Non-Key CE and Major MER Provider Visits

* C for Comprehensive, P/SP for Partial/Special Problem. <u>Charleston DDS Non-Key CE and Major MER Visits 2013</u>

| Non–Key CE Sources | Type of Visit | MER Sources | Type / initial |
|--------------------------------|--------------------------|--------------------------|------------------------------|
| Accord Psychological Services | / initial 9/9/14 | Neurological Associates | 12/11/13; |
| CAMC - Charleston | 2/7/14; | HIMG-Huntington | 4/22/14; |
| ENT Associates | 9/13/13; ^{bite} | Cabell Huntington Hsp | 4/16/14; (b) (6) |
| Kay Collins Ballina/ACP | 12/19/13; ^{big} | St. Francis Hosp. | 9/13/13; 06 |
| William Steinhoff MA | 5/16/14; | WV Health Right | 3/19/14; ^{by 6} |
| Kid Care - Dr Darnell | 12/12/13; | Pleasant Valley Hosp. | 5/16/14; ^{DTO} |
| Sally Sowell, MA | 12/11/13; | St. Mary's Hosp. | 4/22/14; (b) (6) |
| Occupational Lung Center | 12/12/13; | Jackson Gen. Hosp. | 5/19/14; |
| Emily Wilson/River Valley | 4/16/14; ^{bite} | Highland Hospital | 3/20/14; |
| Assoc. | _ | | _ |
| Appalachian Hearing | 5/20/14 | Prestera: Huntington | 5/7/14; <mark>(b) (6)</mark> |
| Pro-Imaging/Huntington | 1/31/14; | Prestera: Charleston | 4/1/14; 00 |
| | (b) (6) | | |
| Browning Eye Care | 5/7/14; ^{b) (6} | Prestera: Pt. Pleasant | 5/16/14; ^{by co} |
| Appalachian Psych Services | 3/10/14 | Greenbrier Valley Med. | 3/10/14 |
| | | Ctr | |
| Robert Holley, MD | 5/16/14; | Bluefield Reg. Med. Ctr. | 3/31/14 |
| | (b) (6) | | |
| Joseph Touma | 4/22/14; | Lincoln Primary Care | 2/11/14 |
| Process Strategies: Charleston | 2/19/14; | Roane General Hospital | 9/24/14 |
| | (b) (6) | | |

| Vision Consultants | 2/24/14 | Pt. Pleasant Hospital | 9/29/14 |
|-------------------------------|----------|------------------------|---------|
| Elizabeth Bodkin | 6/13/14 | Jackson General | 9/30/14 |
| | _ | Hospital | _ |
| Boone Memorial Hospital | 1/17/14 | Three Rivers Medical | 5/7/14 |
| | _ | Ctr. | _ |
| Community Care of WV | 1/30/14 | East River Medical Ctr | 5/28/14 |
| Johnny Dy, MD, PC | 3/10/14 | Dr. Kanuri | 5/29/14 |
| Access Vision | 3/10/14 | Dr. Lackey | 5/29/14 |
| Greenbrier Audio & Hearing | 3/10/14 | Clay Co. Health Dept | 4/15/14 |
| Hasan Medical | 7/21/14 | Clay Co. DHHR | 4/15/14 |
| Teresa Jarrell, MA | 5/28/14 | Dr. Syed | 4/21/14 |
| Multi Speciality Clinic | 1/1/4/14 | Dr. Siddiqi | 4/21/14 |
| John Lackey | 2/10/14 | Orthopedic & Spine | 4/25/14 |
| Lindsey Optical | 8/25/14 | Comprehensive Health | 4/30/14 |
| Logan Pediatrics, Inc. | 5/16/14 | Eden Family Practice | 4/30/14 |
| Judith Lucas, MA | 6/26/14 | Pikeville Medical Ctr. | 5/1/14 |
| Marsh Hearing Center | 2/10/14 | Shelby Valley Clinic | 5/1/14 |
| Tonya McFadden, MA | 6/17/14 | Hope Clinic | 3/6/14 |
| Mountain State ENT | 3/10/14 | Beckley VAH | 3/7/14 |
| New Horizons Psychological | 5/20/14 | Kissell Family Optical | 3/13/14 |
| Psychological Assoc. of Logan | 6/4/14 | Logan Mingo Area | 3/21/14 |
| | | Mental Health | |
| Psyscape, Inc. | 9/15/14 | Bluewell Family Clinic | 3/31/14 |
| Andres Rago, MD | 3/19/14 | FMRS | 4/1/14 |
| Rainelle Medical Ctr. | 4/18/14 | Monroe Co. DHHR | 4/1/14 |
| Raleigh Hearing Center | 3/11/14 | Monroe Health Ctr. | 2/18/14 |
| Robert C. Byrd Clinic | 4/18/14 | Alum Creek Med. Ctr. | 2/10/14 |
| Princeton Pediatrics | 10/17/13 | Harts Health Clinic | 2/11/14 |
| St. Mary's Hospital | 9/10/14 | Camden on the Gauley | 2/28/14 |
| Summersville Reg. Med. Ctr. | 1/14/14 | Nicholas Co. Schools | 2/5/14 |
| Donald Taylor OD | 8/28/14 | | |
| Welch Comm. Hospital | 8/19/14 | | |
| Williamson Mem. Hospital | 4/8/14 | | |
| Cherie Zeigler, MA | 9/18/14 | | |
| Synergy Psych | 9/15/14 | | |
| Alta MCS | 2/22/14 | | |

ADDITIONAL COMMENTS:

Connections Conference (exhibit) Charleston Civic Arena (b) (6) Scientific Conference 4/4-5/2014 (exhibit) Embassy Suites, Charleston, WV;

Trained 3 new CE internist, JN (Dr. Cohen, Dr. Thompson, Dr. Smith) Trained 1 new psych CE source, JN (Nicole Smith/Aspire Occupational Medicine)

Clarksburg DDS

| Source Key | Location | Date | PRO/PRA |
|---|---------------------|----------|---------|
| Tri State Occupational Med | | | |
| (practice primarily IME's and one | | | |
| Of top 5 providers) | Elkins | 4-17-14 | (b) (6) |
| | Romney | 7-30-14 | |
| | Parkersburg | 10/16/13 | |
| | Bridgeport | 10/21/13 | |
| | Sutton | 7/30/14 | |
| | Ripley | 9/15/14 | |
| T.M. Yost Ed.D. | Fairmont | | |
| Sushil Sethi (primarily IME's) | Marietta, OH | 9/9/14 | |
| | St. Clairsville, OH | 5/5/14 | |
| | Fairmont | 6/11/14 | |
| Mansuetto-Coville | Wheeling | 10/14/14 | |
| (primarily IME's) | | 4.04.14 | |
| Seth Tuwiner (primarily IME's) | Hagerstown, MD | 4-24-14 | |
| Psychological Consulting (Slaughter, Hood & McDaniel) primarily IME's | Martinsburg | 9-24-14 | |
| Morgan Psychological Services (Morgan Morgan) (primarily IME's) | Buckhannon | 9-25-14 | |
| Fremouw, Sigley & Associates (Ed Baker & T. Berry- Harris (primarily IME's) | Morgantown | 9-16-14 | |
| Gregory Trainor & Associates (primarily IME's) | Keyser | 9-12-14 | |

Clarksburg DDS

| Non-Key CE Sources | Type of Visit/ Date | Major MER Sources | Date/PRO |
|------------------------------|--|---|-----------------------------|
| Paul Dunn, PhD | 10/7/14 (b) (6) | Davis Memorial Hospital | 9-19-14 ^{(b) (6)} |
| Russell Biundo, MD | 8-20-14 (6) (6) | Fairmont General Hospital | 5/10/13 (b) (6) |
| Wheeling Clinic | 5/1/14 (b) (6) | Manchin Clinic | 4/17/14 (b) (6) |
| Barbara Rush, PhD | | Monongalia General Hosp. | 9-4-14 ^{(b) (6)} |
| MVA Health Clinic | 7-28-14 (b) (6) | Ohio Valley Medical Ctr | 6/2/14 (b) (6) |
| City Hospital CE | 9-13 ^{(b) (6)} | Camden Clark/St. Joseph's - Parkersburg | 3/16/14 ^(b) (6) |
| Robert Webb, MD | 9-10-14 ^{(b) (6)} | WVU Ruby Memorial | (b) (6) (monthly) |
| Bennett Orvik, MD | | Wheeling Hospital | 10/1/14 (b) (6) |
| Terry Mangold OD | 9-23-14 | Winchester Medical Center | 10-15-13 ^(b) (6) |
| Kevin Cox, M.D | 3-25-14 | University Health Associates | (b) (6) (monthly) |
| Joseph Audia, OD | 7/21/14 ^{(b) (6)} | United Hospital Center | 2/10/14 (b) (6) |
| Sharon Joseph, PhD | 9-19-14 ^{(b) (6)} | Martinsburg VAMC | 5-12-14 (0) (0) |
| Coplin Med Ctr | 10/23/14 ^(b) (6) | Clarksburg VAMC | |
| Morgantown Eye Associates | 3-7-14 (b) (6) | Weirton Medical Center | 9/9/14 (b) (6) |
| Brenda Tebay, MA | 10/31/14 (b) (6) | Stonewall Jackson Hospital | 9/10/14 ^{(b) (6)} |
| Anthony Golas, PhD | 4/8/14 (b) (6) (Deceased) | Marietta Health Care | 9/9/14 (b) (6) |
| Spaulding Psych Services | 8/21/14 ^(b) (6) 7/21/14 ^(b) (6) | Braxton Memorial Hospital | 6/10/14 (b) (6) |
| Paul Kradel, Ph.D | 12-13-13 (b) (6) | Potomac Valley Hospital | 9-23-14 ^{(b) (6)} |
| Mid-Ohio Valley Med Group | 10/15/14 (b) (6) | St. Joseph's Hosp – Buckhannon | 6-19-14 ^{b) (6)} |
| John Damm, Ph.D. | 12-23-13 ^(b) (6) | Broaddus Hospital | 7-19-14 ^{(b) (6)} |
| Levin & Assoc. | 2/10/14 ^(b) (6) | Grant Memorial Hospital | 9-30-14 ^{(0) (0)} |
| Thomas Schmitt, MD | | Hampshire Memorial Hospital | 9-29-14 ^{(b) (6)} |

| New Martinsville | | | |
|--------------------------------------|----------------------------|--------------------------------|---------------------------------------|
| Eastern Psychological Services | 9-29-14 () (6) | Jefferson Memorial Hospital | 9-10-14 ^{(b) (6)} |
| Wood Health Care | 10/21/14 (b) (6) | War Mem. Hospital | 3-31-14 ^{(b) (6)} |
| | | Berkeley Med. Ctr | 4-24-14 ^{(b) (6)} |
| Thomas Schmitt, MD Wheeling | 9/24/14 ^(b) (6) | Preston Memorial Hospital | 6-30-14 ^{(b) (0)} |
| Parkersburg Psych. | Office Closed 8/14 (b) (6) | Potomac Highlands | 8-29-14 ^{(b) (6)} |
| Family & Marital Counseling | 5/21/14 (b) (6) | Webster Mem. Hospital | 7-24-14 (0) (6) |
| Jill Hornish, MA | 6/11/14 (b) (6) | E. Panhandle Free Clinic | 9-10-14 (b) (6) |
| | | Chestnut Ridge Hosp | Monthly ^(b) ⁽⁶⁾ |
| Hillcrest Behavioral | | Pocahontas Mem. Hosp | 7-22-14 (6) (6) |
| Weston ENT | | Wetzel Co. Hospital | 10/9/14 ^(b) (6) |
| Joseph Schreiber, DO | 6/13/14 (b) (6) | E. Liverpool City Hosp | 3/18/14 (b) (6) |
| Vision Care Assoc. | 5/14/13 (b) (6) | Sistersville Gen. Hosp | 4/1/14 (b) (6) |
| Ronald Frame OD | | Reynolds Mem. Hosp | 5/8/14 (b) (6) |
| Gabriel Sella, MD | | Northwood | |
| Amos Wilkinson OD | | Wheeling Health Right | 2/4/14 ^(b) (6) |
| Fairmont ENT | | E. Ohio Regional Hosp | 10/27/14 (b) (6) |
| Jefferson Mem. Hosp CE | 9-10-14- | Minnie Hamilton HC | 4/10/14 ^(b) (6) |
| Hampshire Mem CE | 3-21-14 (b) (6) | Healthways | 2/27/14 ^{(b) (6)} |
| Berkeley Med. Ctr. CE | 4-24-14 | Pleasant Valley Hosp | 9/5/14 ^(b) (6) |
| | | Cardinal Pediatrics | 6-16-14 ^{(b) (6)} |

3. Attach a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch. <u>Charleston DDS</u>

- Tri State Occupational Medicine, Inc. (clinic locations in Beckley, Lewisburg, Logan, Charleston, Princeton and Huntington) 612 6th Avenue
 - Huntington, WV 25701
- 2) Sunny Bell/ Assessments Inc. (Mullens, Beckley) PO Box 35

Mullens, WV 25882

3) Psychological Assessments and Intervention Services, Inc. (Chapmanville, Princeton, Beckley, Charleston, and Huntington) P. O. Box 11210 Charleston, WV 25339-1210 4) Larry Legg / Eastern Consultants, Inc. (Clay, Summersville) 3213 N. Court Street 5) Aspire Occupational Rehabilitation-Smithers, Chapmanville, Charleston, Beckley Lester Sargent MA PO Box 4303 Chapmanville, WV 25508 6) Story Consulting Services PO Box 1817 Frankfort, KY 40602

Clarksburg DDS

1) Harold D. Slaughter Jr. M.A. & Harry Hood, M.A. (Psychological Consulting) 431 South Raleigh Street Martinsburg, WV 25401

2) Fremouw, Sigley & Associates – Morgantown, Ed Baker, Ph.D. – Traci Berry – Harris Ph.D. 1244 B Pineview Drive Morgantown, WV 26505

3) Tri State Occupational Medicine Inc. - Bridgeport, Elkins, 612 6th Avenue Romney, Sutton, Huntington, WV 25701 and Parkersburg

4) T.M. Yost Ed.D. (b) (6) Fairmont, WV 26554

5) Mansuetto-Coville Psychological 141 Key Ave. Wheeling, WV 26003

7) Seth Tuwiner, MD – Hagerstown, MD P O Box 746 Falls Church, VA 22040

8) Sushil Sethi, MD MPH FCCP-St. Clairsville OH, Marietta OH, Fairmont

1221 S Trimble Rd Ste B1 Mansfield, OH 44907

 9) Morgan Psychological Services-Buckhannon 102 E. Main St. Suite 1 Buckhannon, WV 26201

10) Gregory Trainor & Associates-Keyser 155 Armstrong St. Suite 8 Keyser, WV 26726

4. Provide the total number of CE providers on the panel.

Our vendor database shows approximately 263 active CE providers that DDS contracted during FY 2014. This number includes hospitals and secondary providers that performed studies and interpretations.

5. Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs.

During initial recruiting activities all potential CE providers are required to submit a copy of their current CV / Resume and the provider will sign a Statement of Agreement that includes their professional license number, expiration date, and a general agreement they are required to follow all DDS/SSA CE etiquette and protocol. The Area PRO conducts credential checks (per <u>DI 39569.300</u>) to verify status of all potential providers through all appropriate state and federal licensing and sanctioning boards, HHS OIG and LEIE website and/or other appropriate databases. The 'Statement of Agreements' and CV/resumes are renewed and updated periodically.

The Professional Relations Assistants also have a process in place to perform an annual or semi-annual review of credentials on all existing CE providers to verify licensure or certification is not restricted or limited and in generally good standing. In addition to these steps, sanction lists are reviewed as they are provided and we receive copies of the State Medical Association newsletter, which often provides information regarding any action taken against the licenses of medical doctors.

6. Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation.

The Statement of Agreement includes specific language that a CE provider is held accountable that all of the support staff used during CE's meets all appropriate licensing or certification requirements of the state in which exams are done. As indicated above, the Statements of Agreements are updated and renewed periodically.

| v | Provide a description of any CE/MER fee schedule changes (include a description of any Prolume medical provider discounts). |
|-------|---|
| | |
| lo cl | nanges were made to the fee schedule. No discounts are given for volume providers. |
| .ι | Jpload fee schedules to the MPRO SharePoint site. |
| | WW FBE WW FBE SCHEDULE new S |
| C | Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc. |
| | West Virginia DDS Professional Relations Officers, Professional Relations Associates, schedulers and vendor registration staff take every opportunity to market any current DDS and/or SSA initiative with or without the PAS, depending on the audience. |
| | In FY 2014, the major SSA initiatives promoted by PROs and PAS were e-827, Birth to 3 and DSM-V, respectively. |
| | PROs recruit CE providers on an as needed basis as well as in-house MCS (medical consultants). |
| | • EMR in-bound and out-bound are at the forefront of the PRO marketing agenda. This includes opportunities during phone conversations, at medical conferences, at professional meetings, staff training at DDS, etc. |
| | Please attach any additional information before submitting this form. |
| | The average time from CE appointment date to receipt of the report was 11 days in FY 14. |

DDS CE Oversight Report

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | Chicago |
|---|--|
| State DDS: | WI |
| Report Period (Fiscal Year): | 2014 |
| Current Date: | 11/13/14 |
| Reporter's Name, Phone number, and title: | Name (b) (6) Phone number (b) (6) |
| | Title Professional Relations Officer |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

Complaints received by claimants regarding CE provider facilities, thoroughness of the exam, staff interactions are investigated as follows:

- 1) If clarification is needed, a call is placed to the claimant or other involved parties for additional information.
- 2) If the CE report is in file, it is reviewed.
- 3) The provider records are reviewed to determine if previous complaints have been filed.
- 4) The PRO contacts the CE provider by telephone or letter to discuss the concerns or, in some situations, a site visit is conducted.
 - a. The PRO staff will review the results of the investigation with the provider and conduct appropriate verbal or written counseling for corrective action as necessary.
 - b. An enhanced review may be conducted. During an enhanced review claimant survey forms, as well as quality review forms for staff, are created for a specified number of future appointments with the provider.
 - c. If a continuing problem exists with the CE provider, further appointments are discontinued. If the claimant complaint is not substantiated, appointments continue with the provider.

Complaints received by staff regarding report quality and timeliness are investigated as follows:

- 1) For quality concerns, the PRO staff reviews several reports to determine where improvements are needed.
 - a. The provider is contacted to discuss the quality issues and provided with oral or written guidance on how to improve the quality of their reports.

- b. An enhanced review may be implemented, as described above.
- 2) For timeliness concerns, the PRO staff determines the provider's response time average
 - a. If a trend is identified, contact is made with the provider to discuss and resolve the situation.

A provider may be removed from the panel if quality and/or timeliness issues cannot be resolved.

Regardless of the source of the complaint, the DDB may temporarily stop scheduling appointments with the provider, pending the results of an investigation.

All complaints of unethical or illegal behavior are immediately referred to the Management Team. All sanctions of a sensitive nature are shared with Regional Office. The team will determine whether:

- 1. The CE provider will be immediately suspended
- 2. Pending appointments will be cancelled with the CE provider
- 3. Referrals to other agencies are appropriate

All state and parent agency policies regarding prohibited practices for providers and health professionals will be applied and referrals to appropriate regulatory and legal agencies will be made.

Documentation related to claimant and staff complaints are stored both electronically and in paper format. We are transitioning from our current filing system to an Access database.

2. Attach a list of completed onsite reviews of CE providers.



Site Visit Chart.doc

3. Attach a current list of names and addresses of key providers. For decentralized DDS locations, the list should be prepared and submitted for each branch.



4. Provide the total number of CE providers on the panel.

455

Provide a brief description of the process used by the DDS to ensure that medical credentials checks and exclusion list(s) checks are made at initial agreement and periodically thereafter to ensure that no unlicensed or excluded CE providers perform CEs.

- 1. Instruction manual for new CE providers describes licensing requirement.
- 2. License number and status are verified with the State Licensing Board via website research, for every prospective CE panel member, prior to beginning any CE appointments.
- 3. The OIG website is checked for sanction status on every prospective CE panel member.
- 4. LEIE is checked for sanctions on a monthly basis. A list is compiled of all sanctioned providers in WI and the bordering states (IA, IL, MI and MN). The list is compared to all CE providers in our legacy system. All CE providers under sanctions from either or both entities are brought to the attention of the MPRO.
- 5. New CE panel members are asked to submit their license number and a copy of their current license to the PRO when they join the CE panel.
- 6. The PRO assistant verifies the renewal status of all CE providers for each two-year cycle, for all CE providers.
- 7. When a prospective CE panel member is located in a border state, the PRO contacts the PRO in the other state to verify if the consultant is in good standing in the adjoining state. Verification of current licensing is also made with the out of state licensing board. Many have searchable data bases on their web sites or will respond to an e-mail inquiry.
- 8. The PRO reviews the reports of disciplinary actions taken by the State of Wisconsin Licensing Board and cross-checks the information with the list of current CE providers.
- 9. Licensure status is verified via website research on a biannual basis, which corresponds to the licensing requirements for health care professionals in Wisconsin.

Documentation related to licensure verification is stored electronically and will soon be part of our Access CE provider database.

6. Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation.

- 1. The CE provider reference manual, Section 12, states that all support staff who take part in a CE must be properly licensed by the State.
- 2. CE panel members must sign an agreement that all support staff meet state licensing criteria.
- 3. X-rays, Laboratory tests, and other medical tests are ordered from clinics, hospitals, and laboratories that are certified by the State of Wisconsin.
- 4. Key Providers annually certify the licensing status of their support staff at the onsite visit or by mail.

7. Provide a description of any CE/MER fee schedule changes (include a description of any volume medical provider discounts).

WI does not provide discounts to volume medical providers.

We are proposing to increase our fees for clinical exams:

Internal medicine, orthopedic, neurological, and pediatric from \$165.00 to \$180.00

Vision (ophthalmologist or optometrist) from \$150.00 to \$175.00
 Otolaryngology from \$125.00 to \$150.00
 Psychological from \$160.00 to \$180.00
 8. Upload fee schedules to the MPRO SharePoint site.

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| WI D Excep |
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9. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc.

ERE: PRO staff routinely encourage CE providers to utilize the ERE website. Communications with CE providers regarding the advantages of using the website are done via two yearly mailings and during one-on-one communications. In addition, all new providers are given information about the website. Several CE providers are eOR users and we are slowly expanding the fiscal functionality of the website to these providers. PRO staff sponsored additional MER vendors for ERE services. Registering of new users is done over the telephone or by email. Primary use of the website is for submission of records; however we have seen an increase in the number of vendors who now prefer to also receive our requests via the website. We also continue to communicate with several large MER vendors in the state regarding use of the website. This year we were also successful in setting up ERE accounts for Disability Benefit Specialists working with the various Aging and Disability Resource Centers (ADRC) around the state. The ADRCs provide assistance to many of our applicants, including the submission of medical, school, and lay evidence.

CE recruitment: PRO staff attended the 2014 Wisconsin Psychological Association Conference as exhibitors. In addition, our annual licensure renewal letters included recruitment information for specific geographical locations. We occasionally "cold-call" in areas with significant provider needs.

PRO staff continues to work with MER vendors throughout Wisconsin regarding the e827. While the e827 is widely accepted, occasionally contact must be made to assure a medical source that the e827 is HIPAA compliant and legally sufficient.

Other PRO staff activities:

- 1) Exhibitor at Wisconsin Health Information Management Association annual meeting.
- 2) Provided training for new CE providers at the UW Fox Valley Family Practice Clinic
- 3) Provided training for the Disability Benefits Specialists from the State of Wisconsin Aging and Disability Resource Centers.
- 4) Provided Age 18 Redetermination presentation to Employment Resources Inc.'s Work Incentive Benefit Specialists. This was a co-presentation with Madison SSA FO staff.
- 5) Provided new examiner training on the following topics: DAA Materiality; disclosure and privacy issues; and congressional inquiries
- 6) Met with staff from Legal Action of Wisconsin to discuss processes' related to the

Disabled Offender Economic Support (DOES) project. A program orientation for all attorneys working with the DOES project will be provided later this year.

7) Psychological CE provider orientation. This orientation provided an overview of the disability program and the adjudicative process, as well as the opportunity to share best practices. The orientation was offered to any interested psychological CE provider. While the orientation did not take place until after the end of the FY, many months of planning were involved. It is something that we hope to continue on an annual basis. While the orientation did not take place until after the end of the FY, many months of planning were involved. It is something that we hope to continue on an annual basis.

Please attach any additional information before submitting this form.

KEY PROVIDER VISITS

| 1. | January 9, 2014 | Key Provider |
|-----|-----------------|---|
| | | Mobilex USA, Fox Point WI |
| | | (b) (6) |
| 2. | May 6, 2014 | Key Provider – Multiple locations |
| | | Robert Schedgick PhD, Oshkosh WI location (d/b/a Educare |
| | | Learning Center) |
| | | ((b) (6)) |
| 3. | May 6, 2014 | Key Provider – Multiple locations |
| | | Steven Krawiec PhD, Appletion WI location |
| | | (b) (6) |
| 4. | May 6, 2014 | Key Provider – Multiple locations |
| | | Kurt Weber PhD, Green Bay WI location |
| | | (b) (6) |
| 5. | May 8, 2014 | Key Provider – Multiple locations |
| | | Kurt Reintjes MD, Chilton WI location (d/b/a Sagebrush LLC) |
| | | (b) (6) |
| 6. | May 27, 2014 | Key Provider – Milwauke |
| | | Abdul Hafeez MD (d/b/a St. Mary's Milwaukee) |
| | | (b) (6) |
| 7. | May 27, 2014 | Key Provider – Multiple locations |
| | | Mark Pushkash PhD, West Allis WI location |
| | | (b) (6)) |
| 8. | May 27, 2014 | Key Provider – Multiple locations |
| | | James Bartlett DO (Meridian Diagnostics), Milwaukee WI |
| | | (b) (6)) |
| 9. | May 30, 2014 | Key Provider |
| | | Bonnie Behee-Semler, PhD, Glendale WI |
| | | (b) (6) |
| 10. | June 21, 2014 | Key Provider – Multiple locations |
| | | A. Neil Johnson MD, Rhinelander WI location |
| | | ((b) (6)) |
| 11. | July 19, 2014 | Key Provider – Multiple locations |
| | | Syed Hasan MD, Rice Lake WI location |
| | | (b) (6) |

OTHER ONSITE VISITS

| 1. | January 9, 2014 | (b) (6) |
|----|-----------------|------------------------------------|
| 1. | January 9, 2014 | Maridian Diagnostics Milwayless WI |
| | | Meridian Diagnostics, Milwaukee WI |
| | | ((b) (6)) |
| 2. | May 7, 2014 | (b) (6) |
| | | Kevin Rosteing MD, DePere WI |
| | | ((b) (6)) |
| 3. | May 20, 2014 | (b) (6) |
| | | Craig Johnson MD, Williams Bay WI |
| | | ((b) (6)) |
| 4. | May 30, 2014 | (b) (6) |
| | | Kamal Muzaffar MD, Elkhorn WI |
| | | (b) (6) |

| 5. | July 18, 2014 | (b) (6) Essentia Health-Duluth Clinic ENT Dept., Duluth MN (b) (6) |
|----|--------------------|--|
| 6. | September 30, 2014 | (b) (6) Mobilex USE, Fox Point WI (b) (6) |

Key Providers for FY 2014

1. **Neil Johnson MD** – 9 locations

Mariner Medical Clinic 109 N 28 St E Superior WI 54880-6548

Agnesian Work & Wellness Ctr 420 E Division St Fond du Lac WI 54935

St Clare's Hospital 3400 Ministry Parkway Weston WI 54476

Prevea Health Ctr 2500 S Ashland Ave Ashwaubenon WI 54304

Twit Chiropractic 3125 Main St Stevens Point WI 54481

2. **Robert J Schedgick PhD** – 3 locations

Educare Learning Center 1950 Venture Dr Oshkosh WI 54902 Cambridge Chiropractic Clinic 137 2 Ave SW Cambridge MN 55008

St Mary's Hospital 2251 N Shore Dr Rhinelander WI 54501

Jackson Plaza Bentz Chiropractic 406 Jackson St La Crosse WI 54601

Anderson Chiropractic 420 S Koeller St Ste 345 Oshkosh WI 54902

UW Fond du Lac Science Building 400 University Dr Ste 206 Fond du Lac WI 54935

The Park Business Center 926 Willard Dr Green Bay WI 54304

3. Mark Pushkash PhD – 2 locations

Genesis Behavioral Health 1626 Clarence Ct West Bend WI 53095 Genesis Behavioral Health 6737 W Washington St Ste 2210 West Allis WI 53214

4. **Kurt Weber PhD** – 5 locations

Prairie du Chien Memorial Hosp 705 E Taylor St Prairie du Chien WI 53821

(b) (6)

Green Bay WI 54303

Richland Medical Ctr 301 E Second St Richland Center WI 53581

3610 Oakwood Mall Dr Ste 205 Eau Claire WI 54701

(b) (6)

Black River Falls WI 54615

5. Kurt Reintjes MD (d/b/a Sagebrush LLC) – 4 locations

Consultants in Neurology 3805B Spring St Ste 120 Racine WI 53405

Bre Chiropractic 621 S Gammon Rd Madison WI 53719 Sports & Family Chiropractic Clinic 6123 Green Bay Rd Ste 140 Kenosha WI 53142

Chaussee Chiropractic 638 N Madison St Chilton WI 53014

6. Steven Krawiec PhD – 3 locations

Catholic Charities 1825 Riverside Dr Green Bay WI 54301 Catholic Charities 206 N 8 St Manitowoc WI 54220

Catholic Charities 214 E Summer St Appleton WI 54911

7. James Bartlett DO (Meridian

Diagnostics) – 2 locations

1215 Black Bridge Rd Ste 120 Janesville WI 53548 6815 W Capitol Dr Ste 217 Milwaukee WI 53216 8. Abdul Hafeez MD/Mohammad Fareed MD (d/b/a St. Mary's Milwaukee Clinic)

> Columbia St Mary's Westgate 2727 N Mayfair Rd Milwaukee WI 53222

9. Mobilex USA (contracts with Neal Pollack DO, Daniel Jankins MD, Daryl Melzer MD)

333 W Brown Deer Rd Ste R Fox Point WI 53217

10. Bonnie Behee-Semler PhD

(b) (6) Glendale WI 53209

11. Syed Hasan MD – 3 locations

Eau Claire Family Medicine Cl 617 W Clairemont Ave Eau Claire WI 54701

Fogarty Surgical Svcs 2021 Cenex Dr Ste K Rice Lake WI 54868

Davis Chiropractic 154 E 5th St Neillsville WI 54456

WI DDS CE Fee Exceptions

The following **laboratory (specialty tests, blood work and x-rays)** fee exceptions are previously approved exceptions; we propose to continue these fee exceptions in FFY 2015. The providers are:

- Mobilex of Milwaukee, a critical Milwaukee area CE vendor. They are a group practice with 3 physicians performing medical examinations.
- Ward Jankus, M.D., a board certified physiatrist who provides physical medicine and neurological examinations in multiple underserved areas of the state.
- A. Neil Johnson, M.D., a board certified internist who provides internal medicine, orthopedic, neurological, and pediatric examinations in underserved areas in the Fox Valley and northern Wisconsin.
- Kurt Reintjes, M.D. internist who provides internal medicine, orthopedic, neurological, and pediatric examinations in Racine.

Approved Exception Fee Schedule for These Providers

| 10505 | | | |
|-------|---|-----------------------------------|---------------|
| 93005 | Resting Electrocardiogram, tracing only | | |
| | without interpretation | | 50.00 |
| 93017 | Cardiovascular Stress Test, treadmill | | |
| | or bicycle, tracing only, without | | |
| | interpretation and report | | |
| | | Facility | 275.00 |
| | | Professional | 100.00 |
| | | Total | 375.00 |
| 93923 | Doppler, Resting | | |
| | | Facility | 100.00 |
| | | Professional | |
| | | Total | 175.00 |
| 93924 | Doppler, Resting and Exercise | | |
| | | Facility | 275.00 |
| | | Professional | |
| | | Total | 375.00 |
| 94060 | Spirometry, including graphic record, total | and timed vital canacity expirate | ory flow rate |
| 74000 | | | Sry now rate |
| | measurements, and MVV, before and after | | 110.00 |
| | before and after bronchodilator | | |
| 94700 | Arterial Blood Gases – Resting | | 125.00 |
| 94705 | Arterial Blood Gases - Rest and Exercise | | |
| | | Facility | |
| | | Supervision | 100.00 |
| | | | |

Tests

| 94720 | DLCO (Carbon Monoxide Diffusing Capac | tity) | 100.00 |
|-------------------|---|----------------------------|--------------|
| 92542 | ENG - Positional | | |
| | Nystagmus Test | | 300.00 |
| 95860 | EMG | | |
| | (plus 75.00 for each additional nerve, maxing | | |
| MUGA Scan | Technical | | |
| WOOA Scall | Professional: | | |
| 70420 | FIOIESSIOIIAI. | Destine | 100.00 |
| 78430 | | Resting | |
| 78404 | | Exercise | |
| 93350 | Echocardiogram, transthoracic | | |
| | | Facility | 400.00 |
| | | Professional | 300.00 |
| 78461 | Myocardial Perfusion Imaging Stress Test | | |
| | | Facility | 1 140 00 |
| | | Physician Supervision | |
| | | Radiologist Interpretation | |
| LADODATODV | | Radiologist interpretation | |
| <u>LABORATORY</u> | | | |
| 80054 | Comprehensive Metabolic Panel | | 60.00 |
| 80054 | Hepatic Function Panel | | |
| 80004 | Electrolyte Profile | | |
| 80004 80299 | | | |
| 80299 | Quantitation/drug not spec Phenobarbital Blood Level | | |
| | | | |
| 80185 | Dilantin Blood Level | | 50.00 |
| 80156 | Phenytoin | | |
| 80130 | Tegretol Blood Level | | 50.00 |
| 80188 | Carbamazepine | | |
| 80188 | Mysoline Blood Level | | 50.00 |
| 90164 | Primidone | | |
| 80164 | Valproic Acid (Depakene) Other Anticonvulsant | | |
| | Blood level | | 50.00 |
| 80198 | | | |
| | Theophylline Level | | |
| 81000 | Urinalysis | | |
| 82040 | Albumin, serum | | |
| 82250 | Bilirubin, serum | | |
| 82565 | Creatinine, serum | | |
| 84075 | Alkaline Phosphatase | | |
| 84155 | Serum Protein | | |
| 84436 | T4 | | |
| 84443 | TSH | | |
| 84479 | T3 | | |
| 84520 | BUN | | |
| 80031 | CBC | | |
| 85595 | Platelet Count | | |
| 85651 | Sed Rate | | |
| 85730 | Thromboplastin Time | | |
| 85610 | Prothrombin Time | | |
| 86038 | ANA - Quantitative | | 50 00 |
| 86039 | with Titre | | |
| 86430 | Rheumatoid factor | | |
| 36415 | Venipuncture | | 10.00 |
| | clinic fee for blood draw; blood sent to lab f | for processing | |

TYPE OF X-RAY

TECHNICAL PROFESSIONAL TOTAL

| 71020 | | 45.00 | 25.00 70.00 |
|-------|---------------------------|-------|-------------|
| 71020 | | | |
| 72040 | Cervical Spine, AP and | | |
| | | | |
| 72052 | Cervical Spine, 3 or | | |
| | | | |
| 72070 | Thoracic Spine | | |
| 72100 | Lumbar Spine, AP and | | |
| | Lateral | 50.00 | |
| 72114 | Lumbar Spine, 3 or | | |
| | more Views | 60.00 | |
| 72080 | Thoracic & Lumbar Spine | | |
| 72190 | Pelvis, 2 Views | 50.00 | |
| 73510 | Hip, 2 Views | | |
| 73550 | Femur, 2 Views | | |
| 73560 | Knee, 2 Views | 55.00 | |
| 73590 | Tibia and Fibula, 2 Views | | |
| 73600 | Ankle, 2 Views | | |
| 73620 | Foot, 2 Views | | |
| 73650 | Heel, 2 views | | |
| 73030 | Shoulder, 2 Views-Min. | | |
| 73060 | Humerus, 2 Views | | |
| 73070 | Elbow, 2 Views | | |
| 73090 | Forearm, 2 Views | | |
| 73100 | Wrist, 2 Views | | |
| 73120 | Hand, 2 Views | | |
| 76020 | Bone Age | | |
| | 0 | | |

Travel Reimbursement

For any new or existing vendor who travels for the sole purpose of performing consultative examination(s), (e.g. home visits, jail visits, multiple location providers as described in the preceding paragraph), we will continue to pay \$50/hour travel time and \$0.485 per mile, up to a maximum of \$250 in total travel costs per day, when that travel is by vehicle and has been pre-approved by the DDS. For vendors who fly, the travel costs are negotiated between the vendor and the DDB, and should not exceed a maximum of \$250 in total travel costs per day.

Room Rental Reimbursement

We propose continuing to pay new and existing 'multiple location providers' examination room rental and travel costs when they locate into a critical need area. These multiple location providers use one or more of their locations for the exclusive purpose of performing consultative examinations for the Wisconsin DDS and must offer scheduling of at least four psychological or eight medical examinations a day in the critical need location. We propose to continue to pay their actual costs for room rental, up to \$200.00 per day. We currently have 8 providers who receive this reimbursement.

Providers who receive room rental and travel reimbursements:

| Brenda Reed, PhD | Dr. Reed is paid \$50/hour travel time and |
|------------------|---|
| | \$0.485 per mile up to a maximum of \$250 |
| | in total travel costs per day for her |
| | Rhinelander and Eagle River locations. |
| Brian Allen, MD | Dr. Allen is paid \$50/hour travel time and |
| | \$0.485 per mile up to a maximum of \$250 |

| Catherine Bard, PhD | in total travel costs per day and room rental reimbursement for his actual costs up to \$200.00 per day for his Lancaster location. Dr. Bard is paid \$50/hour travel time and \$0.485 per mile up to a maximum of \$250 in total travel costs per day and room rental reimbursement for her actual costs up to \$200.00 per day for her Tomahawk location. |
|-------------------------|--|
| John Henningsen, MD | Dr. Henningsen is paid \$50/hour travel time and \$0.485 per mile up to a maximum of \$250 in total travel costs per day and room rental reimbursement for his actual costs up to \$200.00 per day for his Warrens location. |
| Steven Krawiec, PhD | Dr. Krawiec is paid \$50/hour travel time and \$0.485 per mile up to a maximum of \$250 in total travel costs per day and room rental reimbursement for his actual costs up to \$200.00 per day for his Manitowoc location. |
| Daniela Jaramillo, PsyD | \$90 no show fee for this bilingual (English- Spanish) psychologist who performs psychological examinations in Milwaukee, WI. |
| A. Neil Johnson, MD | Dr. Johnson is paid between \$160 and \$225 for orthopedic, internal medicine, neurological and pediatric examinations in Rhinelander, LaCrosse, and Superior. The actual reimbursement varies with the number of examinations scheduled. We also received approval to reimburse Dr. Johnson up to \$400 per day for travel expenses to these sites; our standard travel reimbursement is actual expenses up to \$250 per day. |
| Kurt Weber, PhD | Dr. Weber is paid \$50/hour travel time and \$0.485 per mile up to a maximum of \$250 in total travel costs per day and room rental reimbursement for his actual costs up to \$200.00 per day for his Black River Falls location. |

Native Language Examinations

The DDB proposes continuing to pay a supplement to CE providers who speak the native language of the claimant for languages other than English. The fee would be \$40 per hour for the time of the examination and any associated clinical testing. This supplement provides the most desired communication between provider and claimant while ultimately less costly than a hired interpreter.

Record Review Payments and No Show Exceptions

DDB's current rules for record review payments are:

- Basic Psychological, Speech/language and Occupational Therapy examination; fee is \$25. This fee is unchanged since FFY10
- Medical examination; fee is \$35.
- Psychological examination with at least 1 hour of psychological testing; fee is \$50. This fee is unchanged.
- Examinations by existing and new physicians or psychologists who set up clinics in multiple sites at DDB's request, fee is \$50. Psychologists at multiple sites receive \$50 even if psychological testing was not requested.

Payment would be made only if the vendor faxes a records' review form to the DDS within 48 hours of the appointment time.

DDS CE Oversight Report

The Office of Disability Determination designed the DDS Annual CE Oversight Report to capture substantive data and facilitate meaningful national and regional analyses. The annual (federal fiscal year) oversight report covers the preceding 12-month period.

The DDS will complete the fillable form located on the MPRO SharePoint site and upload the CE/MER fee schedule within 45 days following the end of the federal fiscal year, by November 15.

| Region: | Denver |
|---|---------------------------------------|
| State DDS: | WY DDS |
| Report Period (Fiscal Year): | 2015 |
| Current Date: | October 29, 2014 |
| Reporter's Name, Phone number, and title: | Name (b) (6) Phone number (b) (6) |
| | Title Administrator |

1. Provide a brief description of the DDS's procedures used to resolve the various categories of complaints received throughout the year.

- Most Serious Complaints/Egregious Behavior (Inappropriate touching, sexual behavior): The Professional Relations Officer (PRO) will investigate the complaint(s), and if the PRO is unavailable to investigate the complaint, then the Wyoming DDS Administrator will contact the provider who performed the consultative examination and speak directly with him/her to obtain his/her recollection of the event in question. If there is enough information/evidence to support that the complaint is valid then the Wyoming DDS Administrator will contact the Wyoming DDS Administrator will and file a formal complaint. Also, the Wyoming DDS Administrator will inform the claimant to contact local authorities file formal charges and to request an investigation.
- Less Serious Complaints to include: Physician was rude, Physician rushed the examination, and Physician's bedside manner; the Professional Relations Officer (PRO) will investigate the complaints. If the PRO is unavailable to investigate the complaint, then the Wyoming DDS Administrator will contact the provider who performed the consultative examination and speak directly with him/her to obtain his/her recollection of the event in question. If there is enough evidence to

support the complaint then the Wyoming DDS Administrator will discuss the necessary steps to ensure that a similar event does not occur. The Wyoming DDS Administrator will inform the provider that the behavior cannot continue, and if the behavior does continue then the Wyoming DDS will not use this provider.

 The Wyoming DDS is obligated to investigate complaints as per POMS DI 00233.900 G. The Wyoming DDS will ensure that complaints made by claimants or providers are investigated and resolved within five (5) business days of receipt and where this is not possible we will provide a reason and estimated completion date. Complaints which are not resolved within five-business days or which are not resolved to the satisfaction of the individual(s) making the complaint will be referred to the Wyoming DDS Administrator. The Wyoming DDS will provide acknowledgement of complaints communicated to us by telephone, electronic mail, written form, or in person. The PRO will communicate with the individual(s) in-person or by telephone. The PRO will ask questions as contained on the Complaint Questionnaire form. The PRO will

inform the DDS Administrator of any complaints received. State mandated protocols consist of contacting the Wyoming Attorney General's office. Certain complaint types will eliminate the provider from our CE list to include: sexual behavior toward the claimant(s) and rude behavior towards the claimants.

• The Wyoming DDS maintains a completed questionnaire form that is kept on file.

2. Attach a list of completed onsite reviews of CE providers.

- In May 2014 the Wyoming DDS performed three (3) onsite/oversight reviews with the following CE providers and maintain records of each onsite/oversight review for each provider:
 - Kirtikumar L. Patel, MD, 407 S. Medical Arts Court Suite D Gillette, WY 82716
 - o James Wyssmann, PhD, 45 E Loucks Suite 300B Sheridan, WY 82801
 - Geoffrey Sherman, PhD, (b) (6) Buffalo, WY 82834
- In August 2014 the Wyoming DDS performed one onsite/oversight review for the following provider and maintain records of each onsite/oversight review for this provider:

- Lucase Wang, MD, (b) (6) Cheyenne, WY 82001
- In September 2014 the Wyoming DDS performed two (2) onsite/oversight reviews with the following CE providers and maintain records of each onsite/oversight review for each provider:
 - Mark Gibson, PsyD, (b) (6) Rock Springs, WY 82901
 - Mary Jo Jeffres, PhD, (b) (6) Riverton, WY 82501
- In October 2014 the Wyoming DDS performed one (1) onsite/oversight reviews with the following CE provider and maintain records of the onsite/oversight review for this provider:
 - Rocky Mountain Behavioral Health (AKA Park Ridge Behavioral Health) Kenneth Bell, PhD, 940 East 3rd 212 Casper, WY 82601
- The Wyoming DDS met with two of its main key providers this federal fiscal year, and five non-key providers, because the Wyoming DDS wanted to perform reviews of other CE providers.
- The Wyoming DDS conducts actual onsite/oversight reviews to ensure that CE providers are meeting specific standards as established by the Social Security Administration. As indicated in section 4 of the CE Oversight Report, the Wyoming DDS specifically checks the State data base and the HHS OIG list of excluded providers each year. Please see attached spreadsheet in section 4 of CE Oversight Report. Attached are the questions asked during the onsite/oversight visit.



The Wyoming DDS eliminates providers from its CE panel for various reasons to include: quality and timeliness of reports; complaints that have been discussed, but continue to occur, unethical/egregious issues, and if provider communicates that he/she no longer wants to perform evaluations, relocates out of state, and/or retires. The Wyoming DDS no longer uses the following CE providers as indicated on the WY Fee Schedule in section 5 of the CE Oversight Report:



| • (b) (6) |
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| | _ | | |
| Attac | h a current list | of names and addresses of key providers. For decentralized DDS | |
| | | ould be prepared and submitted for each branch. | |
| | • Top 5 Pro | oviders: | |
| | i. Lu | ucase Wang, MD, 4411 Puma PO Box 371124 Denver, CO 80237; | |
| | | eton Behavioral Health LLC – Eric Silk, PhD, 2607 Holler Avenue Cody 2414 | <i>ι,</i> WΥ |
| | | ocky Mountain Behavioral Health (AKA Park Ridge Behavioral Health enneth Bell, PhD, 940 East 3 rd 212 Casper, WY 82601 |) — |
| | | nowy Range Consulting – Mark Watt, PhD, 526 Regency Laramie, WY 2070 | 1 |
| | | eming Associates – Grant Fleming, PhD, 1001 West 31 st Street Cheye /Y 82001 | enne, |
| Provid | de the total nu | mber of CE providers on the panel. | |
| The W | /yoming DDS h | as a total of 104 active CE providers on its panel. | |
| Provid | de a brief desc | ription of the process used by the DDS to ensure that medical | |
| | | ind exclusion list(s) checks are made at initial agreement and | |
| CEs. | dically theread | ter to ensure that no unlicensed or excluded CE providers perform | |
| | - The 144 | | - بالج مح |
| | | ming DDS does perform an annual check of our providers by checkin g State Board of Medicine, the Wyoming State Board of Psychology, a | - |
| | | h and Human Services OIG LEIE list. Thus, allowing us to verify that | |
| | the field | oviders maintains proper credentials and that the providers are not | 2001 |

any suspension.

 If a nurse practitioner or physician's assistant is performing the CE under the supervision of the physician, then the Wyoming DDS will perform a credential check to ensure that the individual(s) is currently licensed in the State of Wyoming. The State of Wyoming websites for Nurses, Physician Assistants, and Health and Human Services OIG LEIE list are used to perform the credential checks.

6. Provide a brief description of the process used by the DDS to ensure that all CE providers' support personnel are properly licensed or credentialed when required by State law or regulation.

- The Wyoming DDS does perform an annual check of our providers by checking the Wyoming State Board of Medicine, the Wyoming State Board of Psychology, and the Health and Human Services OIG LEIE list. Thus, allowing us to verify that each of our providers maintains proper credentials and that the providers are not under any suspension.
- If a nurse practitioner or physician's assistant is performing the CE under the supervision of the physician, then the Wyoming DDS will perform a credential check to ensure that the individual(s) is currently licensed in the State of Wyoming. The State of Wyoming websites for Nurses, Physician Assistants, and Health and Human Services OIG LEIE list are used to perform the credential checks.
- Attached is a copy of our current oversight list.



7. Provide a description of any CE/MER fee schedule changes (include a description of any volume medical provider discounts).

 The Wyoming DDS utilizes the "usual and customary" fee schedule in lieu of a fee schedule. The Wyoming DDS has a very limited number of CE providers available, which is a result of having approximately 1,074 physicians actively practicing within Wyoming. The Wyoming DDS rates do not exceed the highest rate paid by another state agency for the same or similar types of services. These other state

agencies include Wyoming Division of Vocational Rehabilitation and Wyoming Worker's Safety and Compensation. Attached is a copy of our current "usual and customary" fee schedule. <u> Գրիտիսիստի։</u> 8. Upload fee schedules to the MPRO SharePoint site. 9. Provide a brief description of DDS professional relations officers'/medical relations officers' activities regarding marketing electronic records, exhibiting at medical conventions, joint actions with regional public affairs offices, etc. Oversight of the consultative examination process including on-site compliance visits, analyses, review of vendor's medical, fiscal and patient compliance procedures according to the Wyoming DDS and SSA requirements. Verification of licensure and credential issues. Preparing detailed reports to SSA documenting the Agency's compliance. • Assists with various inquiries from non-attorney representatives, representatives from hospitals, and prior claimants. Resolves issues relating to late submission of medical records and/or consultative examinations reports. Recruits new CE providers. • Acts a liaison with the Wyoming State Hospital, SSA Field Offices, and Native American Reservations. Investigates and addresses CE complaints from claimants. Arranges language interpreter to be present at time of CE with non-English speaking claimants. Markets the use of ERE to CE providers and MER providers. Assists with performing various initiatives as needed.

Please attach any additional information before submitting this form.

Wyoming DDS Onsite Review of CE Providers

| Date: | Reviewer: | | | | | | |
|--|--------------------------|-------------------|------------------|--|--|--|--|
| Name/facility: | Address: | | | | | | |
| Other locations: | | | | | | | |
| Phone: | E-mail: | | | | | | |
| Providers specialty: | | | | | | | |
| Type of exams conducted: | Has performed exams sinc | e: | | | | | |
| Key Provider: Yes No Top five ce provider: Yes | No Annual billing ove | er \$100,000: Yes | No | | | | |
| Does Primarily Evaluations: Yes No | | | | | | | |
| Do you travel to other sites to perform Ces for the D | DS: Yes NO | | | | | | |
| Will see your own clients for ces: | | | | | | | |
| Speak any other language other than English: | Are you Licensed: | Certified: | Board Certified: | | | | |
| Are you currently licensed within the state: Yes No Licensed number: | | | | | | | |

Facility/ Building location

| Easily identifiable: | | Cleanliness: |
|---|-----------|---------------------------|
| Safe place to travel: | | Handicap accessible: |
| Is there public transportation to site: | | Is there at site parking: |
| Are there public restrooms: | | Emergency exit signs: |
| Is there a waiting room: | | Size of waiting room: |
| Cleanness of waiting room: | | |
| Receptionist on duty: | Nurse: | Technician: |
| Number of examining rooms: | | |
| Are examination rooms private: | | |
| Is there appropriate furniture to perform | the exam: | |

Other Comments about location/facility:

Physician use only

| Is a weight scale used and | Is there a maxim | um weigł | nt with the scale: | | | | | |
|--|-------------------------------|-------------|--------------------|----------|-------------------|--|--|--|
| Height chart used: | Do you have all s | izes for B | P cuffs: | | | | | |
| Equipment used | | | | | | | | |
| X-ray onsite: | PFS: | Treadm | ill: | Doppler | : | | | |
| Snellen: | Visual Fields: | EKG/EC | G: | EMG: | | | | |
| Audiometer: | EEG: | | | | | | | |
| If labs are done what kind | d of labs: | | Are certified tech | ns used: | | | | |
| If snellen/ vision fields are | e done is there ad | lequate lig | shting: | | Correct distance: | | | |
| Are the studies sent out o | of office? | | | | | | | |
| Turn around on tests resu | Turn around on tests results: | | | | | | | |
| Does the doctor review test results before submitting report to DDS: | | | | | | | | |
| If a direct test/lab with no | o exam, does the o | doctor see | e results: | | | | | |
| | | | | | | | | |

Professionalism/ Identity

What do you do to ensure that clients are treated in a professional manner when coming to your office? How do you ensure professionalism during the exam by yourself?

How do you verify claimant's identity?

Who does this?

Do you include Claimant's physical description and claim number in the CE report as required by DI 22510.015A?

How is the claimant's medical history obtained? By Whom?

Do you review medical back ground that is sent by the DDS, when and how long does this take?

How much time do they spend waiting to see the doctor/ PhD in the waiting room?

How much time does the doctor/PhD spend face to face with the claimant?

Are medical records maintained at the clinic/office kept in a secure location? Are there procedures in place that medical records/ information is not easy accessible to the public or unauthorized staff?

How do you treat confidential material once it is no longer need to complete your report for disability? How do you destroy PII?

Are staff/ employees trained on security procedures on confidentiality of claimant's, medical records, and social security numbers?

Is using electronic Outbound request, are electronic documents downloaded and stored on a personal computer? How is that computer maintained for Security/Confidentiality?

What do you tell them after the exam has been conducted? Do you tell them that they should be allowed or denied?

Do provide other services other than the ce to the claimant at the time of their evaluation?

Scheduling/Number of exams

Transmit reports is done using: Fax Mail Electronic records express(ERE)

Is there any additional education/ training that the DDS needs to provide for the provider regarding Social Security disability?

Additional comments:

Signature of Reviewer:

Date

| <u>Provider</u> | License Number | Expired Date | Disciplinary Action | Review Date DDS | OIG Check | Date of last oversite visit | Company Name |
|--|----------------|------------------------|---------------------|------------------------|------------|-----------------------------|--|
| Psychologist: | (\mathbf{b}) | | | | | | |
| Gerald Manwill Ph.D | (D) (D) | 6/30/2015 | (b) (6) | 8/16/2014 | yes | unknown | self |
| Robert Bayuk Ph.D | | 6/30/2015 | | 8/16/2014 | yes | unknown | self |
| Christine Winter Ph.D | | 6/30/2015 | | 8/16/2014 | yes | unknown | self |
| Dina Tassione Ph.D | | 6/30/2015 | | 8/16/2014 | yes | unknown | Tassione Psychological Services |
| Timothy Blaney Ph.D | | 6/30/2015 | | 8/16/2014 | yes | 8/14/2012 | Summit Psychological Services |
| Michael Enright Ph.D | | 6/30/2015 | | 8/16/2014 | yes | 10/2/2014 | self |
| Mark Gibson Ph.D | | 6/30/2015 | | 8/16/2014 | yes | 9/18/2014 | Southwest Counseling Services |
| Theo Riley Ph.D | | 6/30/2015 | | 8/16/2014 | yes | unknown | self |
| Richard Norton Ph.D | | 6/30/2015 | | 8/16/2014 | yes | 8/20/2012 | self |
| Alison Ohashi Ph.D (no longer consult) | | 6/30/2015 | | 8/16/2014 | yes | unknown | self |
| Mary Jo Jeffres Ph.D | | 6/30/2015 | | 8/16/2014 | yes | 8/15/2014 | self |
| James Wyssmann Ph.D | | 6/30/2015 | | 8/16/2014 | yes | 4/30/2014 | self |
| Eric Silk ph.D | | 6/30/2015 | | 8/16/2015 | yes | none to date | self |
| Pamela Fuller Ph.D | | 6/30/2015 | | 8/16/2015 | yes | unknown | Frontier Psychological Associates |
| Grey Rich Ph.D | | 6/30/2015 | | 8/16/2015 | yes | consult only | self |
| Cora Klotzbach Ph.D | | 6/30/2015 | | 8/16/2014 | yes | unknown | Frontier Psychological Assocaites |
| Denise Debarre Ph.D Julie Jett Ph.D | | 6/30/2015 6/30/2015 | | 8/16/2014 8/16/2014 | yes | unknown unknown | Frontier Psychological Assocaities Frontier Psychological Assocaities |
| Melissa Jenkins Ph.D | | 6/30/2015 | | 8/16/2014 | yes | 8/15/2012 | |
| Kenneth Bell Ph.D | | 6/30/2015 | | 8/16/2014 | yes yes | 8/15/2012 | Park Ridge Behavioral health care/ Bell Wellness Center |
| | | 0/30/2013 | | 8/10/2014 | yes | 6/13/2012 | Rocky Mountain Behavioral Health Park Ridge Behavioral Health care |
| Geoffrey Sherman Ph.D | | 6/30/2015 | | 8/16/2014 | yes | 5/2/2014 | Abintra Psychological Associates |
| Jerry Post Ph.D | | 6/30/2015 | | 8/16/2014 | yes | unknown | was a partner with Wylie |
| Mark Watt Ph.D | | 6/30/2015 | | 8/16/2014 | yes | 5/10/2013 | Snowy Range Consulting |
| Nicolas Prat Ph.D | | 6/30/2015 | | 8/16/2014 | yes | 6/17/2013 | self |
| Steven Newman Ph.D | | 6/30/2015 | | 8/16/2014 | yes | 6/11/2013 | self |
| Grant Fleming Ph.D | | 6/30/2015 | | 8/16/2014 | yes | 6/19/2013 | Fleming Associates |
| Charles Powell Ph.D | | 6/30/2015 | | 8/16/2014 | yes | none to date | Park Ridge Behavioral Healthcare/ Bell |
| | | | | | | | |
| <u>Psychiatrist:</u> Frank Wheeler MD (not using) | | 6/30/2015 | | 8/16/2014 | yes | 8/20/2013 | Lander Valley Physicains |
| Not using for ces/ consulting | | | | | | | <i></i> |
| Nohl Sandall PhD (not using) | | 6/30/2015 | | 8/16/2014 | yes | unknown | self |
| Dean Schroeder Ed.D (not using) | | 6/30/2015 | | 8/16/2014 | yes | unknown | self |
| Emily Rosten Ph.D (not using) | | c /20 /2015 | | 8/16/2014 | | | Madian Development |
| Scott Wylie Ph.D (not using) | | 6/30/2015 | | 8/16/2014 | yes | unknown | Medical Psychology Associates |
| Stuart Krause Ph.D (not using) | | 6/30/2013 | | 8/16/2014 | yes | unknown | Frontier Psychological Associates |
| Anton Ornette Tolman Ph.D (not using) | | 6/3/2001 | | 8/16/2014 | yes | unknown | Frontier Psychological Assocaites |
| Susan Fisher Ph.D (not using) | | 6/30/2015 | | 8/16/2014 | yes | unknown | Left Wellness Centers 7/11/13, in CO |
| Angela Ehrlick Ph.D (no longer using) | | 6/30/2014 | | 8/16/2014 | yes | 8/15/2012 | Rocky Mountain Behavioral Health |

Jamie Brass Ph.D (not using) Marc McLaughlin Ph.D (not using) Laura McKee Ph.D (withdrew as ce pro.)





| 8/16/2014 | |
|-----------|--|
| 8/16/2014 | |
| 8/16/2014 | |

yes yes yes 8/22/2012

8/21/2012

none

Left southwest co 9/1/12, in UT was under Fremont Counseling/ on own

Link Board of Psychology http://plboards.state.wy.us/psychology/

Link: Board of Medicine Wyoming http://wyomedboard.state.wy.us/

OIG search http://exclusions.oig.hhs.gov/SearchResults.aspx

Provider

Physician (Using) Amy Kenworthy MD Brendan Fitzsimmon Troy Caldwell MD Jonathon Medina MI Kent M Kleppinger N Travis Riddell MD Keri Ann Wheeler M James Little MD Kaci Gallo MD Terry R Donaldson M Peter Crane MD David Kappenman M Mark Schueler MD Michael Jording MD Lucase Wang MD Ann MacGuire MD Radu Segal MD Lisa Brandes MD John Healey MD Ronald Malm DO Douglas Parks MD Kim Broomfield MD Ralph Heckard MD Ardella Kemmler MD Kirtikumar Patel MD James Naramore MD Thomas Simon MD Melinda Poyer MD Bille Wilkerson MD Demar Hill MD

Physician (Not using

William Williams MD Adolfo G Bagnarello Edward Wilson DO (Nicole Caldwell MD David Cesko MD (no Richard Sorenson MI Karel D Capek MD (n Joel Pull MD (No long Marvin W Couch II (n Michael Swenson MI Carlton Huitt MD (no Louis Roussalis MD (I

Physician Assistance Tracy Potter PA-C Kenneth Ferbrache F

Nurse Practitioner Mary Freund NP Trisha DeClue FNP

Shirley Schatza NP

Consultants

Robert Kanard MD (Michael Herber MD Darryl Bindschadler Rodney Anderson M Harmon H Davis (Cor Thomas Toft MD (Co

Link: Wyo State Board of Nursing https://nursing-online.state.wy.us/

Link: Board of Medicine Wyoming http://wyomedboard.state.wy.us/

OIG search

http://exclusions.oig.hhs.gov/SearchResults.aspx

| | License Number | Expired Date | Disciplinary Action | Review Date | OIG Check | Date of last oversite visit | Company Name |
|-------------------------|----------------|------------------------|-------------------------------|-------------|-----------|-----------------------------|---|
| 1D | (b) | 6/30/2015 | | 10/4/2014 | yes | 8/20/2012 | self |
| ons MD | (D) (D) | 6/30/2015 | (\mathbf{D}) (\mathbf{O}) | 10/4/2014 | yes | unknown | North Big Horn Hospital |
| | | | | | - | | |
| MD | | 6/30/2015 6/20/2015 | | 10/4/2014 | yes | unknown 2/4/2013 | North Big Horn Hospital Cheyenne Family Medicine |
| | | 6/30/2015 | | 10/4/2014 | yes | | |
| MD | | 6/30/2015 | | 10/4/2014 | yes | unknown | Laramie Pediatrics |
| | | 6/30/2015 | | 10/4/2014 | yes | unknown | Jackson Pediatrics |
| MD | | 6/30/2015 | | 10/4/2014 | yes | unknown | Jackson Pediatrics |
| | | 6/30/2015 | | 10/4/2014 | yes | unknown | Jackson Pediatrics |
| | | 6/30/2015 | | 10/4/2014 | yes | 9/16/2014 | Lander Medical Clinic |
| MD | | 6/30/2015 | | 10/4/2014 | yes | 8/23/2012 | Donaldson Medical Clinic |
| | | 6/30/2015 | | 10/4/2014 | yes | unknown | self |
| MD | | 6/30/2015 | | 10/4/2014 | yes | unknown | Pinedale Clinic/ Sublette Co Rural Health |
| - | | 6/30/2015 | | 10/4/2014 | yes | 8/14/2012 | Family Medical Center Of Johnson Co |
| D | | 6/30/2015 | | 10/4/2014 | yes | unknown | Cedar Hills Family Clinic |
| | | 6/30/2015 | | 10/4/2014 | yes | 7/14/2014 | self |
| | | 6/30/2015 | | 10/4/2014 | yes | 8/13/2013 | Self |
| | | 6/30/2015 | | 10/4/2014 | yes | 6/11/2013 | North Cheyenne Family Medicine |
| | | 6/30/2015 | | 10/4/2014 | yes | unknown | UWFP |
| | | 6/30/2015 | | 10/4/2014 | yes | unknown | UWFP |
| | | 6/30/2015 | | 10/4/2014 | yes | 5/28/2013 | UWFP |
| | | 6/30/2015 | | 10/4/2014 | yes | unknown | UWFP |
| D | | 6/30/2015 | | 10/4/2014 | yes | unknown | UWFP |
|) | | 6/30/2015 | | 10/4/2014 | yes | unknown | self |
| ٨D | | 6/30/2015 | | 10/4/2014 | yes | 8/22/2012 | Evanston Internal medical Association |
| ID | | 6/30/2015 | | 10/4/2014 | yes | 4/30/2014 | Gillette Internal Medicine |
| ٨D | | 6/30/2015 | | 10/4/2014 | yes | unknown | Family Medicine of Gillette |
|) | | 6/30/2015 | | 10/4/2014 | yes | 8/22/2012 | Evanston Internal medical Association |
|) | | 6/30/2015 | | 10/4/2014 | yes | 8/23/2012 | Rock Springs Family Practice |
|) | | 6/30/2015 | | 10/4/2014 | yes | unknown | Family Health(Gillette) |
| | | 6/30/2015 | | 10/4/2014 | yes | unknown | Midway Clinic |
| ng) | | | | | | | |
| ID (no longer using) | | 6/30/2015 | | 10/4/2014 | yes | 8/15/2012 | Sheridan Internal Medicine |
| lo MD (no longer using) | | 6/30/2015 | | 10/4/2014 | yes | unknown | self |
| (no longer using) | | 11/2/2013 | | 10/4/2014 | yes | 8/14/2012 | Coffeen clinic/ Wilson Family Practice |
| D (no longer using) | | 6/30/2015 | | 10/4/2014 | yes | unknown | Disability Docs Inc |
| no longer using) | | 6/30/2015 | | 10/4/2014 | yes | unknown | Cesko Family Medicine |
| MD (no longer using) | | 6/30/2015 | | 10/4/2014 | yes | 8/21/2012 | Work Wise (Riverton) |
| (no longer using) | | 6/30/2015 | | 10/4/2014 | yes | not at this time | Midtown Medical Group |
| onger using) | | 6/30/2015 | | 10/4/2014 | - | unknown | Greybull Family Clinic |
| | | | | | yes | | |
| (no longer using) | | 6/30/2015 | | 10/4/2014 | yes | unknown | Landar Madical Clinia |
| MD (not using) | | 6/30/2015 | | 10/4/2014 | yes | none at this time | Lander Medical Clinic |
| not using) | | 6/30/2014 | | 10/4/2014 | yes | unknown | self |
|) (no longer using) | | 6/30/2015 | | 10/4/2014 | yes | unknown | self |
| | | | | | | | |
| ices_ | | 12/31/2014 | | 10/4/2014 | yes | 8/23/2012 | Rock Springs Family Practice |
| e PA-C | | 12/31/2014 | | 10/4/2014 | yes | unknown | North Big Horn Hospital |
| | | | | | | | |
| <u>r</u> | | 12/21/2014 | | 10/4/2014 | | | North Dig Llorg Llocaited |
| | | 12/31/2014 | | 10/4/2014 | yes | unknown | North Big Horn Hospital |
| | | 12/31/2014 | | 10/4/2014 | yes | 8/20/2012 | Lander Medical Clinic |
| | | 12/31/2014 | | 10/4/2014 | yes | 8/20/2012 | Lander Medical Clinic |
| (C | | c /20 /2015 | | 40/4/0044 | | | Consultant |
| (Consultant) PRN | | 6/30/2015 | | 10/4/2014 | yes | none | Consultant |
| D (Consultant) | | 6/30/2014 | | 8/23/2013 | yes | none | Consultant |
| er MD (Consultant) | | 6/30/2015 | | 10/4/2014 | yes | none | Consultant |
| MD (Consultant) | | 6/30/2015 | | 10/4/2014 | yes | none | Consultant |
| Consultant) | | 6/30/2015 | | 10/4/2014 | yes | none | Consultant |
| Consultant) not using | | 6/30/2015 | | 10/4/2014 | yes | none | Consultant |
| | | | | | | | |
| ard of Nursing | | 1 | | | | | |

| Ρ | r | o | ν | i | d | e | r |
|---|---|---|---|---|---|---|---|
| | | | | | | | |

Optometry

License Number

Expired Date

Disciplinary Action

Kirby Treat OD William Atkinson OD Wayne Hudson OD Gary Poteet OD Susan Lowe OD James A Boucher OD Todd Sholey OD Richard Canestrini OD Helen D Kim OD James Hinkle OD Brian Ballard OD Christopher Frazier OD Jill Miller OD Samuel Hoffmann OD **Timothy Fehlauer OD** Joseph C Maycock OD Roger L Jordan OD Joseph L Fischer OD Ashlee M Mills-Fischer OD Robert Mills OD David Halsey OD Devin Davis OD Daniel Perala OD Benjamin Marske OD Michelle Chaney OD Nathan Rains OD Natalie Santelli OD Benjamin Kister OD Derek Walton OD Tracy Ammann OD

Opthamology

Ronald Gibson MD William Ramsay MD Gary Foster MD *also practices in Colo Randolph Johnston MD Shauna McKuster MD Anne Miller MD Karl E Olsen MD Chet Reistad MD Randall W Smith MD William A Shachtman MD Arthur Korotkin MD Kent Crews MD

| (b) (6) | 4/1/2015 | (b) (6) | 10/4/2014 | yes | unknown | Clear Image Vision Care |
|---------|-----------|---------|-----------|-----|-----------|--|
| | 4/1/2015 | | 10/4/2014 | yes | unknown | self |
| | 4/1/2015 | | 10/4/2014 | yes | unknown | self |
| | 4/1/2015 | | 10/4/2014 | yes | unknown | Snowy Range Vision Center |
| | 4/1/2015 | | 10/4/2014 | yes | unknown | Snowy Range Vision Center |
| | 4/1/2015 | | 10/4/2014 | yes | unknown | Snowy Range Vision Center |
| | 4/1/2015 | | 10/4/2014 | yes | unknown | Family Vision Clinic (Rock Springs) |
| | 4/1/2015 | | 10/4/2014 | yes | unknown | Family Vision Clinic (Rock Springs) |
| | 4/1/2015 | | 10/4/2014 | yes | unknown | Family Vision Clinic (Rock Springs) |
| | 4/1/2015 | | 10/4/2014 | yes | unknown | Riverton Vision Center |
| | 4/1/2015 | | 10/4/2014 | yes | unknown | Riverton Vision Center |
| | 4/1/2015 | | 10/4/2014 | yes | unknown | Riverton vision Center |
| | 4/1/2015 | | 10/4/2014 | yes | unknown | Sheridan Eyecare Center |
| | 4/1/2015 | | 10/4/2014 | yes | unknown | Sheridan Eyecare Center |
| | 4/1/2015 | | 10/4/2014 | yes | unknown | Sheridan Eyecare Center |
| | 4/1/2015 | | 10/4/2014 | yes | unknown | Gillette Optometric Clinic |
| | 4/1/2015 | | 10/4/2014 | yes | unknown | Gillette Optometric Clinic |
| | 4/1/2015 | | 10/4/2014 | yes | unknown | Gillette Optometric Clinic |
| | 4/1/2015 | | 10/4/2014 | yes | unknown | Gillette Optometric Clinic |
| | 4/1/2015 | | 10/4/2014 | yes | unknown | Gillette Optometric Clinic |
| | 4/1/2015 | | 10/4/2014 | yes | unknown | Split Rock Vision Clinic (Wheatland) |
| | 4/1/2015 | | 10/4/2014 | yes | unknown | Split Rock Vision Clinic (Wheatland) |
| | 4/1/2015 | | 10/4/2014 | yes | unknown | New Concept Optical (Cheyenne) |
| | 3/31/2015 | | 10/4/2014 | yes | unknown | Eye Center Of Northern Colo |
| | 3/31/2015 | | 10/4/2014 | yes | unknown | Eye Center Of Northern Colo |
| | 3/31/2015 | | 10/4/2014 | yes | unknown | Eye Center Of Northern Colo |
| | 3/31/2015 | | 10/4/2015 | yes | unknown | Eye Center Of Northern Colo |
| | 4/1/2015 | | 10/4/2015 | yes | unknown | Kister eyecare (riverton) |
| | 4/1/2015 | | 10/4/2015 | ves | unknown | Kister eyecare (riverton) |
| | 4/1/2015 | | 10/4/2015 | 1 | | Fremont Vision Clinic |
| | , , | | -, , | | | |
| | | | | | | |
| | | | | | | |
| | 6/30/2015 | | 10/4/2014 | yes | 8/13/2013 | Central wyoming Eye Clinic |
| | 6/30/2015 | | 10/4/2014 | yes | unknown | Teton Eye Clinic |
| | 6/30/2015 | | 10/4/2014 | yes | unknown | Snowy Range Vision Center |
| | 4/30/2015 | | 10/4/2014 | yes | unknown | Eye Center Of Northern Colo/ Snowy Range |
| | 6/30/2015 | | 10/4/2014 | yes | unknown | Cheyenne Eye Clinic |
| | 6/30/2015 | | 10/4/2014 | yes | unknown | Cheyenne Eye Clinic |
| | 6/30/2015 | | 10/4/2014 | yes | unknown | Cheyenne Eye Clinic |
| | 4/30/2015 | | 10/4/2014 | yes | unknown | Eye Center Of Northern Colo |
| | 4/30/2015 | | 10/4/2014 | yes | unknown | Eye Center Of Northern Colo |
| | 4/30/2015 | | 10/4/2014 | yes | unknown | Eye Center Of Northern Colo |
| | 4/30/2015 | | 10/4/2014 | yes | unknown | Eye Center Of Northern Colo |
| | 4/30/2015 | | 10/4/2014 | yes | unknown | Eye Center Of Northern Colo |
| | 4/30/2015 | | 10/4/2014 | yes | unknown | Eye Center Of Northern Colo |
| | | | | | | |

Review Date DDS OIG Check Date of last oversite visit Company Name

| Patrick Arnold MD Mattew Robinson MD Kent Bashford MD Judson C Martin MD Thomas J Roussel MD Shawna R Collier MD Daniel Hafner MD J GGeiffrey Slingsby MD Kim Taylor MD | (b) (6) | 4/30/2015 4/30/2015 10/1/2016 10/1/2016 10/1/2016 3/1/2015 3/1/2015 1/31/2015 | (b) (6) | 10/4/2014 10/4/2014 10/4/2014 10/4/2014 10/4/2014 10/4/2014 10/4/2014 10/4/2014 10/4/2014 | yes yes yes yes yes yes yes yes yes | unknown unknown unknown unknown unknown unknown unknown unknown | Eye Center Of Northern Colo Eye Center Of Northern Colo Eye Center Of Northern Colo Oregon Trial Eye Center Oregon Trial Eye Center Black Hills Regional Eye Inst. slingsby Eye care (Rapid City) Moran eye center (Salt Lake City) |
|---|---------|--|---------|---|---|--|--|
| Kim Taylor MD | | 1/31/2016 | | 10/4/2014 | yes | unknown | Moran eye center (Salt Lake City) |

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Link: Wyo Board of Optometry

http://plboards.state.wy.us/optometry/

Link: Board of Medicine Wyoming http://wyomedboard.state.wy.us/

OIG search

http://exclusions.oig.hhs.gov/SearchResults.aspx

| Provider | License Number | Expired Date | Disciplinary Action | Review Date DDS | OIG Check | Date of last oversite visit | Company Name |
|---------------------------|----------------|--------------|----------------------------|-----------------|-----------|-----------------------------|--|
| Brandi Crockett AuD | (h) (c) | 12/31/2014 | (b) (6) | 10/4/2014 | yes | unknown | Sweetwater Audiology/ Ogden Audiology |
| Michael Alley AuD | | 12/31/2014 | | 10/4/2014 | yes | unknown | High country Audiology (Rock Springs/Lander) |
| Jan Pierson AuD | | 12/31/2014 | | 10/4/2014 | yes | unknown | Audiology Center INC (Cheyenne) |
| Thomas Laya AuD | | 12/31/2014 | | 10/4/2014 | yes | unknown | Wyo Audiology & Hearing |
| Ben Koperski AuD | | 12/31/2014 | | 10/4/2014 | yes | unknown | Big Horn Basin Hearing INC (Cody) |
| Susan Roller AuD | | 12/31/2014 | | 10/4/2014 | yes | unknown | Sheridan Ear Nose & Throat |
| Cheryl Drost AuD | | 12/31/2014 | | 10/4/2014 | yes | unknown | Wyoming Otolaryngology PC |
| Theresa Garcia AuD | | 12/31/2014 | | 10/4/2014 | yes | unknown | UW-div of Communication Disorders |
| Amy Weaver AuD | | 12/31/2014 | | 10/4/2014 | yes | unknown | UW-div of Communication Disorders |
| Brant Christensen AuD | | 12/31/2014 | | 10/4/2014 | yes | unknown | Brant Audiology LLC (Cheyenne) |
| Ruby Zubrod AuD | | 12/31/2014 | | 10/4/2014 | yes | unknown | Brant Audiology LLC (Cheyenne) |
| Stephen Harward AuD | | 5/31/2015 | | 10/4/2014 | yes | unknown | Ogden Audiology Services(Ogden UT) |
| *also licensed in Wyoming | | 12/31/2014 | | 10/4/2014 | yes | unknown | Ogden Audiology Services(Ogden UT) |
| Tracy Hayden AuD | | 2/1/2015 | | 10/4/2014 | yes | unknown | Rehder Balance & Hearing Clinic (Billings) |
| Crystal Dvorak AuD | | 2/1/2015 | | 10/4/2014 | yes | unknown | Rehder Balance & Hearing Clinic (Billings) |
| Norman Sorensen AuD | | 6/1/2015 | | 10/4/2014 | yes | unknown | Mountain Plains Audiology (Rapid City) |
| Lara Strothelde AuD | | 3/31/2016 | | 10/4/2014 | yes | unknown | Harvard Park Hearing (Denver) |
| Lauren Gunn AuD | | 3/31/2016 | | 10/4/2014 | yes | unknown | Harvard Park Hearing (Denver) |
| | | | | | | | |
| No longer using | | | | | | | |
| Gerald Mill AuD | (b) (6) | Not current | | 9/20/2013 | yes | unknown | Audiology & hearing Aid Services (Idaho Falls) |
| Patricia Johnson | (b) (6) | | | | | | Timberline Hearin (Riverton) |

Link: Wyo Board of Speech Pathology and Audiology http://plboards.state.wy.us/speech/

OIG search http://exclusions.oig.hhs.gov/SearchResults.aspx

| <u>Provider</u> Jane Johnston SLP (Saratoga) Carla Shriver SLP (Casper) Rebecca Crane SLP (riverton) Cynthia Anderson SLP Kim Lewis SLP Susan Nannenga SLP (was in Cody) | (b) (6) | Expired Date 12/31/2014 12/31/2014 12/31/2014 12/31/2014 12/31/2014 12/31/2014 | bisciplinary Action (b) (6) | Review Date DDS 10/4/2014 10/4/2014 10/4/2014 10/4/2014 10/4/2014 10/4/2014 | OIG Check yes yes yes yes yes | Date of last oversite visit unknown unknown unknown unknown unknown | Company Name Local Therapy LLC The Shriver Therapy Group Own company/ St.Stephen'S school Childhood Language Clinic Frankly Speaking (Cody) Progressive Therapy Services (ND) |
|--|---------|--|--------------------------------|---|--|--|---|
| Anne Baures SLP (Sheridan) Dori Samson SLP Consult/ CE Provider | | 12/31/2014 12/31/2014 12/31/2014 | | 10/4/2014 10/4/2014 10/4/2014 | yes yes yes | unknown unknown | Child Development cntr/ Sch Dist./ Own Own company/ School Dist |

No longer using Cindy Paylor SLP Melinda sessions SLP Margaret Gerrish/ Margaret Williams SLP Donna Gilland Shippen SLP

Wyo Board of Speech Pathology and Audiology http://plboards.state.wy.us/speech/

OIG search http://exclusions.oig.hhs.gov/SearchResults.aspx

Sage View Rehab services Powell School Dist.

| Provider | License Number | Expired Date | Disciplinary Action | Review Date DDS | OIG Check | Date of last oversite visit | Company Name |
|-----------------------------|----------------|--------------|----------------------------|------------------------|-----------|-----------------------------|--------------------------------|
| Michael Martin MD | (b) (6) | 6/30/2015 | (b) (6) | 10/4/2014 | yes | unknown | Doc Martin's Ear Nose & Throat |
| Scott Bateman MD | | 6/30/2015 | | 10/4/2014 | yes | unknown | Sheridan Ear, Nose, & Throat |
| Joseph Vigneri MD (retired) | | 6/30/2015 | | 10/4/2014 | yes | unknown | Wyoming Otholaryngology |
| Eugene Podrazik MD | | 6/30/2015 | | 10/4/2014 | yes | unknown | Wyoming Otholaryngology |
| David (cope) Norcross MD | | 6/30/2015 | | 10/4/2014 | yes | unknown | Wyoming Otholaryngology |
| | | | | | | | |

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| Provider | License Number | Expired Date | Disciplinary Action | Review Date DDS | OIG Check |
|----------------------------|----------------|--------------|---------------------|-----------------|-----------|
| Cheyenne Radiology Group | | | | | |
| David Michael Kellam MD | (D) (D) | 6/30/2015 | (b) (6) | 10/4/2014 | yes |
| James G Hubbard MD | | 6/30/2015 | | 10/4/2014 | yes |
| John Wright MD | | 6/30/2015 | | 10/4/2014 | yes |
| Scott Hayden MD | | 6/30/2015 | | 10/4/2014 | yes |
| William D Ketcham MD | | 6/30/2015 | | 10/4/2014 | yes |
| Daniel R Possehn DO | | 6/30/2015 | | 10/4/2014 | yes |
| Eric Hoyer MD | | 6/30/2014 | | 10/4/2014 | yes |
| Mark Howshar MD | | 6/30/2015 | | 10/4/2014 | yes |
| IMG (Cheyenne) | | | | | |
| Kenneth Kranz MD | | 6/30/2015 | | 10/4/2014 | yes |
| Kleanthis Dendrinos MD | | 6/30/2015 | | 10/4/2014 | yes |
| Michael Miller MD | | 6/30/2015 | | 10/4/2014 | yes |
| Steven Reeb MD | | 6/30/2015 | | 10/4/2014 | yes |
| Scott McRae MD | | 6/30/2015 | | 10/4/2014 | yes |
| Ting-Hui Hsieh MD | | 6/30/2015 | | 10/4/2014 | yes |
| Casper Medical Imaging | | | | | |
| Geoffrey Smith MD | | 6/30/2015 | | 10/4/2014 | yes |
| Daniel Sulser MD | | 6/30/2015 | | 10/4/2014 | yes |
| Michael Sloan MD | | 6/30/2015 | | 10/4/2014 | yes |
| Charles Bowkley MD | | 6/30/2015 | | 10/4/2014 | yes |
| Michael Flaherty MD | | 6/30/2015 | | 10/4/2014 | yes |
| Joseph McGinley MD | | 6/30/2015 | | 10/4/2014 | yes |
| Seth Iverson MD | | 6/30/2015 | | 10/4/2014 | yes |
| Wyoming Cardiopulmony | | | | | |
| Oleg Ivanov MD | | 6/30/2015 | | 10/4/2014 | yes |
| Adrian Fluture MD | | 6/30/2015 | | 10/4/2014 | yes |
| Michel Skaf MD | | 6/30/2015 | | 10/4/2014 | yes |
| John Pickrell MD | | 6/30/2015 | | 10/4/2014 | yes |
| Robert Novick MD | | 6/30/2015 | | 10/4/2014 | yes |
| Allen Wicks MD | | 6/30/2015 | | 10/4/2014 | yes |
| Wesley Hiser MD | | 6/30/2015 | | 10/4/2014 | yes |
| Cheyenne Childrens' Clinic | | | | | |
| Robert Prentice MD | | 6/30/2015 | | 10/4/2014 | yes |
| Danae Stampfli MD | | 6/30/2015 | | 10/4/2014 | yes |
| Andrew Rose MD | | 6/30/2015 | | 10/4/2014 | yes |
| Robert Leland MD | | 6/30/2015 | | 10/4/2014 | yes |
| Carol Schiel MD | | 6/30/2015 | | 10/4/2014 | yes |
| Katarzyna Zarzycki MD | | 6/30/2015 | | 10/4/2014 | yes |
| William Joseph Horam MD | | 6/30/2015 | | 10/4/2014 | yes |
| Joanne Hassell MD | | 6/30/2015 | | 10/4/2014 | yes |
| Basu Hiregoudar MD | | 6/30/2015 | | 10/4/2014 | yes |
| | | | | | |

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